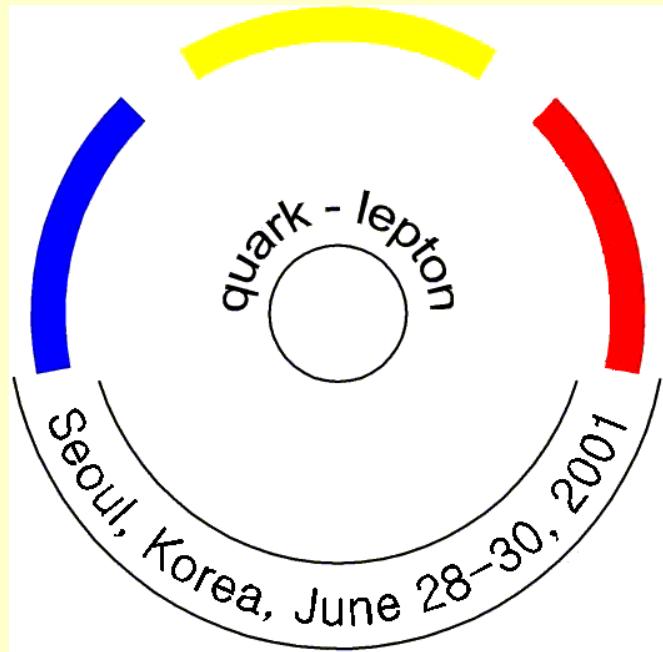




CKM Status & Prospects

Brian K. Heltsley, Cornell University
Physics In Collision, June 29, 2001

- CKM Basics
- Experimental Status – b emphasis
 - CPV, B_d & B_s mixing, $b \rightarrow cl\nu$, $b \rightarrow ul\nu$
 - Novel approach to V_{cb}
- Global fitting
- CKM, QCD, & CLEO-c
- Prospects



Cabibbo-Kobayashi-Maskawa

Quark Mixing



- Lagrangian (weak charged current) :

$$L_W = -\frac{g}{\sqrt{2}} \bar{u}_{Li} \gamma^\mu V_{ij} \bar{d}_{Lj} W_\mu^+ + h.c.$$

- V_{CKM} : 3×3 , UNITARY ($\mathbf{V}^\dagger \mathbf{V} = \mathbf{I}$)
- Each V_{ij} has a real part + imag phase

$$\mathbf{V}_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$\mathcal{CP} \Leftrightarrow$ 3 gen's &
 ≥ 1 imag phase $\neq 0$

The Unitarity Triangle(s) (UT)

- The sum for each 0 in I is a triangle in the real-complex plane

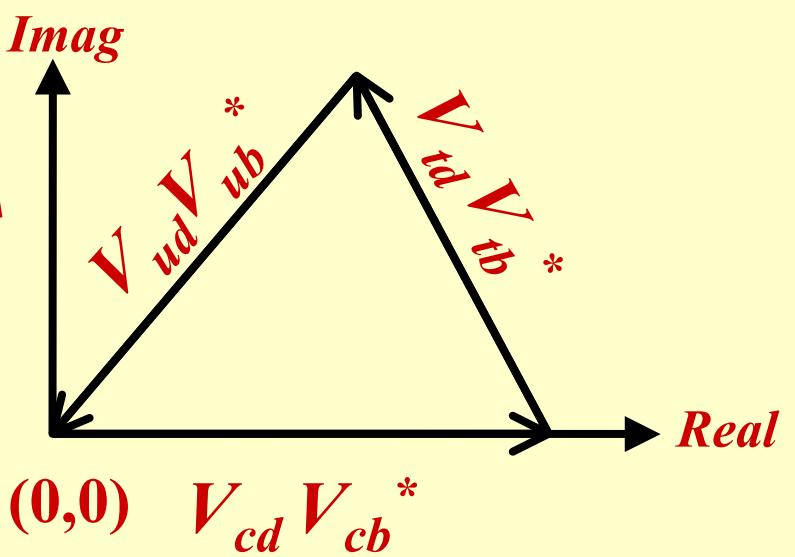
$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

$$V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0$$

$$V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0$$

...

- Phase convention: $(V_{cd} V_{cb}^*)$ is real



CKM parameterizations

- Wolfenstein (original).
Expand in λ^2 , with
 $\lambda = \sin \theta_C = 0.22.$

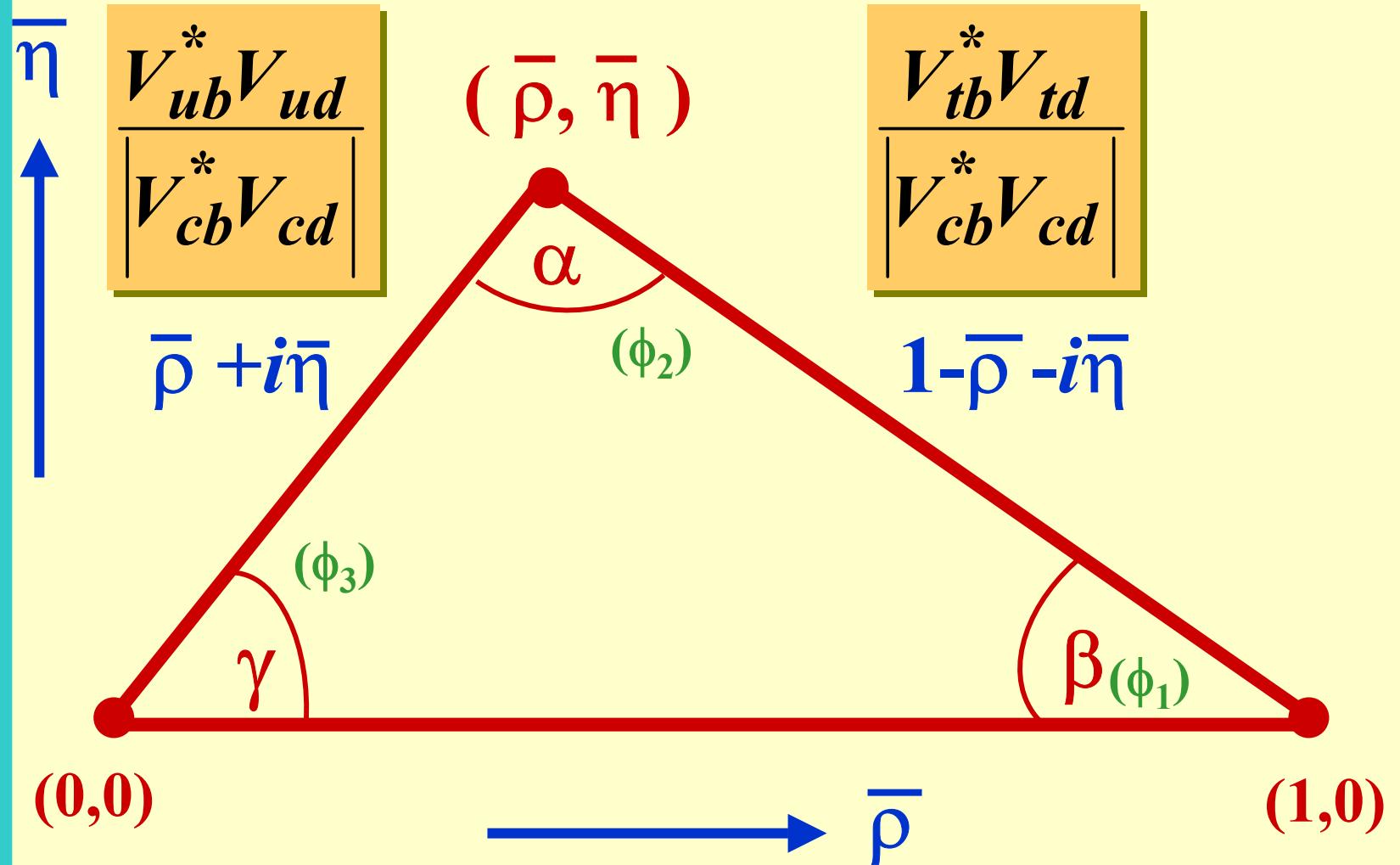
- To $O(\lambda^4) \sim 10^{-3}$:

- Buras: slight changes
attain $O(\lambda^6) \sim 10^{-4}$
- $\lambda, A, \bar{\rho}, \bar{\eta}$
- $J = \text{triangle area} \propto \text{CPV}$

$$\begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda - iA^2\lambda^5\eta & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \bar{\rho} - i\bar{\eta}) & -A\lambda^2 - iA\lambda^4\eta & 1 \end{pmatrix}$$

$$\bar{\rho} = \rho \left(1 - \frac{\lambda^2}{2} \right), \quad \bar{\eta} = \eta \left(1 - \frac{\lambda^2}{2} \right)$$

SM Unitarity Triangle

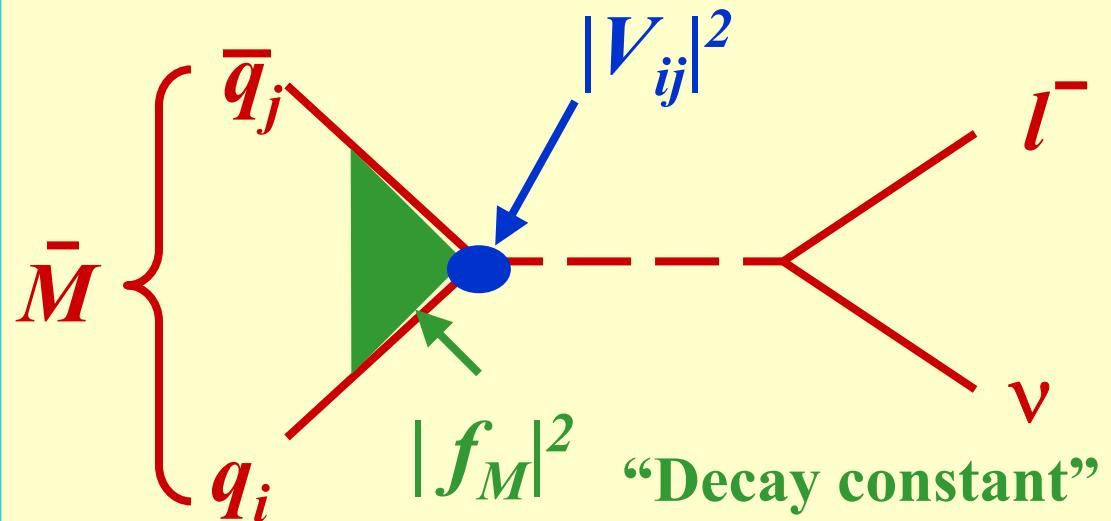




Just 4 parameters?!

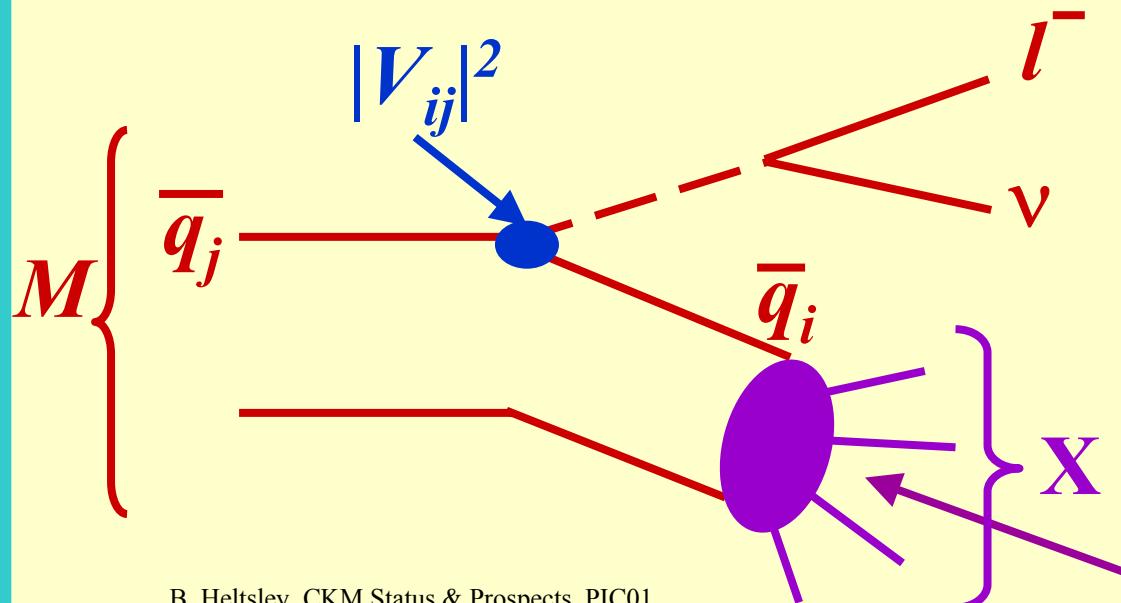
- Just four parameters: λ , A , $\bar{\rho}$, $\bar{\eta}$
- Measure them as fundamental constants of nature – “metrology”
 - Now, semi-leptonic decays & mixing provide best access
- With a rich diversity of quark decays, can overconstrain them – “global fit” to data
- Inconsistencies seen at any level means
New Physics outside SM
- BUT, hadrons, not q 's, are detected

CKM \leftrightarrow QCD in (Semi)Leptonic Decay



Leptonic

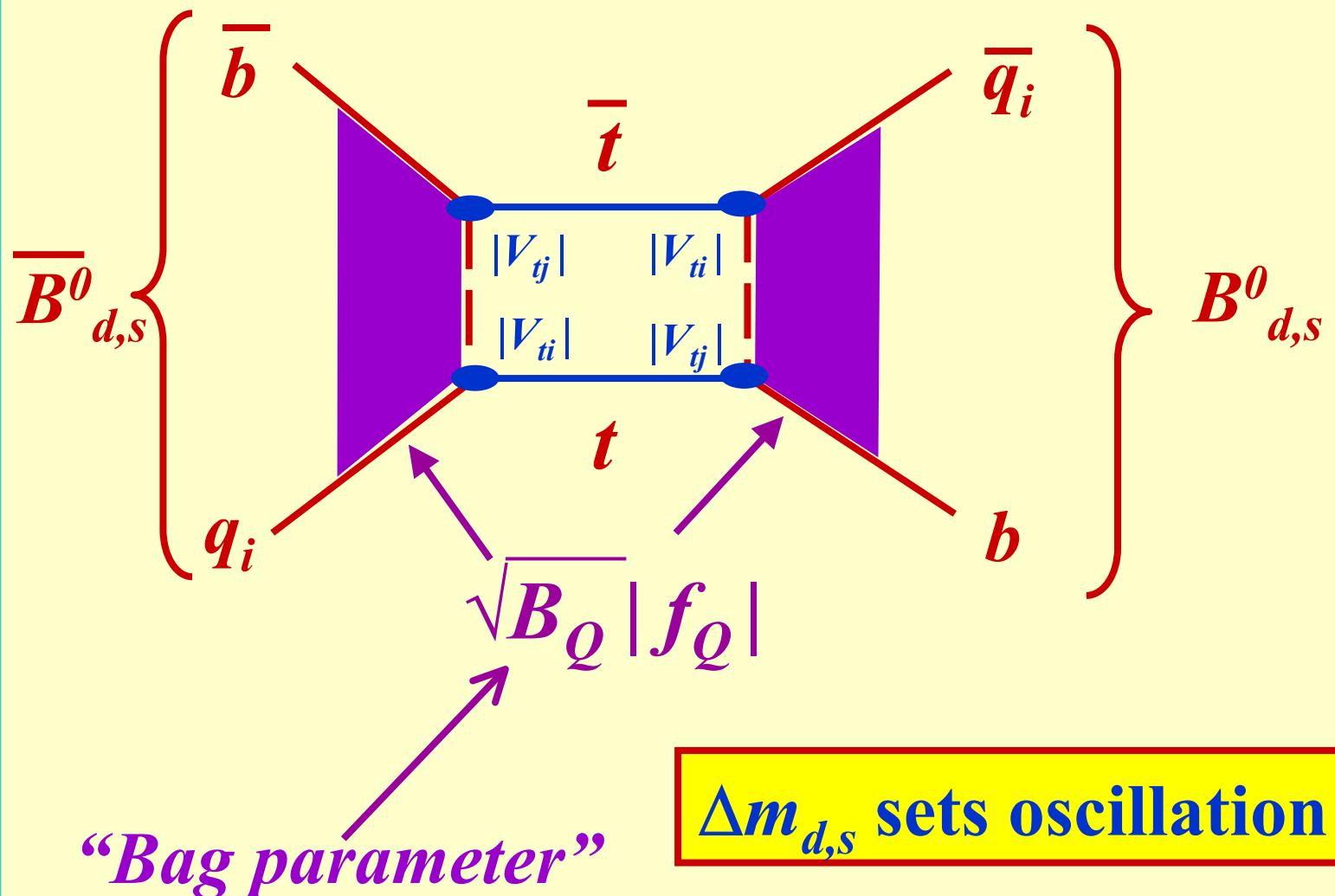
Small BR's



Semi-Leptonic

Incl. vs Excl.

CKM \Leftrightarrow QCD in B_d, B_s Mixing





$\sim |V_{ij}|$ (accuracy) [*=assumes Unitarity]

$ud:$ β -decay 0.1% 0.9739 ± 0.0009	$us: K \rightarrow \pi e \nu$ 1.1% 0.2200 ± 0.0025	$ub: b \rightarrow u l \nu$ & 17% $B \rightarrow \pi(\rho) l \nu$ 0.0035 ± 0.0006
$cd: \bar{v}d \rightarrow l\bar{c} \rightarrow llX$ 6% 0.224 ± 0.014	$cs: D \rightarrow K e \nu,$ 6% $W \rightarrow X_c X$ 0.97 ± 0.06	$cb: b \rightarrow c l \nu,$ 7% $B \rightarrow D l \nu$ 0.041 ± 0.003
$td: B_d$ mixing 19% $D_s \rightarrow \mu \nu$ 0.0083 ± 0.0016	$ts: B_s$ mixing 25%* $0.04 \pm 0.01 *$	$tb: t \rightarrow b l \nu$ 15%* $0.99 \pm 0.15 *$



Experiment \Leftrightarrow Theory

CP-violating parameter from K decay:

$$\varepsilon_K = C_\varepsilon B_K \lambda^6 \bar{\eta} [C_1 A^2 \lambda^4 (1 - \bar{\rho}) + C_2 + C_3] \Rightarrow \text{hyperbola}$$

$$(b \rightarrow u \bar{l} \nu) / (b \rightarrow c \bar{l} \nu)$$

$$|V_{ub}/V_{cb}|^2 = \lambda^2 (\rho^2 + \eta^2) \Rightarrow \text{circle @ (0,0)}$$

B_d -mixing frequency = mass difference

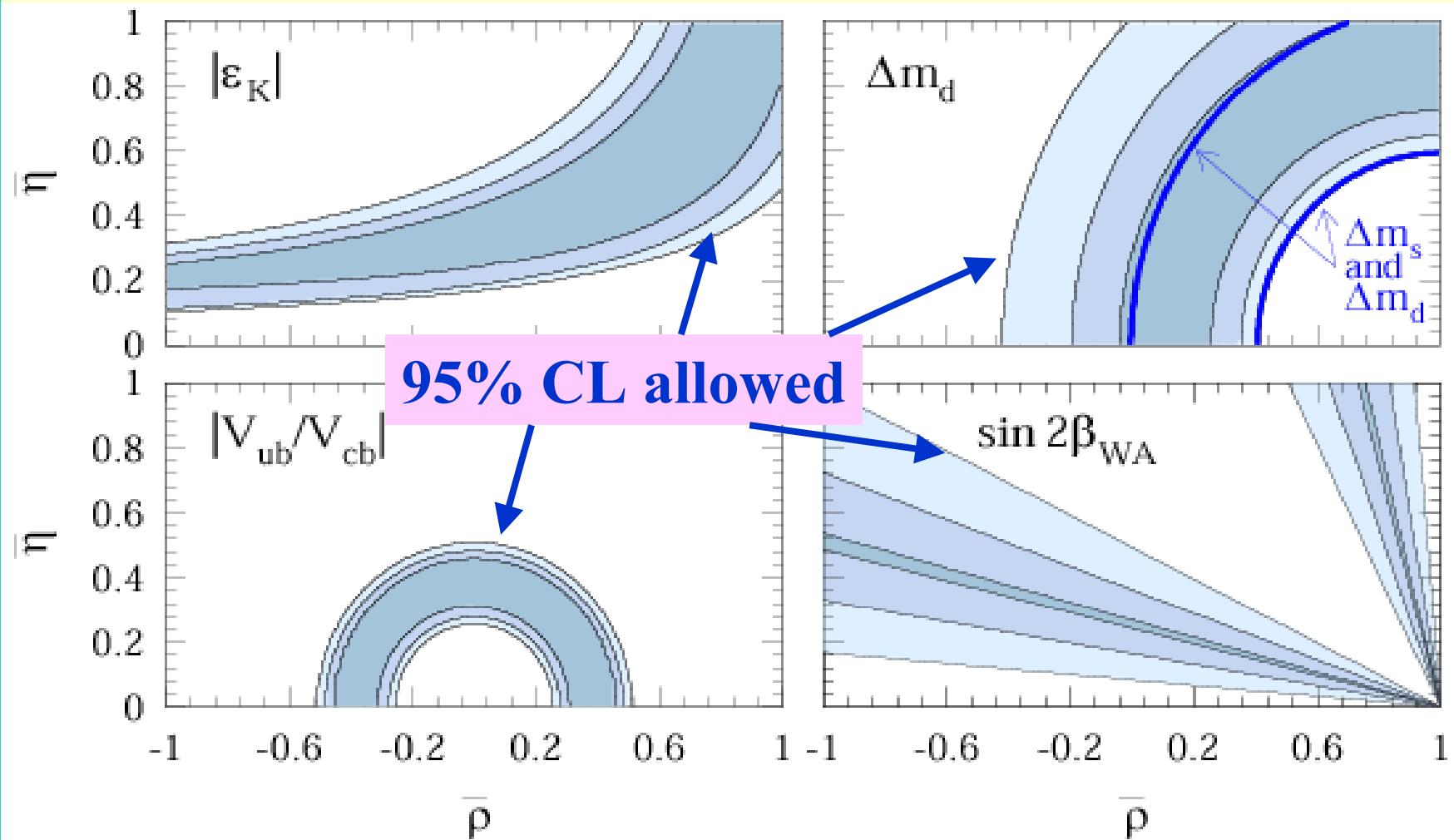
$$\Delta m_d = C_d B_d f_{B_d}^2 A^2 \lambda^6 [(1 - \bar{\rho})^2 + \bar{\eta}^2] \Rightarrow \text{circle @ (1,0)}$$

B_s -mixing frequency:

$$\Delta m_s \propto B_s f_{B_s}^2 A^2 \lambda^4$$

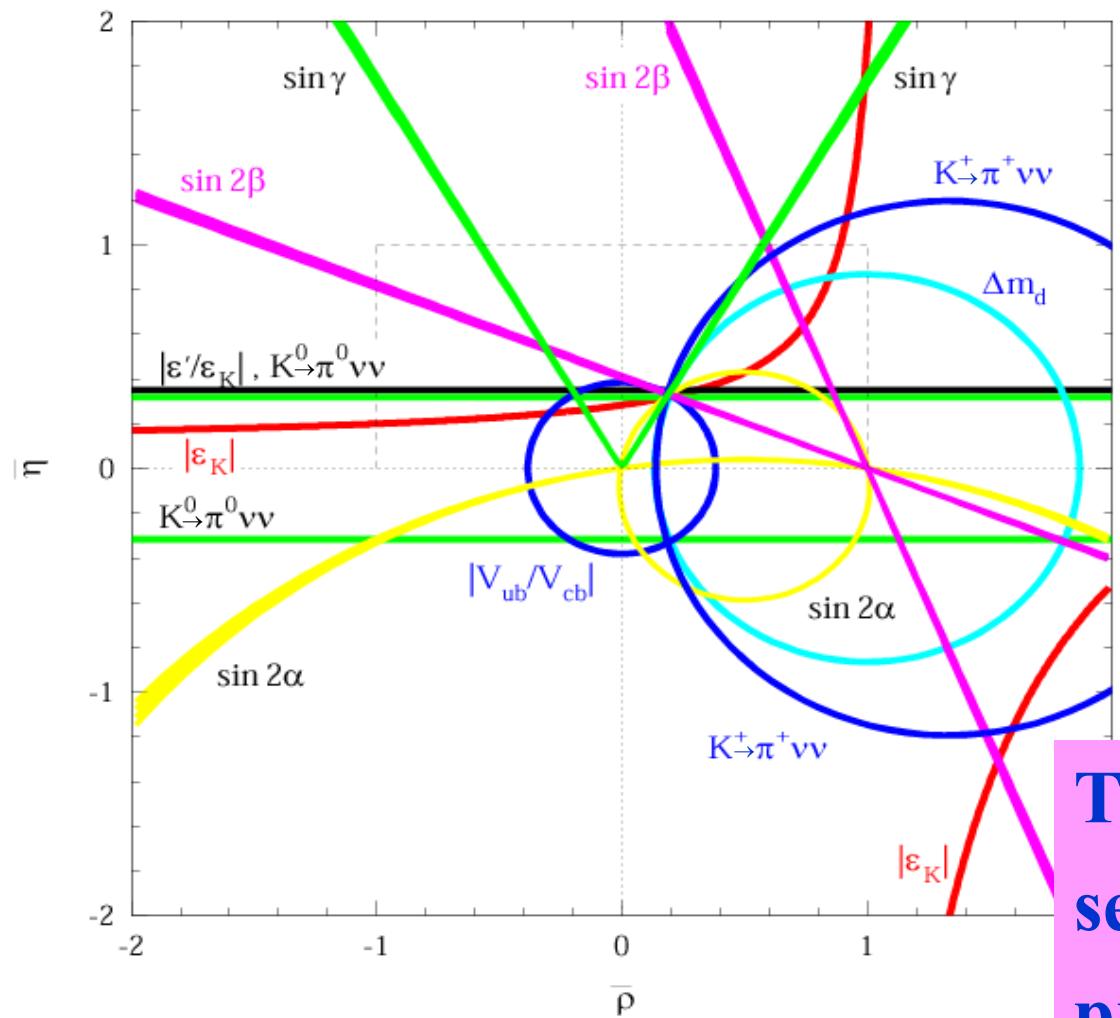
$$\frac{\Delta m_d}{\Delta m_s} = \frac{m_d}{m_s} \frac{\lambda^2}{\xi^2} [(1 - \bar{\rho})^2 + \bar{\eta}^2] \Rightarrow \text{circle @ (1,0)}, \quad \xi = \frac{f_{B_s} \sqrt{B_s}}{f_{B_d} \sqrt{B_d}}$$

UT Constraints



From A. Hocker, et al. hep-ph/0104062

More UT Constraints



α, β, γ constrained
from 2-body hadronic
 B -decays (rare):
 $B \rightarrow \pi\pi, K\pi, \rho\pi, DK, J/\Psi K$

Help from (rare)
 $K \rightarrow \pi vvv$ after 2005

Today mixing &
semi-leptonic decays
provide best precision

From A. Hocker, et al. hep-ph/0104062

B. Heltsley, CKM Status & Prospects, PIC01

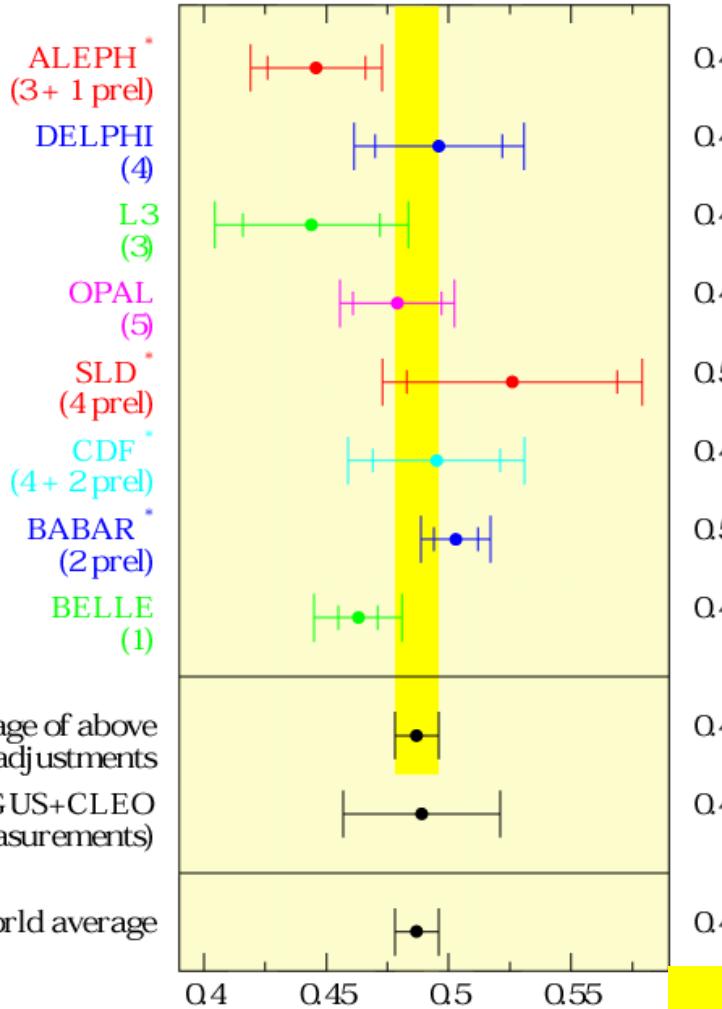


$\sin 2\beta$

- 0.34 ± 0.21 BaBar
- 0.58 ± 0.34 Belle
- 0.79 ± 0.43 CDF
- 0.84 ± 0.93 ALEPH
- 3.2 ± 2.0 OPAL

● **World Average: 0.48 ± 0.16**

B_d Mixing: Δm_d



LEP Working Group

Δm_d (ps⁻¹)

B. Heltsley, CKM Status & Prospects, PIC01

Measured in two ways:

- χ method: t -integrated $B^0 B^0$ vs $B^0 \bar{B}^0$; e.g. dileptons

- direct, t -dependent observation of $B^0 \leftrightarrow \bar{B}^0$ oscillations by flavor tagging as a fcn of decay lengths

2% error

$$\Delta m_d \cong 1/\tau_B$$



B_s Mixing

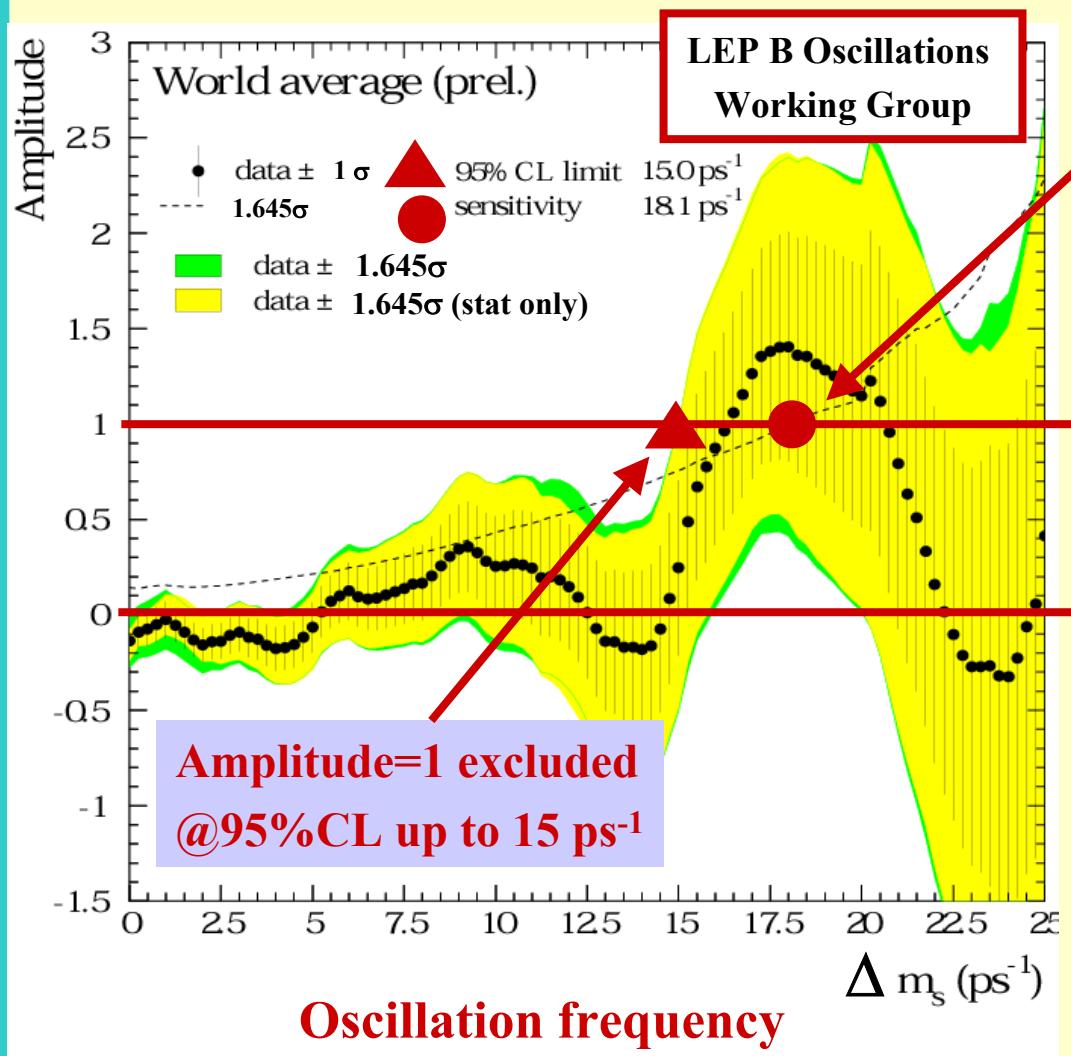
● B_s too heavy to be produced @ $\Upsilon(4S)$

- LEP, SLC, Tevatron

● Near maximal mixing observed

- $\Delta m_s \gg 1/\tau$ unlike B_d
- Oscillations not yet definitively seen due to large frequency; hard to measure
- Only get lower limit on Δm_s , even when combining all expmts

Δm_s World Average



Sensitivity to exclude
Amplitude=0 @95%CL
at 18.1 ps⁻¹

Yes oscillation

No oscillation

$\Delta m_s > 15$ ps⁻¹

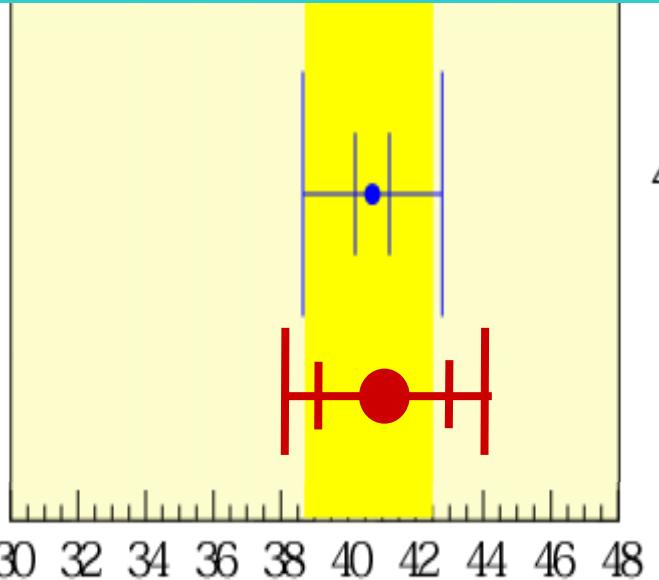


Inclusive $b \rightarrow cl\nu$

- $|V_{cb}|^2 = h(\mu, m_b) \times \Gamma(b \rightarrow cl\nu)$
 $= h(\mu, m_b) \times BR(b \rightarrow cl\nu)/\tau_b$
- $h(\mu, m_b)$ from Heavy Quark Expansion
 - Perturbative & non-perturbative pieces
 - Quark-hadron duality assumption: integrated over enough charm bound states & enough phase space, the inclusive hadronic result will match quark-level
 - No consensus on uncertainty in assumption

Inclusive $b \rightarrow c l \bar{v}$

LEP V_{cb} Inclusive



$$V_{cb} (10^3)$$

5%? common theoretical error

Exclusive V_{cb} : $B \rightarrow D^* l \nu$



Experiments measure

$$\frac{d\Gamma}{dw} = \frac{G_F^2}{48\pi^3} g(w) F_{D^*}^2(w) |V_{cb}|^2$$

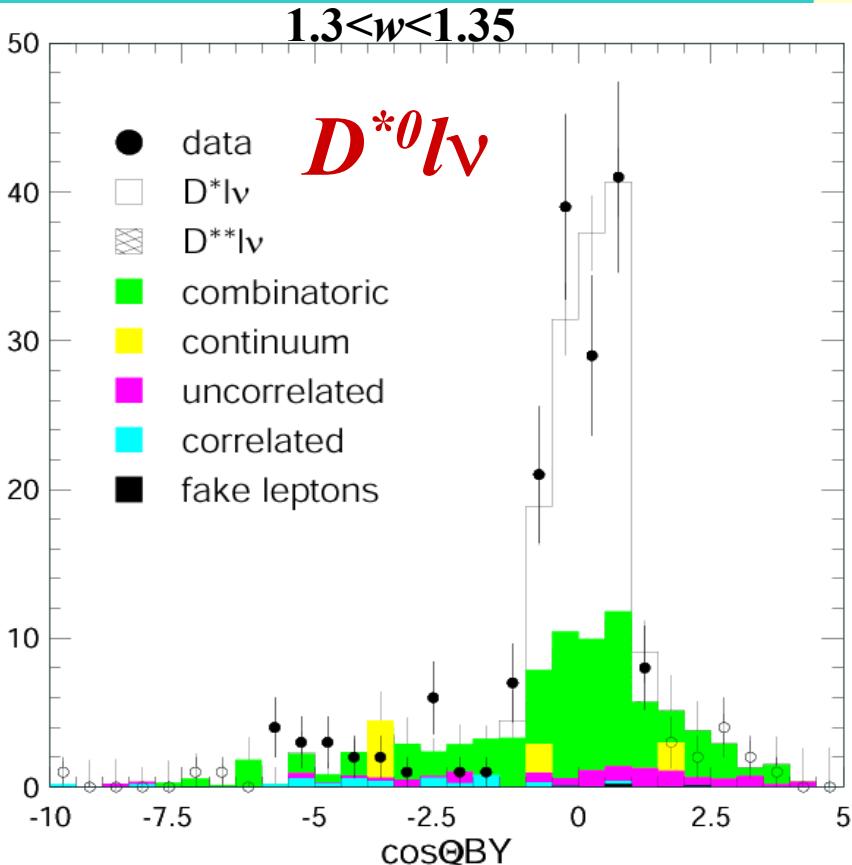
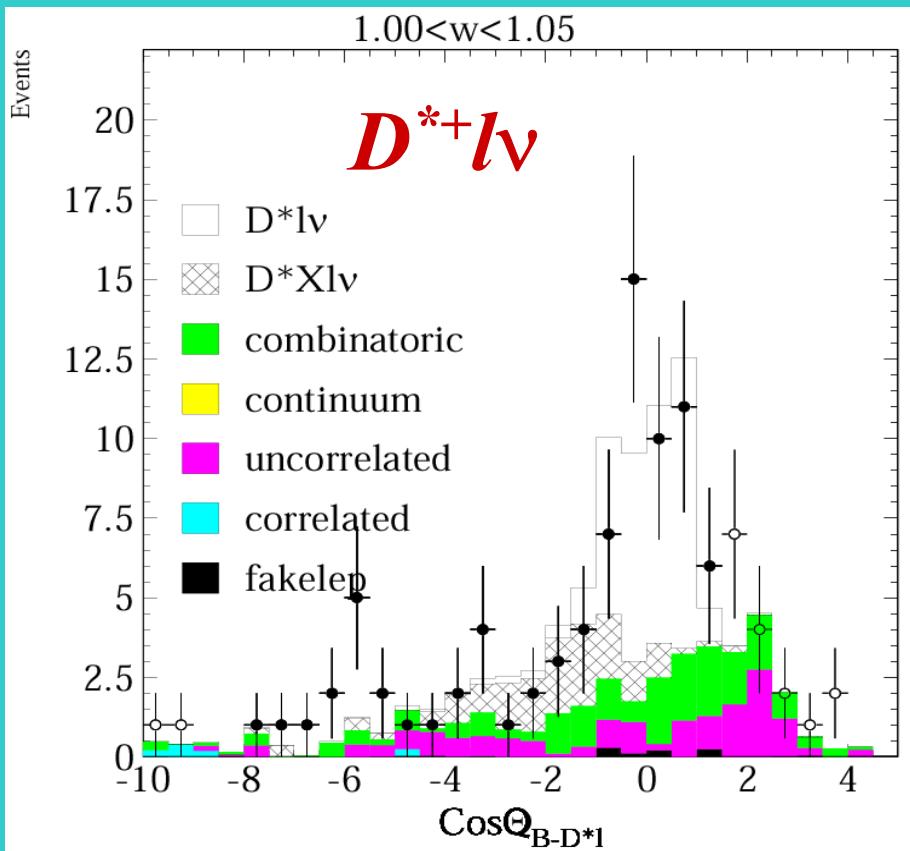
Form Factor

$w=(m_B^2 + m_{D^*}^2 - q^2)/(2m_B m_{D^*}) = D^* \text{ boost in } B \text{ rest-frame}$
 $1 < w < 1.5$; $g(w)$ is a known function with $g(1)=0$

HQET: $F(1) \rightarrow 1$ for $m_b \rightarrow \infty$; $(1/m_b)^n$ corr'ns

- $F_{D^*}(1) = 0.88 - 0.95$: HQET, LQCD
- Nearly linear in w : measure curvature: parameter “ ρ^2 ”
- Extrapolate data to $w=1$ (where phase space $\rightarrow 0$)
- Experimental results usually quoted as $F_{D^*}(1)|V_{cb}|$

w fits: $B \rightarrow D^* l \nu$ examples



Kinematic variable distinguishing $D^* l \nu$ $D^* X l \nu$

$|V_{cb}|$ from $B \rightarrow D^* l \nu$

- w msd: $\sigma_w(\text{CLEO}) = 0.03$; $\sigma_w(\text{LEP}) \geq 0.07$
- Fit each w -bin for ($B \rightarrow D^* l \nu + D^* X l \nu + \text{bgds}$)
- CLEO limit: $\varepsilon(\text{slow } \pi)$
- LEP limit: $D^* X l \nu$ level

■ Model of Leibovich, *et al.*

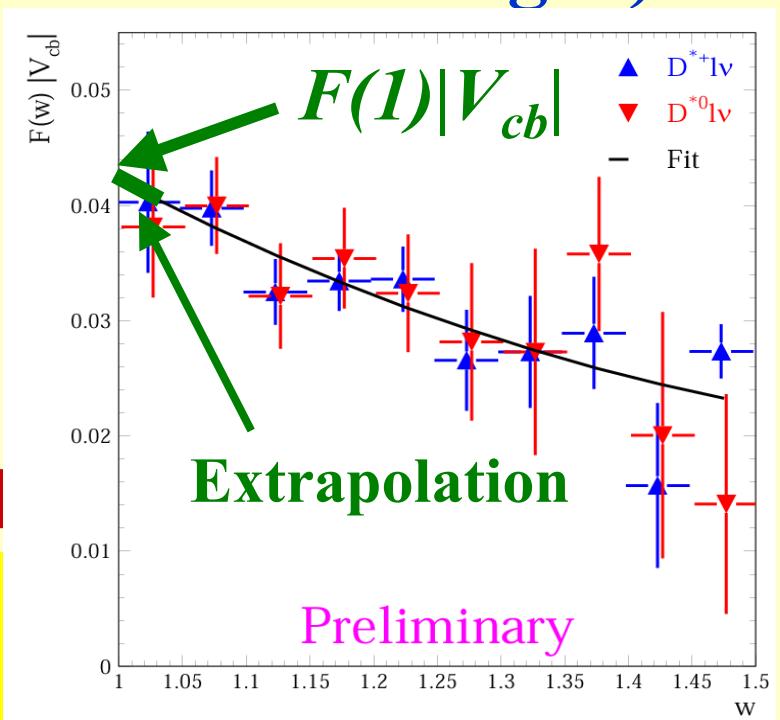
PRD 57, 308 (1997)

■ CLEO measures it, **sees less**

CLEO 2001

$$F(1)|V_{cb}| = (42.2 \pm 1.3 \pm 1.8) \times 10^{-3}$$

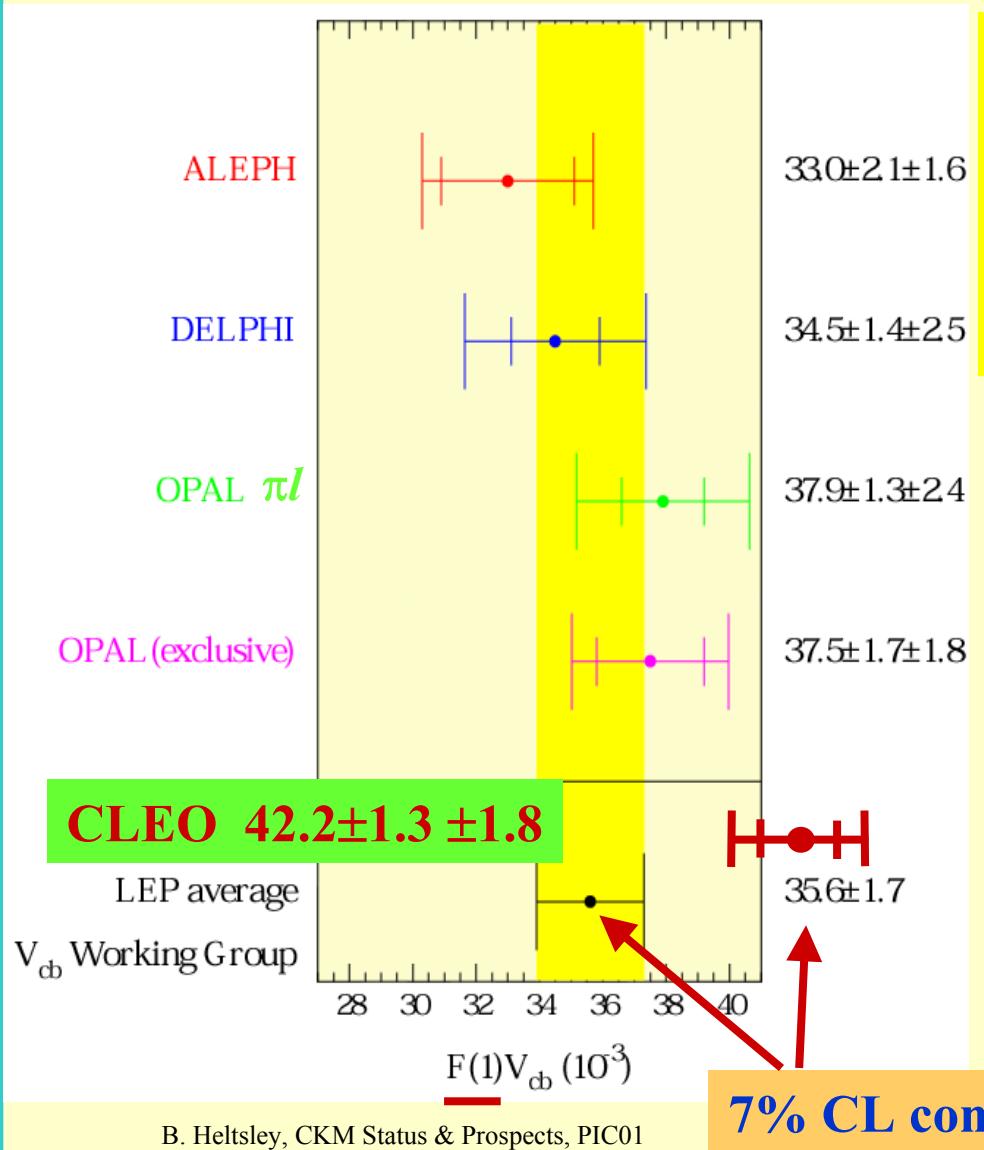
$$\rho^2 = 1.61 \pm 0.09$$



CLEO $D^{*+} l \nu, D^{*0} l \nu$

5% total error on $F(1)|V_{cb}|$

V_{cb} Exclusive Averages



CLEO fits both a smaller $D^* X l \nu$ AND a larger ρ^2 than LEP, & both are correlated with $F_{D^*}(1)|V_{cb}|$

When taking out $F(1)$,

- LEP WG uses

$$F(1)=0.88 \pm 0.05$$

- CLEO uses

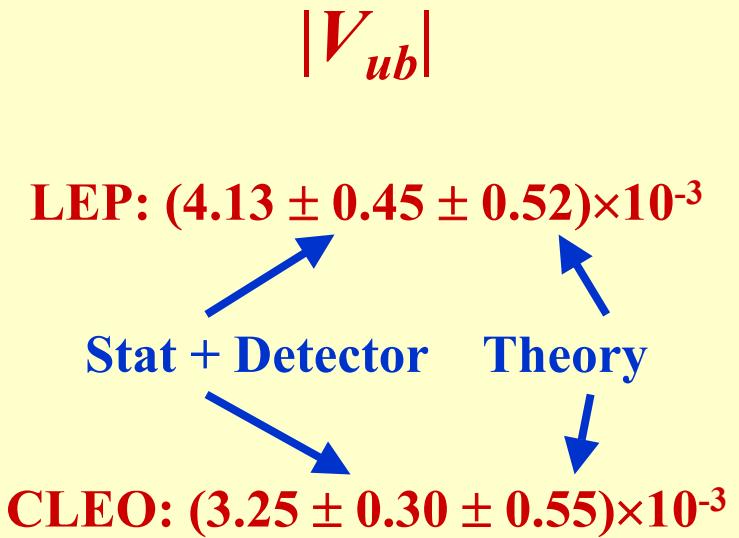
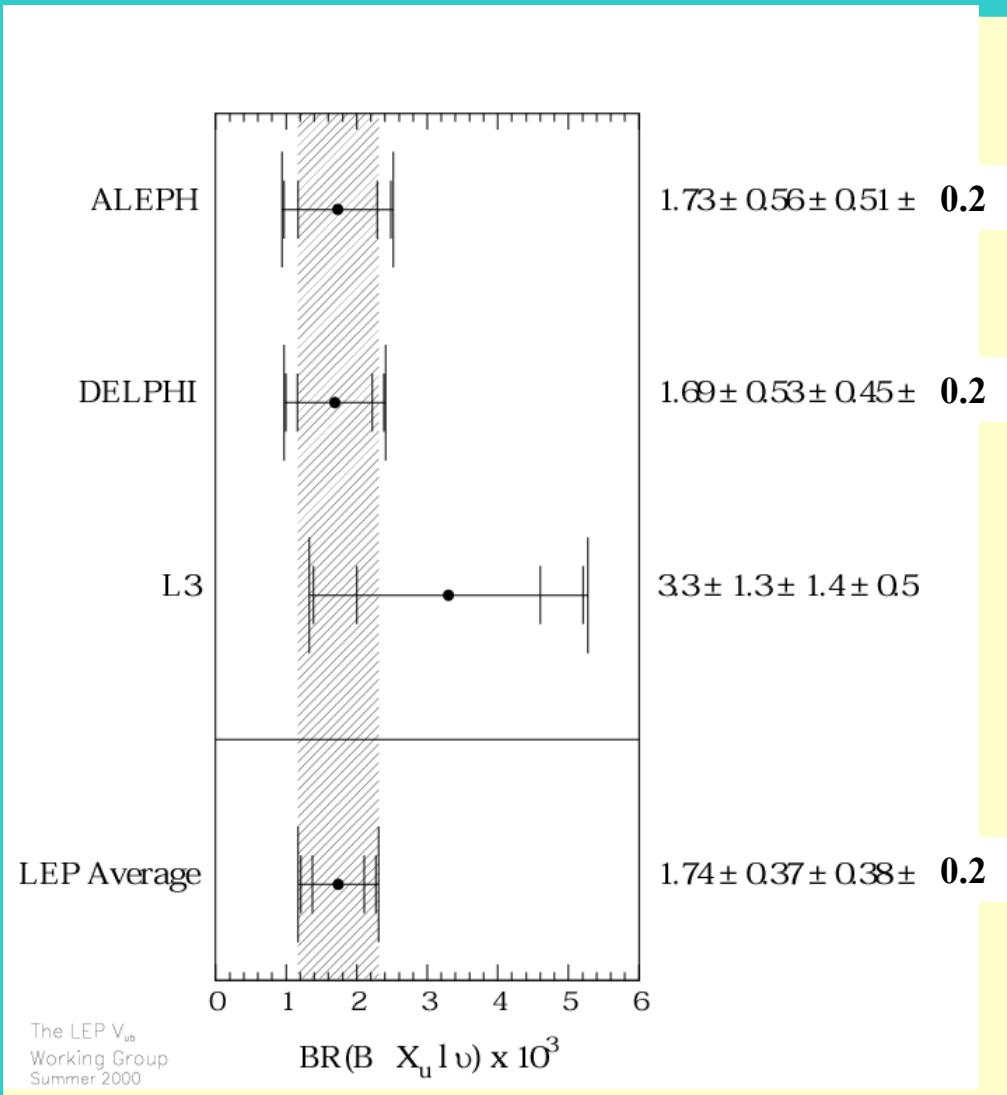
$$F(1)=0.913 \pm 0.042$$



$b \rightarrow ul\nu$

- Similar to $b \rightarrow cl\nu$ BUT $BR(b \rightarrow ul\nu) \sim 2 \times 10^{-3}$!
- Experimentally: few evts, swamped w/ $b \rightarrow cl\nu$
- LEP expmts use inclusive analysis
 - LEP $|V_{ub}|$ avg has 10% statistical error
 - HQE uncertainty (5%) + duality/modeling unc. (12%)
 - Systematics from identifying & separating $b \rightarrow u$, $b \rightarrow c$
 - Systematics from non- $b \rightarrow u$, non- $b \rightarrow c$ suppression
- CLEO uses “ν-recon.” for $B \rightarrow \pi l\nu, \rho l\nu$
 - Statistical error of 4%
 - Form-factor model uncertainty of 17%

$|V_{ub}|$ Summary



Global fits: Simmering tempest

● Conservative Frequentists

- A. Hocker, et al., hep-ph/0104062 (BaBar)
- S. Stone, hep-ph/0012162 (Beauty 2000)
- A. Falk, hep-ph/9908520, Aug. 1999 (LepPho 1999)
- J. Rosner, hep-ph/0011184, Aug. 1999 (Beauty 2000)

● Optimistic Bayesians:

- A. Stocchi, hep-ph/0010222 (ICHEP 2000), NIM A462 (2001) 318 (Beauty 2000).
- F. Parodi (CPV 2000)
- M. Ciuchini, et al., hep-ph/0012308 (Moriond 2001)

● Issue: How to treat theoretical QCD predictions (TP's) & associated uncertainties in a global CKM fit?



Central Q's in Tempest

● What are central values of TP's from HQET, LQCD, NLO ?

- Do we exclude “disagreeable” or “outdated” predictions?
- How to combine several incompatible results?

● What are the uncertainties on the TP's?

- How well can they be estimated?
- Do “internal” tests give adequate estimates?
- How does one quantify errors from assumptions; e.g. quark-hadron duality in HQET?

● Can some or all theoretical errors be treated w/Bayesian analysis along with the data, with a preferred central value as a result?

Standard vs 95% CL Scanning

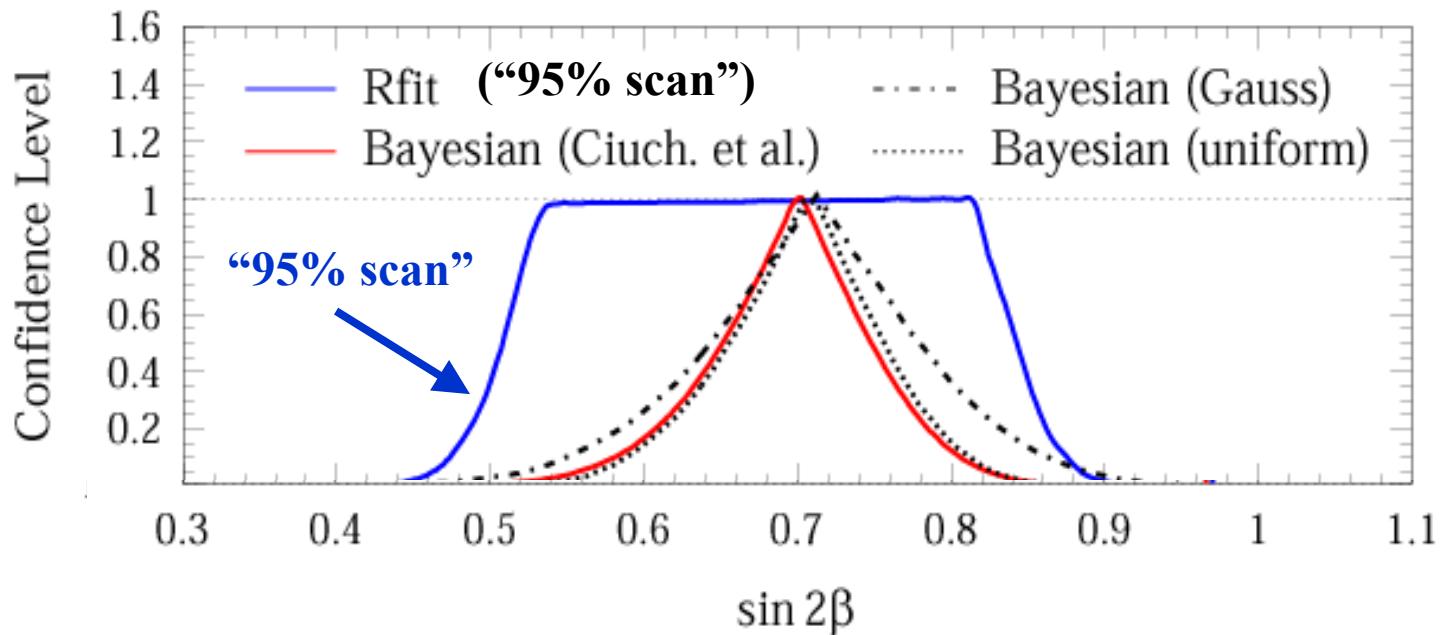
● **Standard method advocates similar treatment of uncertainties for data and TP's with Gaussian (or even flat) PDF's (Bayesian)**

- LQCD is mature enough to trust results
- Know the sign & rough magnitude of corrections
- Can assign reasonable σ 's: don't throw away information!

● **95% CL Method advocates cautious approach to TP's by restricting them to a “95% CL interval”, with no preferred central value $\Rightarrow V_{ij}$: contours or intervals with no preferred ctrs (Frequentist)**

- Even combining flat PDF's is treacherous!
- In multi-dimensional problems Bayesian treatment unfairly predicts a narrowing of possible results, not a broadening

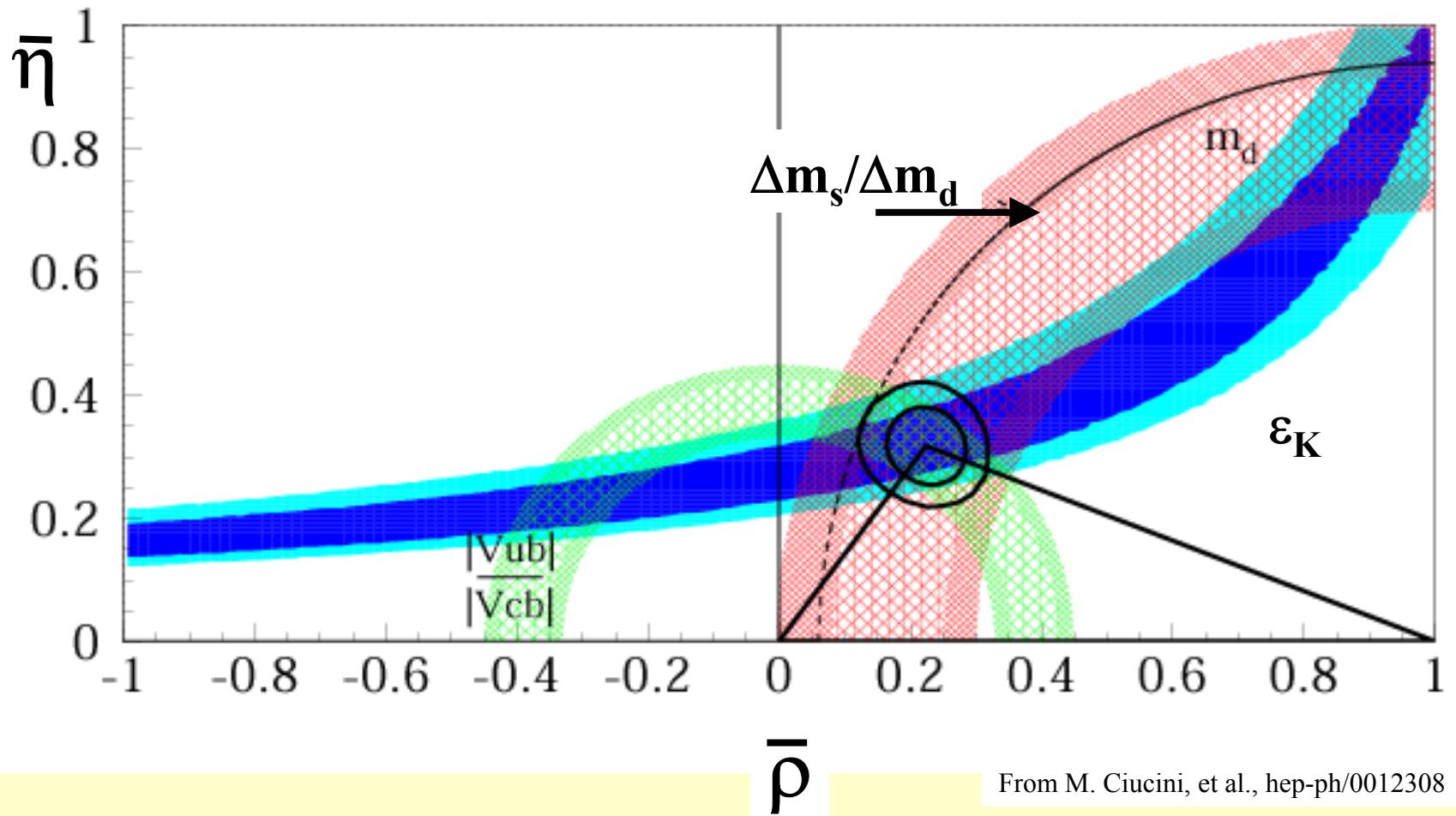
$\sin 2\beta$ CL's in different methods



From A. Hocker, et al. hep-ph/0104062

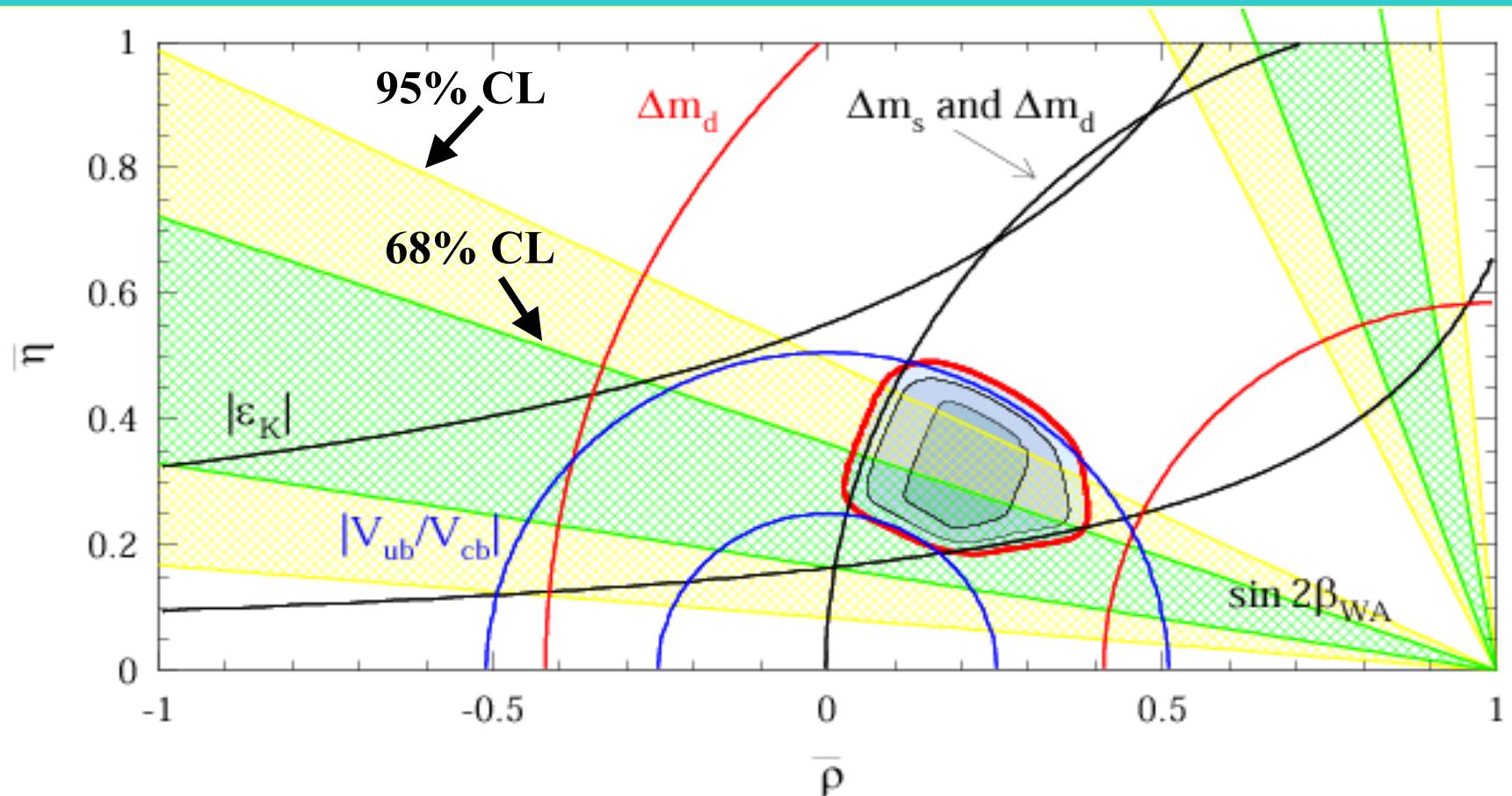
Direct $\sin 2\beta$ msmts
not included

Standard Method Global Fit



From M. Ciucini, et al., hep-ph/0012308

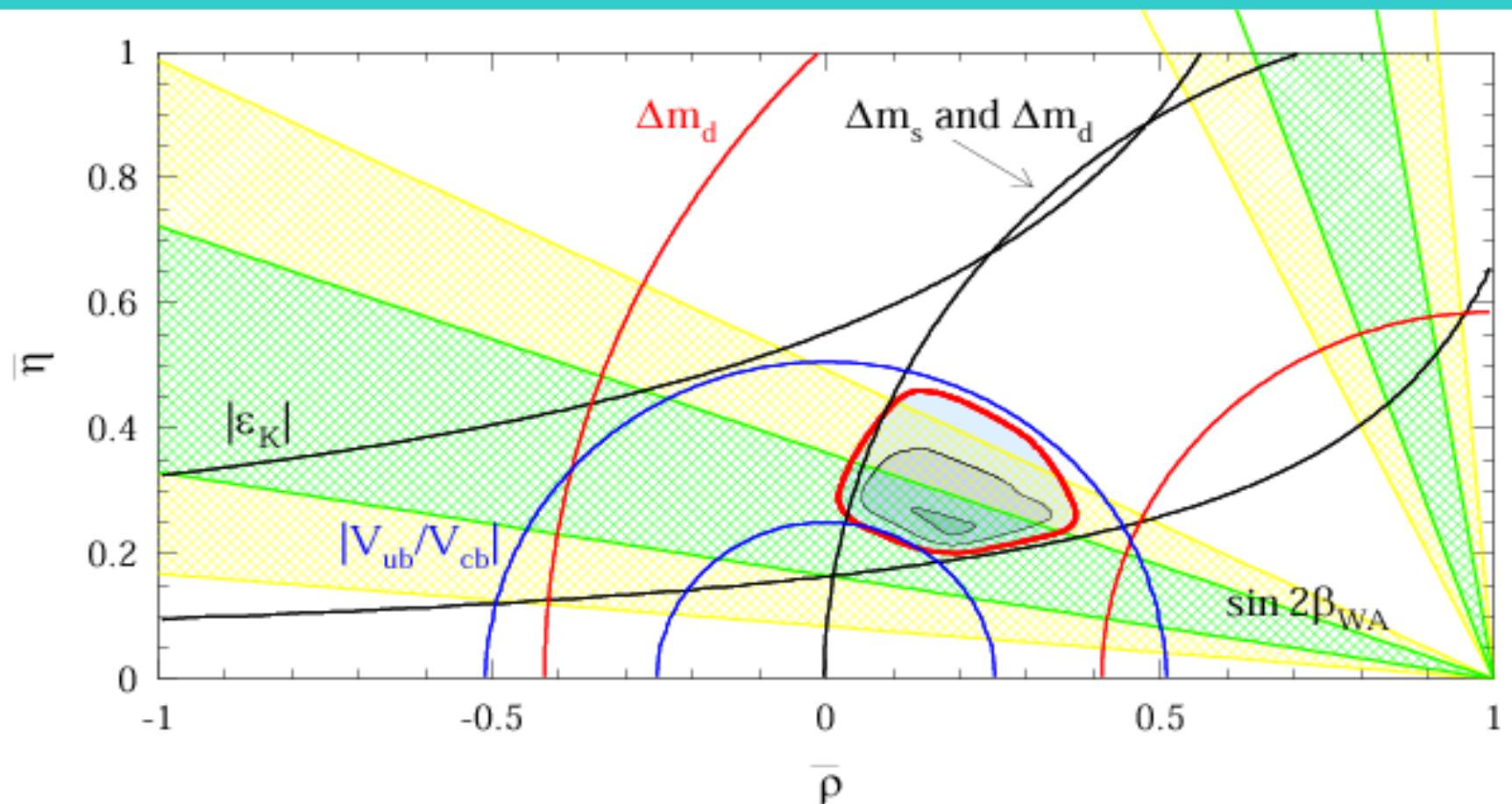
“95% Scanning” Global Fit



From A. Hocker, et al. hep-ph/0104062

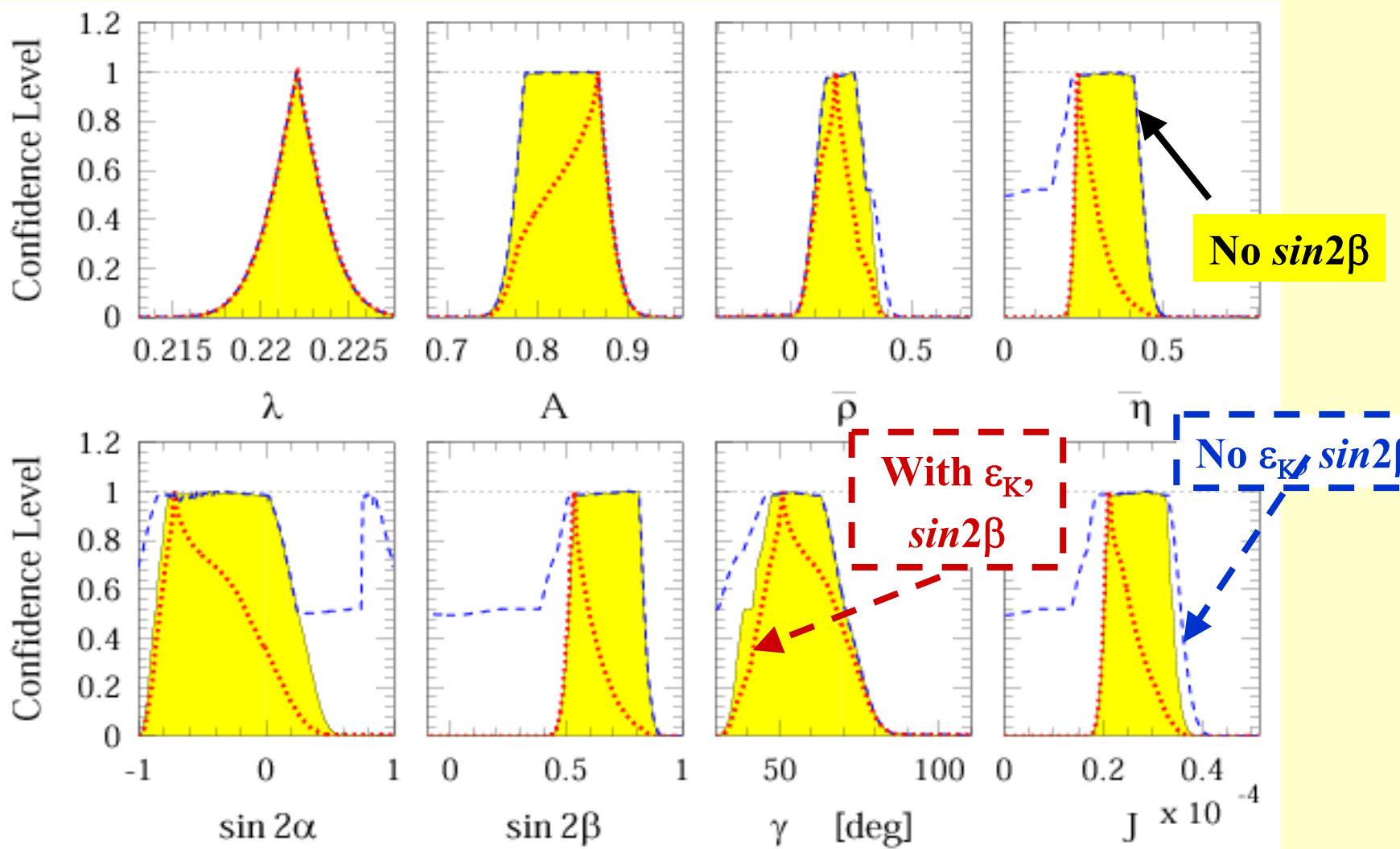
No $\sin 2\beta$ constraint

“95% CL” w/ $\sin 2\beta$ Constraint



From A. Hocker, et al. hep-ph/0104062

CL's in “95% Scan” Global Fit



Y2K Global Fit 95%CL Limits

“95% Scanning” vs “Standard”

● ρ :	0.04 – 0.38	vs	-0.06 – 0.31
Span:	0.34	vs	0.37
● η :	0.21 – 0.49	vs	0.26 – 0.42
Span:	0.28	vs	0.16
● $\sin(2\beta)$:	0.47 – 0.89	vs	0.56 – 0.82
Span:	0.42	vs	0.26

From A. Hocker, et al. hep-ph/0104062

No $\sin 2\beta$ constraint

Here uses
flat PDF's

From M. Ciucini, et al., hep-ph/0012308

Each quoted with its own
choice for QCD params

Global Fitting Conclusions

- **No consensus on QCD uncertainties**
 - Not likely to converge without data to pin it down
- **No consensus on Bayesian/Frequentist**
 - Merits & difficulties on both sides
- **Different methods will give much different answers as soon as the data are more precise (i.e. in a few weeks)**
 - Different answers may have very different implications on whether the SM is found lacking
- **Expect continuing spirited discussion**

New V_{cb} from “Moments”



- HQET OPE: expand in $(1/m_B)^n$

$$|V_{cb}|^2 = \Gamma(b \rightarrow cl\nu) \times h(\bar{\Lambda}, \lambda_1) : \sim \mathcal{O}(m_B^{-3})$$

- $\bar{\Lambda}$ = Mass of light d.o.f.
- λ_1 = rms momentum of b quark.

A.Falk, M. Luke, & M. Savage,
PRD53 (2491) 1996.
M. Gremm & A. Kapustin,
PRD55 (6934) 1997.
M. Voloshin, PRD51 (4934) 1995.

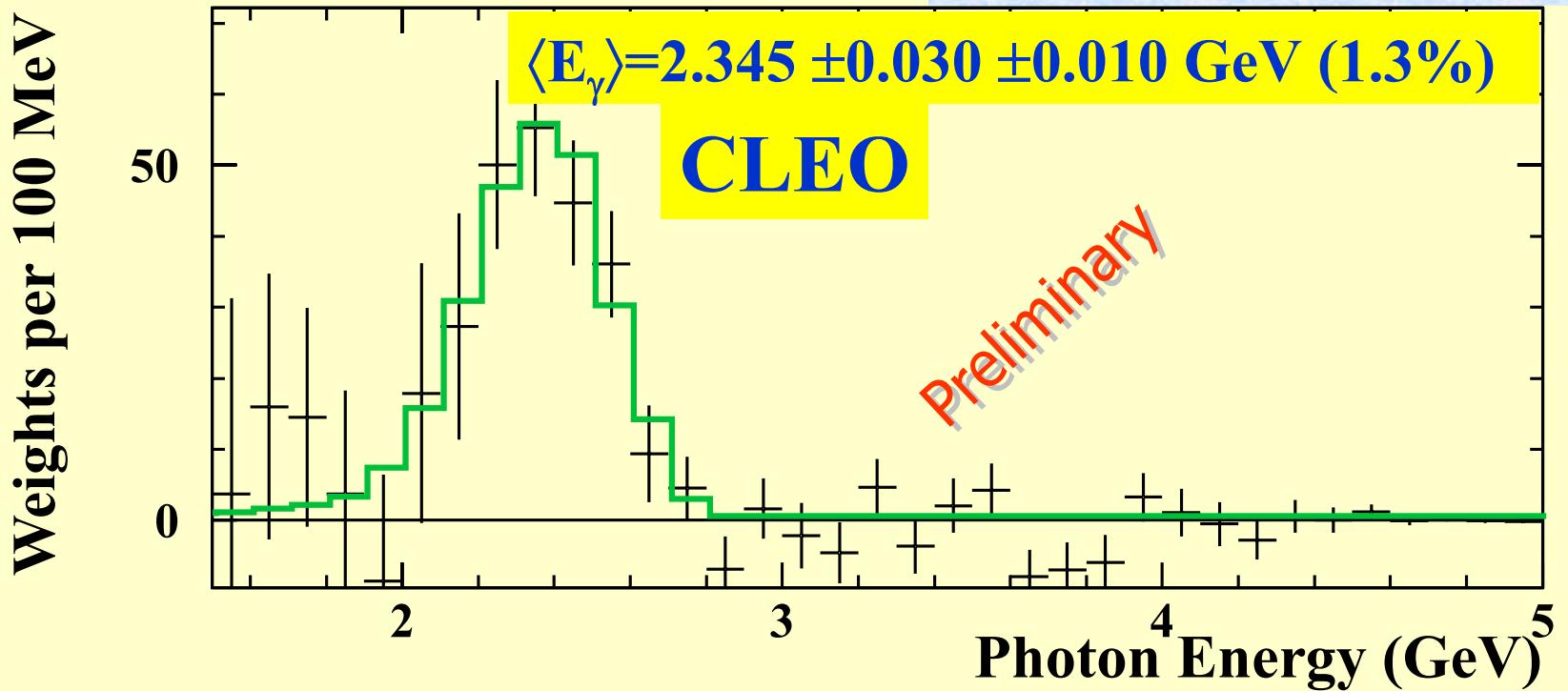
- $\bar{\Lambda}, \lambda_1$ determined from

- Lattice QCD Kronfeld & Simone, hep-ph/0006345.
- Measured hadronic spectral moments in $b \rightarrow cl\nu$
- Measured photon energy spectrum moments in $b \rightarrow s\gamma$

- New, preliminary CLEO use of technique

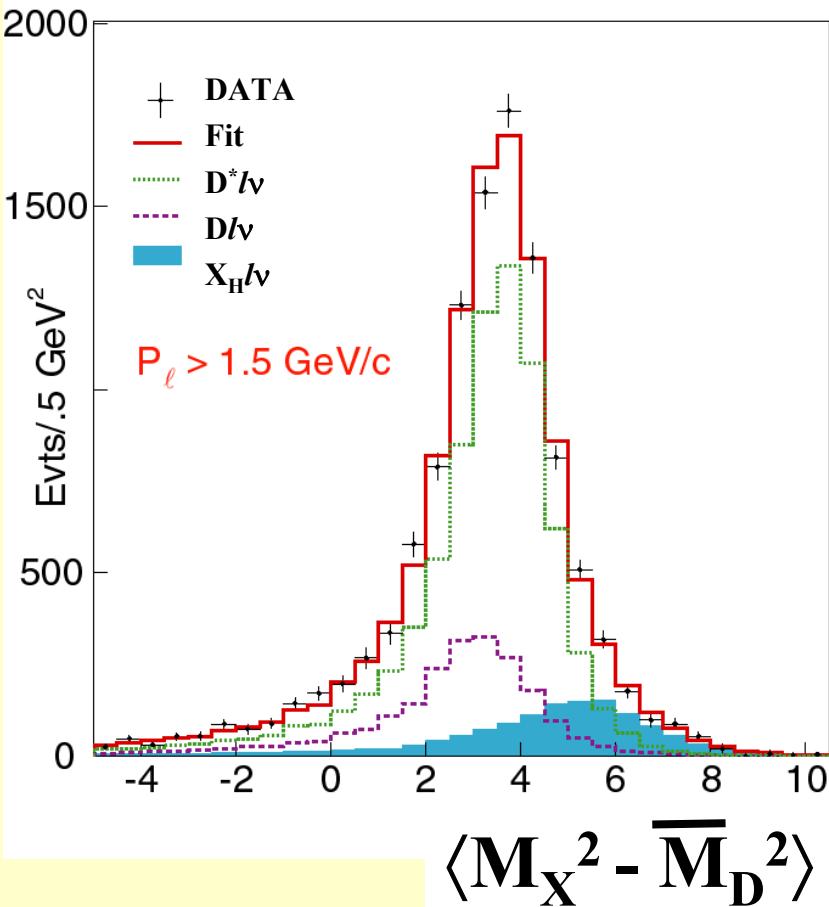
CLEO $b \rightarrow s\gamma$ spectral moments

- Measure photon spectrum in lab-frame.
- Convert to B rest frame. MC accounts for smearing
 - Best match $m_b = 4719 \pm 115 \text{ MeV}/c^2$; $p_F = 378 \pm 150 \text{ MeV}$
- Extract moments ($E_\gamma > 2.0 \text{ GeV}$) $\langle (E_\gamma - \langle E_\gamma \rangle)^2 \rangle = 0.021 \pm 0.006 \pm 0.002 \text{ GeV}^2$



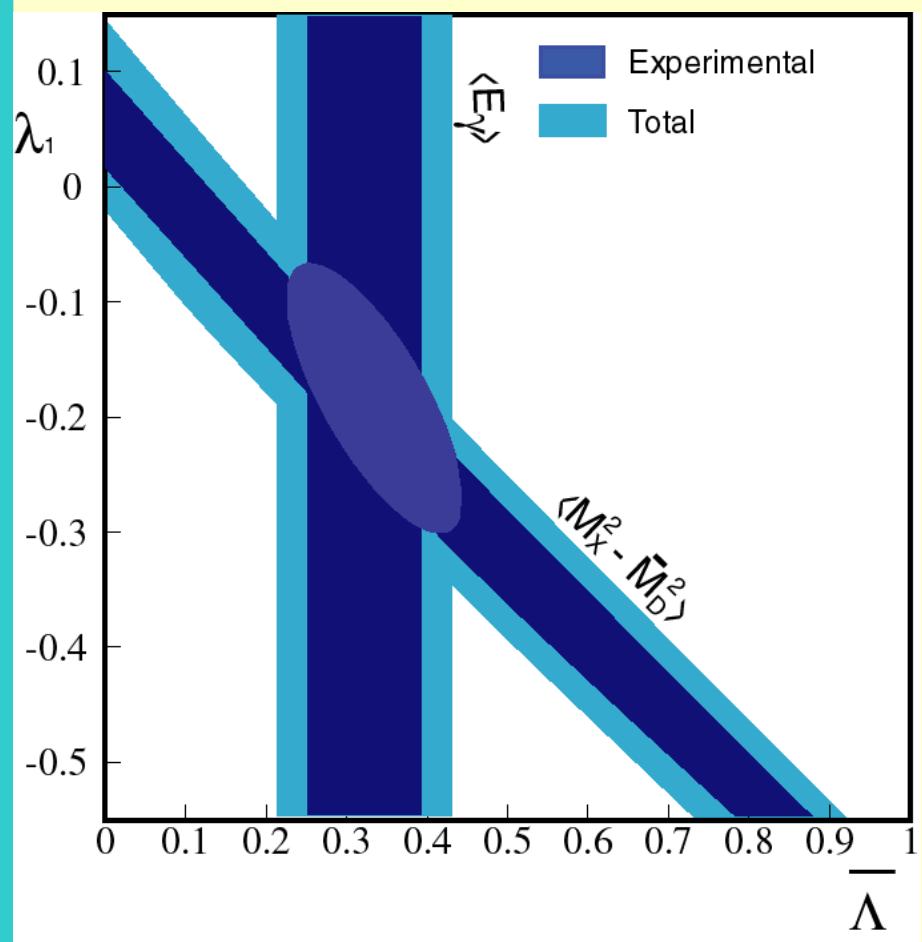
$B \rightarrow X_c l \nu$ Hadronic Mass Moments

- Lepton ($p>1.5$ GeV)
- ν -reconstruction: p_ν
- Calculate recoil mass
- Fit spectrum w/ $B \rightarrow D l \nu$,
 $B \rightarrow D^* l \nu$, $B \rightarrow X_H l \nu$
 (various models for X_H)
- $\langle M_X^2 - \bar{M}_D^2 \rangle$, \bar{M}_D is spin-averaged D, D^* mass
 $\langle M_X^2 - \bar{M}_D^2 \rangle = 0.287 \pm 0.065$ GeV 2
- 2nd moment: 0.63 ± 0.17 GeV 4



Second moments give consistent results, but still theoretically shaky.

$\bar{\Lambda}, \lambda_1$ from $b \rightarrow s\gamma, B \rightarrow X_c l\nu$ moments



Preliminary

$$\bar{\Lambda} = 0.35 \pm 0.07 \pm 0.10 \text{ GeV}$$

$$\lambda_1 = -0.216 \pm 0.068 \pm 0.077 \text{ GeV}^2$$

moments

$1/M_B^3$

CLEO V_{cb} from $b \rightarrow cl\nu$, $b \rightarrow s\gamma$

Using

- $B(B \rightarrow X_c l \nu) = (10.39 \pm 0.46)\%$ (CLEO, PRL 76 (1570) 1996)
- $\tau_{\pm} = (1.548 \pm 0.032)$ psec (PDG)
- $\tau_0 = (1.653 \pm 0.028)$ psec (PDG)
- $f_+/f_{00} = 1.04 \pm 0.08$ (CLEO, hep-ex/0006002)

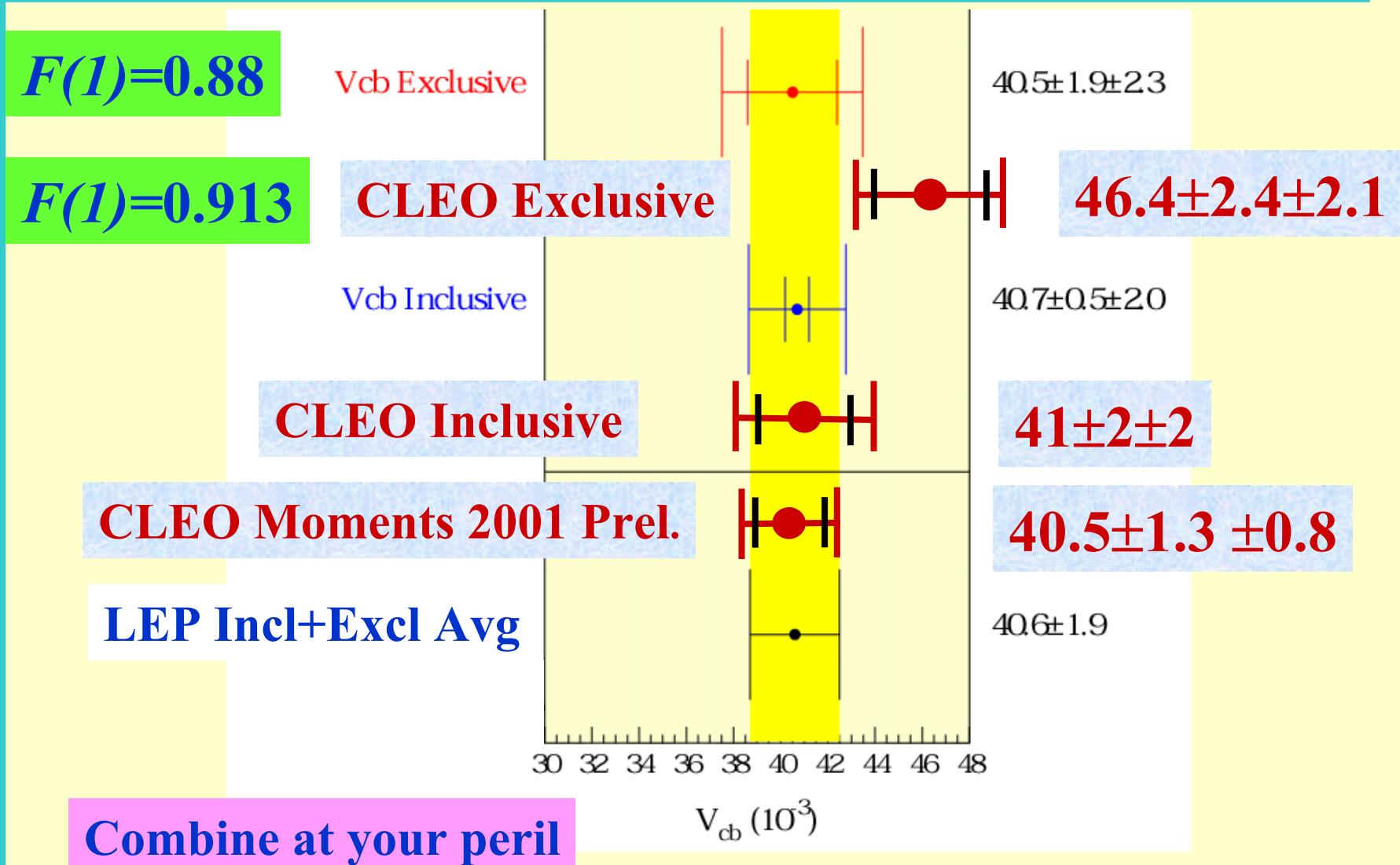
• $\Gamma(b \rightarrow cl\nu) = (0.427 \pm 0.020) \times 10^{-10} \text{ MeV}$

• $|V_{cb}| = (40.5 \pm 0.9 \pm 0.9 \pm 0.8) \times 10^{-3}$

$$|V_{cb}| = \frac{\Gamma_{\text{exp}}}{(\Lambda, \lambda_1)_{\text{exp}}} \cdot \frac{1}{M_B^3}$$

3.7% total error

$|V_{cb}|$ Summary

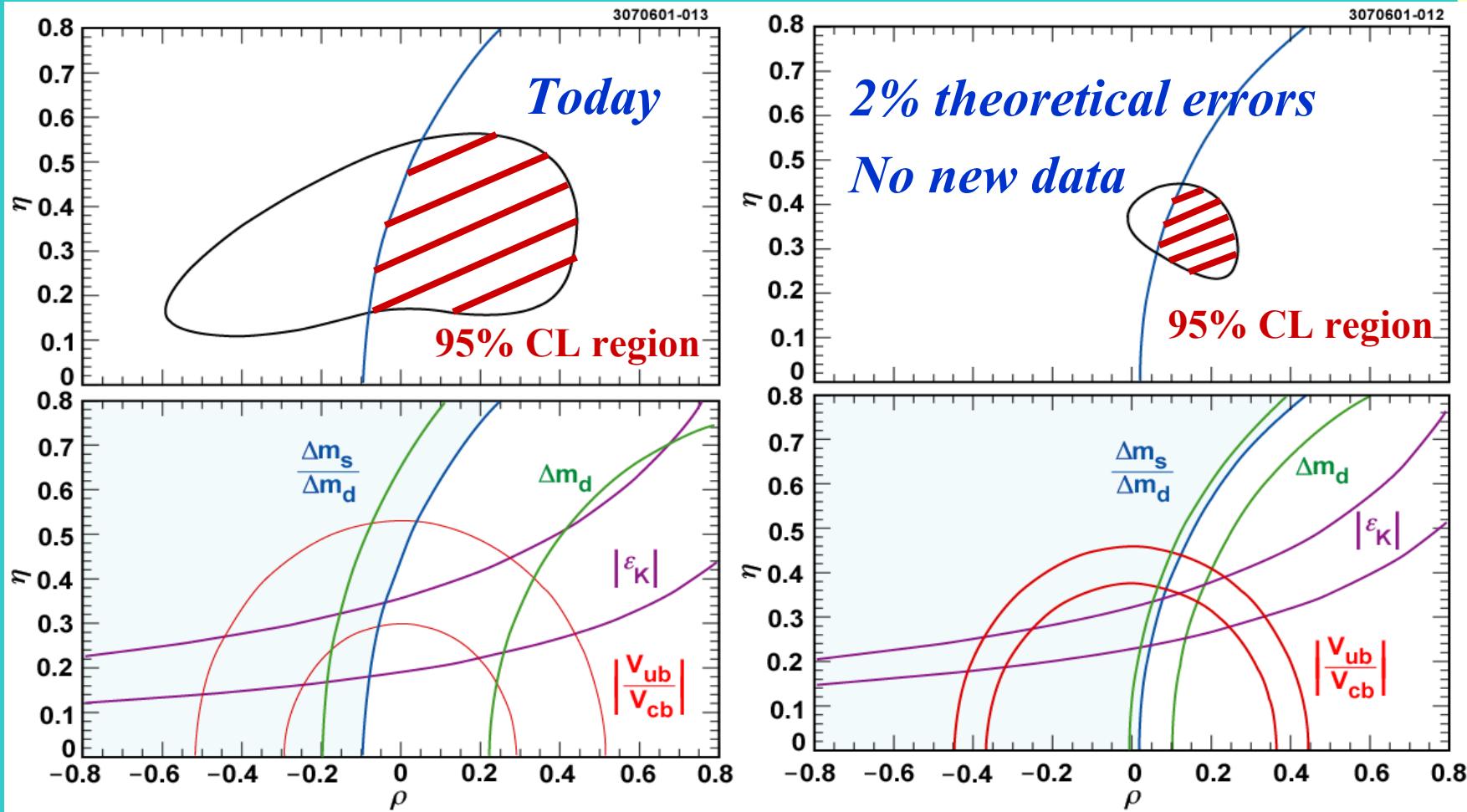




What's next?

- **B-factories in 5 years: $\sim 0.5 \text{ ab}^{-1}$**
 $\Rightarrow \sim 1/10$ statistical σ 's
 - D BR's become limiting to B -decay precision
 - Charm physics could become less precise than b -physics: need better V_{cd} & V_{cs}
- **Theoretical uncertainties dominate even now**
 - But Lattice QCD & models promise big improvements

Just imagine . . .





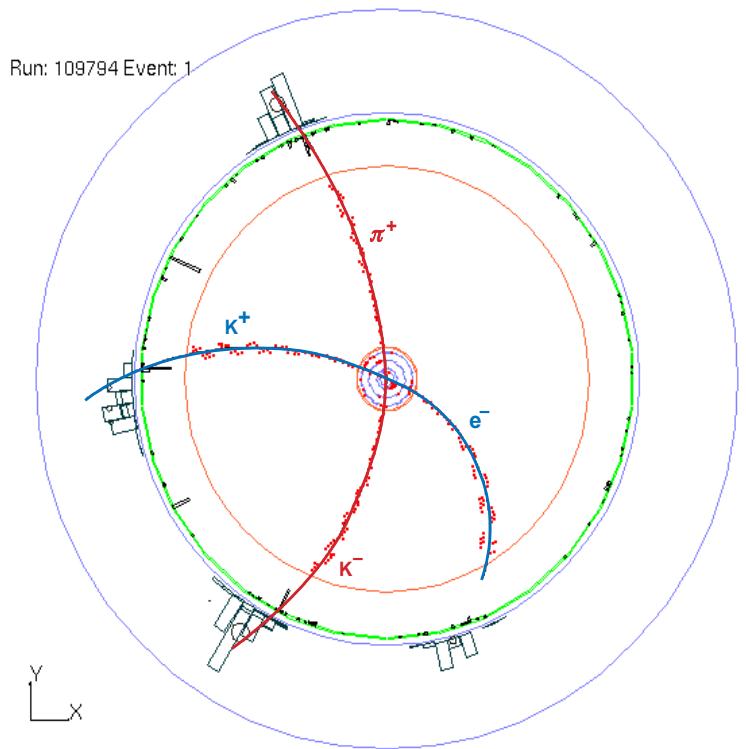
The Role of CLEO-c

- **Modify CESR for $E_{cm} = 3\text{-}11 \text{ GeV}$: $L = 2\text{-}4 \times 10^{32}$**
- **High precision charm data**
 - Measure D BR's for input to B -decay studies
 - Establish successful precision testing ground of QCD for D 's to give credibility to those for B 's
- **High precision quarkonia spectroscopy & decay data at ψ & Υ resonances**
 - Provide much needed experimental basis for non-perturbative QCD tests. Glueballs/Hybrids?
- **Searches for non-SM phenomena in D -mixing, CPV in D decay, & rare decays**

A CLEO-c Program

- $\Upsilon(1S), \Upsilon(2S), \Upsilon(3S) \sim 1-2 \text{ fb}^{-1}$ each
 - Spectroscopy, Matrix elements, Γ_{ee} : ($> 10 \times$ world)
- $\psi(3770) - 3 \text{ fb}^{-1}, 30M$ events
 - $6M$ tagged D decays ($310 \times$ Mark III)
- $\psi(4100) - 3 \text{ fb}^{-1}, 1.5M$ $D_s\bar{D}_s$
 - $0.3M$ tagged D_s decays ($480 \times$ Mark III, $130 \times$ BESII)
- $\psi(3100) - 1 \text{ fb}^{-1}, 10^9 J/\psi$ decays
 - ($170 \times$ Mark III, 20 times BES II)

Tagging at Threshold

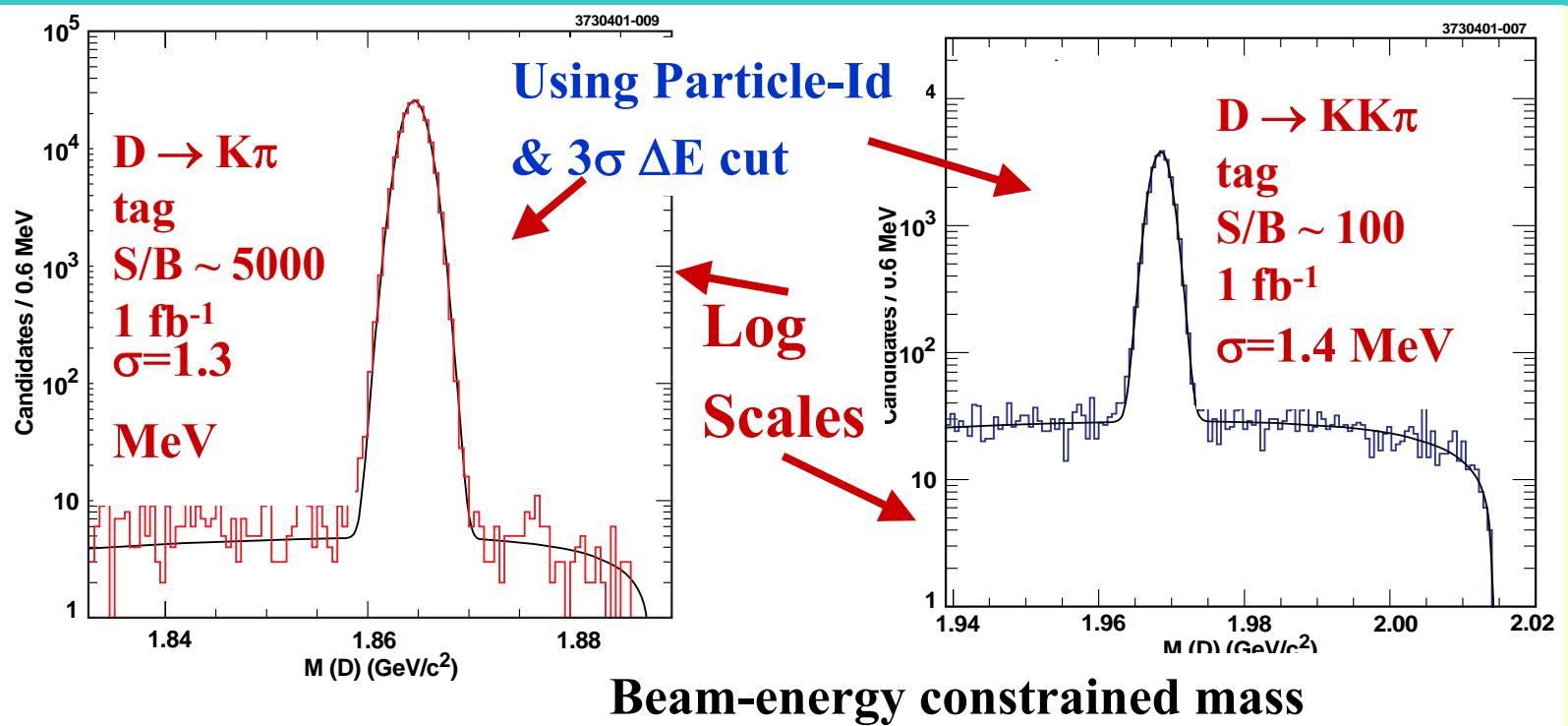


$$D^0 \rightarrow K^- \pi^+ \quad \bar{D}^0 \rightarrow K^+ e^- \nu$$

CLEO-c MC

- Large σ
- Low multiplicity
- Pure $D\bar{D}$ init. State
- High recon. eff's $\sim 20\%$
- 6×10^6 D tags
- 0.3×10^6 D_s tags
- Almost no bgd
- Clean ν -reconst.
- Coherent init state

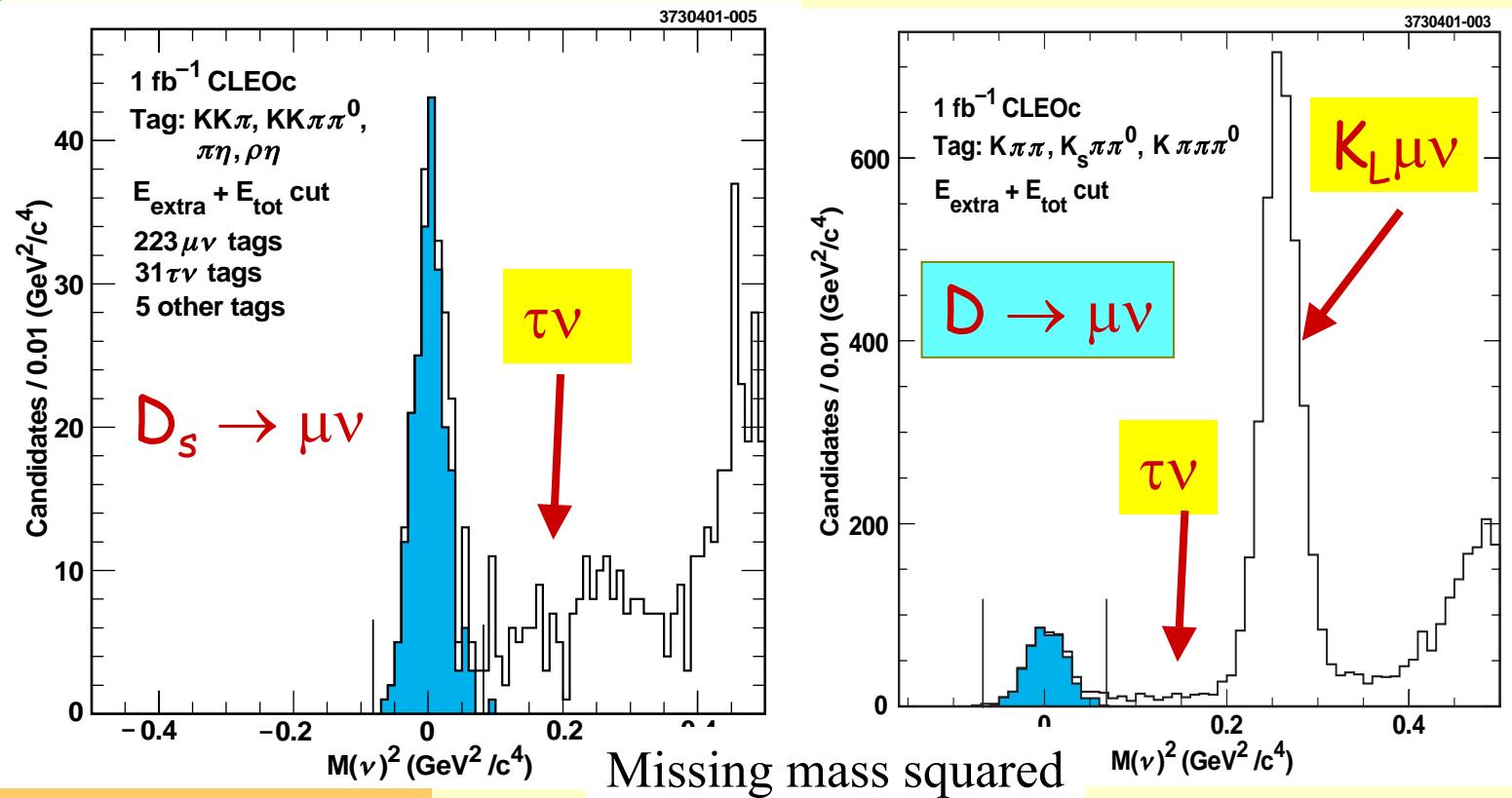
Tagged Branching Ratios



**Set absolute BR's
for $B \rightarrow "D"$**

Decay Mode	PDG2000 ($\delta B/B \%$)	CLEOc ($\delta B/B \%$)
$D^0 \rightarrow K\pi$	2.4	0.5
$D^+ \rightarrow K\pi\pi$	7.2	1.5
$D_s \rightarrow \phi\pi$	25	1.9

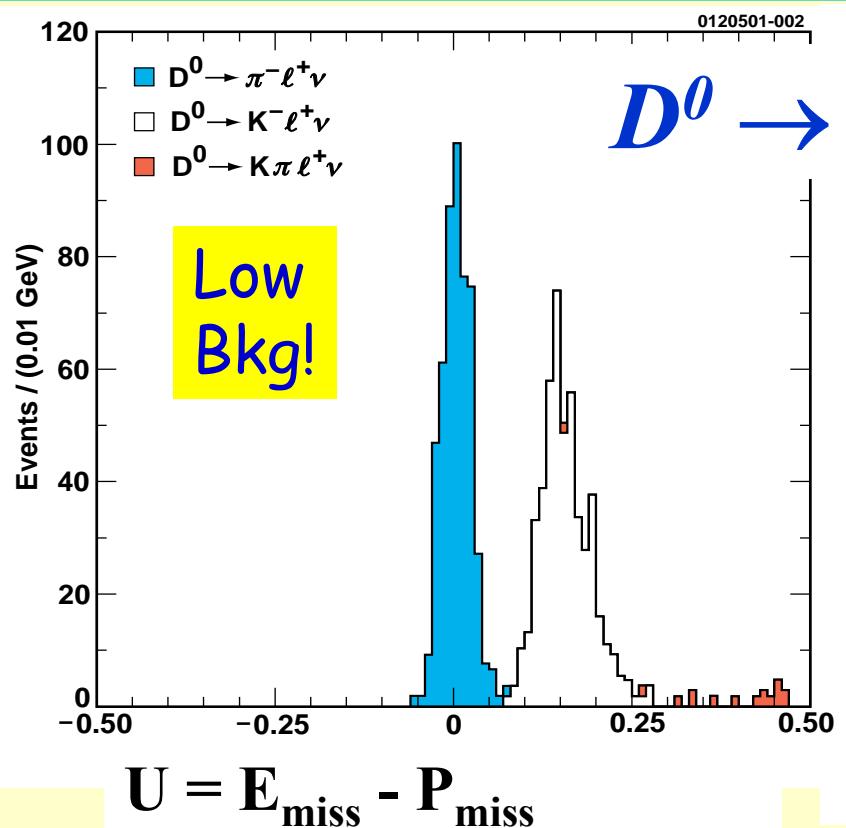
Leptonic Decays



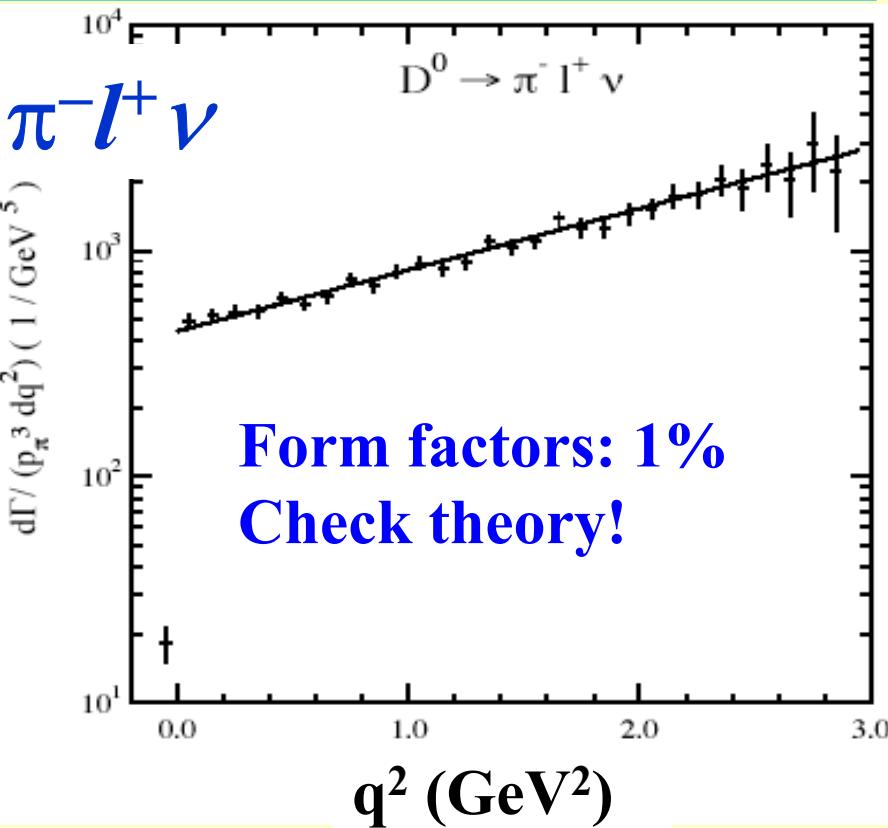
$$\frac{\delta f_{D_s}}{f_{D_s}} \approx 2.1\% \quad (\text{Now: } \pm 35\%)$$

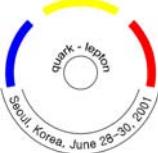
$$\frac{\delta f_D}{f_D} \approx 2.6\%$$

Semi-leptonic Decays



$$D^0 \rightarrow \pi^- \ell^+ \nu$$





Semi-leptonic (cont'd)

Decay Mode	PDG2000 ($\delta B/B \%$)	CLEOc ($\delta B/B \%$)
$D^0 \rightarrow K l \nu$	5	1.6
$D^0 \rightarrow \pi l \nu$	16	1.7
$D^+ \rightarrow \pi l \nu$	48	1.8
$D_s \rightarrow \phi l \nu$	25	2.8

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Systematics
limited

Plus vector modes...

V_{cd} , V_{cs} to $\sim 1.5\%$, ratio to $< 1\%$

Cancelling systematics

Other Experiments

● BES/BEPC

- CESR-c higher luminosity than BEPC I or BEPC II
- BEPC II/BES III upgrade completion: after 2005
- Physics priorities in τ -charm region dictate E_{cm}

● *B*-factories

- Charm production not clean like at $D\bar{D}$ threshold
- Charm measurements will quickly become systematics limited
- D, D_s branching ratio errors 2-10 times smaller with CLEO-c than *B*-factories
- Very different systematics (a good thing)



CKM Conclusions

- ***B*-factory appetizers to be followed by full course meal: just wait a few weeks**
 - Major improvements in *B*-decay msmts. Surprises?
 - Treatment of theoretical uncertainties & global fitting techniques are active & important subjects of discussion
- **HQ models, LQCD will confront %-level *c* & *b* data in a few years: need accurate f_X , $F_X(w)$, B_X**
 - CLEO-c to provide precision *c*, ψ , Υ data
 - Better precision in *D* BR's necessary for $B \rightarrow DX$
 - Improve V_{cd} & V_{cs} to the 1% level
- **%-level metrology of V_{CKM} & very high sensitivity to new physics attainable in ~ 5 yrs**
- **Whither the SM? It should be fun finding out.**