

# Measuring the neutrino mixing angle $\theta_{13}$ with the Double Chooz far detector

Igor Ostrovskiy

# Outline

- Introduction
- First Double Chooz measurement
  - Data set
  - Backgrounds
  - Detector response
  - Efficiency
- Final analysis
- Results

# Introduction: Neutrino

- Results from a number of different experiments – solar, reactor, atmospheric, and accelerator, can be consistently explained assuming that the neutrino has a nonzero mass, and different flavors can mix (neutrino oscillation)

<i>Neutrino</i>	
<b>Composition:</b>	Elementary particle
<b>Family:</b>	Fermion
<b>Group:</b>	Lepton
<b>Interaction:</b>	weak interaction and gravitation
<b>Antiparticle:</b>	Antineutrino (possibly identical to the neutrino)
<b>Theorized:</b>	1930 by Wolfgang Pauli
<b>Discovered:</b>	1956 by Clyde Cowan, Frederick Reines, F. B. Harrison, H. W. Kruse, and A. D. McGuire.
<b>Symbol:</b>	$\nu_e, \nu_\mu, \nu_\tau$
<b>No. of types:</b>	3 – electron, muon and tau
<b>Mass:</b>	Nonzero, see Mass below
<b>Electric charge:</b>	0
<b>Color charge:</b>	0
<b>Spin:</b>	$\frac{1}{2}$

from Wikipedia

# Introduction: Neutrino oscillation

The idea is that neutrinos are observed as flavor eigenstates ( $\nu_l$ ), but propagate as mass eigenstates ( $\nu_i$ ):

$$\nu_l = \sum_i U_{li} \nu_i$$

Maki-Nakagawa-Sakata-Pontecorvo matrix

$$\begin{array}{c}
 \\
 e \\
 \mu \\
 \tau
 \end{array}
 \begin{array}{c}
 \\
 \\
 \\
 \\
 \end{array}
 \begin{array}{ccc}
 1 & 2 & 3 \\
 \left( \begin{array}{ccc}
 c_{13}c_{12} & c_{13}s_{12} & s_{13}e^{-i\delta} \\
 -c_{23}s_{12} - s_{13}s_{23}c_{12}e^{i\delta} & c_{23}c_{12} - s_{13}s_{23}s_{12}e^{i\delta} & c_{13}s_{23} \\
 s_{23}s_{12} - s_{13}c_{23}c_{12}e^{i\delta} & -s_{23}c_{12} - s_{13}c_{23}s_{12}e^{i\delta} & c_{13}c_{23}
 \end{array} \right)
 \end{array}$$

If neutrino masses are different from each other, the relative phases of the mass wave functions will periodically change with time, resulting in observable oscillation in flavor

$$P_{ee} = 1 - c_{13}^4 \sin^2(2\theta_{12}) \sin^2\left(1.266 \frac{\Delta m_{21}^2 L}{E}\right) - c_{12}^2 \sin^2(2\theta_{13}) \sin^2\left(1.266 \frac{\Delta m_{31}^2 L}{E}\right) - s_{12}^2 \sin^2(2\theta_{13}) \sin^2\left(1.266 \frac{\Delta m_{32}^2 L}{E}\right)$$

# Introduction: Oscillation Parameters

Oscillations depend on the mass squared differences and the mixing angles

The CP violating phase ( $\delta$ ) is unknown.

$\theta_{13}$ :

Upper limit (CHOOZ, Palo Verde)  $\sin^2(2\theta_{13}) \sim <0.15$  90% C.L. CHOOZ

Hints of non-zero value from global analyses  $\sin^2(2\theta_{13}) = 0.036^{+0.051}_{-0.028}$  (KamLAND, Oct'10)

Further evidence from recent appearance results: e.g.  $0.03(0.04) < \sin^2(2\theta_{13}) < 0.28(0.34)$  (T2K Jun'11)

$$U_{MNSP} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\text{Atmospheric oscillations}} \underbrace{\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}}_{\text{Solar oscillations}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\text{Solar oscillations}}$$

Atmospheric oscillations

Measured by K2K, SK, Minos.

$$|\Delta m_{31}^2| = (2.43 \pm 0.13) \cdot 10^{-3} \text{ eV}^2,$$

$$\sin^2(2\theta_{23}) > 0.95$$

Solar oscillations

Measured by solar experiments (Homestake, SAGE, GALLEX/GNO, SNO) and KamLAND

$$\Delta m_{21}^2 = (7.59 \pm 0.21) \cdot 10^{-5} \text{ eV}^2,$$

$$\tan^2(\theta_{12}) = 0.457^{+0.04}_{-0.029}$$

$$\theta_{13}$$

- It is important to improve our knowledge of  $\theta_{13}$ 
  - to complete our understanding of neutrino oscillations
  - to see if we can measure CP violation in the foreseeable future
- Increasing sensitivity for  $\theta_{13}$  is possible using reactor neutrinos and accelerator neutrino beams
- The reactor measurement has the following advantages over the accelerator beams:
  - no ambiguity from matter and CP violation effects
  - smaller costs

## Introduction: Double Chooz concept

1. The Chooz-B nuclear power plant (France) emits  $\sim 10^{21}$  electron antineutrinos per second
2. Detect the neutrinos with *two* detectors through the inverse  $\beta$ -decay reaction:



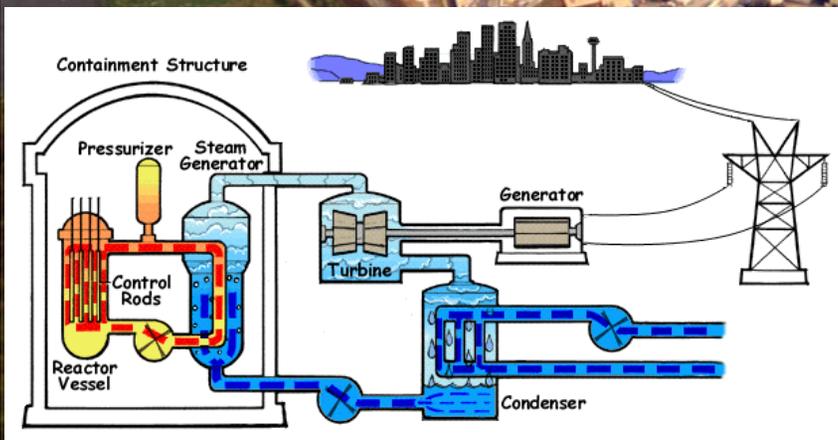
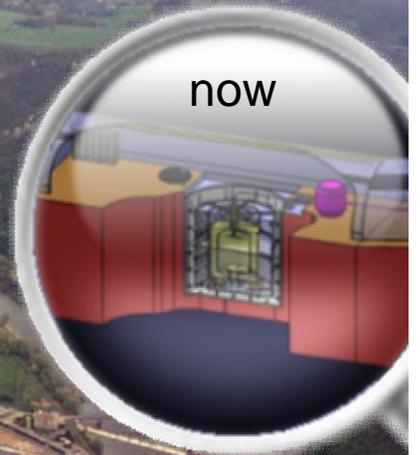
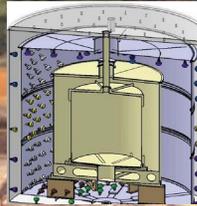
3. Instead of comparing measured rate/spectrum with calculated ones, based on reactor information (CHOOZ approach), compare the data between the Far and the Near detector
4. Search for possible deficit of neutrinos in the far detector

$$P_{ee} = 1 - \sin^2(2\theta_{13})\sin^2\left(1.266\frac{\Delta m_{atm}^2 L}{E}\right)$$

**After 3 years of data taking, the sensitivity down to  $\sin^2(2\theta_{13}) < 0.03$  can be achieved**

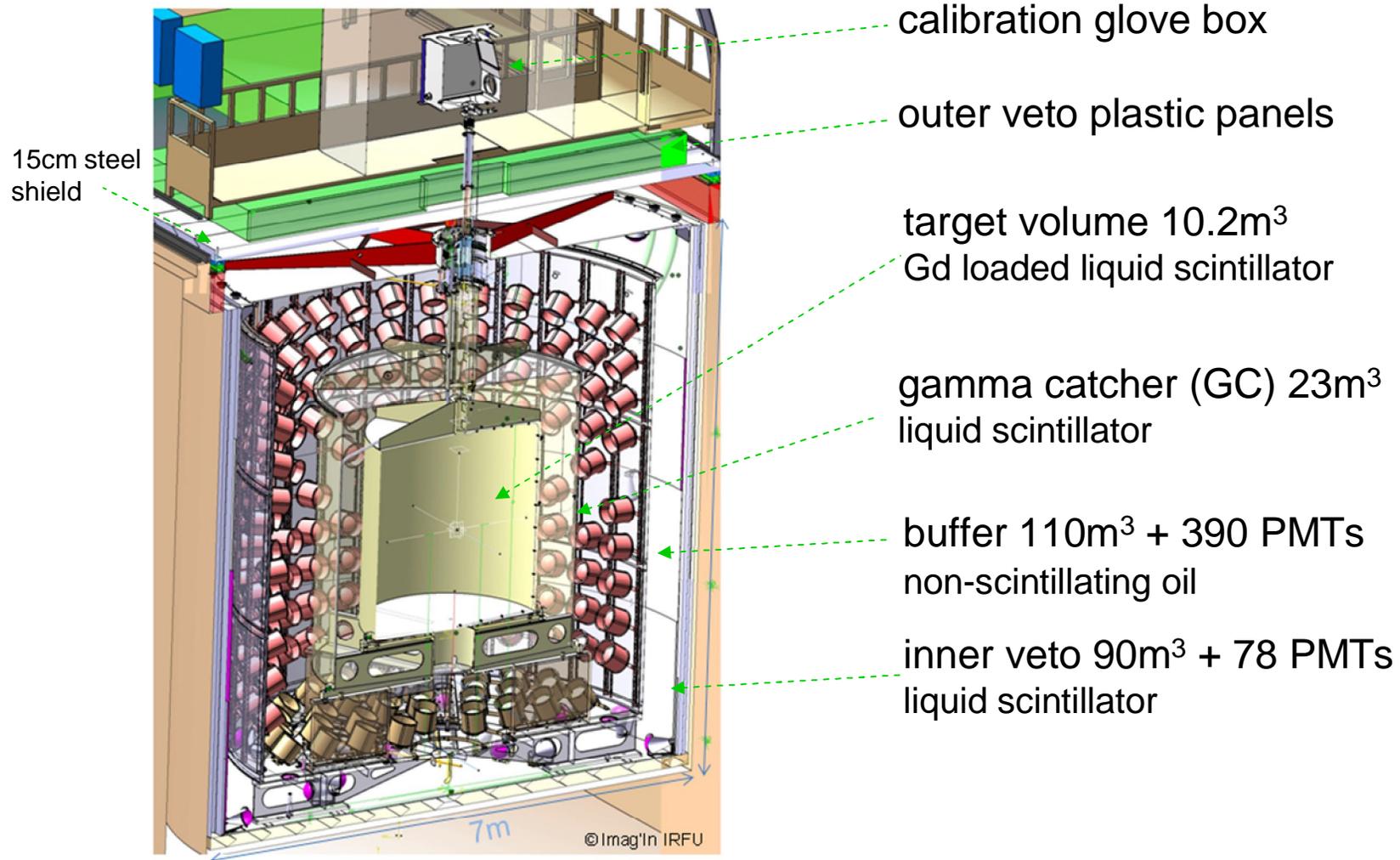
# far/near detectors, reactors

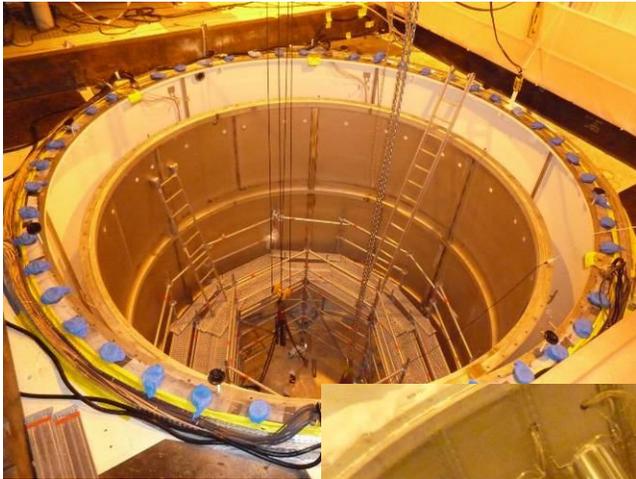
~2012/2013



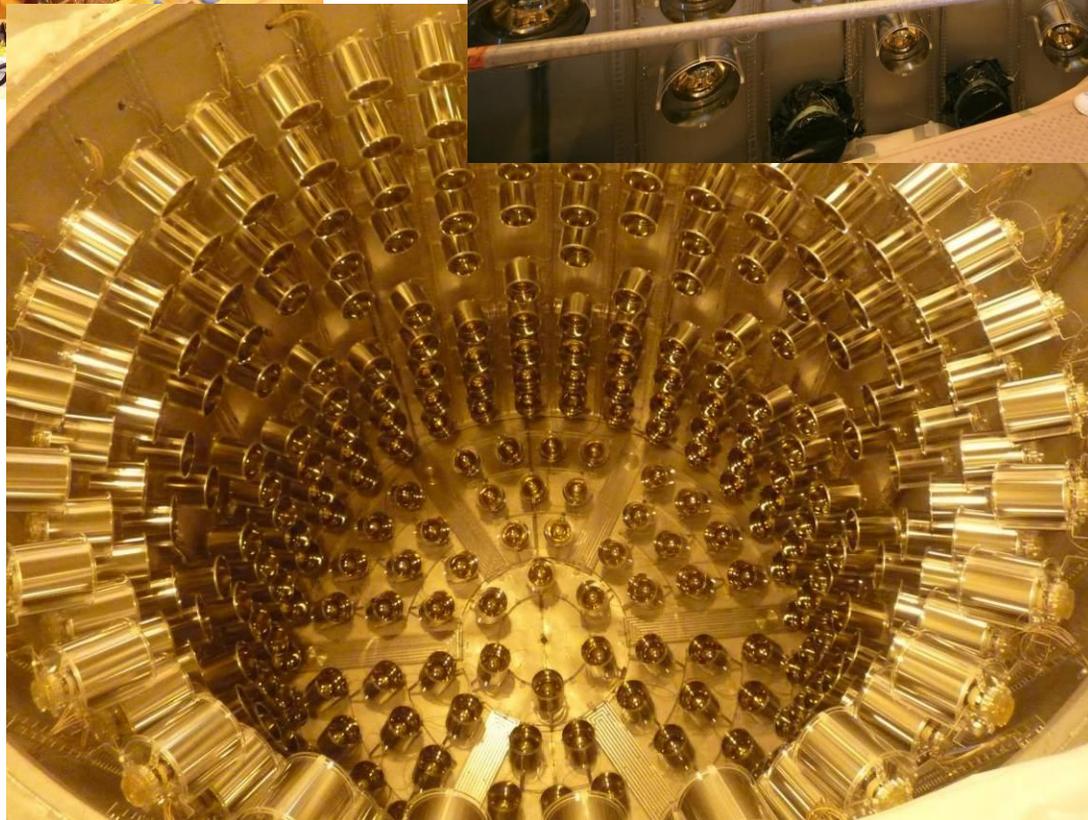
Two twin pressurized-water reactors  
Highest power yield in their class –  
4.25GWth, 1.5GWe  
Total thermal power produced by each  
core is carefully and constantly monitored

# Detector Design





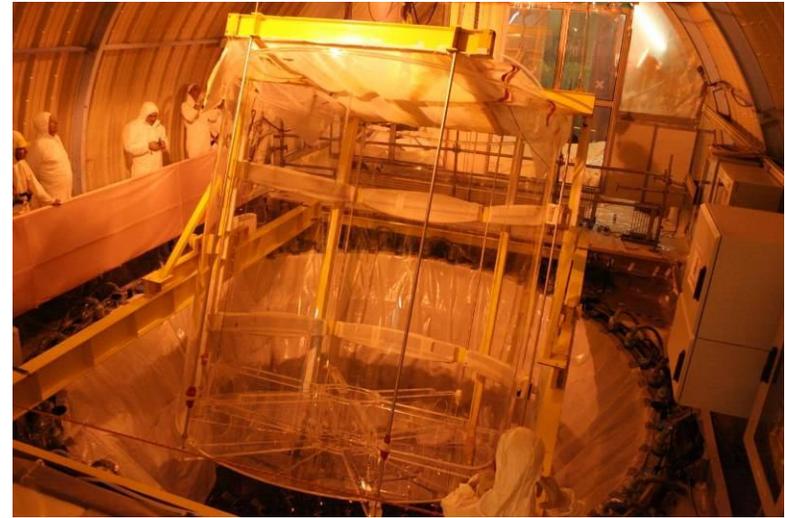
Buffer vessel  
and ID PMTs



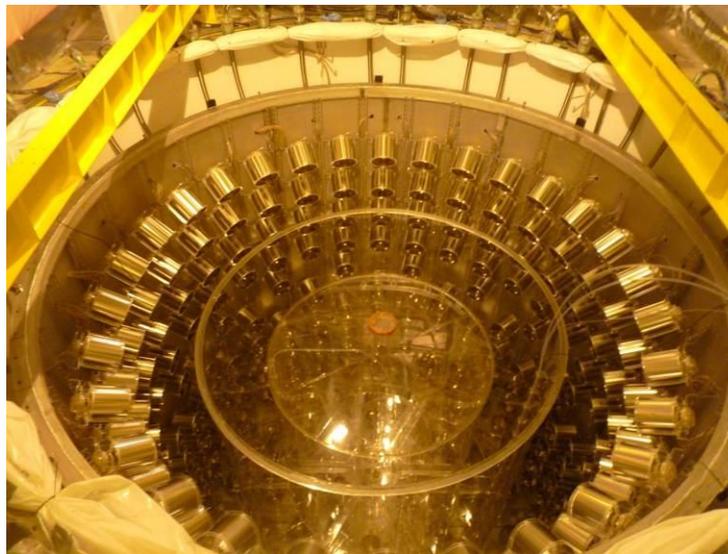
June-July 2009



Gamma-Catcher

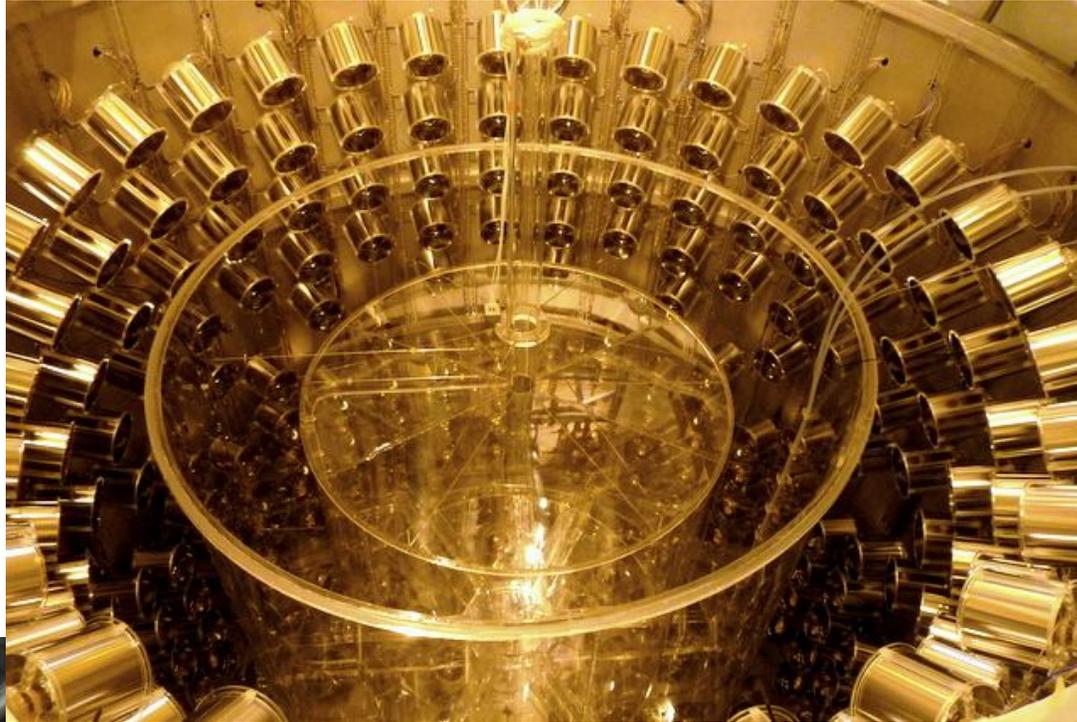


Gamma-catcher integration. Fall 2009



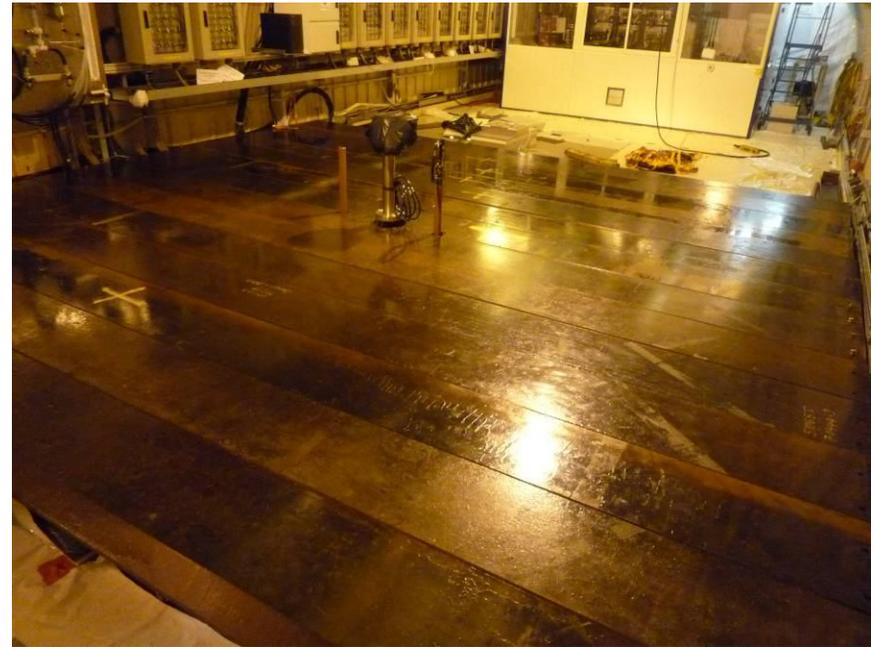
Target installed

Guide tube  
integrated





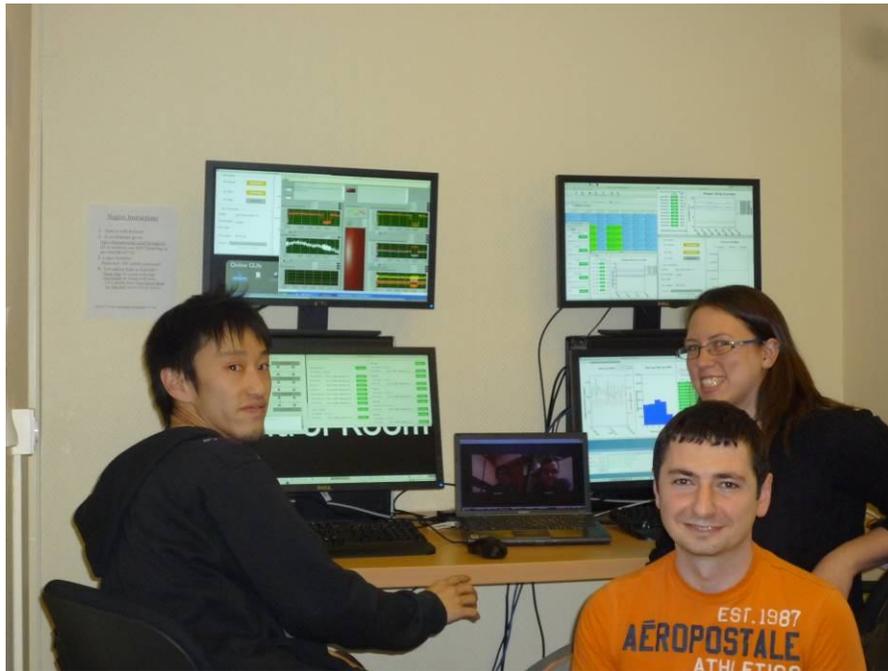
Inner veto closed



Top shielding installed. Dec 2010

Far detector closed and filled end of 2010

# Far detector is On-line



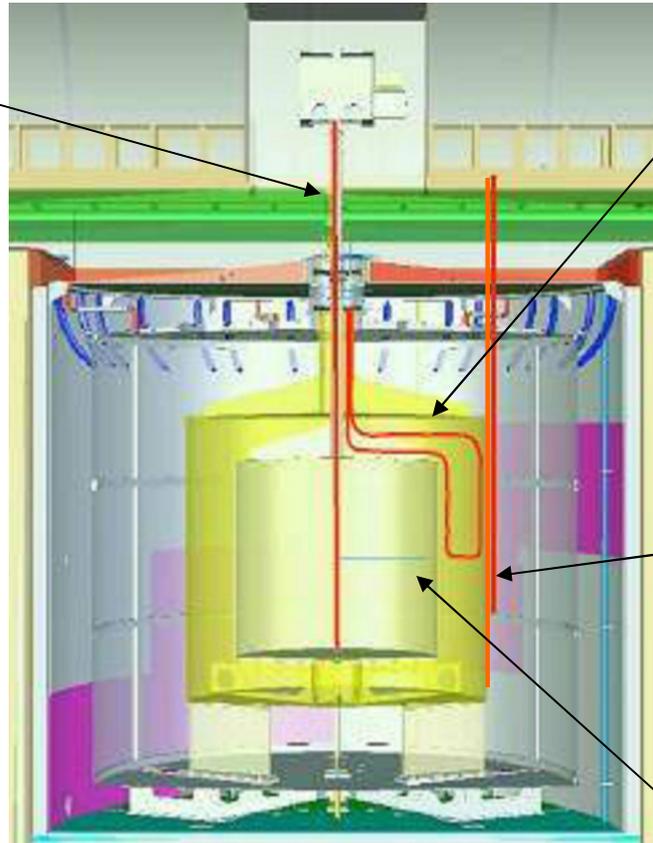
**“DC is now officially running and accumulating neutrinos as we speak  
Our first run...  
Date: 18:00 13/4/2011.  
RunNumber 11000.  
Shifters: Herve, Masaki, Anatael, Junpei, Igor, Erica.  
Comment: First Neutrino Physics Run of DC  
...”**

# Calibration systems

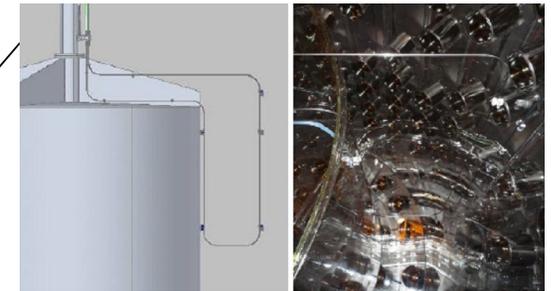
Z-axis fish line



- Target volume
- Tagged Cf-252 and untagged gamma and neutron sources
  - Laser ball and LED flasher

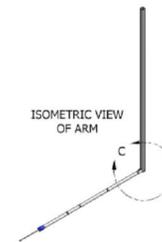


Gamma-catcher guide tube



- Untagged gamma and neutron sources

Buffer tube

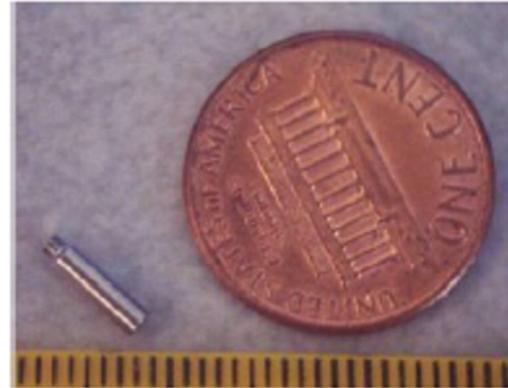


Articulated arm

Also: Embedded LED systems in Buffer and Inner Veto

# Untagged sources

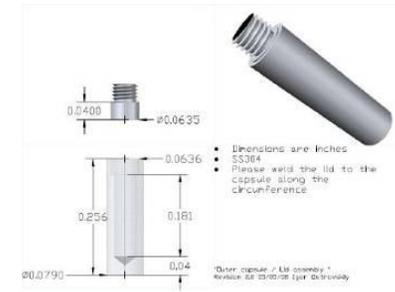
- Double encapsulated
- Leak-tested to ISO standards
- 2mm diameter outer capsule
- Same source can be deployed with any system in both detectors
  
- The following sources used for the first calibration: Cs-137, Ge-68, Co-60, Cf-252



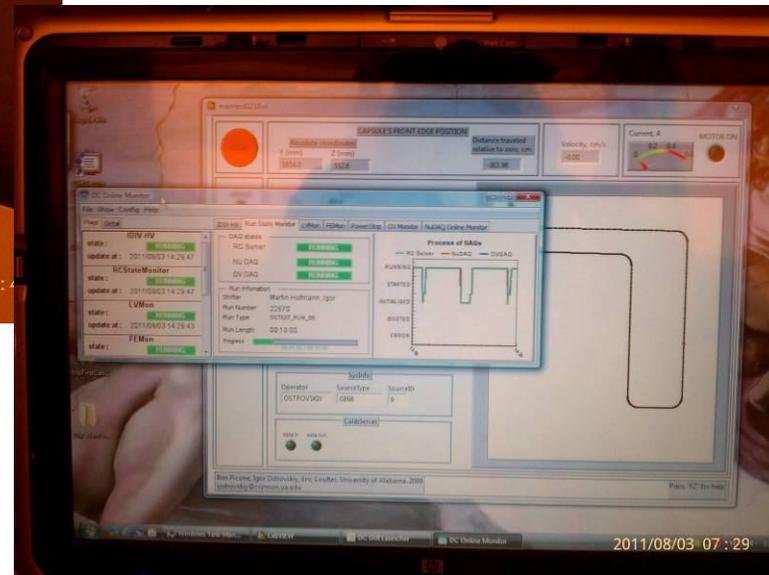
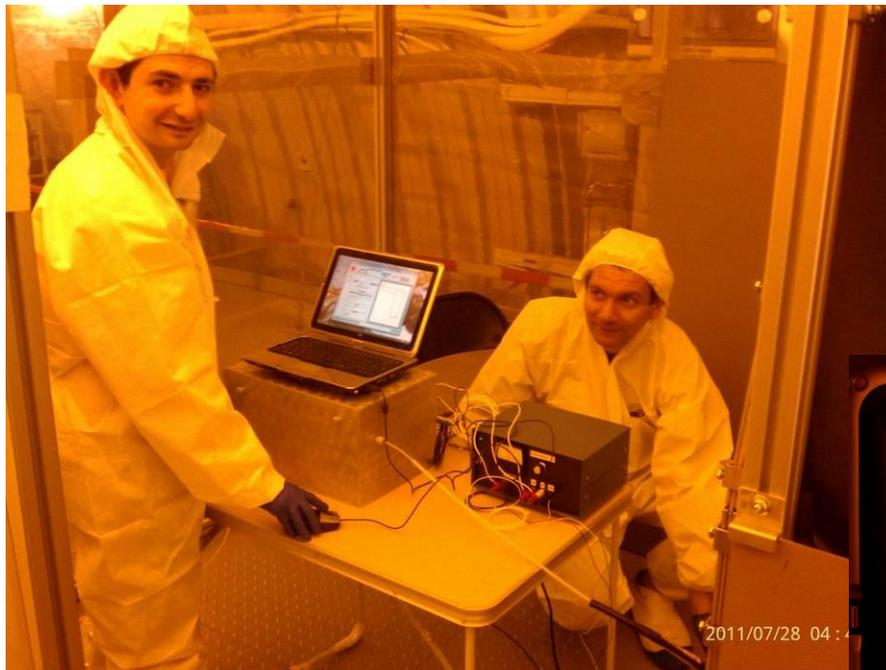
DC untagged source  
ruler notches are mm



AmBe inner capsule (tungsten)

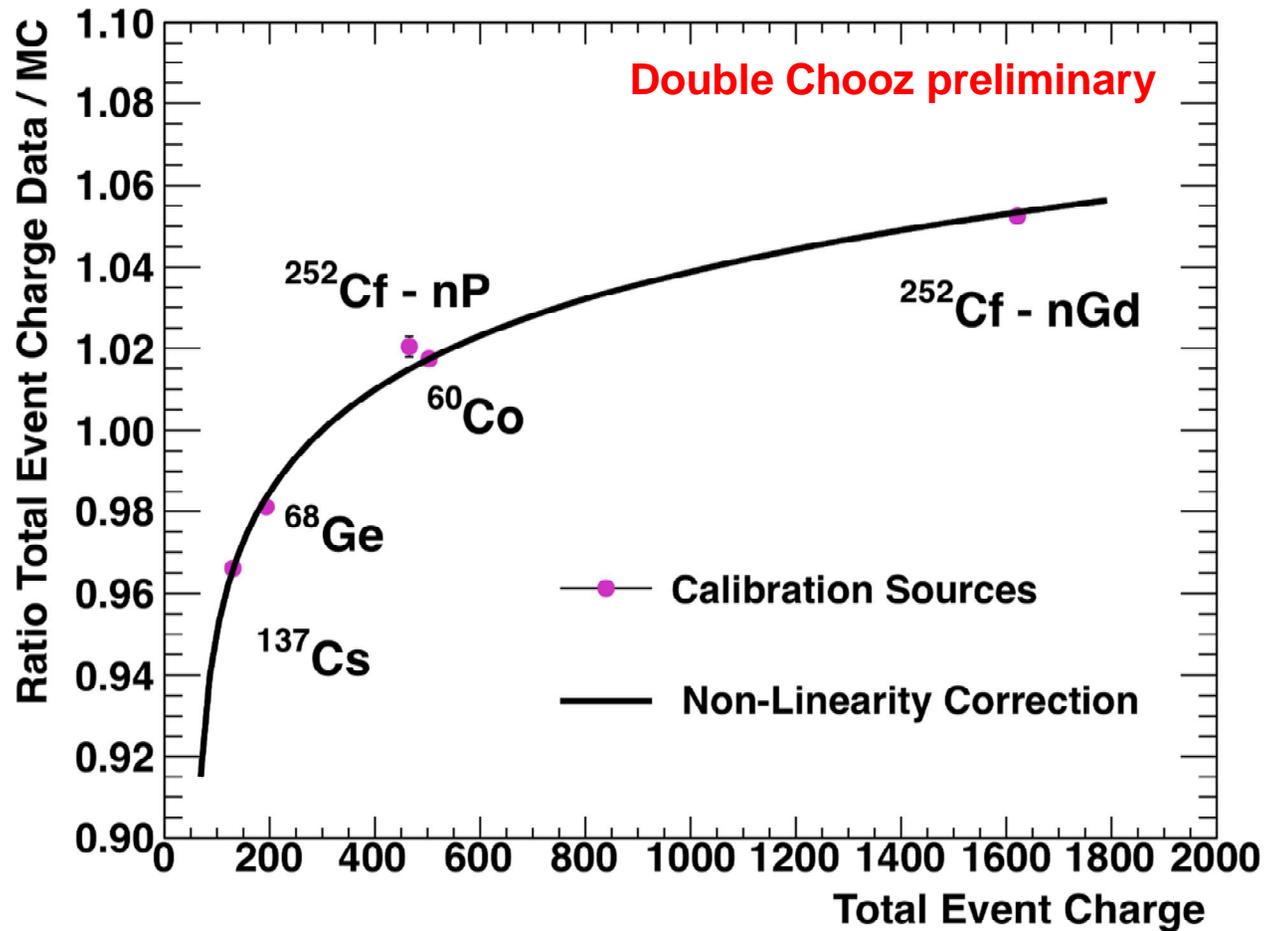


# First deployment of radioactive sources in Double Chooz



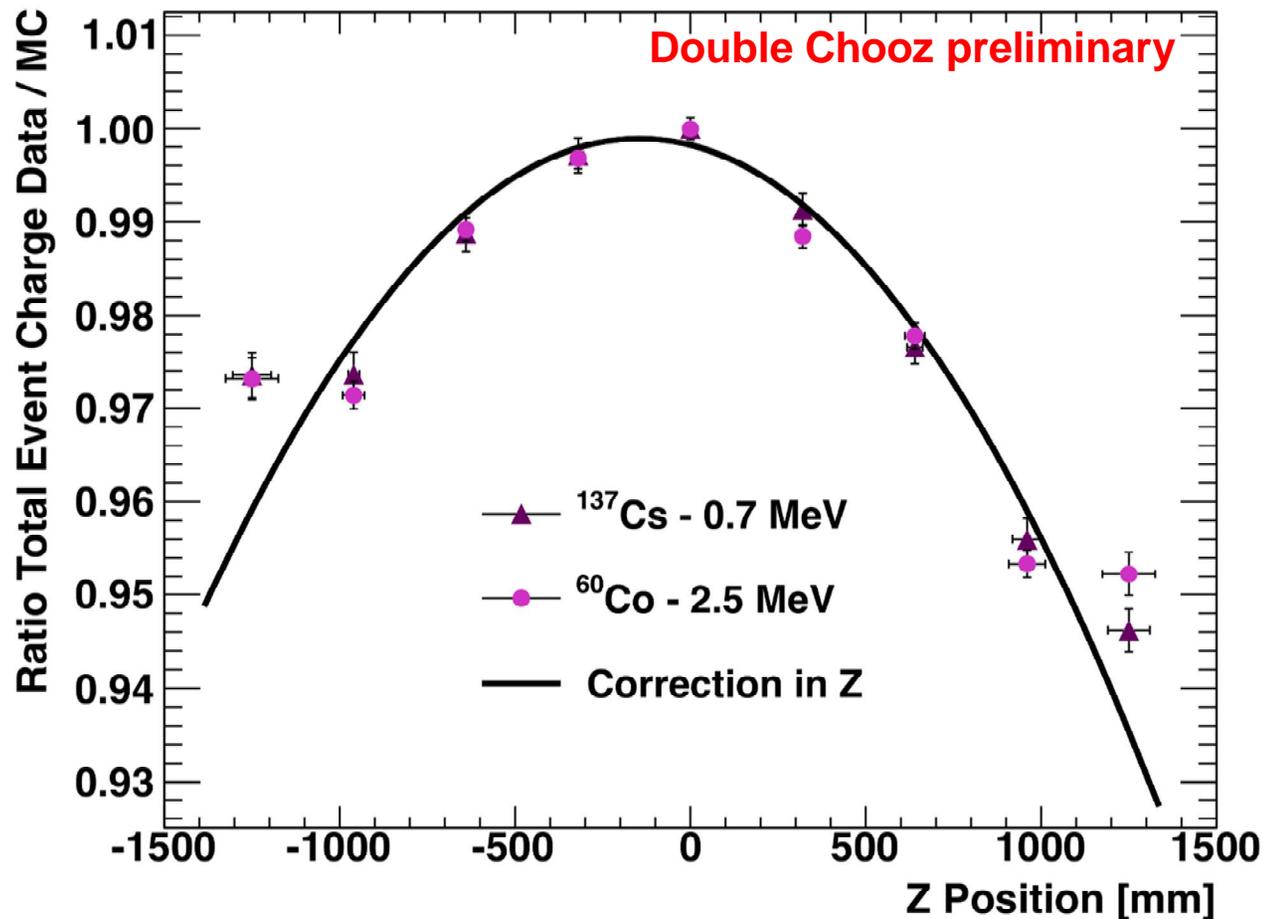
# MC/data: energy response

Calibrate the non-linearity due to single photoelectron inefficiency, electronics, and Q-reconstruction effects.



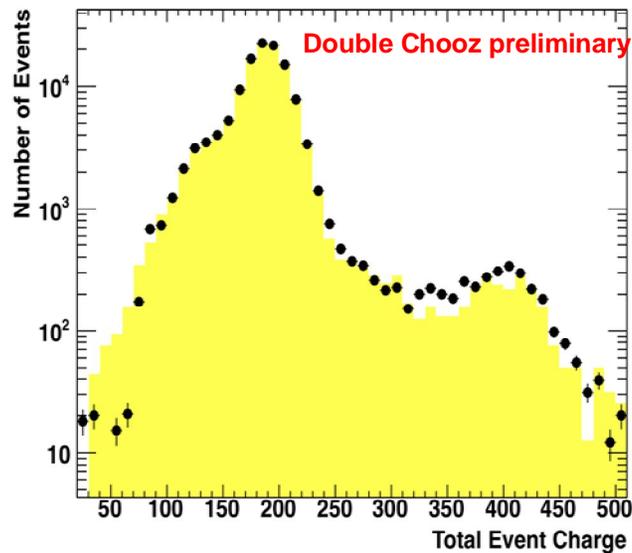
# MC/data: Position dependence of the energy response

Calibration of the z-bias. Residuals in the correction will be included in the detector covariance matrix.



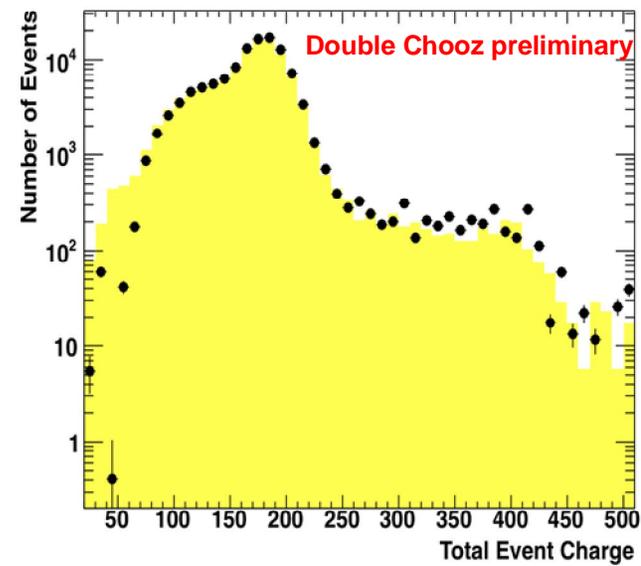
# MC/data: Source spectra examples

$^{68}\text{Ge}$  Detector Center X=0mm, Y=0mm, Z=0mm



**Ge-68 at the target center**

$^{68}\text{Ge}$  Guide Tube X=0mm, Y=1433.9mm, Z=0mm

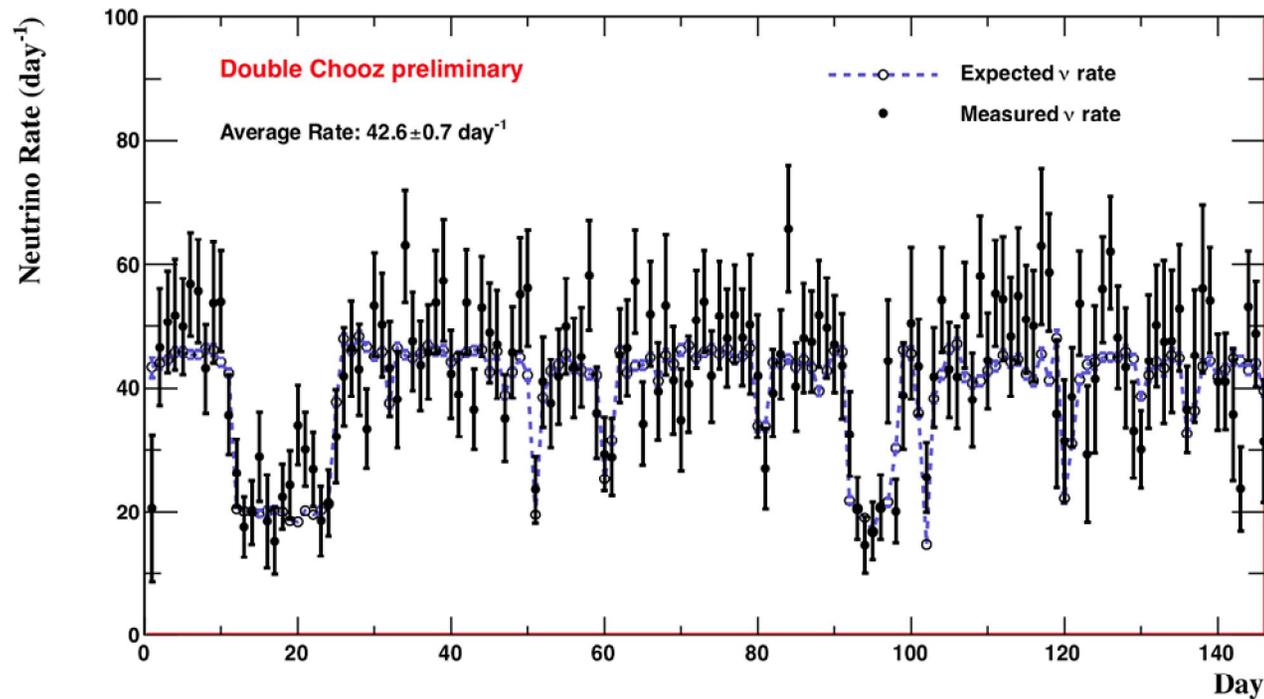


**$^{68}\text{Ge}$  in the Guide Tube,  
midway between target  
and gamma catcher walls**

overall, ~1-1.5% agreement between neutron capture peaks in the neutrino dataset

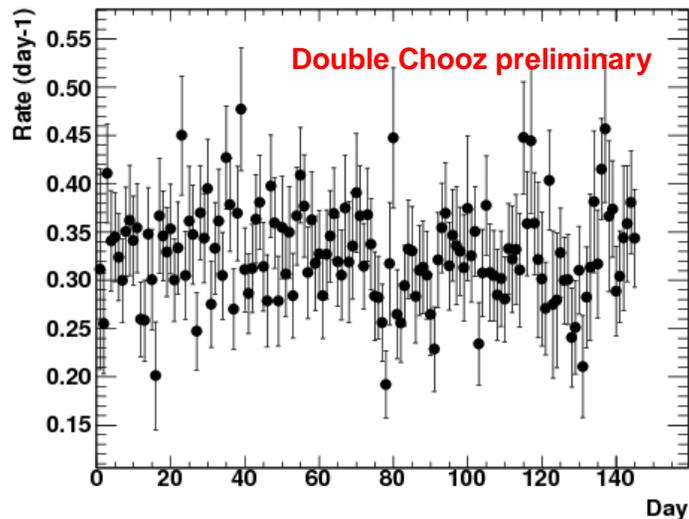
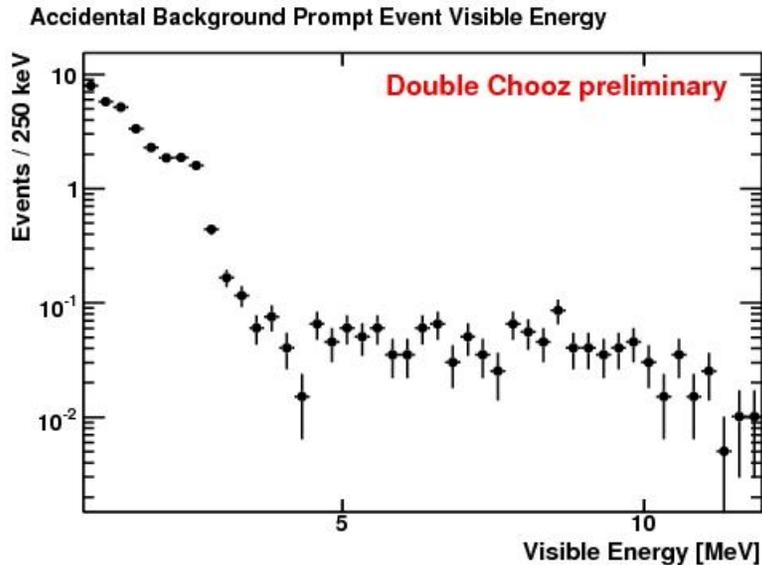
# Measurement

- ~100 days of data used for the first analysis
- Prompt-delayed coincidence selection results in 4121 anti-neutrino candidates



Note: expected rate does not include **backgrounds**

# Accidental backgrounds

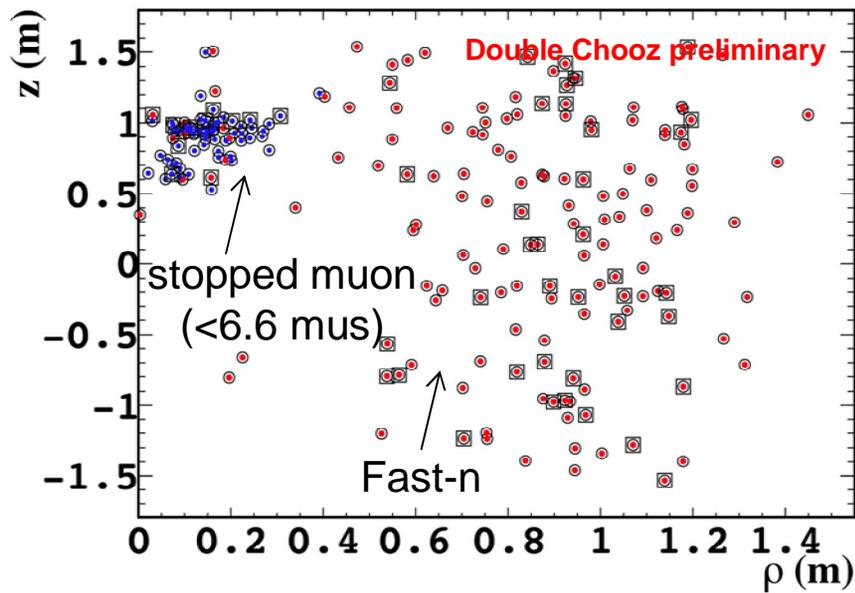


- Same as for neutrino search but delayed event uncorrelated in a delayed time window (1 ms)

- Rate:
  - **0.33  $\pm$  0.03 per Day**
  - Lower than in the proposal
  - Stable in time

- Spectrum: compatible with singles events

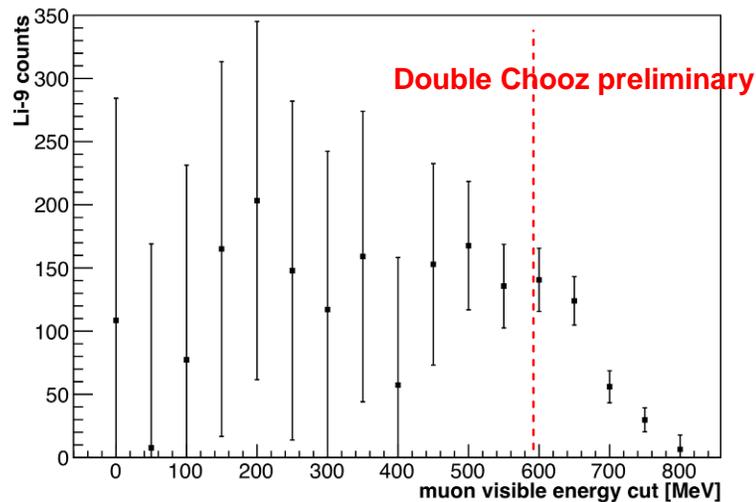
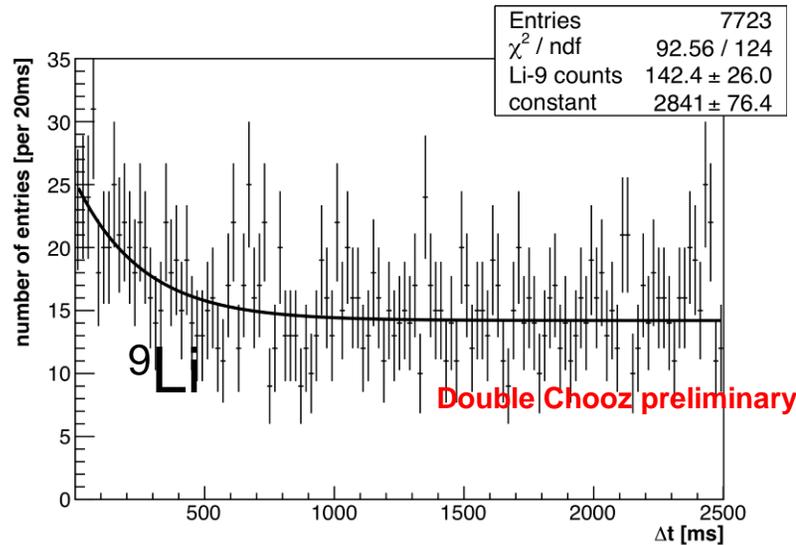
# Fast neutrons



- Neutrino selection with prompt energy extended to 30 MeV
- Two populations:
  - Fast-n
  - Stopping-muon
- Rate:
  - Extrapolation from high Energies to lower ones
  - **$0.83 -0.38 +0.38$  per Day**
- Spectrum:
  - Flat
  - Stopped Mu Shape Unc.



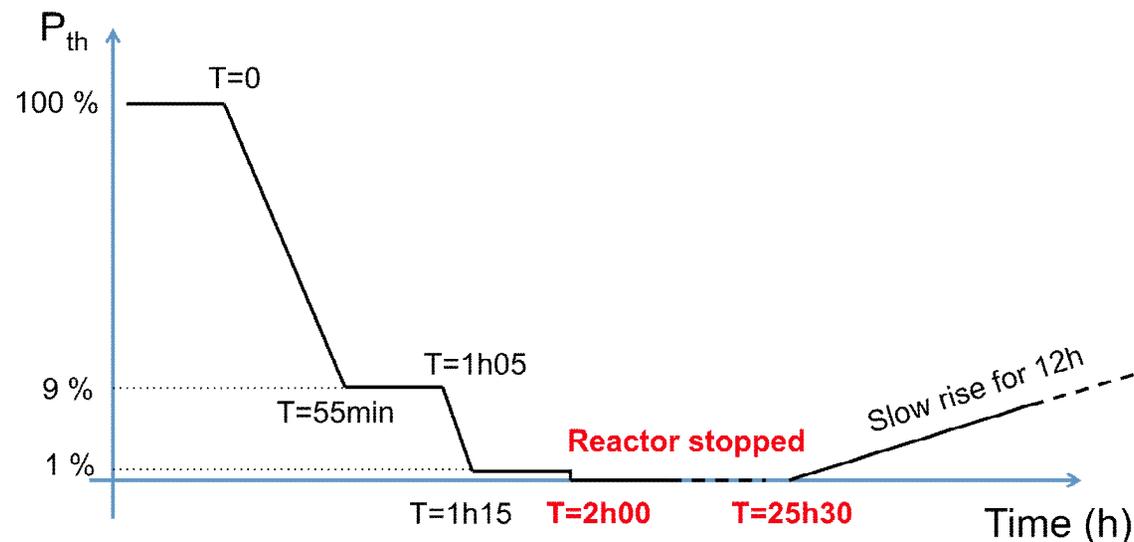
## Li-9



- $^9\text{Li}$  events selection:
  - Search for a triple delayed coincidence between showering muon and neutrino-like coincidence
- Showering muon :  $E > 600 \text{ MeV}$
- $\Delta T$  between showering muon and prompt event is given by the  $^9\text{Li}$ -like life time (257ms).
- **Rate:  $2.3 - 1.2 + 1.2$  per Day**

# 1 day of Reactor Off-Off data

- 22.5 hours when both reactors were off (<0.3 expected anti-neutrino events)
- Good opportunity for an independent cross-check of the background estimate
- 2 events pass IBD selection cuts
  - time-since-muon and prompt energy consistent with Li-9



# Prediction

- Anti-neutrino prediction is generated using actual time-dependent information provided by EdF
  - Spectrum parameterizations,  $S_k(E)$ , based on the recent re-evaluation of the conversion procedure (Th. A. Mueller et al, Phys.Rev. C83(2011) 054615, P. Huber, Phys.Rev. C84 (2011) 024617)

$$N_{\nu}^{\text{exp}}(E, t) = \frac{N_p}{4\pi L^2} \times \frac{P_{th}(t)}{\langle E_f \rangle} \times \langle \sigma_f \rangle$$

$$\langle E_k \rangle = \sum_k \alpha_k(t) \langle E_k \rangle$$

$k = {}^{235}\text{U}, {}^{238}\text{U}, {}^{239}\text{Pu}, {}^{241}\text{Pu}$

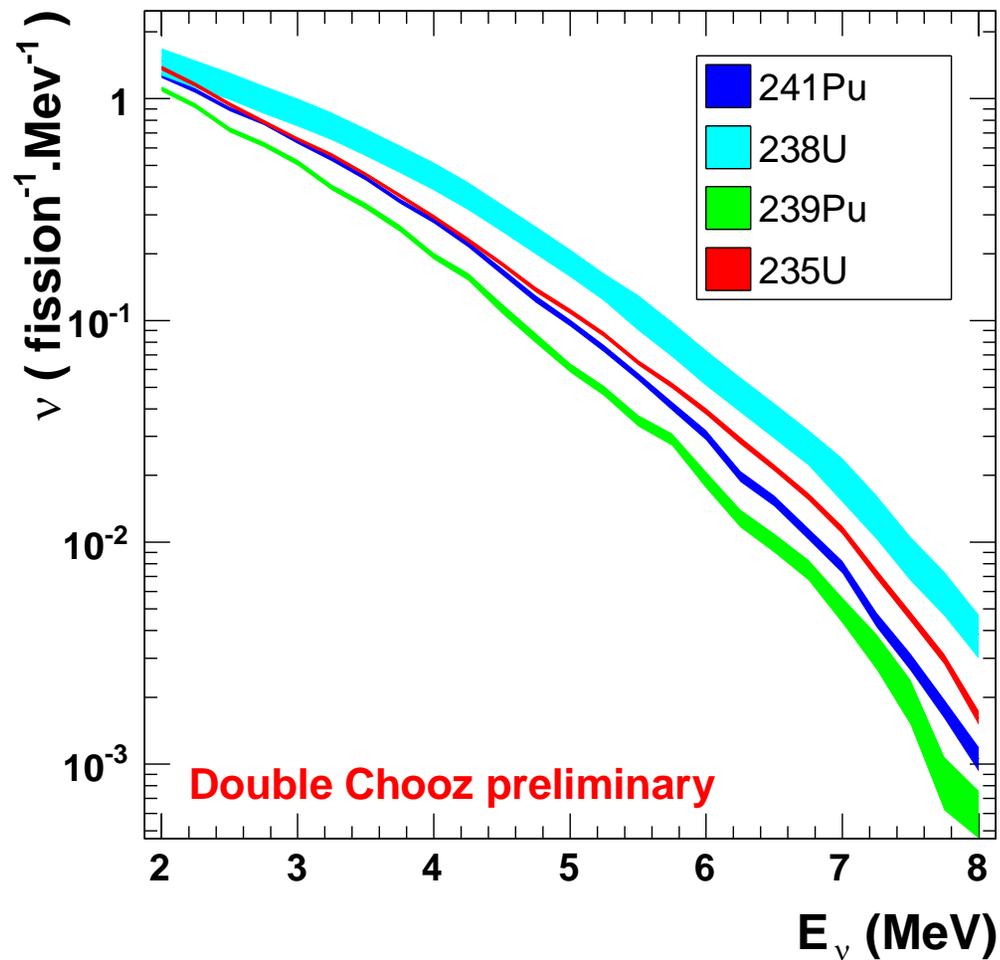
$\alpha_k$ : fractional fission rate

$$\langle \sigma_f \rangle = \langle \sigma_f \rangle^{\text{Bugey}} + \sum_k (\alpha_k^{\text{DC}}(t) - \alpha_k^{\text{Bugey}}(t)) \langle \sigma_f \rangle_k$$

mean cross-section per fission

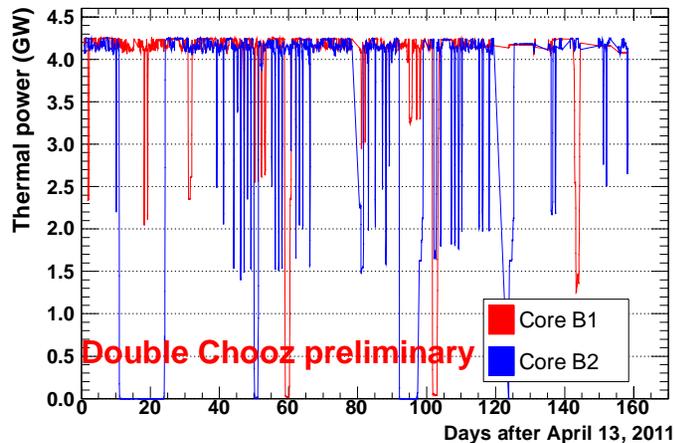
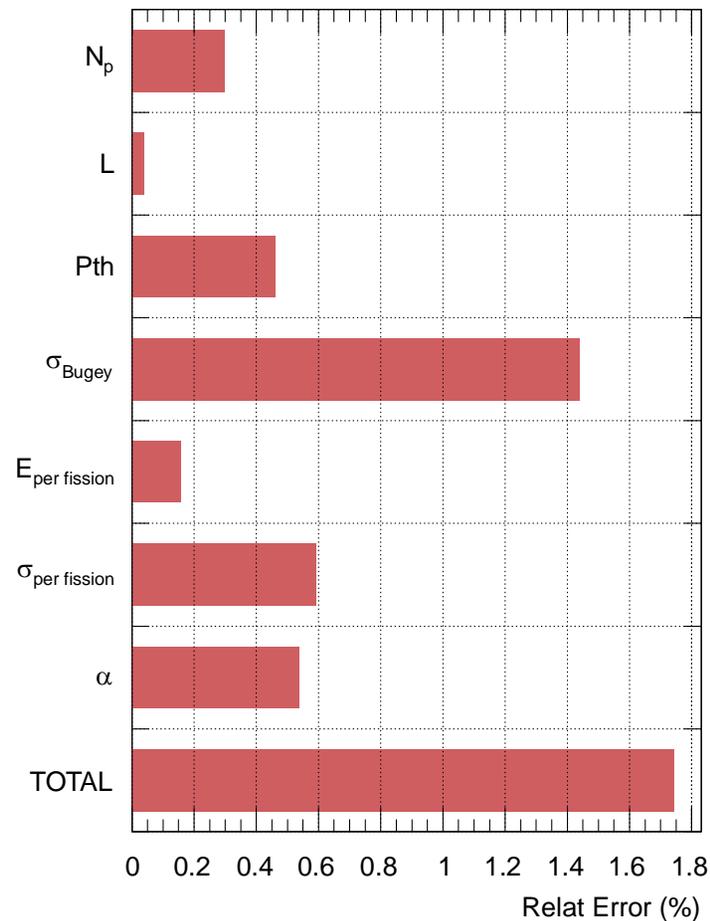
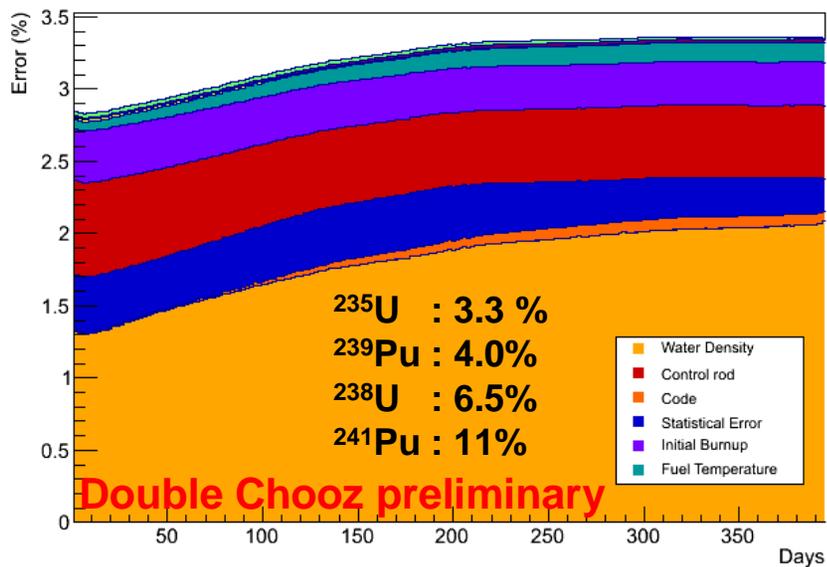
$$\langle \sigma_f \rangle_k = \int_0^{\infty} dE S_k(E) \sigma_{\text{IBD}}(E)$$

normalization “anchored” to Bugey-4 measurement



- Recent re-evaluations of fissile isotopes by
  - Th. A. Mueller et al, Phys.Rev. C83(2011) 054615
  - P. Huber, Phys.Rev. C84 (2011) 024617
  - Ab initio calculation of  $^{238}\text{U}$  at IRFU and Subatech-Nantes

# Prediction errors

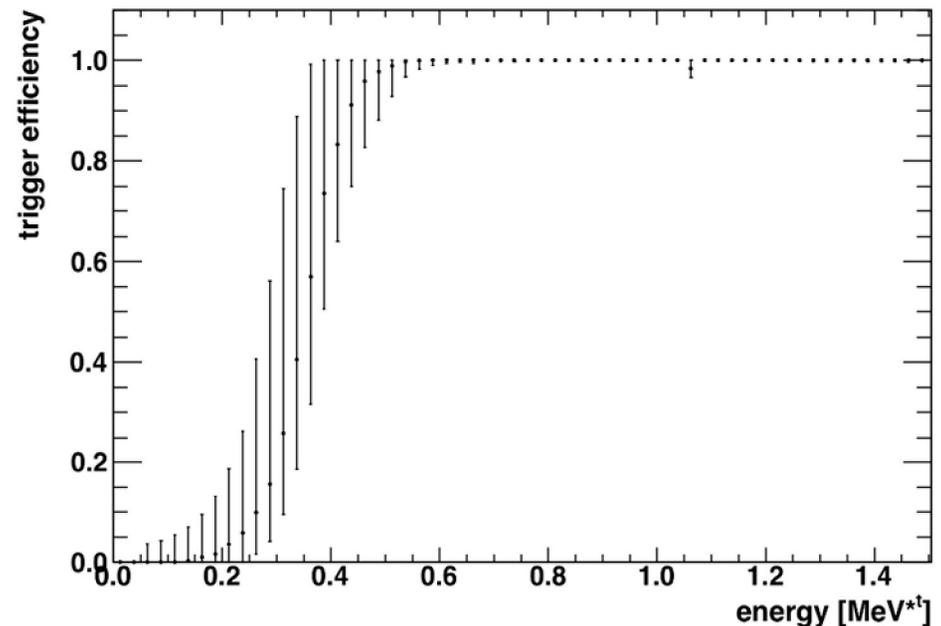


$$\frac{\delta P_{\text{th}}}{P_{\text{th}}} = 0.46\%$$

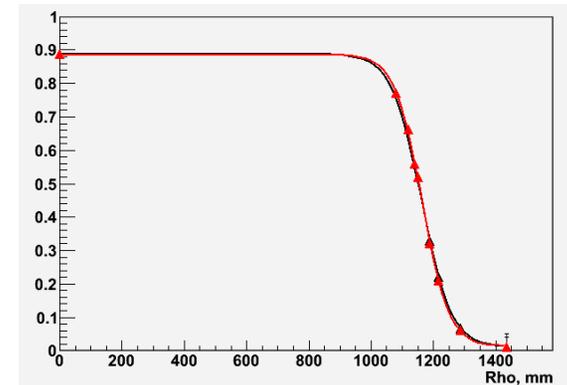
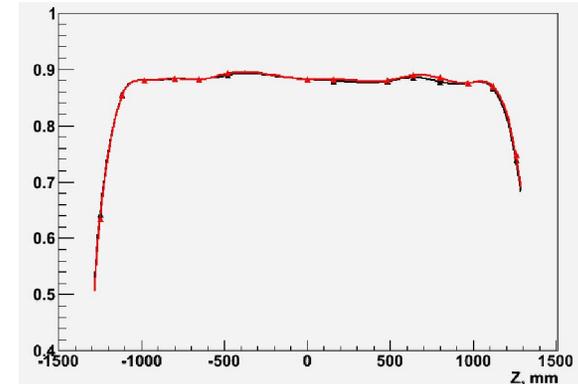
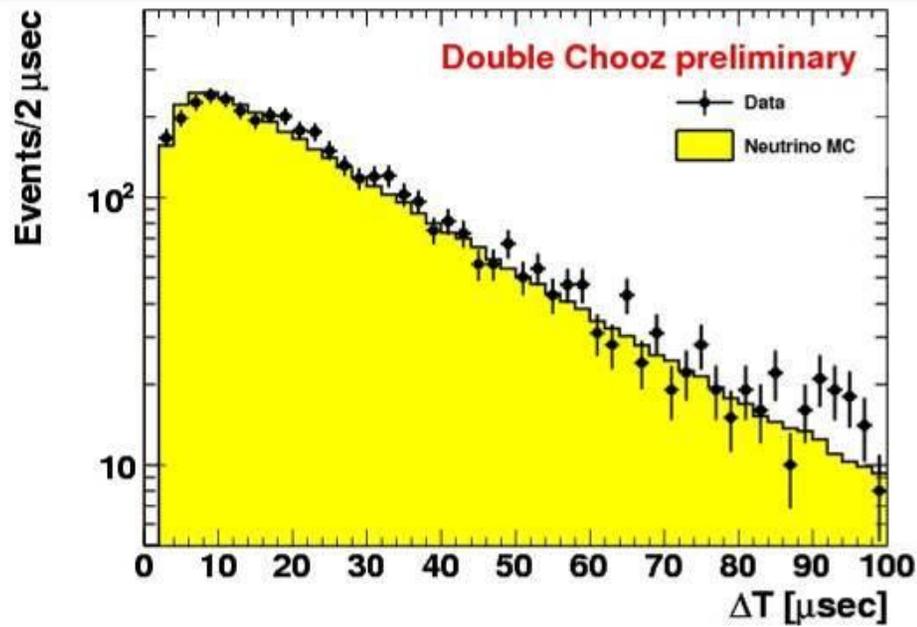
(1 sigma)

# Efficiency: Trigger

- Minimum anti-neutrino signal in the detector:  $2 \times 511$  keV gammas
- Trigger threshold should be small enough to accept all anti-neutrinos
- Prompt analysis cut (0.7 MeV) efficiency is  $>99.9\%$  with 0.4% error

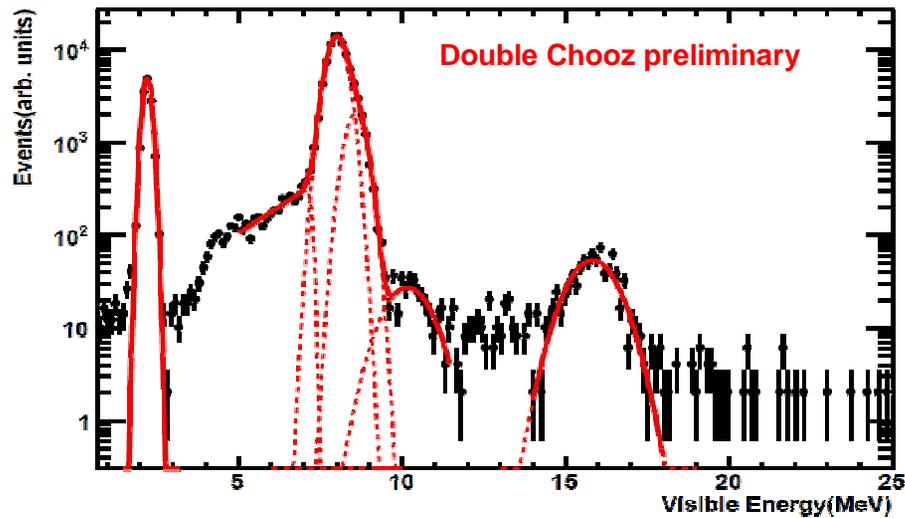
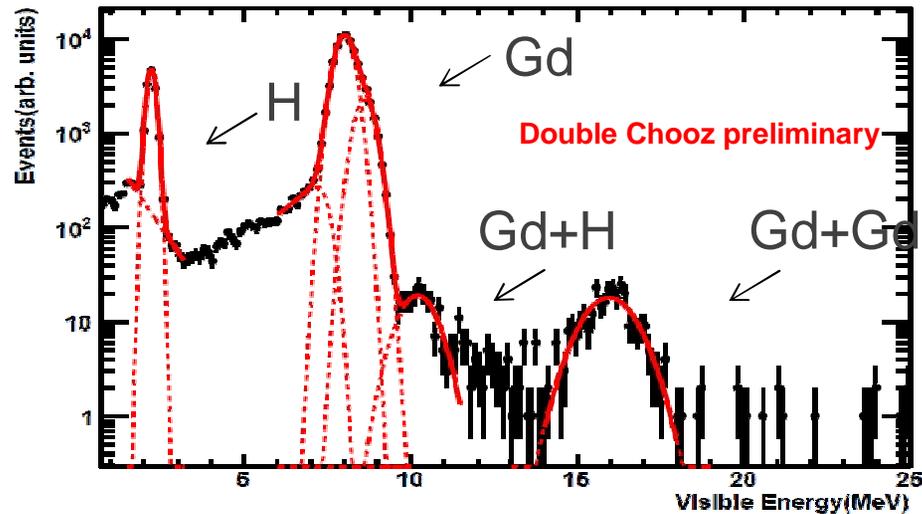


# Efficiency: Inter-event cut



The efficiency within  $[2, 100] \mu\text{s}$  is  $0.965 \pm 0.5\%$

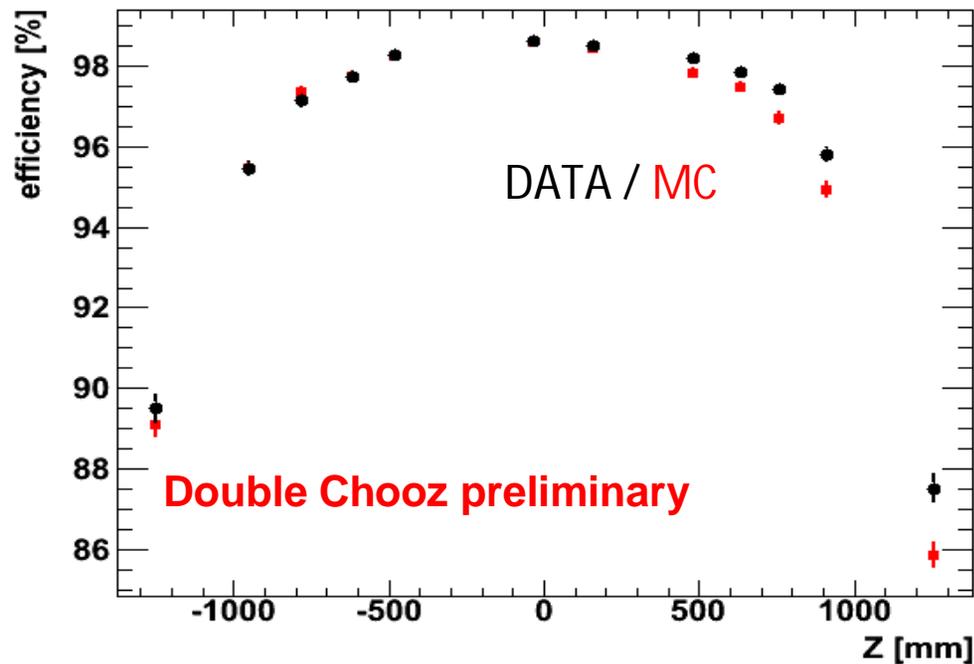
# Efficiency: fraction of captures on Gd



- Deployment along the z-axis
- Compute  $Gd/(H+Gd)$  capture rate
- 2% correction between data & MC
- The 6 Mev cut efficiency is  $0.86 \pm 0.6\%$

# Efficiency: delayed energy containment

- Some Gd gamma's can escape sensitive volume resulting in less than 6 MeV deposited energy total
- # captures [6,12] MeV / # captures [4,12] MeV = 94.5%



Averaged (Data-MC)/Data relative difference: 0.6%

# Putting it all together

$$\chi^2 = \sum_{ij} (Data_i - (\sum_R^{Reactors} N_i^{\nu,R} + \sum_b^{Backgrounds} N_i^b)) \times$$

$$(M_{ij}^{Reactor} + M_{ij}^{Detector} + M_{ij}^{Stat} + \sum_b^{Backgrounds} M_{ij}^b + M_{ij}^{Efficiency})^{-1} \times$$

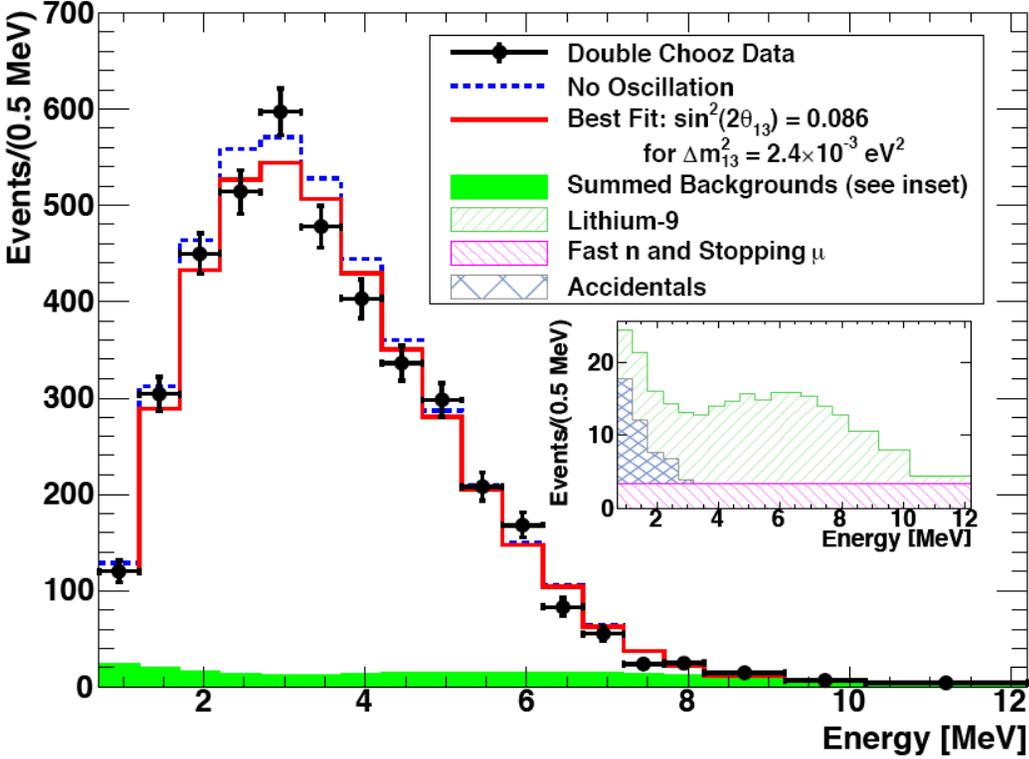
$$(Data_j - (\sum_R^{Reactors} N_j^{\nu,R} + \sum_b^{Backgrounds} N_j^b))$$

normalization systematics

Detector		Reactor	
Energy response	1.7%	Bugey4 measurement	1.4%
E <sub>delay</sub> Containment	0.6%	Fuel Composition	0.9%
Gd Fraction	0.6%	Thermal Power	0.5%
Δt <sub>e+n</sub>	0.5%	Reference Spectra	0.5%
Spill in/out	0.4%	Energy per Fission	0.2%
Trigger Efficiency	0.4%	IBD Cross Section	0.2%
Target H	0.3%	Baseline	0.2%
Total	2.1 %	Total	1.8%

in practice, all errors  
(including shape errors)  
included as covariance  
matrices

# Fit results

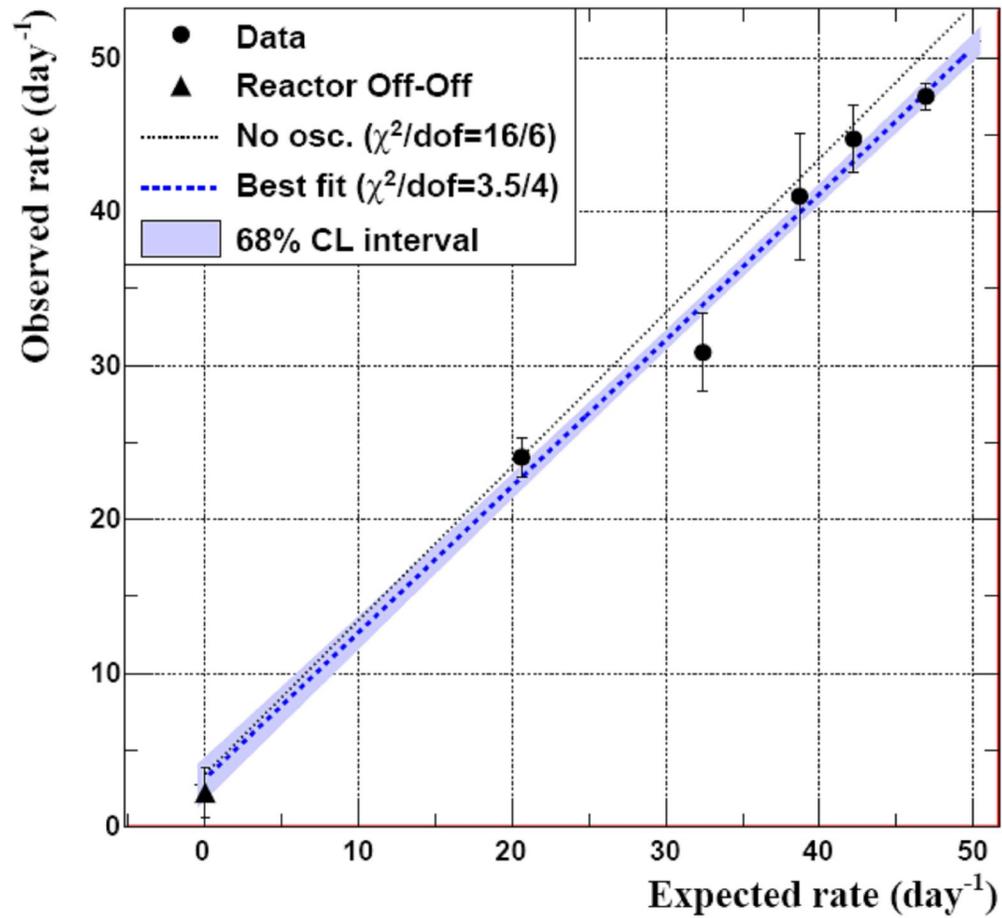


$\chi^2 = 23.17/17\text{d.o.f.}$

$\sin^2(2\theta_{13}) = 0.086 \pm 0.041 \text{ (stat)} \pm 0.030 \text{ (sys)}$

# Frequentist studies

- $\Delta\chi^2$  as test statistic
- 94.6% of toy experiments with true value of  $\sin^2 2\theta_{13}=0$  have test statistic smaller than the data
- 90% C.L. interval:  
$$0.015 < \sin^2 2\theta_{13} < 0.16$$



Daily number of detected candidates vs. the expected number. The dotted line is the expectation in the no-oscillation scenario. The triangle indicates the measurement with both reactors off.

## Concluding remarks

- First Double-Chooz analysis found 4121 electron anti-neutrino candidates when  $4344 \pm 165$  were expected for no-oscillation
- Rate+Shape fit suggests
$$\sin^2(2\theta_{13}) = 0.086 \pm 0.051$$
- “No oscillation” is excluded at **94.6% C.L.**
- 90% C.L. frequentist interval
$$\sin^2(2\theta_{13}) \sim [0.015, 0.16]$$
- Combining DC results with T2K and Minos excludes no-oscillation at **>3 sigma** [arXiv:1111.3330v1]
- More coming soon
  - Doubling data set as we speak
  - Refining the backgrounds measurements
  - Refining the detector response with additional calibrations
  - Near detector in ~1 year



## Brazil

CBPF  
UNICAMP  
UFABC



## France

APC  
CEA/DSM/IRFU;  
SPP  
SPhN  
SEDI  
SIS  
SENAC  
CNRS/IN2P3;  
Subatech  
IPHC  
ULB/VUB



## Germany

EKU Tübingen  
MPIK Heidelberg  
RWTH Aachen  
TU München  
U. Hamburg



## Japan

Tohoku U.  
Tokyo Inst. Tech.  
Tokyo Metro. U.  
Niigata U.  
Kobe U.  
Tohoku Gakuin U.  
Hiroshima Inst  
Tech.



## Russia

INR RAS  
IPC RAS  
RRC Kurchatov



## Spain

CIEMAT-Madrid



## UK

Sussex



## USA

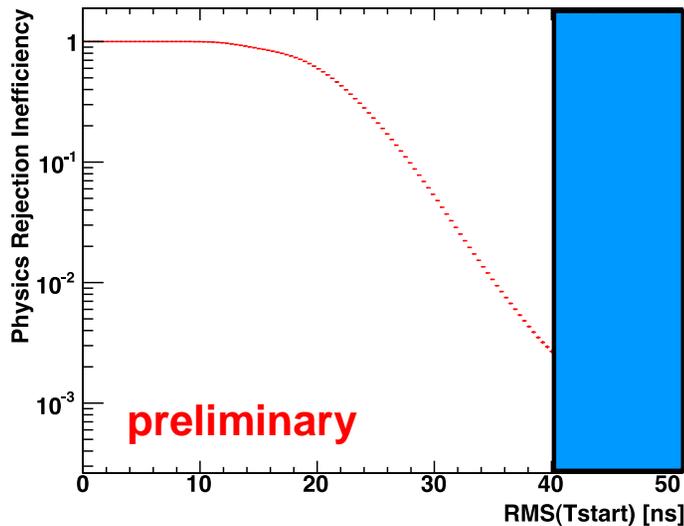
U. Alabama  
ANL  
U. Chicago  
Columbia U.  
UCDavis  
Drexel U.  
JIT  
KSU  
LLNL  
MIT  
U. Notre Dame  
Sandia National  
Laboratories  
U. Tennessee

Spokesperson: H. de Kerret (IN2P3)  
Project Manager: Ch. Veyssière (CEA-Saclay)

Web Site: [www.doublechooz.org/](http://www.doublechooz.org/)

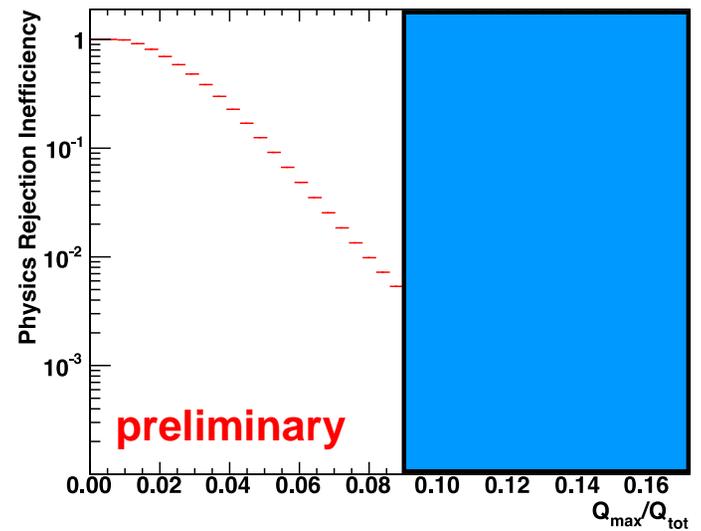


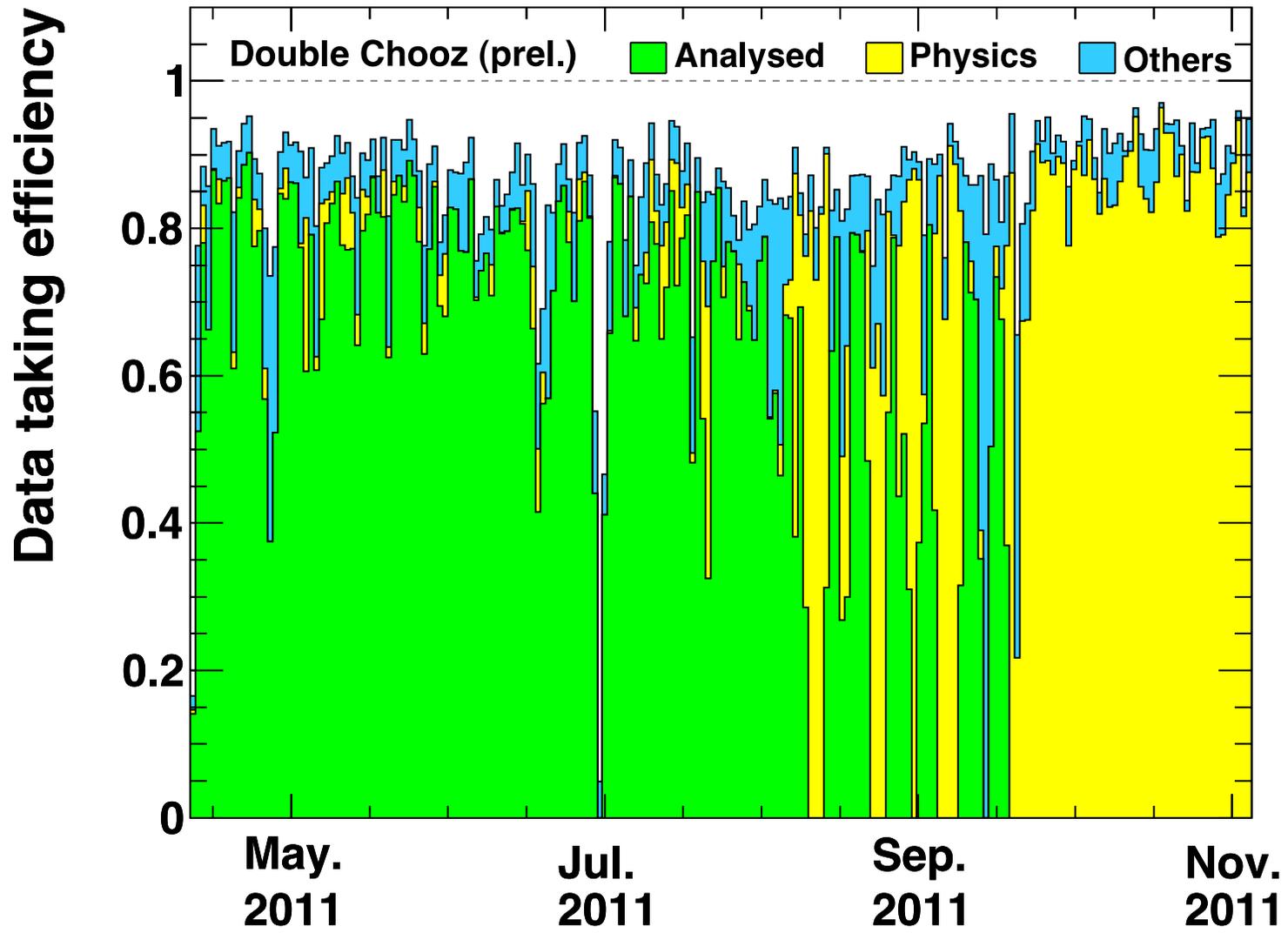
# Light noise



Parasitic light emitted by some PMTs.  
14 PMTs turned off + effective rejection based on anisotropic light collection:

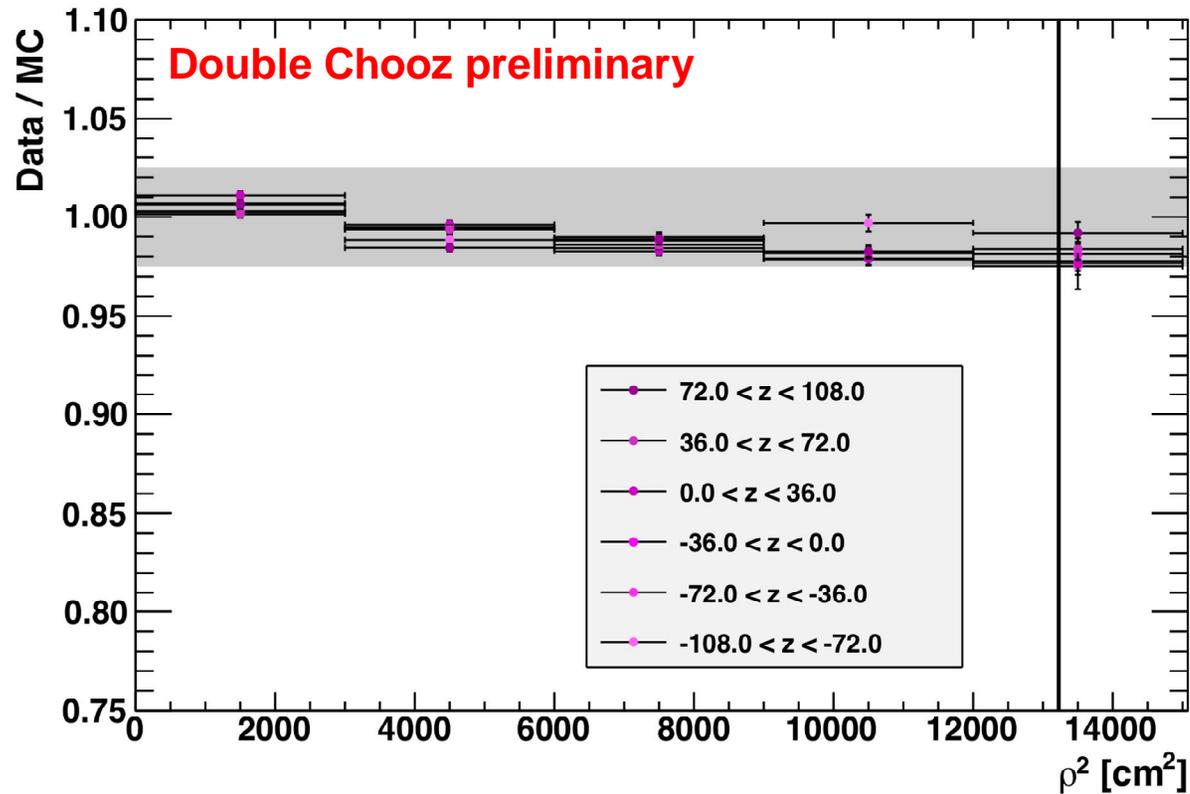
- PMT sees its own light  
→  $Q_{max}/Q_{tot}$
- Large dispersion of start time of PMT signals →  $rms(T_{start})$

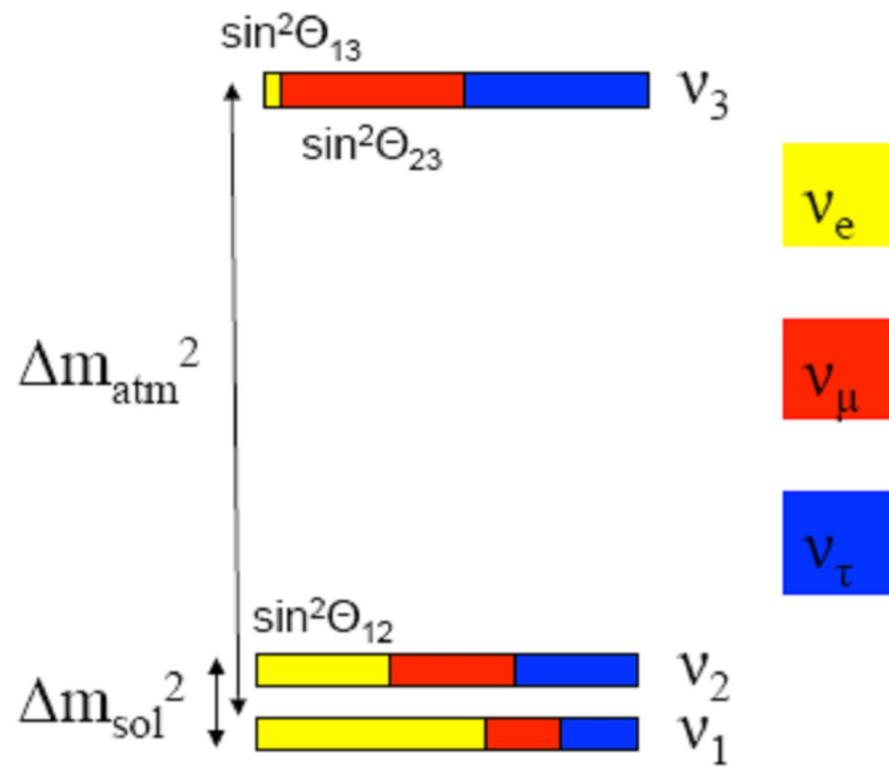




	<b>Best-Fit</b>	<b>68%CL</b>	<b>90%CL</b>	$\chi^2$ @ <b>Best – Fit</b>
Rate Only	0.1044(0.1045)	0.0813(0.0816)	0.1338(0.1343)	n.a.
Shape Only	0.1078(0.1436)	0.1680(0.1704)	0.2766(0.2802)	23.85(23.55)
Rate + Shape	0.0856(0.0854)	0.0502(0.0502)	0.0826(0.0826)	23.71(23.70)

- Evaluation of the (Q,Z) correction in all volumes
- Study of spallation neutrons in  $\rho^2 = x^2+y^2$  in slices of z
- Gd n capture peak
- Except for the extremes of the GC all is within +/-2.5%.





- **Prompt Event:**

- No Inner Veto Energy Deposition
- $Q_{\max}/Q_{\text{tot}} < 0.09$  &  $\text{rms}(T_{\text{start}}) < 40$  ns
- E in [0.7 ; 12] MeV

- **Delayed Event:**

- No Inner Veto Energy Deposition
- $Q_{\max}/Q_{\text{tot}} < 0.06$  &  $\text{rms}(T_{\text{start}}) < 40$  ns
- E in [6 ; 12] MeV

- **Coincidence:**

- No Space Coincidence Cut
- Time Coincidence:  $2 \mu\text{s} < \Delta t < 100 \mu\text{s}$

- **Multiplicity:**

- No valid triggers allowed in the 100  $\mu\text{s}$  preceding the prompt
- The time window from 2  $\mu\text{s}$  to 100  $\mu\text{s}$  following the prompt can contain only one valid trigger: the delayed candidate
- No valid triggers allowed in the time window 100  $\mu\text{s}$  through 400  $\mu\text{s}$  after the prompt

