KamLAND-Zen: Results, Status, and Prospects

- Introduction
 - -> Why are Neutrinos Interesting?
 - -> Neutrinoless Double Beta Decay
- KamLAND
- KamLAND-Zen: Zero Neutrino
 -> Detector
 - -> Backgrounds
 - -> Results: $2\nu\beta\beta$ and $0\nu\beta\beta$
 - -> Future





Neutrinos



Neutrinos in the Standard Model

- Spin-1/2 fermion
- No charge (electromagnetic or color)
- Only interact weakly
 Labeled by weak interaction mode (how they couple to the W)
- Zero mass

Neutrino mixing measurements

- Neutrinos have mass
- Neutrino mass eigenstates are not the same as the weak interaction eigenstates



Neutrino Oscillation



Neutrino oscillation involves two basic ideas from quantum mechanics:

- 1. Two sets of basis states:
 - -> The Standard Model includes three neutrino flavor states: v_e, v_μ, v_τ , defined by how they interact
 - -> If neutrinos have mass, the neutrino mass states can be different: v_1 , v_2 , v_3 , with masses m_1 , m_2 , m_3
 - -> The two basis states are related by a unitary transformation called the "MNSP" (Maki-Nakagawa-Sakata-Pontecorvo) matrix (analogous to the CKM matrix for quarks)

$$\begin{pmatrix} \boldsymbol{v}_{e} \\ \boldsymbol{v}_{\mu} \\ \boldsymbol{v}_{\tau} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \boldsymbol{v}_{1} \\ \boldsymbol{v}_{2} \\ \boldsymbol{v}_{3} \end{pmatrix}$$

Neutrino Oscillation



2. Time evolution of energy eigenstates

$$|\nu_i(t)\rangle = e^{-i(E_i t - p_i L)} |\nu_i(0)\rangle = e^{-im_i^2 \frac{L}{2E}} |\nu_i(0)\rangle$$

When the neutrino interacts, mass states are projected back into the interaction basis -> phases interfere -> neutrino oscillation



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Two-Flavor Oscillation



Suppose we only have to consider two flavors:

$$\begin{pmatrix} \mathbf{v}_e \\ \mathbf{v}_x \end{pmatrix} = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} \\ -\sin \theta_{12} & \cos \theta_{12} \end{pmatrix} \begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \end{pmatrix}$$

• If I start with a pure v_e state, then after it travels a distance L it is:

$$|\mathbf{v}(L)\rangle = e^{-im_1^2 \frac{L}{2E}} \cos\theta_{12} |\mathbf{v}_1\rangle + e^{-im_2^2 \frac{L}{2E}} \sin\theta_{12} |\mathbf{v}_2\rangle$$

• The probability to detect the state as a v_e after distance L is:

$$P(\nu_e \to \nu_e) = |\langle \nu_e | \nu(L) \rangle|^2$$

= 1 - sin² \theta_{12} sin² (1.27\Delta m_{12}^2 \frac{L}{E})

- The phases interfere with each other to produce the oscillation
- Only get interference if the masses differ

∆m² in eV² L in km [or m] E in GeV [or MeV]

Three-Flavor Oscillation





For δ_{CP} =0. From Wikipedia: "Neutrino Oscillation"

Three Flavor Picture



• The MNSP matrix:

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

- Oscillation/mixing measurements have told us:
 - "Solar" $\theta_{12}, \Delta m^2_{21}$
 - -> Includes sign of Δm^2_{21}
 - -> Solar experiments, KamLAND
 - "Atmospheric" θ_{23} , Δm_{23}
 - -> No sign information for Δm^2_{23}
 - -> Atmospheric, accelerator expts.
 - $\bullet \theta_{13}$ Measurements
 - -> Reactor, accelerator expts.
 - \bullet Initial constraints on δ_{CP}
 - -> Reactor, accelerator combined
- We don't know:
 - absolute mass scale or hierarchy
 - nature of neutrino





Why is the Universe dominated by matter?



Matter and Anti-Matter: Early Universe



-> CP violation gives a very small matter/antimatter asymmetry

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Matter and Anti-Matter: Current Universe



-> Everything has annihilated away except for the small difference

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CP Violation



CP Violation in the Standard Model

<u>Quarks:</u>

- CP violation first observed in neutral kaon decays
- Measured extensively in B decays
- However: not large enough to explain baryon asymmetry

Strong CP violation?

- CP violation should be 'natural' in the QCD Lagrangian
- Experimentally, the strong interaction conserves CP
- Requires 'fine tuning' the QCD parameter θ to be zero (expt: $\theta < 10^{-9}$)
- Various ideas for solving the 'Strong CP Problem,' e.g. axions

With massive neutrinos, CP violation is also possible in neutrino mixing

-> Experiments are now starting to constrain the phase $\delta_{{\it CP}}$





T2K v_e Appearance Results



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Joint v_e + v_{μ} Analysis

 $\Delta\chi^2$



- New joint fit to appearance and disappearance data
- Fit accounts for correlations
 - -> in parameter space ($\theta_{23}, \theta_{13}, \delta_{CP}, \Delta m_{32}^2$)
 - -> in systematics
- Includes constraints from short-baseline reactor antineutrino disappearance

 $sin^2\theta_{13} = 0.095 \pm 0.010$ (PDG 2013)

• Joint fits are now starting to constrain $\delta_{\mbox{CP}}!$



	90% CL INCIUSION	Ē
NH	$δ_{CP} \in [-1.17, 0.15]π$	IMIN
IH	$δ_{\rm CP} \in [-0.91, -0.08] π$	ARY



But...

- CP violation in neutrino mixing can give us a lepton number asymmetry
- How do we get a baryon number asymmetry?
- We need some more pieces

 -> This is where Majorana neutrinos
 and neutrinoless double beta decay come in.

Beta Decay



- The neutrino was first proposed by Wolfgang Pauli in 1930 to conserve energy and conserve angular momentum in nuclear beta decay
- A two-body decay should give a single electron energy, but the spectrum is continuous

 $n \rightarrow p + e^- + V_a$ Parent nucleus Daughter nucleus

Neutrino

Beta decay

Electron

"Dear Radioactive Ladies and Gentlemen"

My in at . Pholotogram of Dec 0393 Absobri 11/15.12.5 M

Offener Brief an die Gruppe der Radicaktiven bei der Geuvereinz-Tagung zu Tübingen.

Absohrift

Physikelisches Institut der Eidg. Technischen Hochschule Aurich

Zirich, 4. Des. 1930 Dioriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Veberbringer dieser Zeilen, den ich huldvollst ansuhören bitte, Ihnen des näheren aussinendersetzen wird, bin ich angesichts der "falschen" Statistik der N- und bi-6 Kerne, sowie des knahlmierlichen beta-Spektruns zuf einen versweifelten Ausweg verfallen um den "Wechselsatz" (1) der Statistik und den Energienatz zu retten. Mänlich die Möglichkeit, es könnten alektrisch neutrels Teiloben, die ich Neutronen nannen will, in den Iernen existieren, welche den Spin 1/2 beben und das kuschlieseingsprinzip befolgen und eisen von Lichtquanten musserdem noch dadurch unterscheiden, dass sie gestät mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen femmet von derzelben Grossmorchung wie die Elektronemasse sin und jedenfalls nicht grösser als 0,00. Protonemasses- Das kontinuisrliche bem-Spektrum wäre dann verständlich unter der Annahme, dass beim behe-Zerfall mit des klektron jeweils noch ein Heutron und klektron konstant ist.





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Double Beta Decay



- Double beta decay is a rare process observable in some even-even nuclei
- \cdot In these nuclei ordinary β -decay is energetically forbidden
- \cdot Two simultaneous β -decays are allowed
- More than 60 double-beta decay nuclei are known, e.g.
 ⁷⁶Ge, ⁸²Se, ⁹⁶Zr, ¹⁰⁰Mo, ¹¹⁶Cd, ¹²⁸Te, ¹³⁰Te, ¹³⁶Xe, ¹⁵⁰Nd, etc.
- These decays have extremely long half-lives: $T_{1/2} > 10^{19}$ yr



Neutrinoless Double-Beta Decay

- Majorana neutrinos are their own antiparticles
- If neutrinos are Majorana, double-beta decay can proceed by a loop diagram with no neutrinos in the final state
- This process is sensitive to a Majorana mass, a weighted sum over all three neutrino masses, all mixing angles, δ_{CP} , plus new phases (weighted by U_{e1} , U_{e2} , U_{e3} : m_1 , m_2 dominate)

$$\left\langle m_{\beta\beta} \right\rangle = \sum_{i=1}^{3} \left| U_{ei} \right|^2 m_i \varepsilon_i$$





Leptogenesis



Leptogenesis is a mechanism for generating the matter/antimatter asymmetry starting from a lepton number asymmetry (c.f. baryogenesis)

Requirements (at least in one picture):

- CP violation in the neutrino sector: δ_{CP} is a major goal of the neutrino oscillation program
- Majorana neutrinos
- Seesaw mechanism
- -> Decays of right-handed neutrinos produce L violation, which the B-L conserving sphaleron process in the SM converts to a baryon number asymmetry

Seesaw Mechanism



Why are neutrinos so much lighter than other fundamental fermions?

- In the Standard Model, particles acquire mass through the Higgs mechanism
- Much lower neutrino mass suggests additional effect
- Simple (Type I) Seeaw:
 - Majorana neutrinos naturally allow adding additional elements to the model:
 - -> right-handed neutrinos
 - -> off-diagonal elements M to the mass matrix
 - Diagonalizing the matrix to find the physical states can give:
 -> light left-handed neutrinos
 - -> heavy right-handed neutrinos
- Heavy right-handed neutrinos would decay in the early universe via $\Delta L = 2$ processes, giving rise to a lepton number asymmetry.



fermion masses

(lowest neutrino mass not known)

What is the Sphaleron Process?

Survey:

"It's the part of the Standard Model they don't teach experimentalists in graduate school." - an experimental colleague Psychotic Quartet "Sphaleron"

exchatic Quarte

"The Sphaleron process is something to ask theorists about at dinner to make them uncomfortable."

- my wife

Sphaleron process in the Standard Model:

- The Standard Model always conserves B-L
- Sphaleron process is a nonperturbative process
 -> Can't be represented by Feynman diagrams
- Converts e.g. 3 baryons to 3 antileptons
 -> Can convert a lepton asymmetry to a baryon asymmetry



Neutrinoless Double-Beta Decay

Known mixing parameters allow two regions of phase space, depending on the mass heirarchy -> widths due to parameter uncertainties

- Regions overlap in degenerate region
- Inverted hierarchy has a minimum <m_{ββ}
 -> If we don't observe Ovββ

 and we know that the heirarchy is inverted,
 then: neutrinos are Dirac
 or there is new physics
- Under normal hierarchy $\langle m_{\beta\beta} \rangle$ can be unobservable even if neutrinos are Majorana
- Controversial positive claim in ⁷⁶Ge by Klapdor-Kleingrothaus et. al.
- Not shown: cosmological limits, direct mass limits



Neutrino Mass Limits

Other constraints on neutrino mass:

Tritium beta decay

- Massive neutrinos distort the endpoint of the beta decay spectrum
- Best limit is $m_B < 2.3$ eV
- KATRIN goal: 0.2 eV

Cosmology

- Global fits to cosmological data set limits on the total mass of all neutrino flavors
- Planck 2013: Σm_v < 0.23 eV (arXiv:1303.5076)
- Limits depend on datasets used, cosmological model
- Some recent fits favor neutrino masses around the Planck limit PhysRevLett.112.051303; arXiv:1403.4599







Nuclear Matrix Elements





- $G^{0\nu}$ is straightforward to calculate
- $M^{0\nu}$ is not known, must be estimated theoretically -> estimates vary by factor os ~2, depending on method
- For $m_{\beta\beta}$ = 50 meV, estimated half lives are 10^{25} 10^{27} years, depending on the nuclear system

Detecting $2\nu\beta\beta$



 $\boldsymbol{\cdot}$ KamLAND-Zen is sensitive to the total energy of the two $\boldsymbol{\beta}'s$



- $\mathbf{0}_{\mathbf{V}\beta\beta}$ experimental goals:
 - -> Low background under $0v\beta\beta$ peak
 - -> Good energy resolution
 - -> $2\nu\beta\beta$ can be a background!

KamLAND



- KamLAND (Kamioka Liquid-scintillator AntiNeutrino Detector)
- Designed to measure or rule out neutrino oscillations at the solar LMA parameters with a terrestrial antineutrino source: nuclear reactors
- KamLAND is located in the same mine near Kamioka, Japan as Super-K, in the former site of Kamiokande
- Previous reactor neutrinos flux measurements shown with flux vs. distance prediction from LMA



KamLAND Detector



- 1 kton liquid scintillator
- Mineral oil buffer outside 120-µm nylon balloon
- 1879 PMTs 1325 17" - fast 554 20" - efficient
- Water Čerenkov
 Outer Detector
- Event position from light arrival times ~12 cm resolution
- Event energy from total light yield
 ~6.2%/√E(MeV) resolution



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KamLAND Results



Primary results: reactor antineutrino oscillations



Other topics: geoneutrinos, solar neutrinos, spallation measurements, limits on astrophysical antineutrinos, nucleon decay

Now and Then





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USPAS - June 14, 2013

1

 $\sin^2 2\theta$

KamLAND-Zen



Basic idea: Deploy a mini-balloon full of Xe-loaded scintillator into the middle of KamLAND

Running detector

- -> relatively low cost, quick start
- -> detector well understood
- -> experience with balloons, LS purification
- -> ongoing antineutrino program outside Xe mini-balloon

Large and clean

-> negligible external backgrounds -> no escaping/invisible β/γ energy

Highly scalable

- -> 100s of kg of ¹³⁶Xe in first phase
- -> up to several tons

with larger mini-balloon



Disadvantage: energy resolution (4.0% at 2.458 MeV)

Xe-Loaded LS



Technical challenges: Xe-loaded liquid scintillator (LS)

- Match light yield to existing KamLAND LS
 -> Achieved: matched to within 3%
- Similar overall density to existing KamLAND LS, for mini-balloon integrity
 -> Tuned to 0.10% higher density
- Xe loading: (2.52 ± 0.07) % by weight
- Composition: 82% decane 18% pseudocumene 2.7 g/L PPO (2.52 ± 0.07) % Xe
- Xe is (90.93 ± 0.05)% ¹³⁶Xe, (8.89 ± 0.01)% ¹³⁴Xe
- 129 kg ¹³⁶Xe in the fiducial volume

Mini-Balloon



Technical challenges: Mini-Balloon

- Very thin: 25 μm nylon
- Welded seams (!)
- Must be Xe barrier
- High transparency
- Low contaminations of U, Th, K









25 μm Nylon 6 balloon

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Mini-Balloon Deployment



Mini-ballon rolled into 'snake' to fit through 50 cm opening Class 100 clean room on top of the detector



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Mini-Balloon Inside KamLAND



First Results



77.6 days of data, 129 kg 136 Xe in fiducial volume (1.2 m radius)

-> Clear 2vββ signal

-> Very interesting peak just above 2.458 MeV...





²³⁸U Series

²³²Th Series

External BC Snallation

¹³⁶Xe $2\nu\beta\beta$ Half Life

First measured by EXO-200 (2011)

 $T_{1/2}^{2v} = 2.11 \pm 0.04$ (stat) ± 0.21 (syst) x 20²¹ yr PRL 107, 212501 (2011)

-> 5x larger than 2002 DAMA limit

KamLAND-Zen (2012)

T²v_{1/2} = 2.38 ± 0.02 (stat) ± 0.14 (syst) × 20²¹ yr Phys.Rev.C 85, 045504 (2012)

-> Consistent with EXO-200 result

Current results:

KamLAND: $T_{1/2}^{2v} = 2.30 \pm 0.02$ (stat) ± 0.12 (syst) x 20²¹ yr Phys.Rev.C 86, 021601 (2012)

EXO-200: $T_{1/2}^{2v} = 2.172 \pm 0.017 \text{ (stat)} \pm 0.060 \text{ (syst)} \times 20^{21} \text{ yr}$

Phys.Rev. C 89 015502 (2013)

300

250

200 keV

1150

10⁴

10³

10²

10¹

Events/0.05MeV

1500

2000

- Data

Xe 2vßf

Visible Energy (MeV)

reconstructed energy $\beta\beta$ (keV)

2500

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What's that peak?

Should we get excited?

- -> If the peak is $0\nu\beta\beta,$ it's at about the level of the KKDC claim...
- -> Energy is a bit high (2.6 MeV, vs. 2.5 MeV), but what if the energy calibration is off?

However:

- -> Calibration with ²⁰⁸Tl (2.614 MeV γ) does not show an energy shift (ThO₂W source just outside Mini Balloon)
- -> ²¹⁴Bi spectrum in Xe-LS also correct (from radon decays, radon contamination/tracers introduced during filling)







What's that peak?

Peak fit with $0\nu\beta\beta$ signal:



-> Peak position different from that expected from $0\nu\beta\beta$

-> $0\nu\beta\beta$ only rejected at 8σ



Observed backgrounds vs. position:

- ¹³⁷Cs, ¹³⁴Cs do not occur naturally
 - -> ratio consistent with Fukushima-I fallout
 - -> likely introduced during mini-balloon fabrication, don't leach into LS
- ^{214}Bi on the mini-balloon limits the fiducial volume for $0\nu\beta\beta$
- ²⁰⁸Tl on the balloon is above the analysis region, doesn't affect analysis

ENSDF Search



2.6 MeV background properties

- uniformly distributed in the Xe-LS
 -> not seen in LS outside the mini-balloon
- no correlation with muon events
- long-lived background: stable on ~30 day timescale
- -> Exhaustive search of all decays in the ENSDF database

LBNL Isotopes Project Evaluated Nuclear Structure Data File http://ie.lbl.gov/databases/ensdfserve.html

- -> Short list peak in the $0\nu\beta\beta$ region, $T_{1/2}$ > 30 days
 - ^{110m}Ag $T_{1/2} = 250 \text{ days}$
 - ^{208}Bi $T_{1/2} = 3.68 \times 10^5 \text{ years}$
 - ⁸⁸Y $T_{1/2} = 107 \text{ days}$
 - ${}^{60}Co$ $T_{1/2}^{-} = 5.27$ years

(Side note: ^{110m}Ag is a component of reactor fallout Assayed soil at Tohoku, where the mini-balloon was produced

Saw ^{110m}Ag! - though this does not rule out the others...)

Background source?



Fallout:

- -> Already observed Cesium likely from Fukushima-I
- -> ^{110m}Ag is a component of reactor fallout
- -> ^{110m}Ag found in assayed of soil at Tohoku, where the mini-balloon was produced

Spallation:

- -> Estimated spallation production of many isotopes on ¹³⁶Xe
- -> Large uncertainties due to limited data
- -> Spallation production underground should be negligible based on GEANT4 simulation
- -> Spallation production above ground before the ¹³⁶Xe was brought into the mine is a possible source of ^{110m}Ag, ⁸⁸Y





Background candidate fits

Background shape fits prefer ^{110m}Ag

Fit	χ ²
0v + all candidates	11.6
$0v + {}^{110m}Ag$	13.1
$0v + {}^{208}Bi$	22.7
0v + ⁸⁸ Y	22.2
0v + ⁶⁰ Co	82.9
O_{V} only	85.0

Best fit with all candidates $\chi^2 = 11.6$









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Background Decay



With more data, background event rate vs. time also prefer ^{110m}Ag



And And Tan

¹³⁶Xe $0\nu\beta\beta$ Results



Comparison with KK



Comparisons between isotopes are complicated by nuclear matrix element (NME) uncertainties

Plot T_{1/2} (⁷⁶Ge) vs. T_{1/2} (¹³⁶Xe): NME models are diagonal lines, marked by <m_{ββ}> in eV KamLAND-Zen: T_{1/2} (¹³⁶Xe) > 1.9 × 10²⁵ yr

EXO-200: $T_{1/2}$ (¹³⁶Xe) > 1.6 x 10²⁵ yr PRL 109, 032505 (2012)

Combined: $T_{1/2} (^{136}Xe) > 3.4 \times 10^{25} \text{ yr}_{\text{S}}$ (Sensitivity: 1.6 × 10²⁵ yr) $\overset{3}{\underset{R}{\overset{\circ}{}}} 10^{25}$

-> Incompatible with KK claim at 97.5% CL



Further Results



GERDA: (PhysRevLett.111.122503)

⁷⁶Ge – same isotope as KK • GERDA: $T_{1/2}$ (⁷⁶Ge) > 2.1 x 10²⁵ yr Combined: $T_{1/2}$ (⁷⁶Ge) > 3.0 x 10²⁵ yr

Updated EXO-200: (arXiv:1402.6956)

- 2012: $T_{1/2}$ (¹³⁶Xe) > 1.6 × 10²⁵ yr
- $T_{1/2}$ (¹³⁶Xe) > 1.1 x 10²⁵ yr 2014: (Sensitivity: 1.9 x 10²⁵ yr)





Current Run: Background Reduction

- Run began Nov. 2013
- ^{110m}Ag reduced by > 10x



¹⁰C Background Reduction

- Exploit triple coincidence to tag ¹⁰C
- Made possible by electronics upgrades





600 kg Phase



¹³⁶Xe in hand •

•



Events/10keV/5years ---- Total -²⁰⁸TI 10⁵⊧ -¹³⁶Xe 0v -¹¹Be $-^{136}$ Xe 2v $-^{10}$ C $-^{11}C$ ^{- 214}Bi ⁻⁸Βν —²¹⁰Bi ⁻⁴⁰K 10 1 10⁻¹ KMMMM 10⁻² 1.5 3.5 2 2.5 3 4 Visible Energy[MeV] - R<1.62m, 439kg in fiducial volume - mini-balloon (²³⁸U, ²³²Th, ⁴⁰K) = (3.0×10⁻¹², 3.0×10⁻¹², 2.4×10⁻¹¹)[g/g] - 10C 90% tag $-T_{1/2}(2_{\nu\beta\beta}) = 2.30 \times 10^{21} \text{ yr} (\text{KamLAND-Zen})$

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• Covers most of inverted hierarchy region

Conclusions



- Discovery of the Majorana nature of the neutrino via neutrinoless double beta decay helps address several critical questions:
 - -> absolute neutrino mass
 - -> neutrino mass mechanism
 - -> matter dominance of the Universe
- KamLAND-Zen measurements to date -> $T_{1/2}$ (¹³⁶Xe 0v2 β) > 1.9 × 10²⁵ yr -> $m_{\beta\beta}$ < (0.16-0.33) eV
- Combined analysis of KamLAND-Zen and EXO-200 excludes the Klapdor-Kleingrothaus claim at 97.5% CL
- Backgrounds have been reduced by > 10x in the current run
- Future phases of KamLAND-Zen and KamLAND2-Zen will allow us to push the limit to the inverted hierarchy region

KamLAND/KamLAND-Zen Collaboration



