

# Oscillation Results from T2K



Kevin McFarland, University of Rochester,  
on behalf of the T2K Collaboration

Cornell LEPP Journal Club  
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# What We Hope to Learn



- Neutrino mass is the one discovery we have in hand of “beyond standard model” physics
- We still have fundamental questions about the nature of this new physics
  - How are these masses are generated?
  - How does that mechanism relate to standard model physics?
  - What implications does it have for the early universe?
- Study of neutrino masses and mixings is our only known window into this new physics

# T2K's Signatures



- Neutrino oscillation at the “atmospheric” baseline (T2K and NOvA) probes this new physics in several interesting ways
  - Sensitive to structure of the mixing matrix, the neutrino mass spectrum and to CP violation in oscillations
- T2K studies both muon neutrino disappearance and muon to electron neutrino flavor conversion

$$\begin{matrix} (-) & & (-) \\ \nu_{\mu} & \not{\rightarrow} & \nu_{\mu} \end{matrix}$$

$$\begin{matrix} (-) & & (-) \\ \nu_{\mu} & \rightarrow & \nu_e \end{matrix}$$

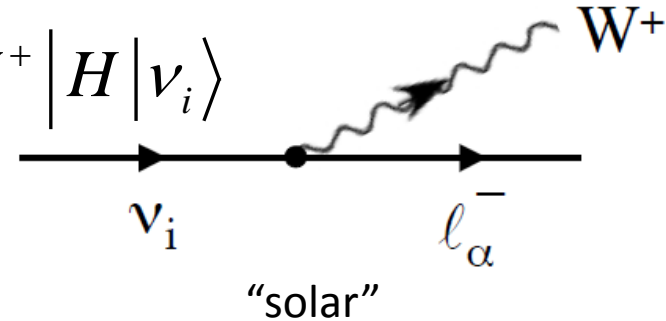
# Neutrino Masses, Mixings and Oscillations



Notation & figure courtesy B. Kayser

- PMNS mixing matrix,  $U_{\alpha i}$

$$U_{\alpha i} \sim \langle \ell_{\alpha}^{-} W^{+} | H | \nu_i \rangle$$



“atmospheric”

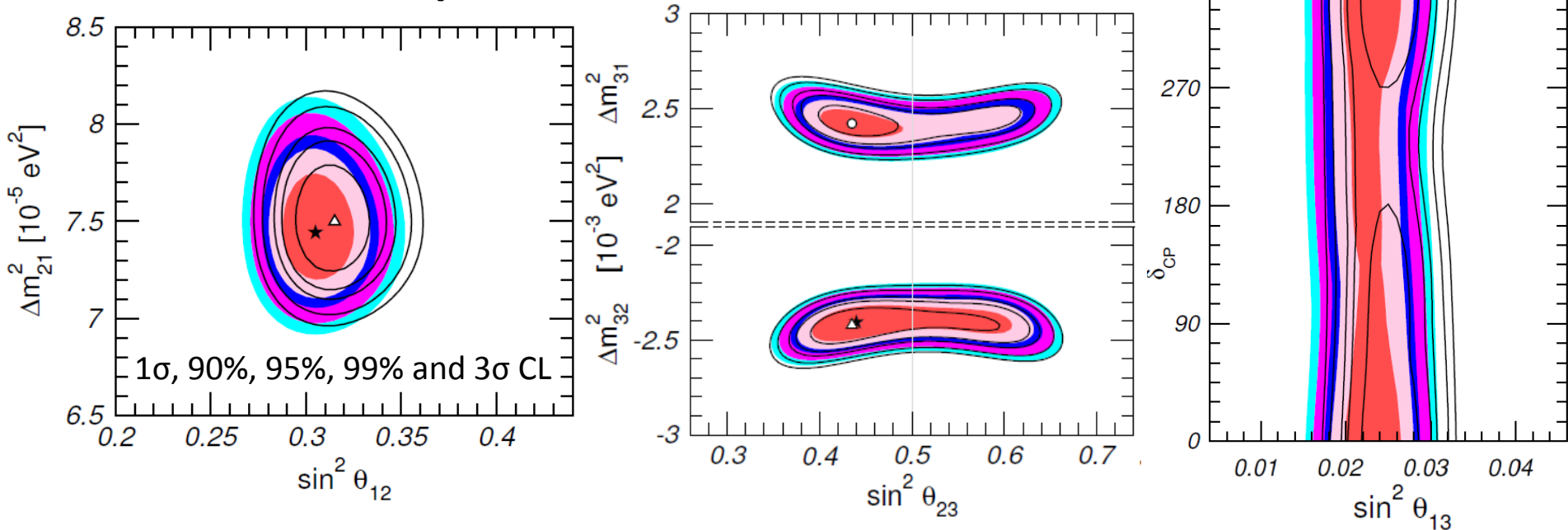
“solar”

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \begin{aligned} c_{ij} &\equiv \cos \theta_{ij} \\ s_{ij} &\equiv \sin \theta_{ij} \end{aligned}$$

- Vacuum oscillation in terms of  $U_{\alpha i}$ , masses and  $L/E$ :

$$P\left(\overset{(-)}{\nu}_{\alpha} \rightarrow \overset{(-)}{\nu}_{\beta}\right) = \delta_{\alpha\beta} - 4 \sum_{i>j} \Re\left(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}\right) \sin^2\left(\Delta m_{ij}^2 \frac{L}{E}\right) + 2 \sum_{i>j} \Im\left(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}\right) \sin^2\left(\Delta m_{ij}^2 \frac{L}{E}\right)$$

# How we know what we know (without T2K)

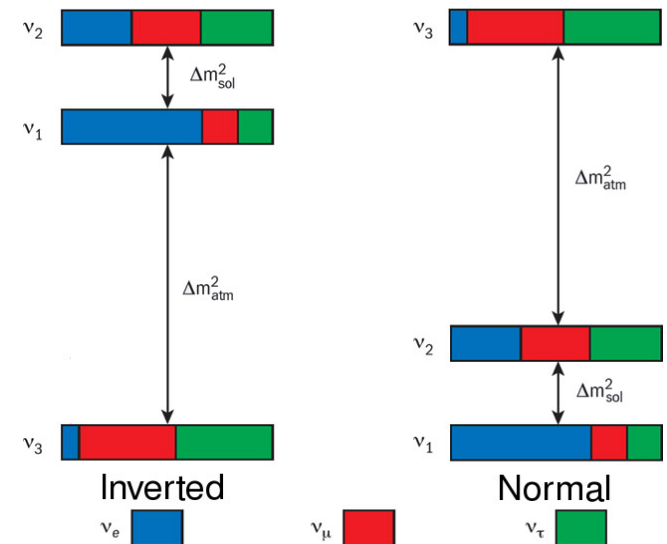
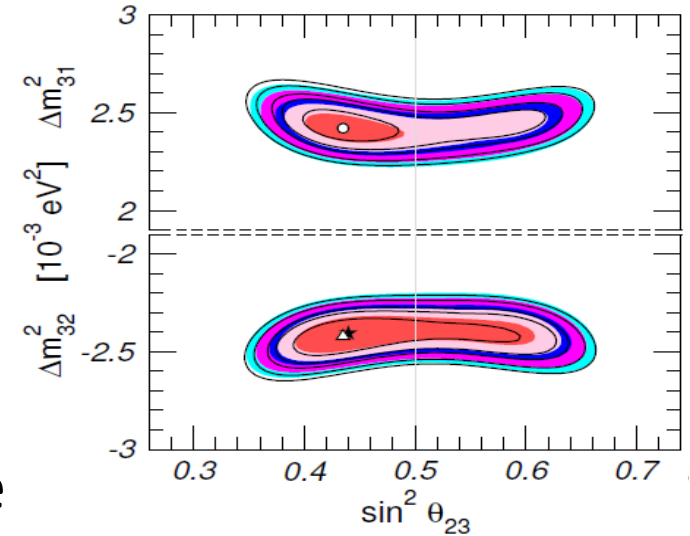


*Nu-Fit, M. C. Gonzalez-Garcia, M. Maltoni, J. Salvado, T. Schwetz, arXiv:1209.3023*

- $\Delta m_{21}^2$  and  $\theta_{12}$  from solar (SNO, Super-K, Borexino, radiochemical) and from long-baseline reactor data (KAMLAND)
- $\Delta m_{32}^2$  and  $\theta_{23}$  from atmospheric (Super-K) and accelerator (MINOS)
- $\theta_{13}$  (mostly) from reactor experiments (Daya Bay, RENO, Double CHOOZ)
- $\delta$  is essentially unconstrained by current measurements

# Interesting “Degeneracies” of the 2-3 Sector

- $\sin^2 2\theta_{23}$  is nearly maximal and  $\theta_{23}$  can be either larger or smaller than  $\pi/4$  radians
  - Leading effect in atmospheric and accelerator  $\nu_\mu$  disappearance experiments goes as  $\sin^2 2\theta_{23}$
  - Invariant under  $\theta_{23} \rightarrow (\pi/2) - \theta_{23}$
- Sign of  $\Delta m^2_{32}$  is not known
  - Can be determined from matter effects, as is our knowledge that  $\Delta m^2_{21} > 0$  from solar neutrinos



# Oscillation Probabilities at T2K



- Sub-leading terms and matter effects becoming important at precisions of T2K measurements. “Disappearance” parameters affect “appearance” parameters and vice versa
- In particular, large  $\theta_{13}$  makes sub-leading effects very important. Two flavor fits are no longer a good approximation.

$$P(\nu_\mu \rightarrow \nu_e) \sim \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \frac{\Delta m_{32}^2 \cdot L}{4E} + (\text{solar term})$$

$$+ (\text{interference or "CP" terms}) + (\text{matter term})$$

$$P(\nu_\mu \rightarrow \nu_\mu) \sim 1 - \left( \cos^4 \theta_{13} \cdot \sin^2 2\theta_{23} + \sin^2 2\theta_{13} \cdot \sin^2 \theta_{23} \right) \cdot \sin^2 \frac{\Delta m_{31}^2 \cdot L}{4E}$$

$$+ (\text{matter term})$$

Leading

Differentiates 1<sup>st</sup>/2<sup>nd</sup> octants of  $\theta_{23}$

# THE T2K EXPERIMENT



# The T2K Collaboration



## Canada

TRIUMF  
U. Alberta  
U. B. Columbia  
U. Regina  
U. Toronto  
U. Victoria  
U. Winnipeg  
York U.

## France

CEA Saclay  
IPN Lyon  
LLR E. Poly.  
LPNHE Paris

## Germany

Aachen U.

## Italy

INFN, U. Bari  
INFN, U. Napoli  
INFN, U. Padova  
INFN, U. Roma

## Japan

ICRR Kamioka  
ICRR RCCN  
Kavli IPMU  
KEK  
Kobe U.  
Kyoto U.  
Miyagi U. Edu.  
Osaka City U.  
Okayama U.  
Tokyo Metropolitan U.  
U. Tokyo

## Poland

IFJ PAN, Cracow  
NCBJ, Warsaw  
U. Silesia, Katowice  
U. Warsaw  
Warsaw U. T.  
Wroclaw U.

## Russia

INR

**~500 members,  
59 Institutes,  
11 countries**

## Spain

IFAE, Barcelona  
IFIC, Valencia

## Switzerland

ETH Zurich  
U. Bern  
U. Geneva

## United Kingdom

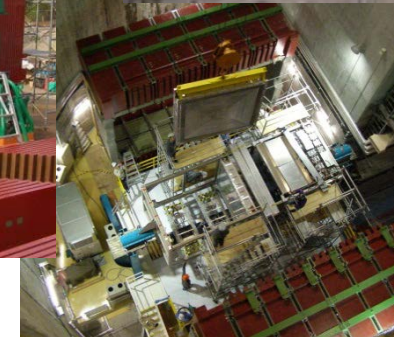
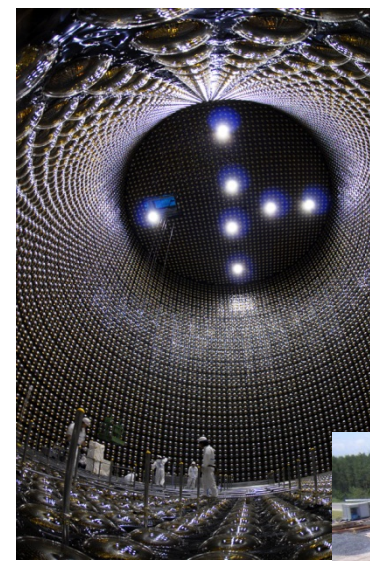
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Lancaster U.  
Oxford U.  
Queen Mary U. L.  
STFC/Daresbury  
STFC/RAL  
U. Liverpool

## USA

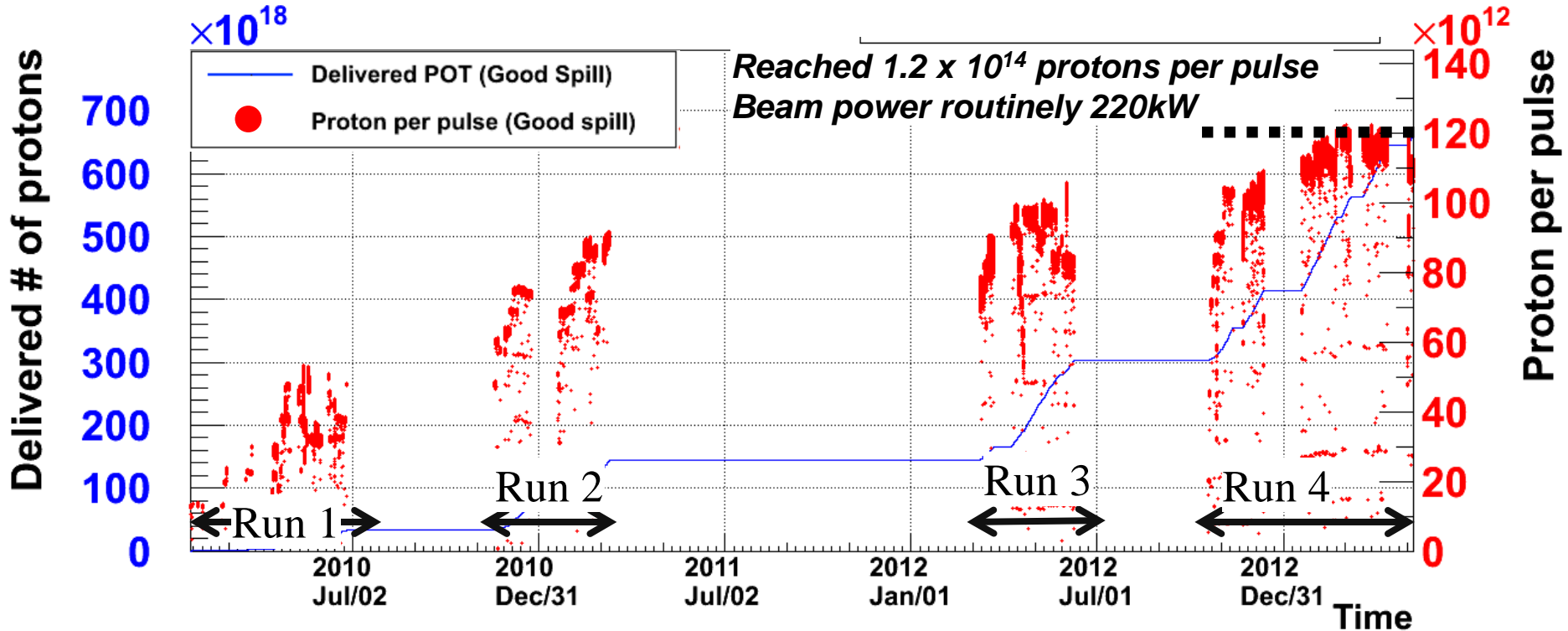
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U. Warwick  
Boston U.  
Colorado S. U.  
Duke U.  
Louisiana S. U.  
Stony Brook U.  
U. C. Irvine  
U. Colorado  
U. Pittsburgh  
U. Rochester  
U. Washington

# Brief History of T2K

- 1996 Super-Kamiokande detector begins operation
- 1999 Ko Nishikawa and Yoji Totsuka formulate  $\nu_{\mu} \rightarrow \nu_e$  experiment at J-PARC
- 2000-2004 Letter of Intent; Detailed design; Formation of international collaboration
- 2004 Five year construction plan for T2K approved by Japanese government
- April 2009 Commissioning of beamline
- January 2010 First neutrino events for neutrino oscillation studies
- March 2011 Great East Japan earthquake
- June 2011 T2K announces  $2.5\sigma$  “indication” of  $\nu_{\mu} \rightarrow \nu_e$
- March 2012 T2K resumes data taking after earthquake recovery

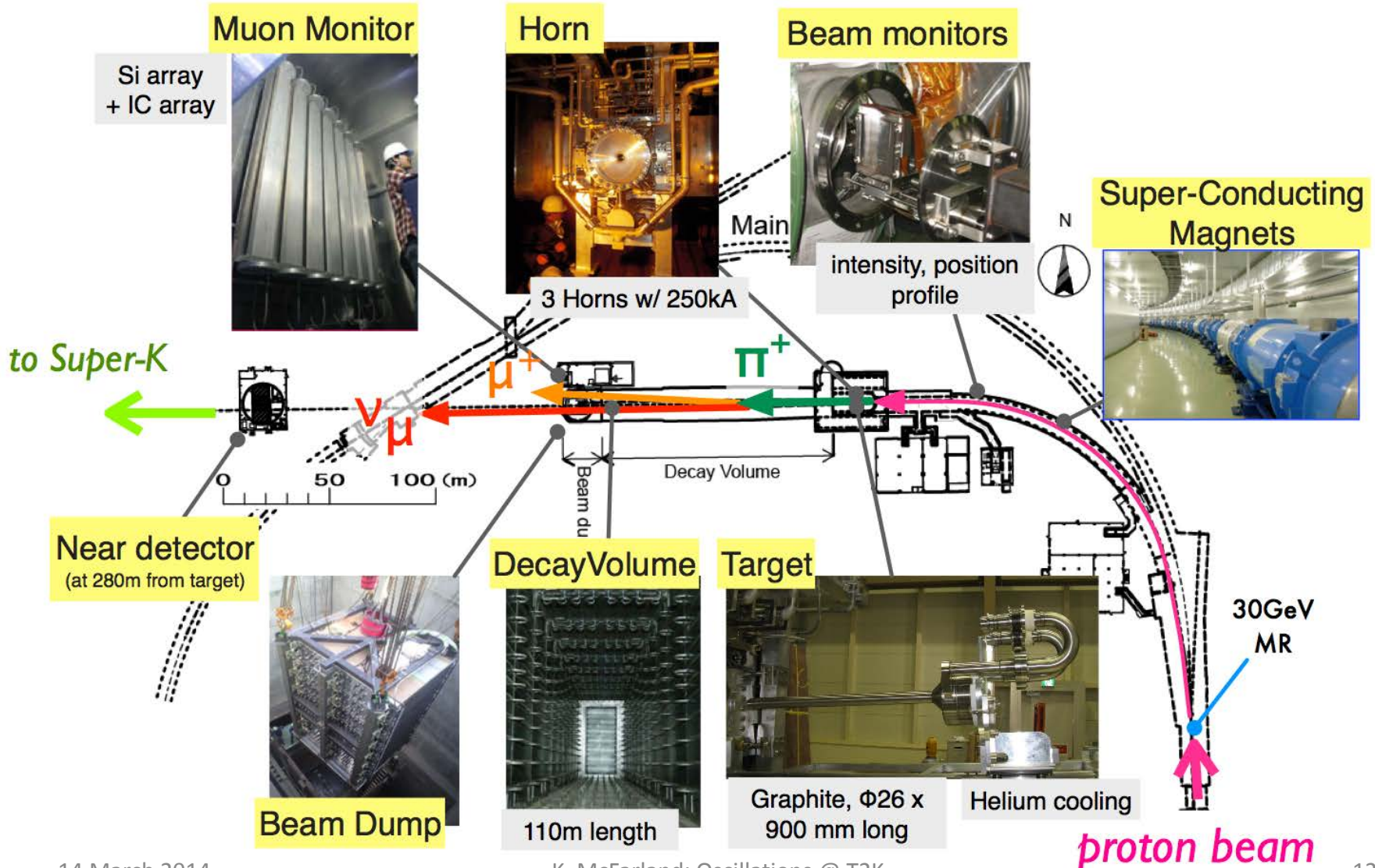


# Datasets



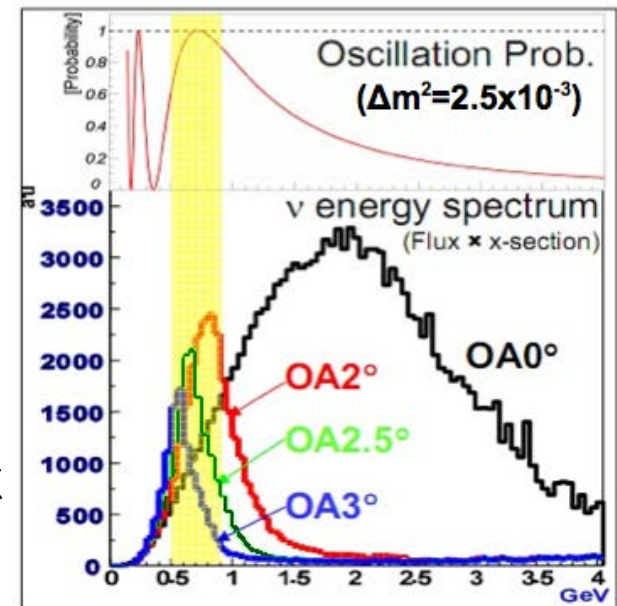
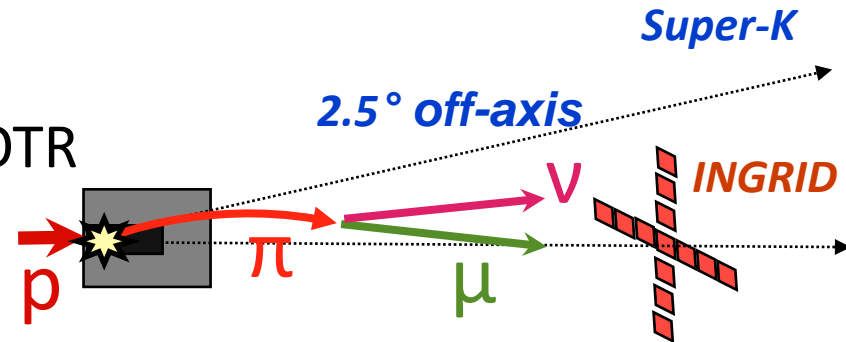
- Total delivered beam:  $6.63 \times 10^{20}$  Protons on Target (POT)
- Next beam to T2K in early summer 2014

# T2K Beamline

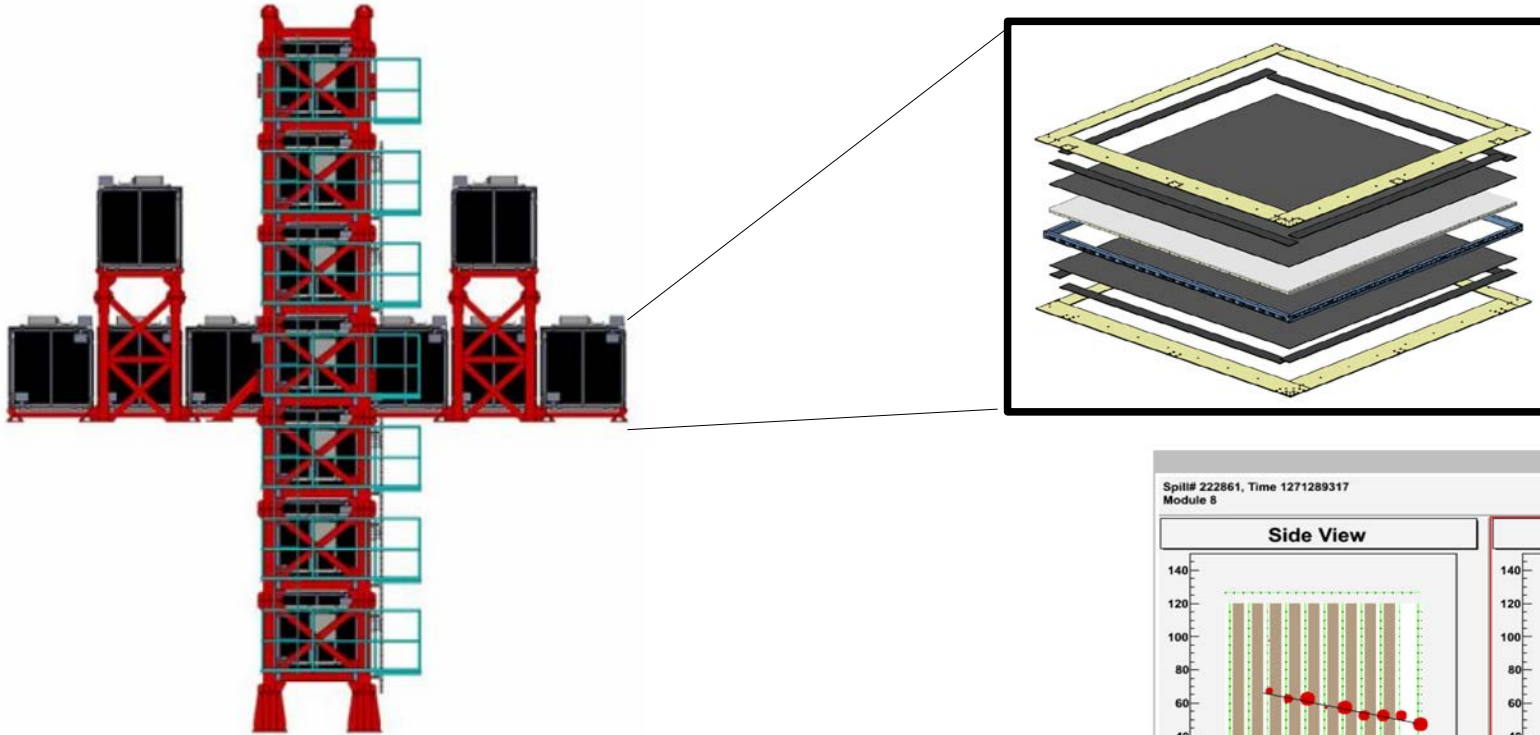


# Ingredients of Flux Prediction

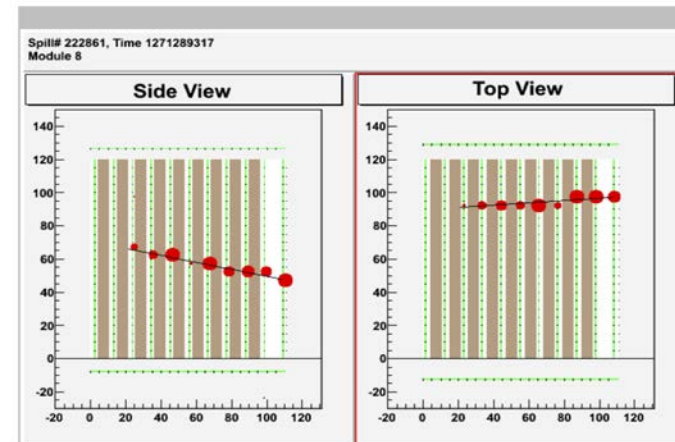
- Proton beam monitoring
  - Profile on target from SEMs, OTR
  - Intensity from beam toroid
- Hadroproduction measurements, notably CERN-NA61 thin carbon target data
  - Replica T2K “thick” target ( $1.9\lambda_0$ ) data in hand, and being analyzed
- Alignment of and current in horns
- The direction of the neutrino beam
  - 1 mrad change of  $\nu$  beam direction results in  $\sim 16$  MeV change of the peak neutrino energy in the observed rate



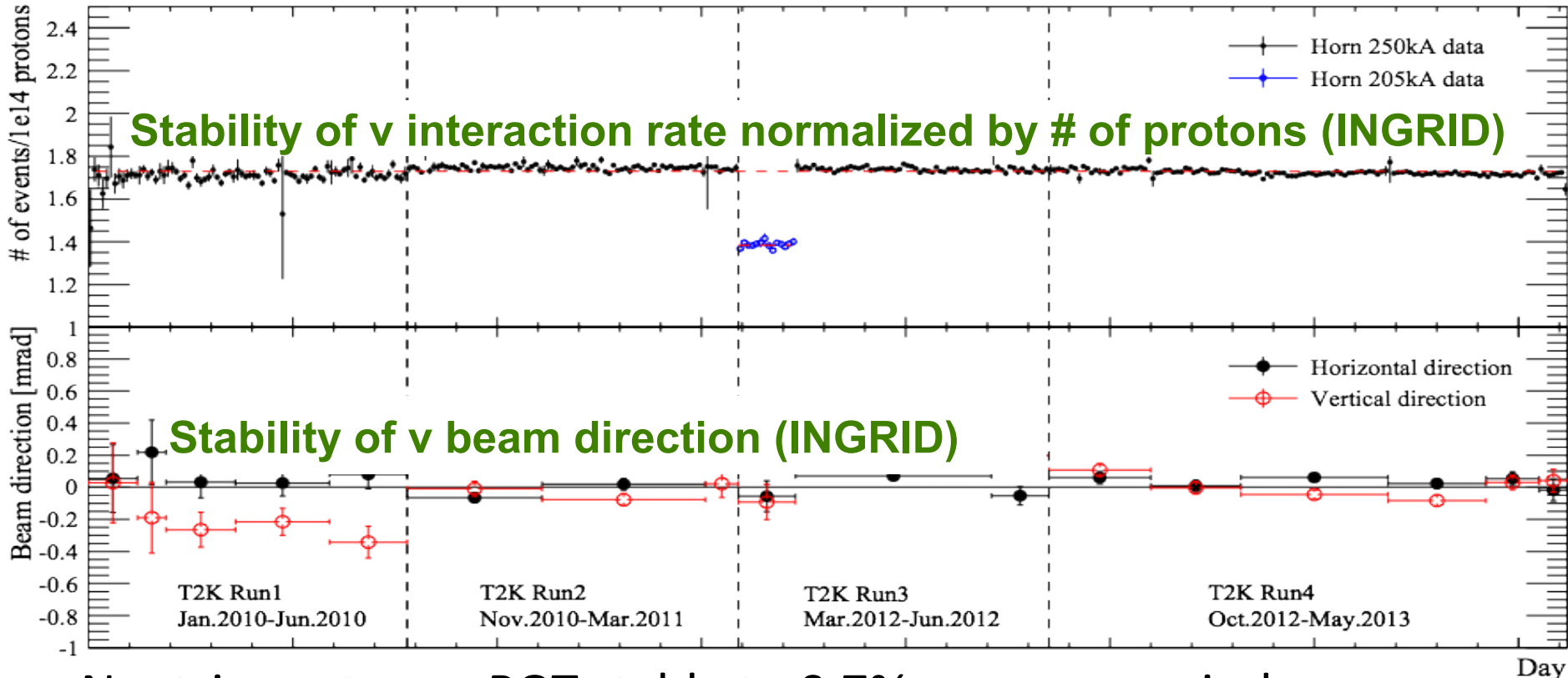
# ND280: On-axis (INGRID)



- 16 modules (14 in cross configuration)
- Iron and scintillator layers
- Measures neutrino beam profile and rate
- Counts muons as a function of angle



# Beam Stability



- Neutrino rate per POT stable to 0.7% over run period
- Recall: 1 mrad in beam direction is 16 MeV in peak  $E_\nu$
- Dataset includes  $0.21 \times 10^{20}$  p.o.t. with 250 $\rightarrow$ 205kA horn operation (13% flux reduction at peak) in Run3

# External Data and Flux



- Hadroproduction simulated with FLUKA2008.3d, weighted so that interactions match external data [1]
  - NA61/SHINE (CERN) [2][3], Eichten *et al.* [4], and Allaby *et al.* [5]

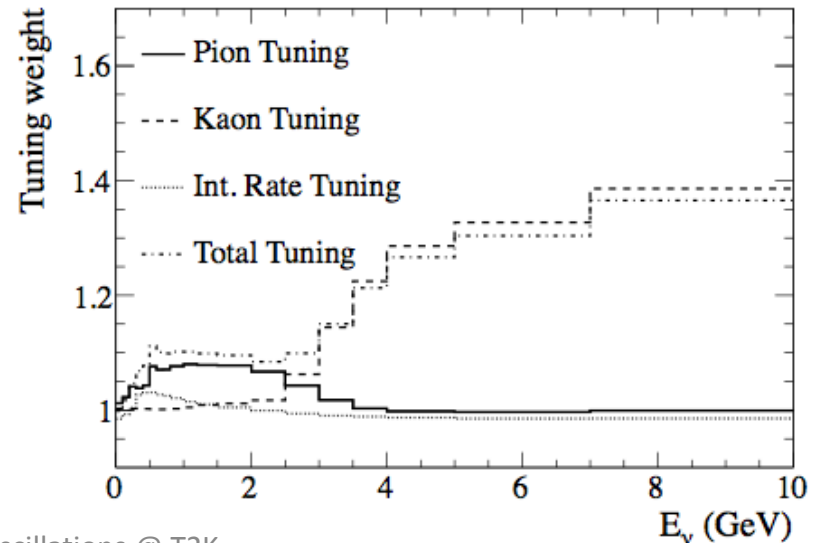
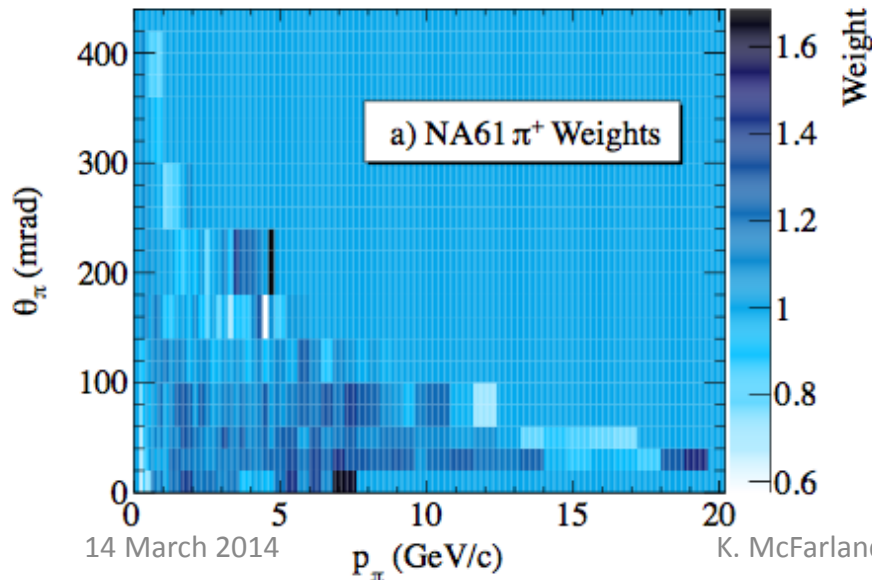
[1] K. Abe *et al.* (T2K Collaboration), Phys. Rev. D 87, 012001 (2013).

[2] N. Abgrall *et al.* (NA61/SHINE Collaboration), Phys. Rev. C 84, 034604 (2011)

[3] N. Abgrall *et al.* (NA61/SHINE Collaboration), Phys. Rev. C 85, 035210 (2012)

[4] T. Eichten *et al.*, Nucl. Phys. B 44 (1972)

[5] J. V. Allaby *et al.*, Tech. Rep. 70-12 (CERN,1970)

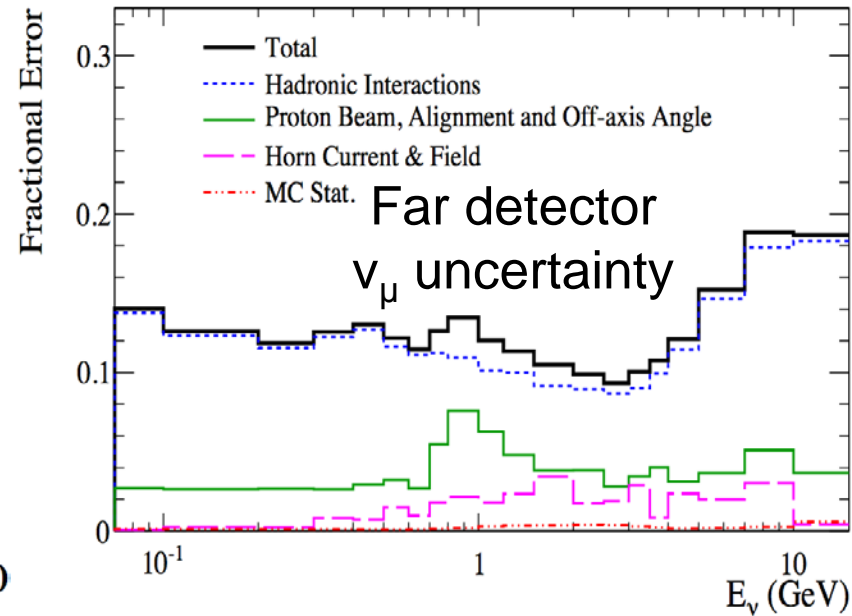
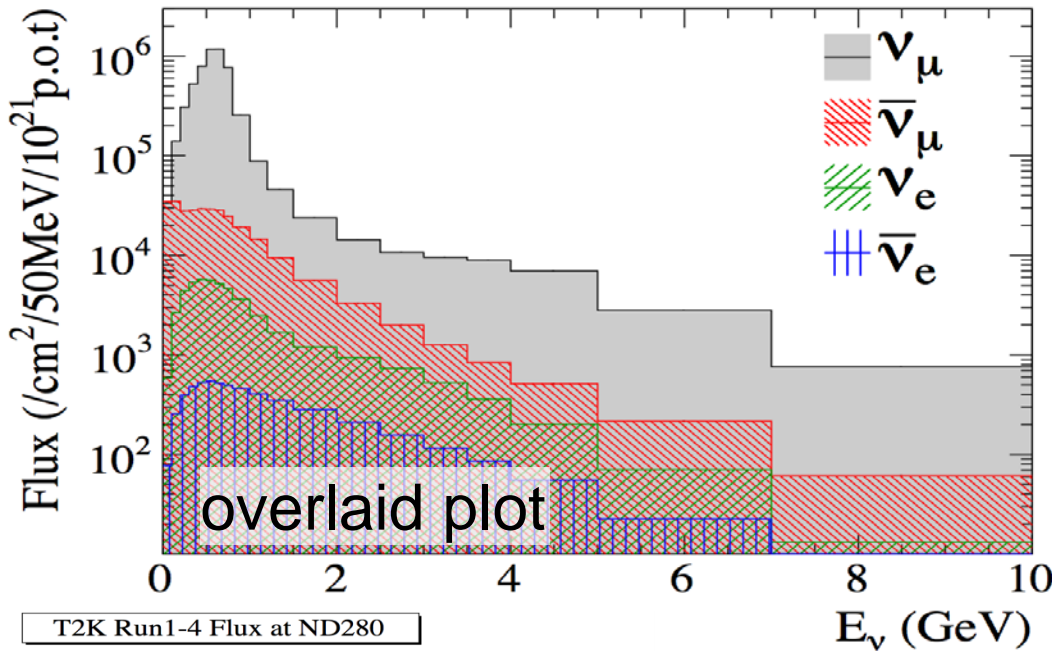




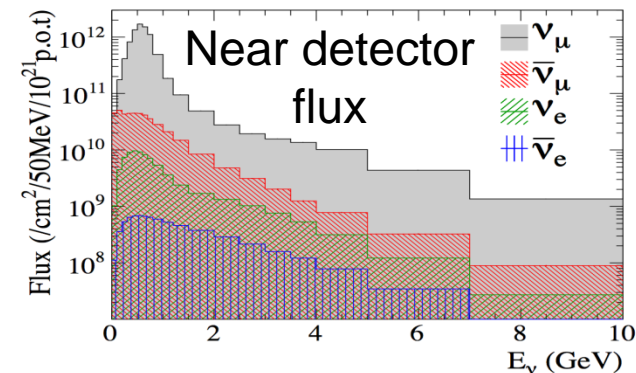
# Flux and Uncertainties



T2K Run1-4 Flux at Super-K



T2K Run1-4 Flux at ND280

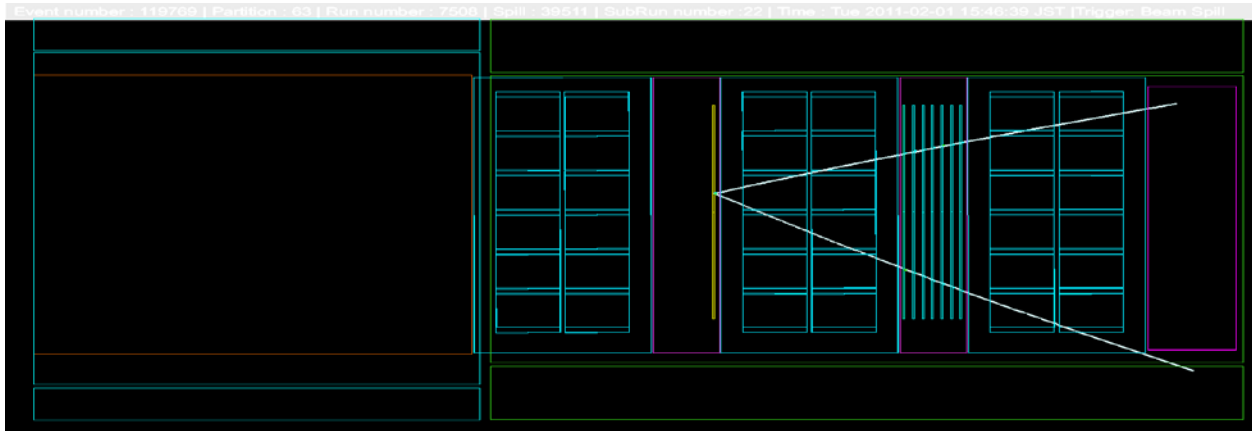
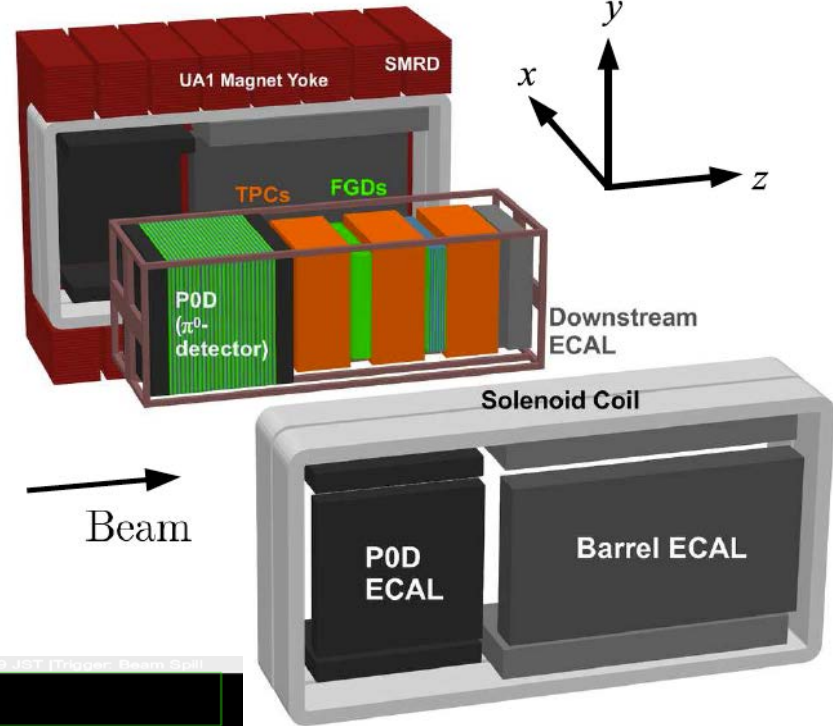


- A priori prediction of flux at Super-K has 10-15% uncertainties from 0.1 to 5 GeV
- Off-axis near (ND280) and Far (Super-K) fluxes are not identical, but highly correlated

# ND280: Off-axis Detectors



- Suite of tracking calorimeters and gas TPCs embedded in a 0.2T magnetic field
- Targets of both active polystyrene (CH) scintillator and passive water
- Muon, electron, proton and neutral and charged pion reconstruction capabilities

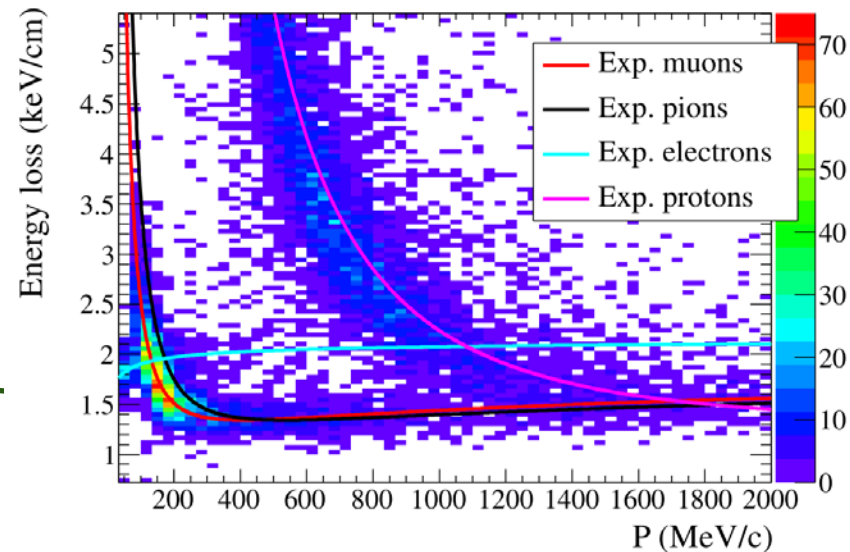
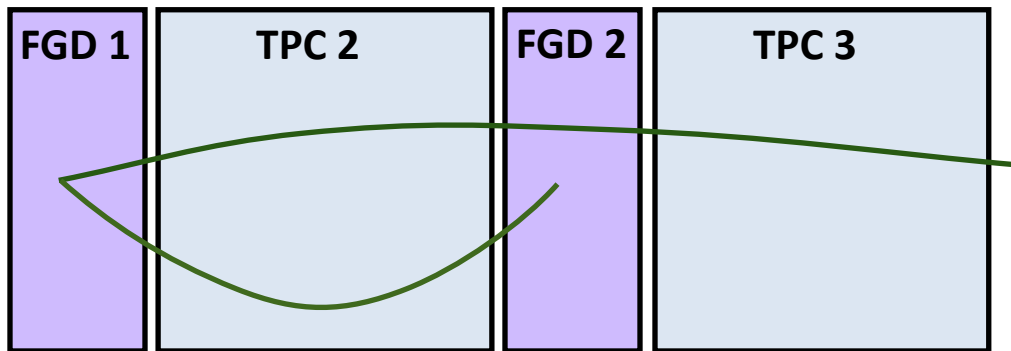


- Charged-current single charged pion candidate
- Muon and pion identified by  $dE/dx$  in TPC gas
  - Momentum from curvature in field

# Near Detector Samples for Oscillation Analyses



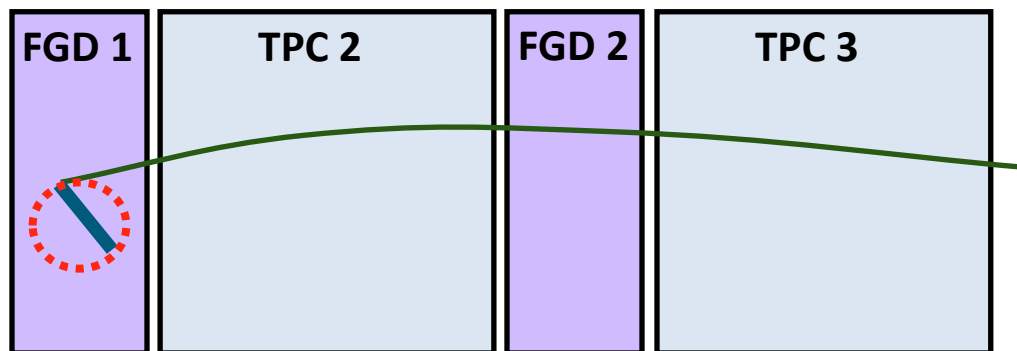
- Off-axis near detectors constrains flux and cross-sections.
- Exclusive samples based on # of final state charged pions
- Muon selection: highest momentum negative track in TPC from FGD1 (scintillator) target
- Pion selection depends on detector
- If pion tracked in TPC, ID by  $dE/dx$  in the TPC gas



# Near Detector Samples for Oscillation Analyses



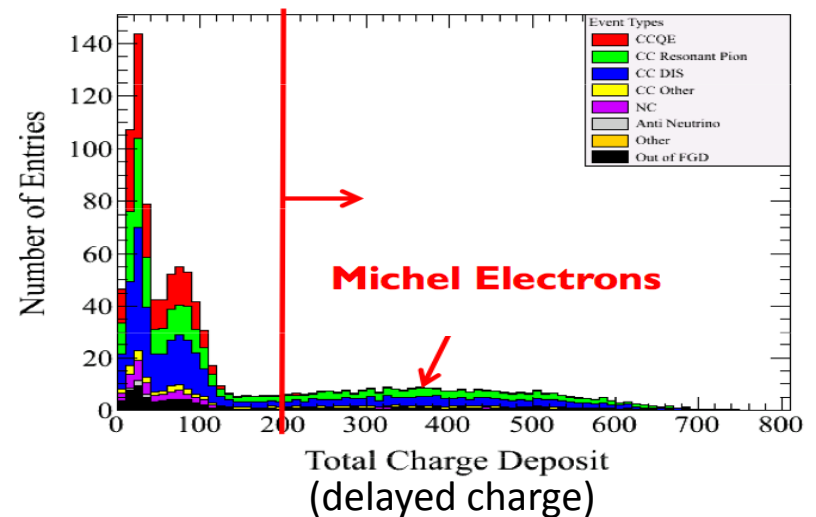
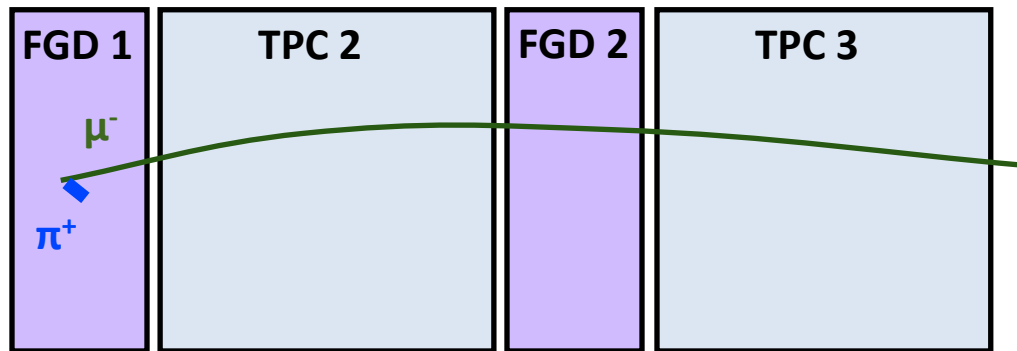
- Off-axis near detectors constrains flux and cross-sections.
- Exclusive samples based on # of final state charged pions
- Muon selection: highest momentum negative track in TPC from FGD1 (scintillator) target
- Pion selection depends on detector
  - FGD-contained pions identified by  $dE/dx$
  - Reconstruction less efficient than TPC
  - Tag at most 1 FGD pion



# Near Detector Samples for Oscillation Analyses



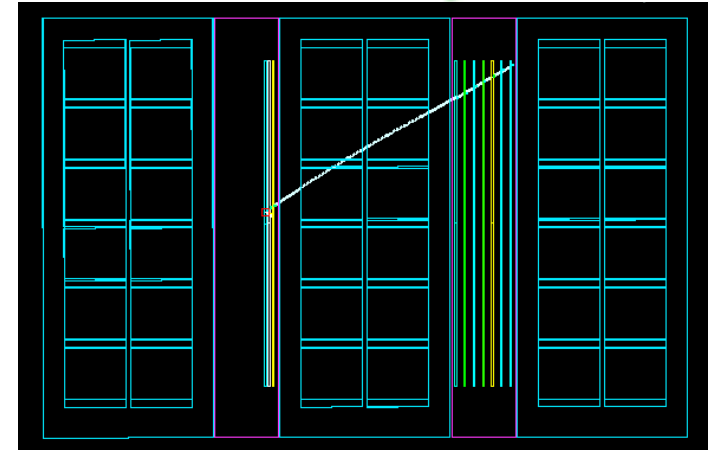
- Off-axis near detectors constrains flux and cross-sections.
- Exclusive samples based on # of final state charged pions
- Muon selection: highest momentum negative track in TPC from FGD1 (scintillator) target
- Pion selection depends on detector
- Untracked pions may be tagged by Michel  $e^-$



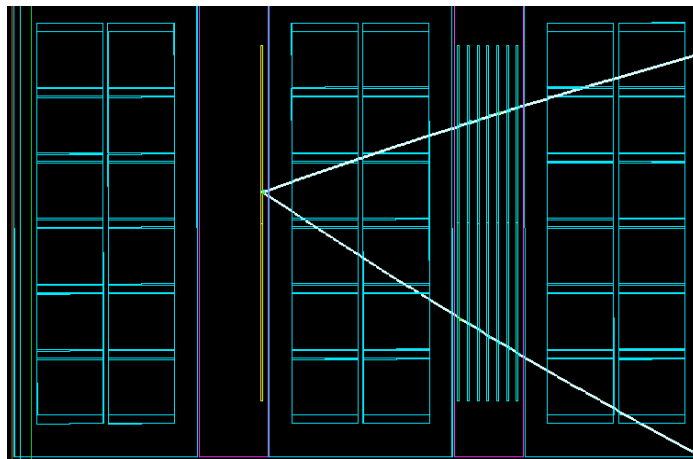
# ND280 Event Categories



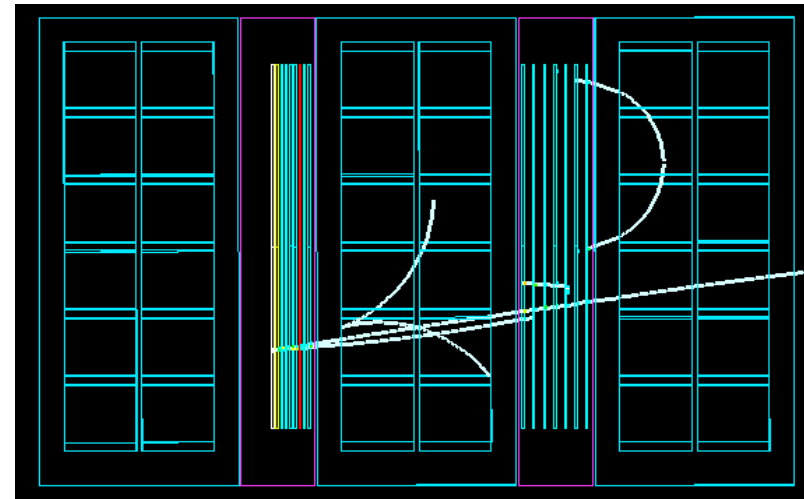
- Charged current (CC) with  $0\pi$



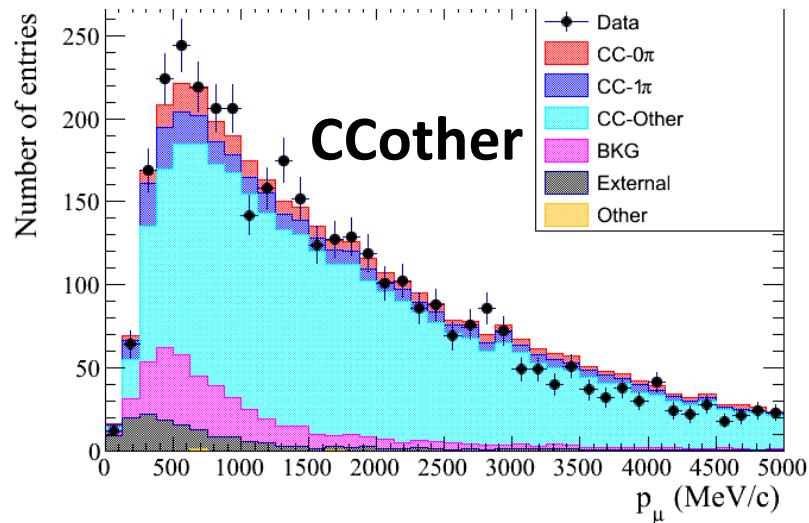
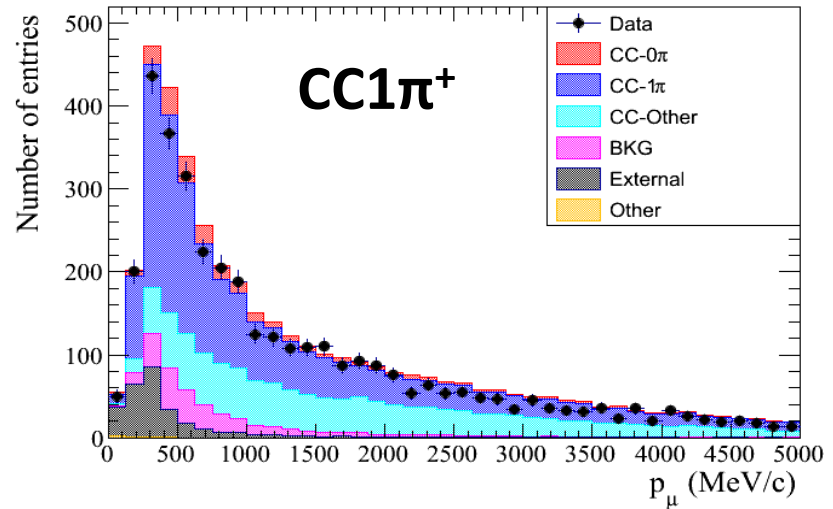
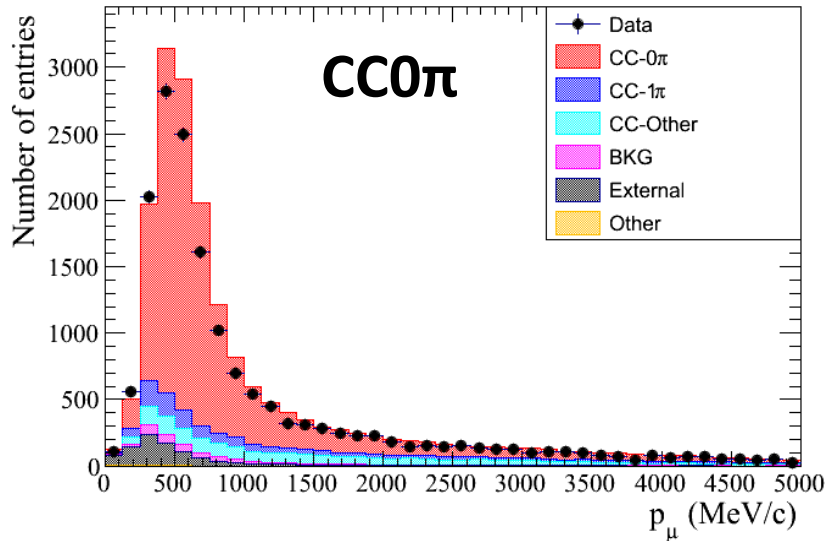
- CC  $1\pi^+$



- CC Other ( $\geq 1\pi^-$  or  $\pi^0$ , or  $>1\pi^+$ )
  - $\pi^0$  candidates have identified electrons in the TPC
- Disappearance analysis joins CC  $1\pi^+$  and CC other together

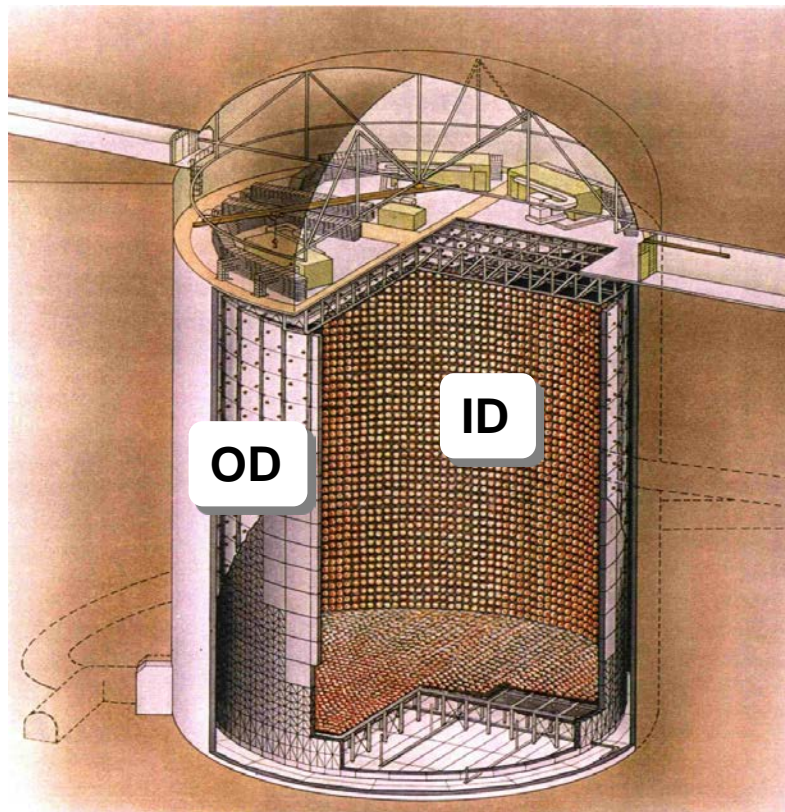


# Muon Momentum in ND280



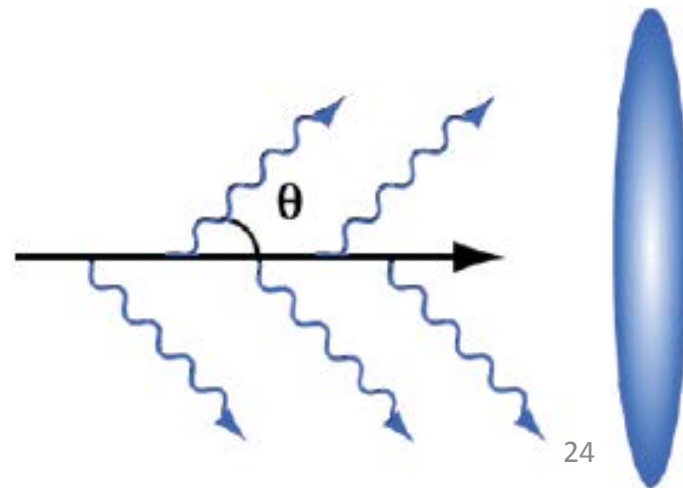
True identification of interaction	CC0π sample	CC1π sample	CCother sample
CC0π	72.6%	6.4%	5.8%
CC1π	8.6%	49.4%	7.8%
CCother	11.4%	31%	73.8%
Bkg(NC+anti-nu)	2.3%	6.8%	8.7%
Out of FGD1 Fid Vol	5.1%	6.5%	3.9%

# Super-K (Far) Detector



- 50 kton (22.5 kton fiducial volume) water cerenkov detector
- ~11,000 20" PMT for inner detector (ID) (40% photo coverage)
- ~2,000 outward facing 8" PMT for outer detector (OD): veto cosmics, radioactivity, exiting events
- Good reconstruction for T2K energy range

Cerenkov light produces a ring detected by the PMTs



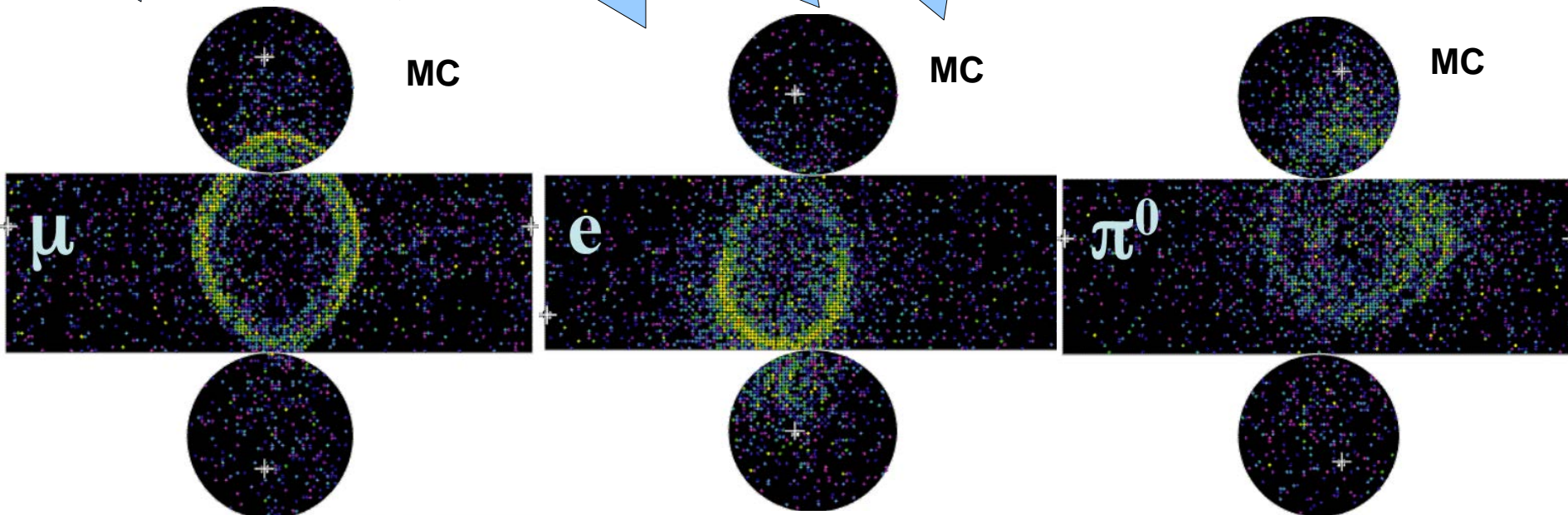
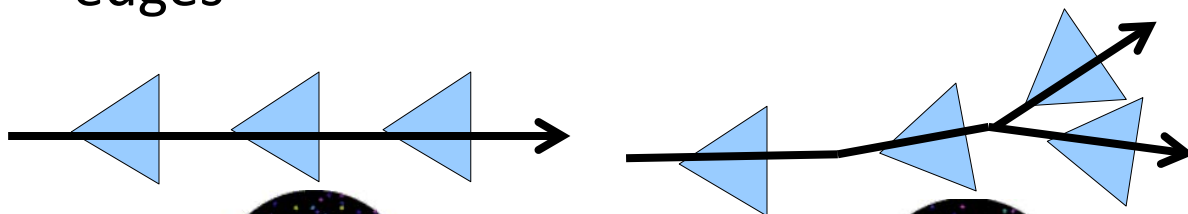


# Particle Identification at SK

- Muon scattering is minimal
- Rings with sharp edges

- Electromagnetic shower
- Rings are “fuzzy”

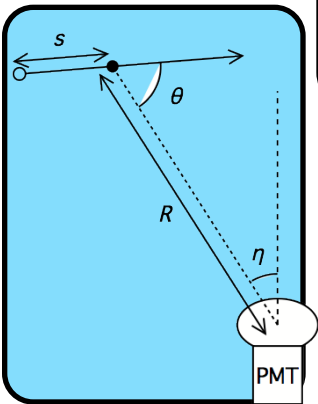
- $\gamma$  from  $\pi^0$  decays shower and look like electrons
- Multiple fuzzy rings



# fiTQun: Improved Super-K Reconstruction Algorithm



- Each hit PMT gives charge and time information
- For a given event topology hypothesis, it is possible to produce a charge and time PDF for each PMT
  - Based on MiniBooNE likelihood model (NIM A608, 206 (2009))
- Event hypotheses are distinguished by best-fit likelihoods, e.g., electron vs muon or  $\pi^0$



$$\mu^{\text{dir}} = \Phi(p) \int ds g(s, \cos \theta) \Omega(R) T(R) \epsilon(\eta)$$

Light Yield

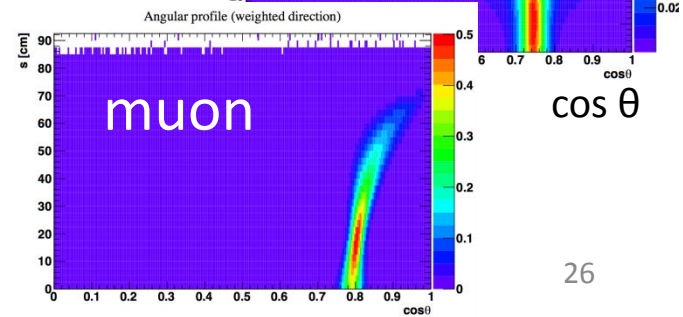
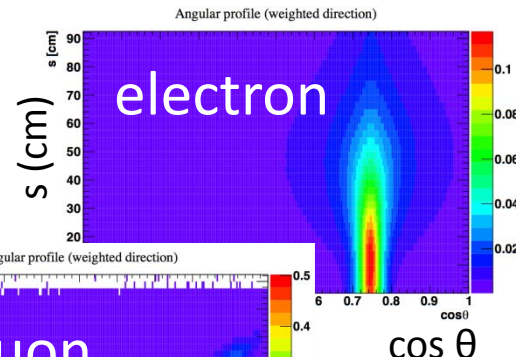
Integral over track length

PMT solid angle

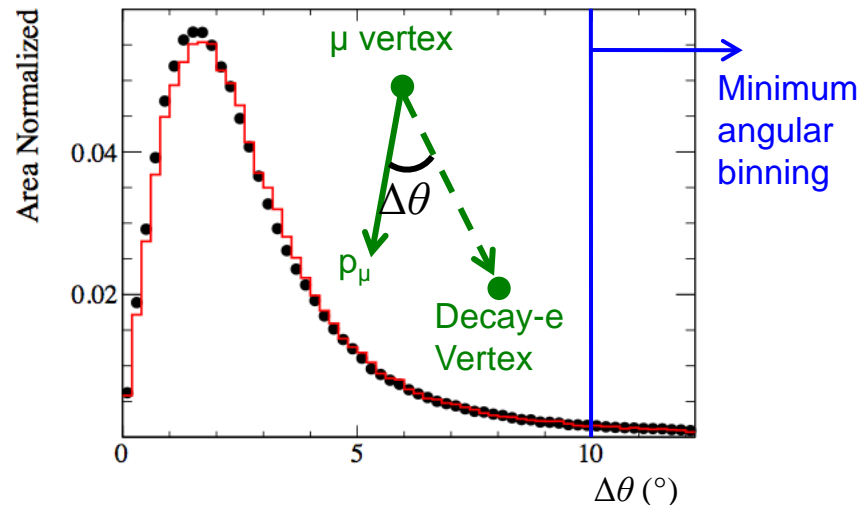
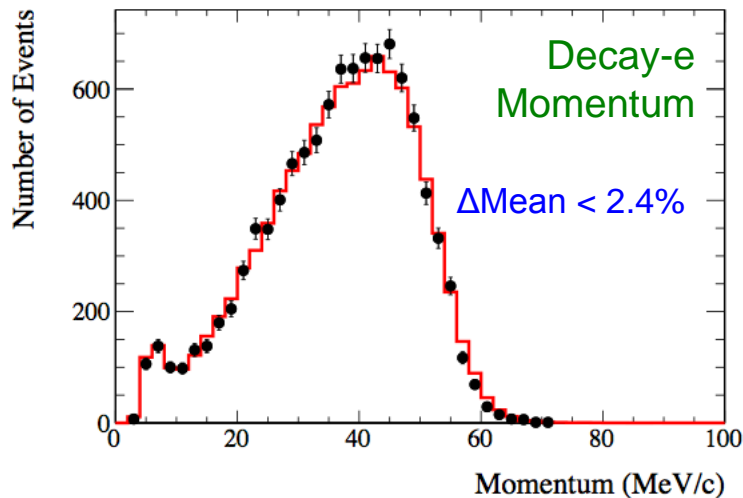
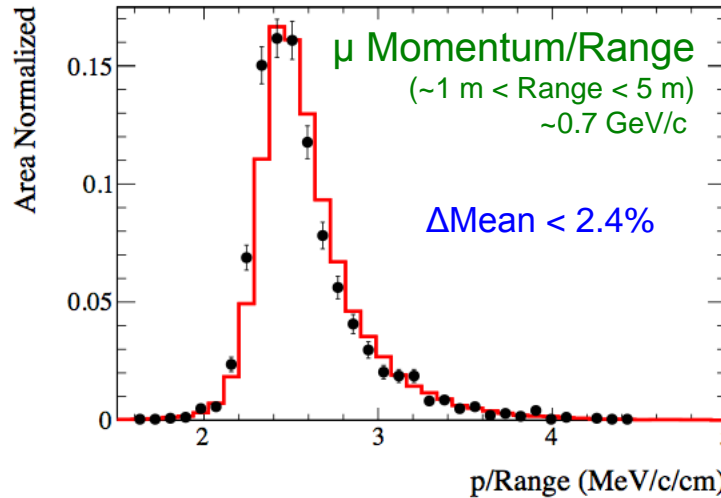
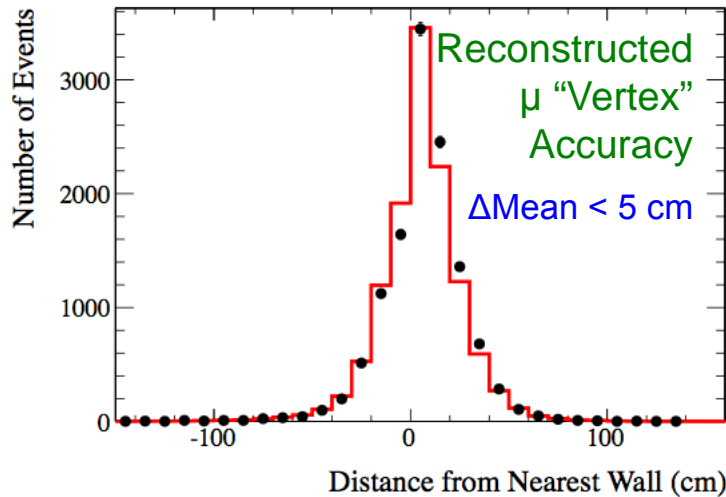
Water attenuation

PMT angular response

Cerenkov light emission profile



# Validation with Stopping $\mu$ in Super-Kamiokande



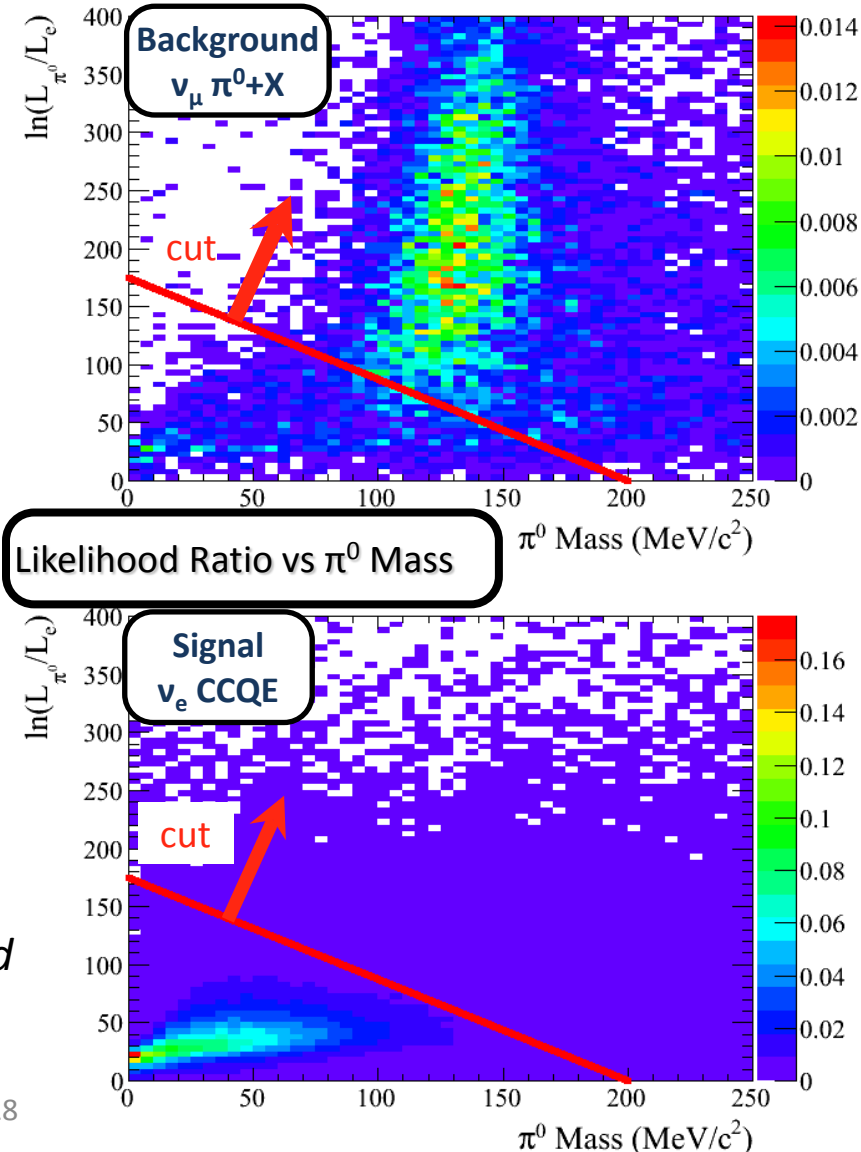
- Data/MC agreement within systematic uncertainties

# Enhanced $\pi^0$ Rejection



- fiTQun can use mass of the  $\pi^0$  hypothesis and best-fit likelihood ratio of  $e^-$  and  $\pi^0$
- Cut removes 70% more  $\pi^0$  background than previous<sup>§</sup> method for a 2% added loss of signal efficiency

<sup>§</sup> Previous approach (POLFit) forced the reconstruction to find two rings and then formed a  $\pi^0$  mass under the two-photon hypothesis

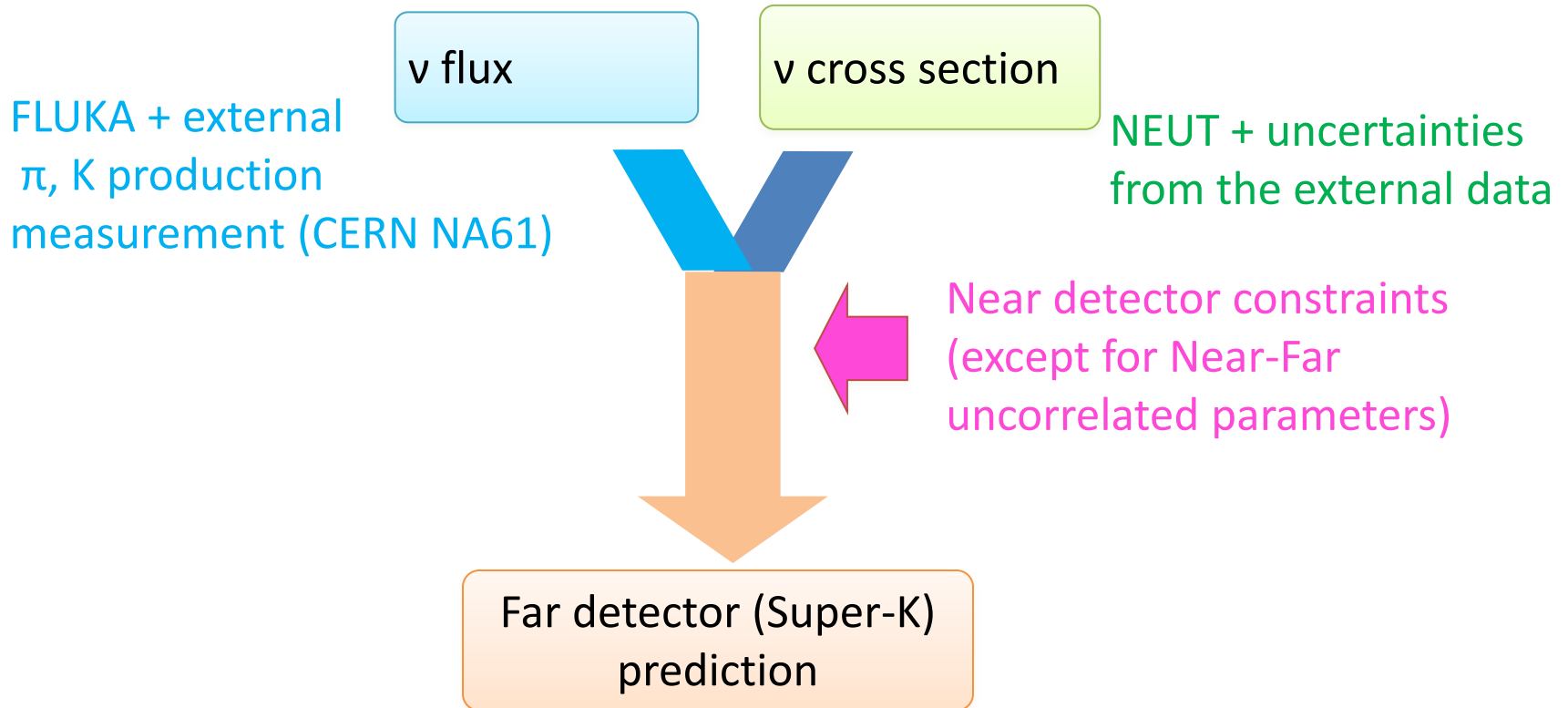


# OSCILLATION ANALYSIS TECHNIQUE

# Oscillation Prediction



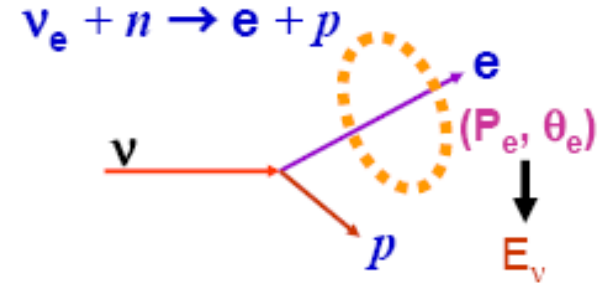
Our MC is based on the  $\nu$  flux and cross section predictions from external data and models. We further constrain those predictions by the near detector measurement.



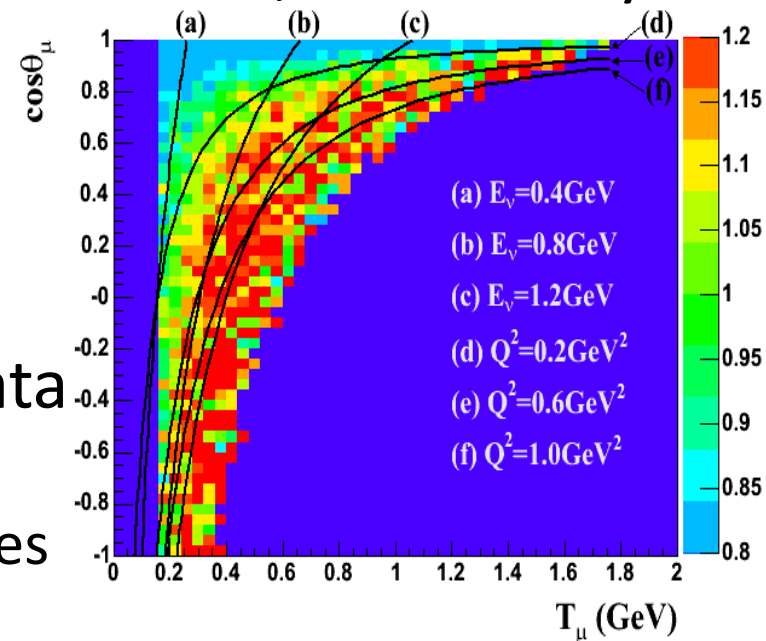
# Cross-section Model: CCQE



- Signal reaction for T2K energies
  - Elastic kinematics allow us to measure neutrino energy from muon



- T2K, like all practitioners in this business, is currently using a very simple model
  - Nucleon form factors from e<sup>-</sup> scattering and νD<sub>2</sub> scattering
  - Model of nucleus is Fermi gas
- Problem: doesn't agree with data
- Approach: add effective parameters (M<sub>A</sub>, normalization) with uncertainties that span base model and data



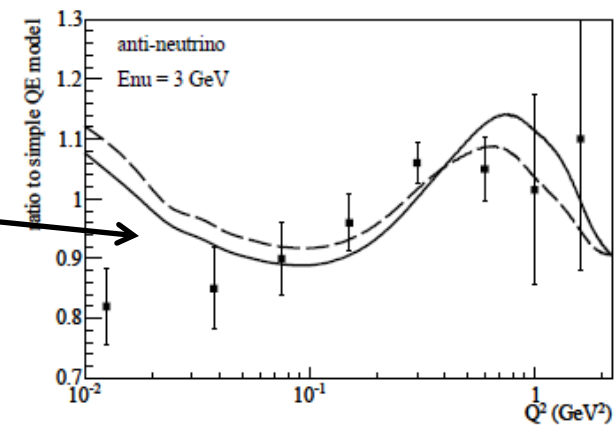
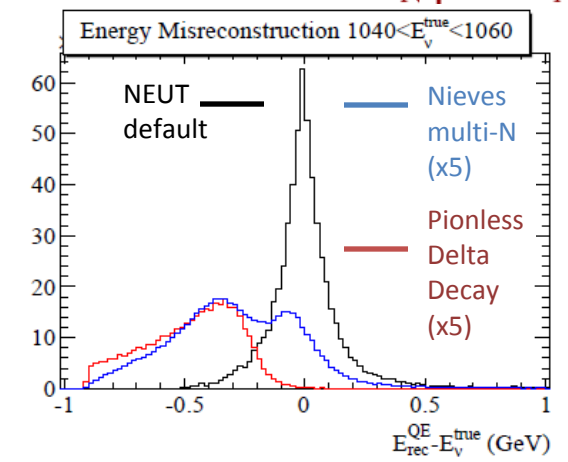
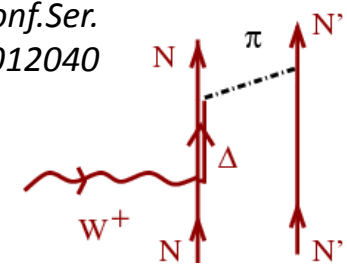
MiniBooNE (*Phys. Rev.* **D81** 092005, 2010)

# Multi-Nucleon Contributions to CCQE



Nieves, J. et al. *J.Phys.Conf.Ser.* 408 (2013) 012040

- There is growing evidence that the underlying physics behind this discrepancy is due to multi-nucleon correlations in nucleus
- This is worrying because such effects will disrupt the elastic scattering kinematics we use to measure neutrino energy
  - Particularly problematic for  $\nu_{\mu} \rightarrow \nu_{\mu}$
- Fortunately, the growing evidence also suggests that recent microphysical models are describing this physics
  - MINERvA data PRL 111, 022501 and 022502 reasonably described with such a model
- More later...



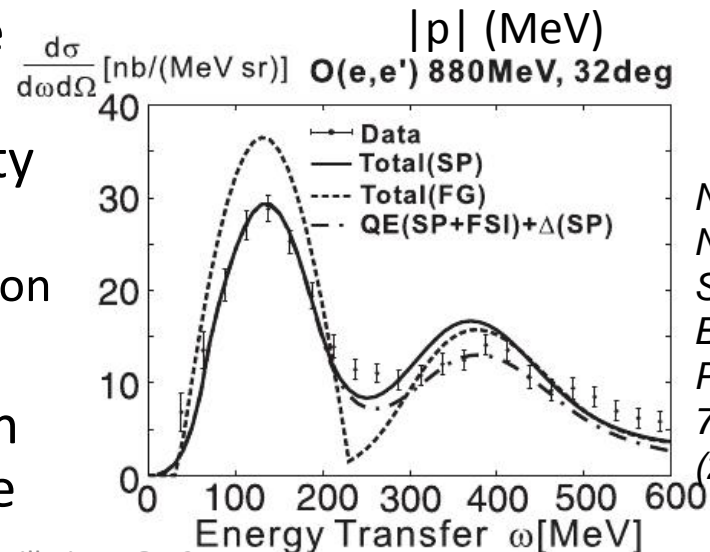
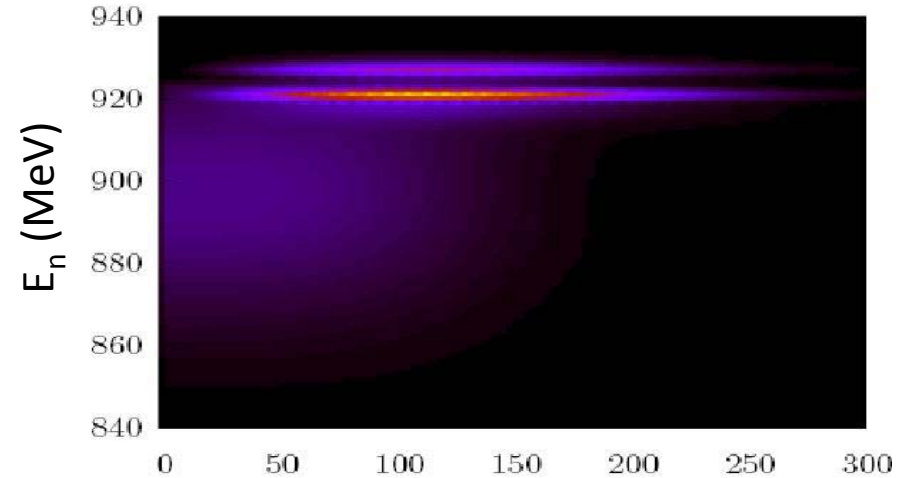


# Beyond Fermi Gas for CCQE



O. Benhar et al, *Nucl.Phys. A579* (1994) 493-517  
 Ankowski and Sobczyk, *Phys.Rev. C74* (2006) 054316

- There are also better nuclear models than a Fermi Gas
- Spectral function models define probability to remove a nucleon with a given momentum and energy state
- Small distortion to elastic kinematics
- Currently, we take the difference between this and a Fermi Gas model as a systematic uncertainty
  - Uses NuWro generator's implementation of spectral function
  - Significant in current analyses
- Will switch to spectral function in default models in the near future

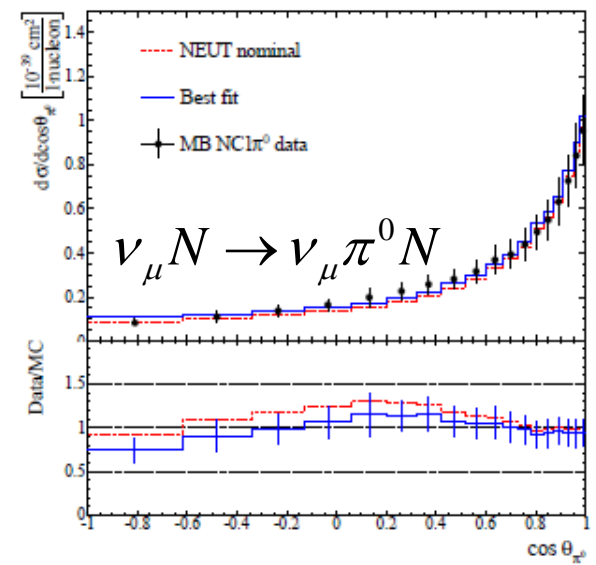
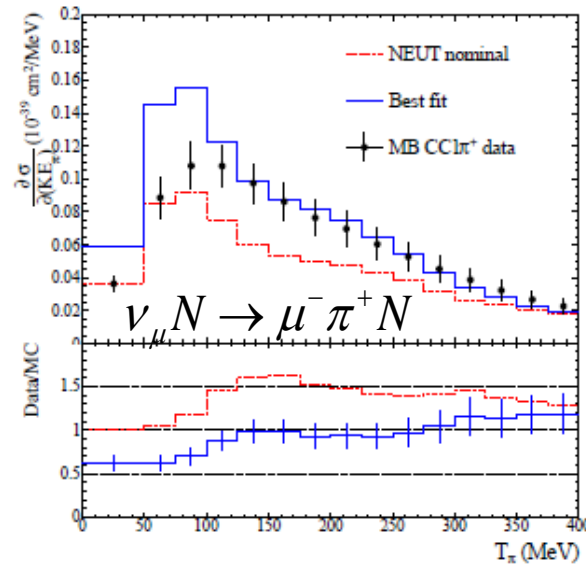
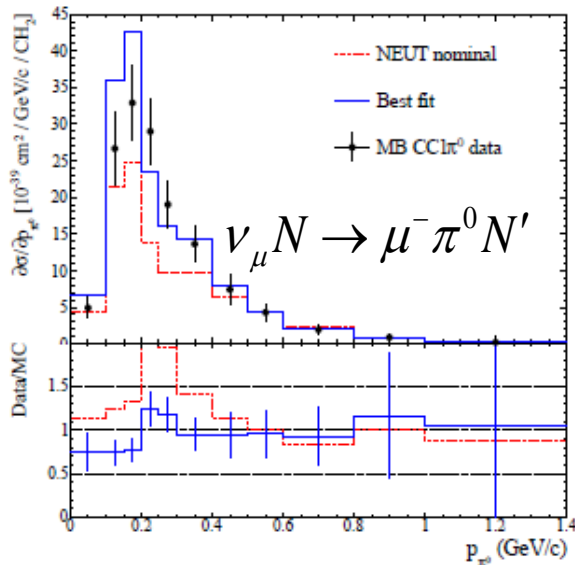


Nakamura,  
 Nasu,  
 Sakuda and  
 Benhar,  
*Phys. Rev. C*  
 76, 065208  
 (2007)

# Cross-section: Pion Production



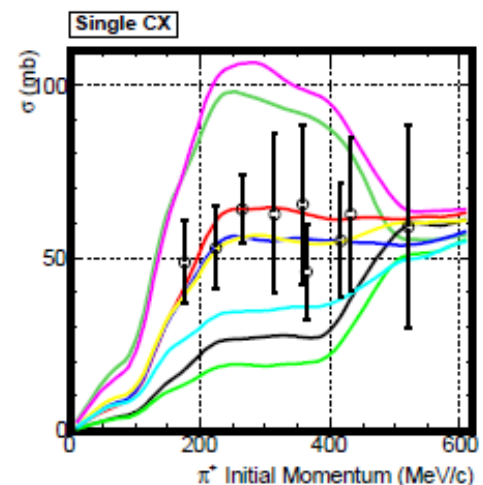
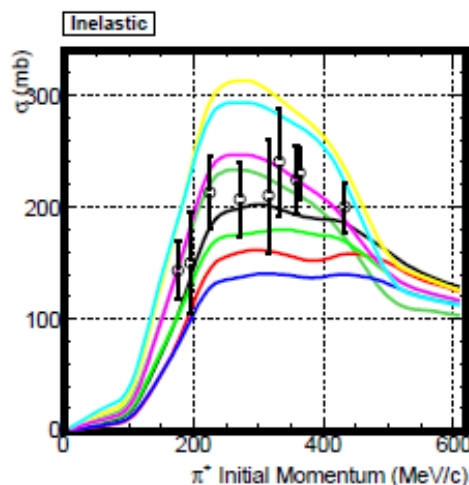
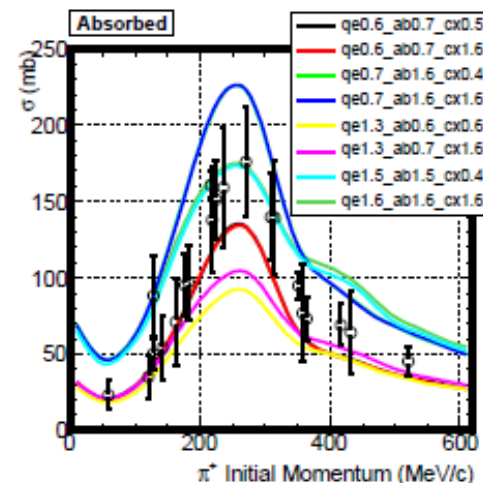
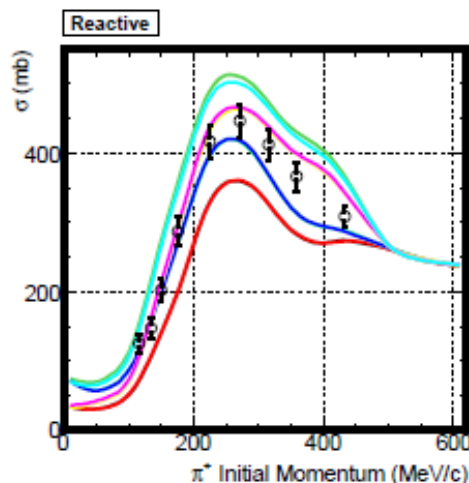
- Single pion data from MiniBooNE has been the core reference for T2K backgrounds
  - $\nu_\mu N \rightarrow \nu_\mu \pi^0 X$  as a background to  $\nu_\mu \rightarrow \nu_e$  signal
  - $\nu_\mu N \rightarrow \mu^- \pi^+ X$  as a background to  $\nu_\mu \rightarrow \nu_\mu$  (energy misreconstruction)
- Again, current models do not describe this data well
- Again, systematic uncertainties assigned to this span reference model and data as effect parameters



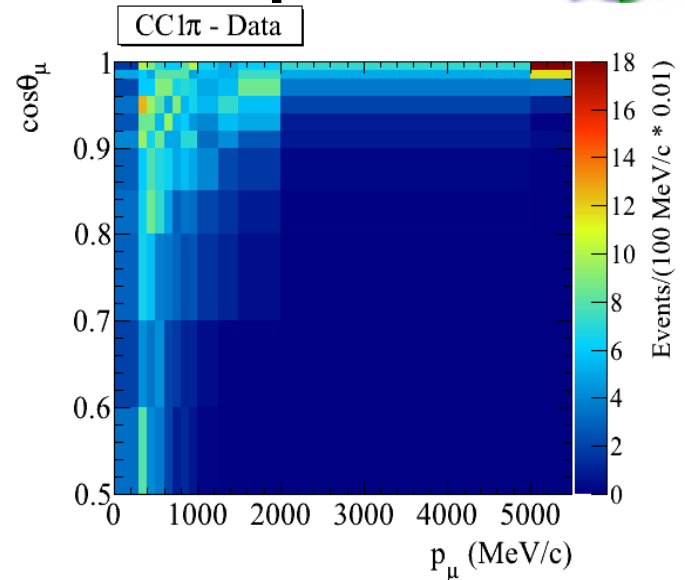
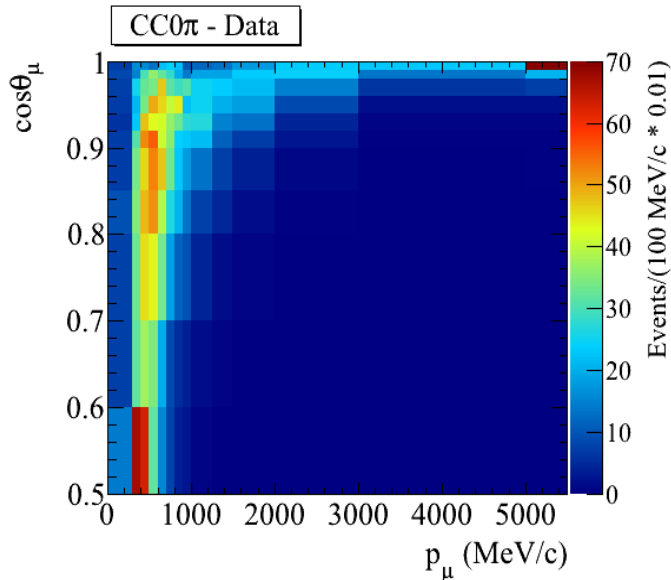
# Cross-section: Final State Interactions



- Interactions of final state hadrons in nucleus can cause migration from signal to background type events
- Constrain with external pion-nucleus scattering data in a cascade model
- Uncertainties assigned to span the pion-nucleus scattering data

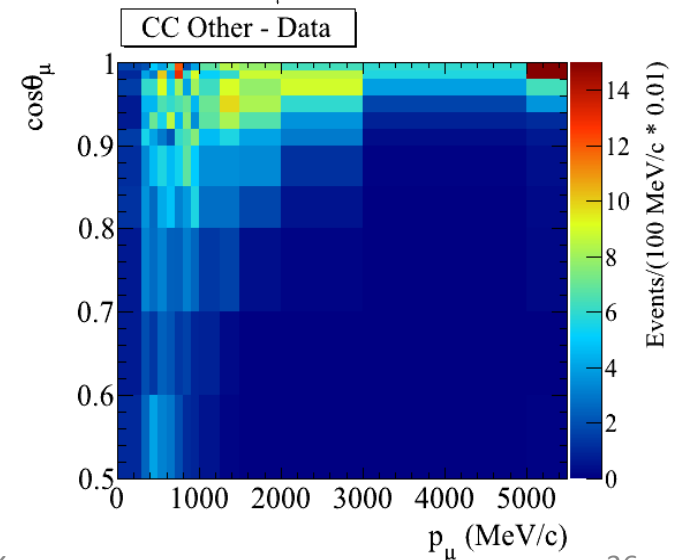


# ND280 Constraint Fit Inputs

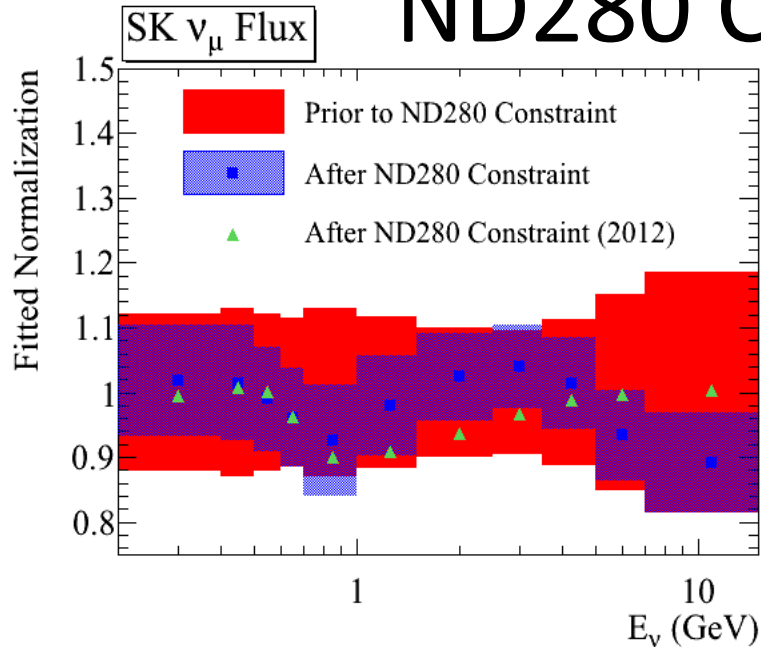


Data from T2K Runs 1-4, binned in muon momentum ( $p$ ) and angle ( $\cos\theta$ )

Selection	Number of Events
CC0 $\pi$	16912
CC1 $\pi$	3936
CC Other	4062
CC Inclusive	24910



# Flux and Cross-Sections after ND280 Constraint



Parameter	Prior to ND280 Constraint	After ND280 Constraint
$M_A^{QE}$ (GeV)	$1.21 \pm 0.45$	$1.22 \pm 0.07$
CCQE Norm.*	$1.00 \pm 0.11$	$0.96 \pm 0.08$
$M_A^{RES}$ (GeV)	$1.41 \pm 0.22$	$0.96 \pm 0.06$
CC1 $\pi$ Norm.**	$1.15 \pm 0.32$	$1.22 \pm 0.16$

\*For  $E_\nu < 1.5$  GeV

\*\*For  $E_\nu < 2.5$  GeV

- ND280 constraint reduces both flux and cross-section model uncertainties individually
  - Note in particular reductions on the “ $M_A$ ” parameters which set  $Q^2$  shape of these events
- Flux and cross-section parameters are anti-correlated after these fits because the constraint is a rate at ND280

# Far Detector Prediction after ND280 Constraint



	$\sin^2 2\theta_{13}=0.1$		$\sin^2 2\theta_{13}=0.0$	
	$\nu_e$ Prediction (Events)	Error from Constrained Parameters	$\nu_e$ Prediction (Events)	Error from Constrained Parameters
No ND280 Constraint	22.6	26.5%	5.3	22.0%
ND280 Constraint (2012, Runs 1-3, disappearance)	21.6	4.7%*	5.1	6.1%*
ND280 Constraint (Runs 1-4, appearance)	20.4	3.0%	4.6	4.9%

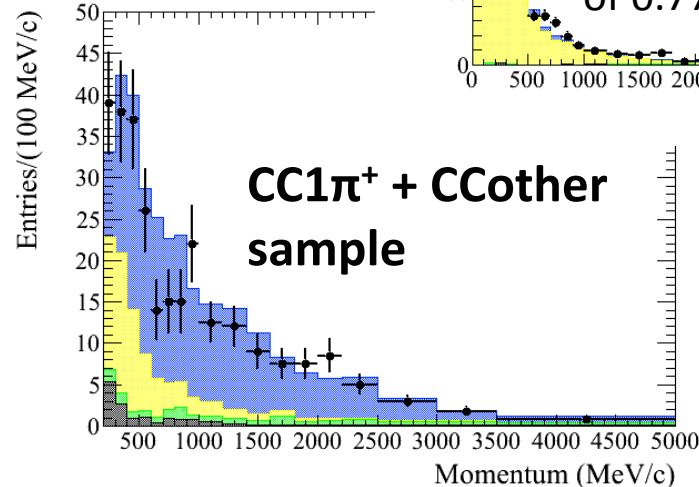
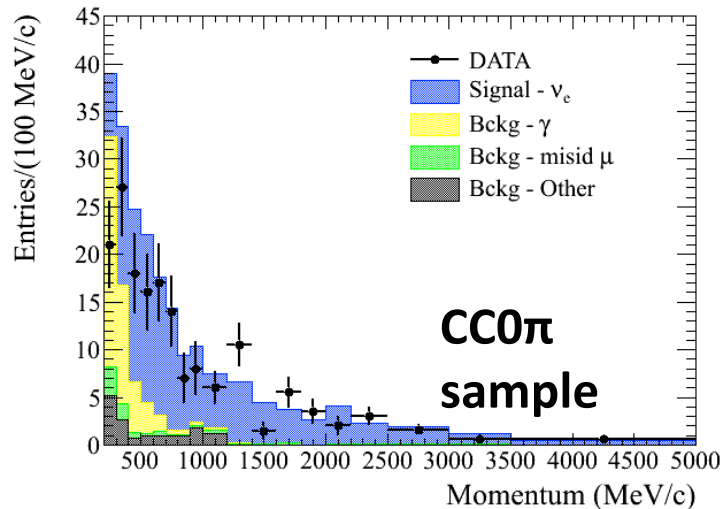
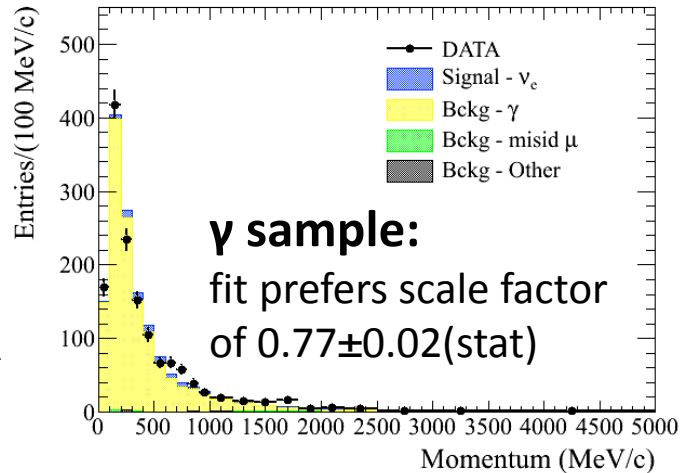
- Far detector prediction uncertainties after ND280 constraint are smaller due to recent improvements (Run 1-3 → Runs 1-4)
  - Improved ND280 reconstruction and selections
  - Finer binning in  $p-\theta$

\*Uncertainties reduced from previous T2K result due to new SK  $\pi^0$  rejection algorithm

# ND280 $\nu_e$ Measurement



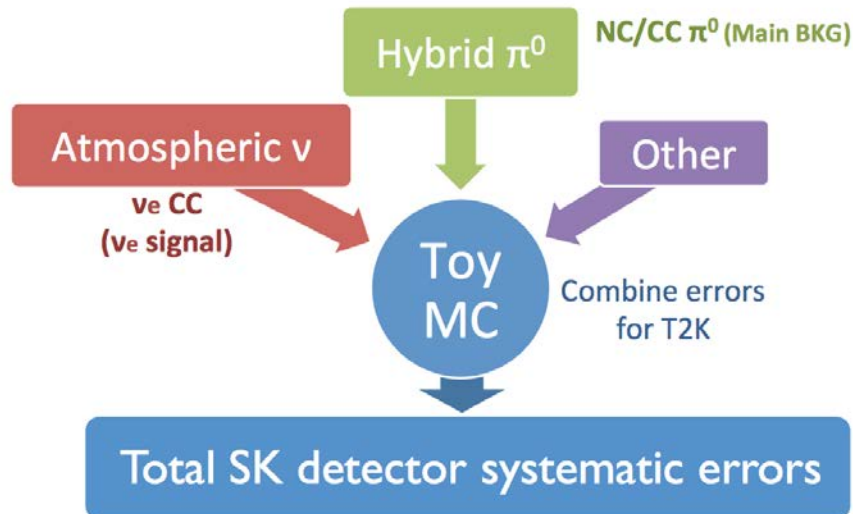
- Can check if pre-oscillation  $\nu_e$  component of beam is correctly predicted in ND280
- Interactions in FGD and particle ID in TPC
- Major background: photons from  $\pi^0$  decays
- Fit  $CC0\pi$ ,  $CC1\pi$ +other and  $\gamma$  sideband



$$\frac{\text{measured } \nu_e \text{ flux}}{\text{predicted } \nu_e \text{ flux}} = 1.06 \pm 0.06(\text{stat}) \pm 0.08(\text{syst})$$

*Fine print: this analysis uses the results of the ND280 muon neutrino constraints*

# Far Detector Reconstruction Systematic Uncertainties



- Evaluation of Super-K detector systematic uncertainties uses control samples from the data
  - Atmospheric  $\nu_e$
  - Hybrid  $\pi^0$  (electron from  $\nu_e$  CC and MC photon)
  - Cosmic ray muon samples
- Combine errors with Toy MC method



# Oscillation Likelihood Fits

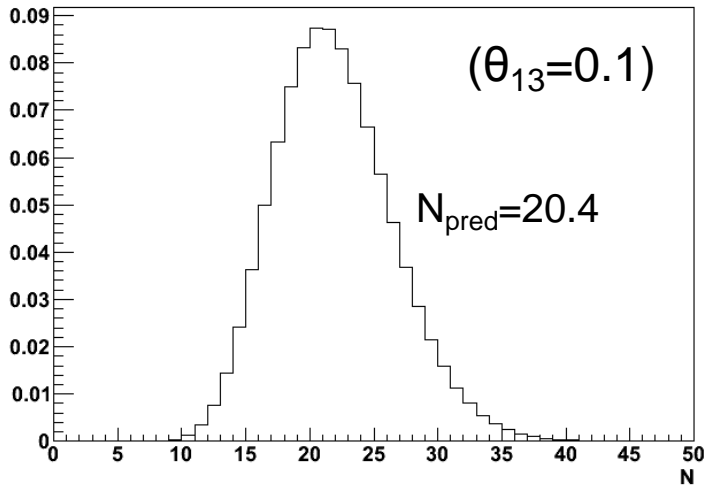


$$\mathcal{L} = \mathcal{L}_{norm} \times \mathcal{L}_{shape} \times \mathcal{L}_{syst}$$

Systematic parameter constraint term. Systematic parameters may be naturally floated in fits.

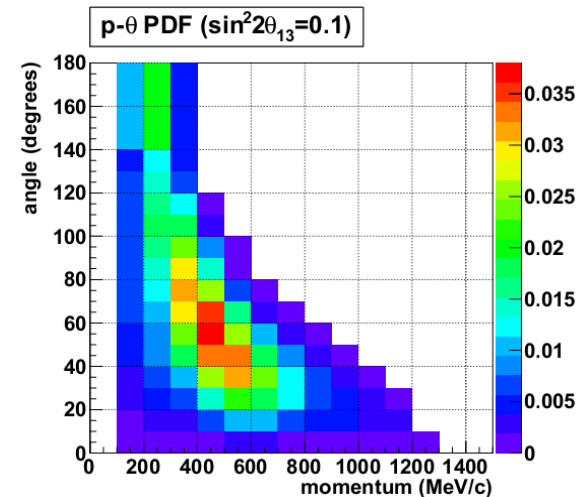
$$Poisson(N_{obs})_{\text{mean}=N_{pred}}$$

$\mathcal{L}_{norm}$  is the probability to have  $N_{obs}$  when the predicted number of events is the Poisson distribution with mean =  $N_{pred}$ .



$$\prod_{i=1}^{N_{obs}} \phi(p_i, \theta_i)$$

$\mathcal{L}_{shape}$  is the product of the probabilities that each event has  $(p_i, \theta_i)$ .  
 $\phi$ : Predicted  $p$ - $\theta$  distribution (PDF).



# $\nu_{\mu} \rightarrow \nu_{\mu}$ RESULTS

T2K collaboration, arXiv.1403.1532, submitted to PRL  
(Run 1-3 result in Phys. Rev. Lett. 111 (2013) 211803)

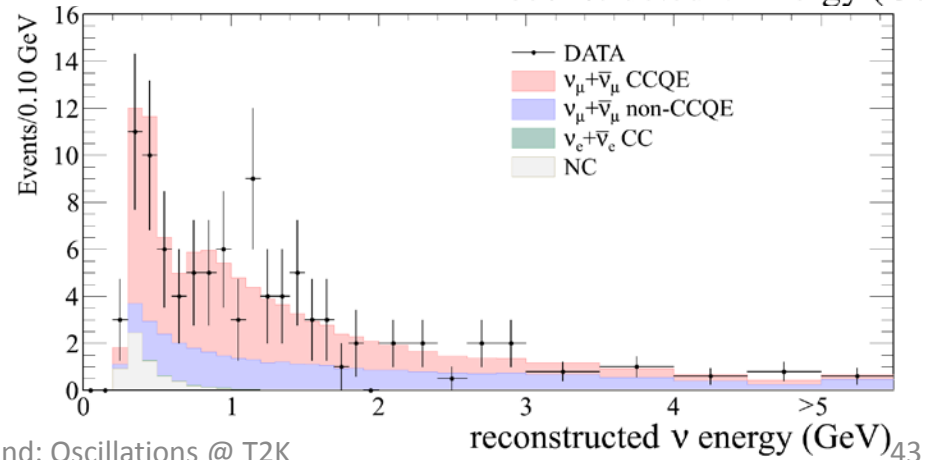
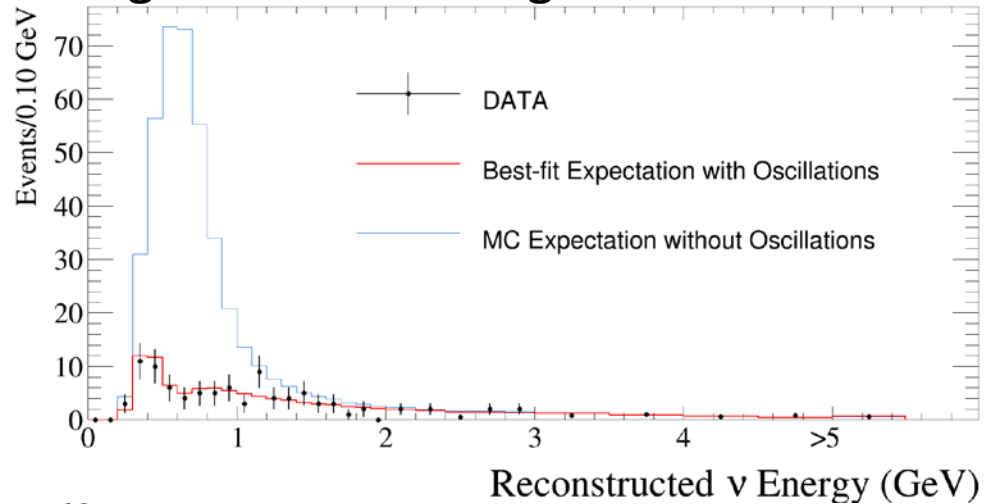
# Reconstructed $\nu_\mu$ Spectrum

- Selected far detector  $\nu_\mu$  CCQE candidates
  - Fully contained and fiducial single muon-like ring
  - $p_\mu > 200$  MeV, no more than one decay  $e^-$
  - 120 signal events

- Neutrino energy from elastic kinematics

$$E_{\text{reco}} = \frac{m_p^2 - (m_n - E_b)^2 - m_\mu^2 + 2(m_n - E_b)E_\mu}{2(m_n - E_b - E_\mu + p_\mu \cos \theta_\mu)}$$

- $E_b$  is mean binding energy



# Systematic Uncertainties with Near Detector Constraint



Systematic uncertainties of # of events\*  
 $(\sin^2\theta_{23}, \Delta m^2_{32}) = (0.5, 2.4 \times 10^{-3} \text{ eV}^2)$

Systematics	Uncertainties
Flux/XSEC (ND280 constraint)	2.7%
Other XSEC	4.9%
Super-K +FSI	5.6%
<b>Total</b>	<b>8.1%</b>

N<sub>SK</sub> per bin w/ error

N<sub>SK</sub> per bin w/ error

$(\sin^2\theta_{23}, \Delta m^2_{32}) = (0.5, 2.4 \times 10^{-3} \text{ eV}^2)$

w/o ND280 constraint

w/ ND280 constraint

\* Binding energy/SK energy scale are some of the dominant uncertainties affecting T2K  $\Delta m^2_{32}$  precision, but they don't appear in the left table of # of events since they don't affect overall normalization.

Reconst. E (GeV)

Parameter	Value
$\Delta m^2_{21}$	$7.50 \times 10^{-5} \text{ eV}^2$
$\sin^2 2\theta_{12}$	0.857
$\sin^2 2\theta_{13}$	0.098
$\delta_{CP}$	0
Mass hierarchy	Normal
Baseline length	295 km
Earth density	2.6 g/cm <sup>3</sup>

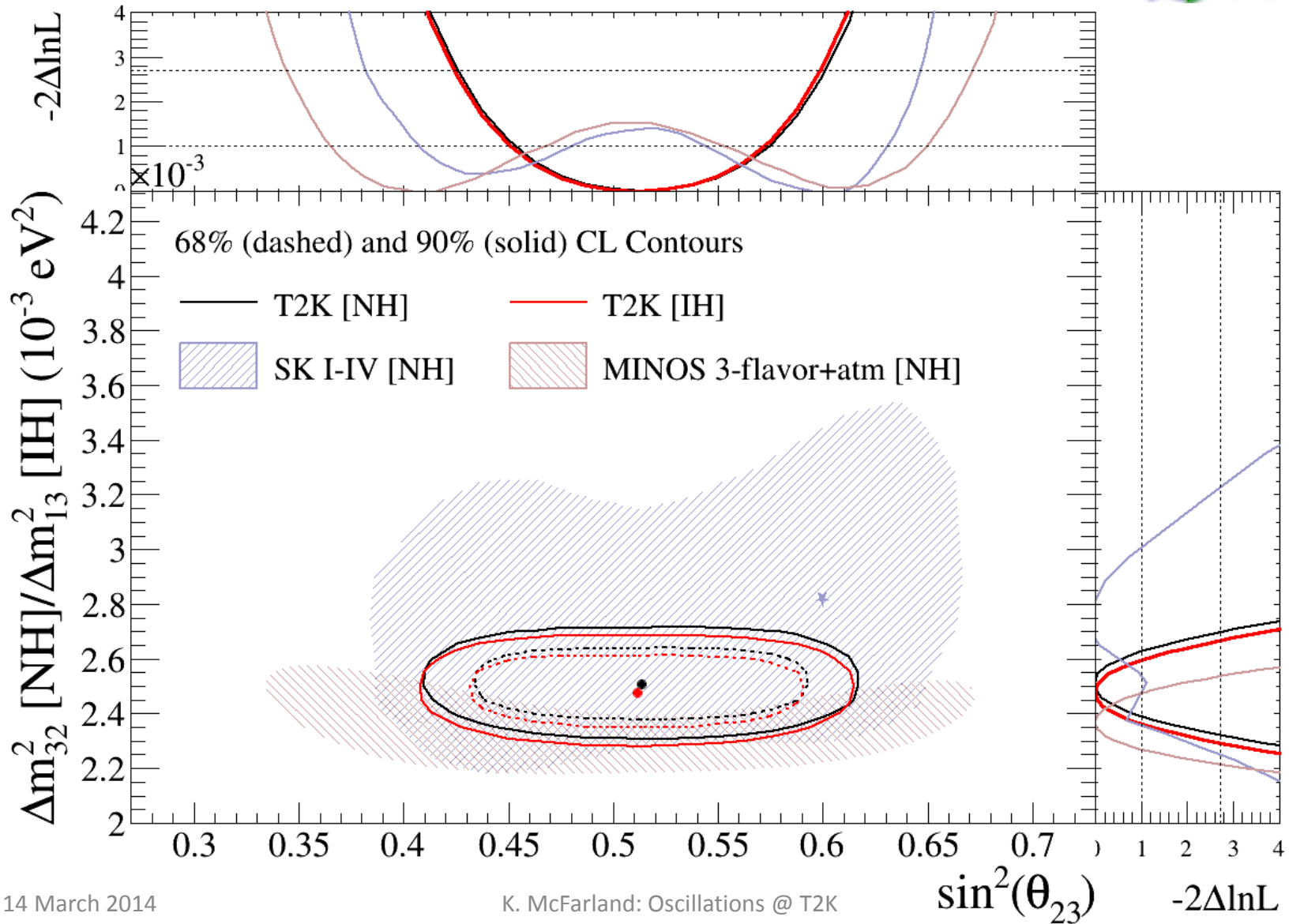
# Oscillation Parameter Fit



- Fit is fully three flavor and considers both mass hierarchies
- Marginalize over other oscillation parameters
- Confidence intervals set using Feldman-Cousins procedure with systematic uncertainties incorporated using Cousins-Highland framework

Parameter	Value
$\Delta m^2_{21}$	$7.5 \pm 0.2 \times 10^{-5} \text{eV}^2$
$\sin^2\theta_{12}$	$0.312 \pm 0.016$
$\sin^2\theta_{13}$	$0.0251 \pm 0.0035$
$\delta_{CP}$ (doesn't matter for disappearance)	unconstrained
Baseline length	295 km
Earth density	$2.6 \text{ g/cm}^3$

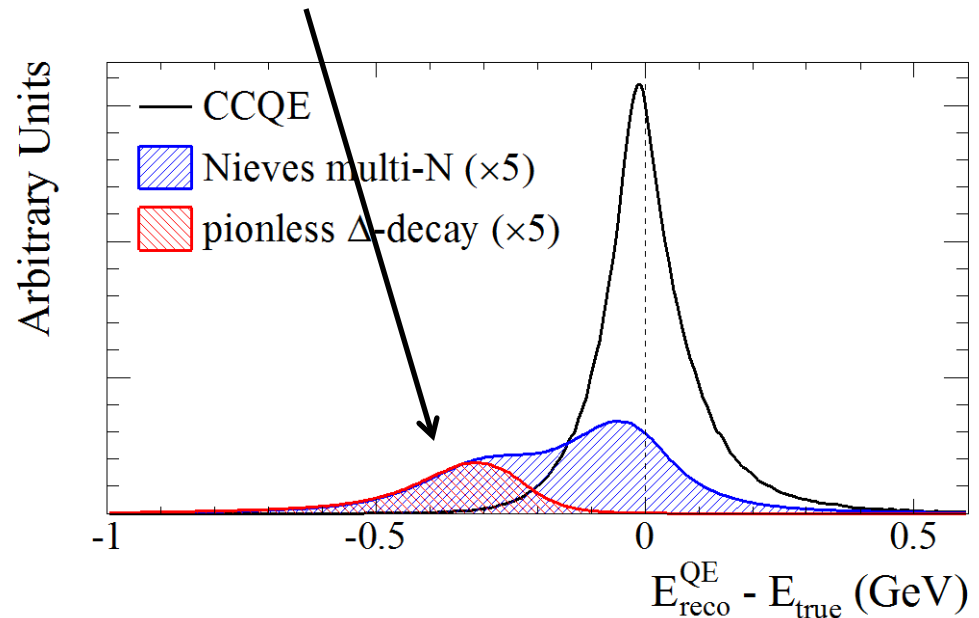
# Results



# Multi-Nucleon Systematic Uncertainty



- Not incorporated directly into analysis
- But have a large systematic uncertainty (100%), unconstrained by ND280 data, on NEUT decays of  $\Delta$  resonances w/ prompt pion absorption (“pionless”)
  - Has similar impact on neutrino energy reconstruction as a 100% uncertainty in Nieves model
- Future results will incorporate microphysical models directly



# $\nu_{\mu} \rightarrow \nu_e$ RESULTS

Phys. Rev. Lett. 112, 061802 (2014)

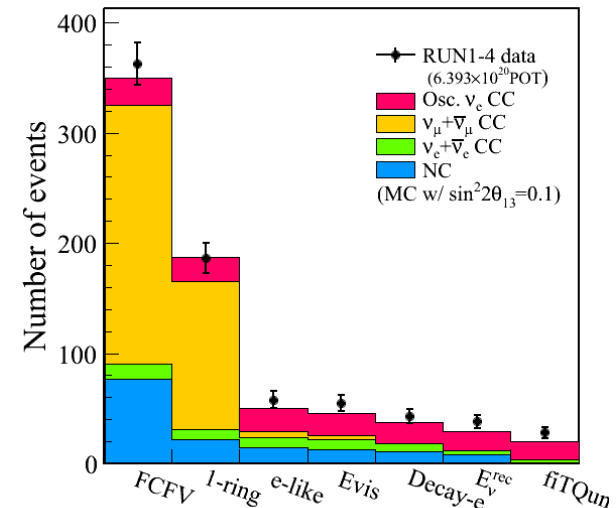
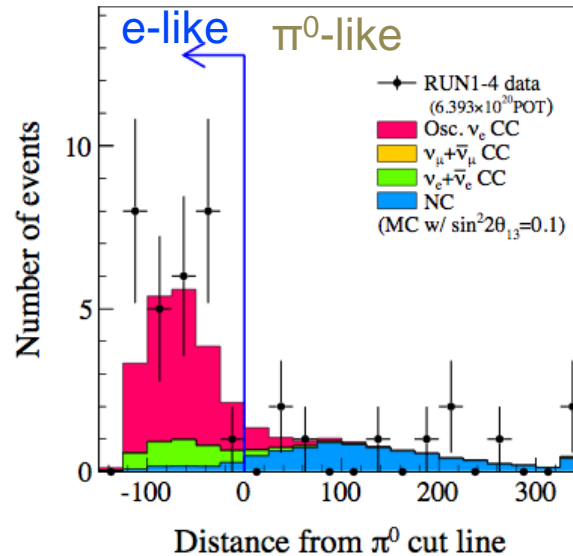
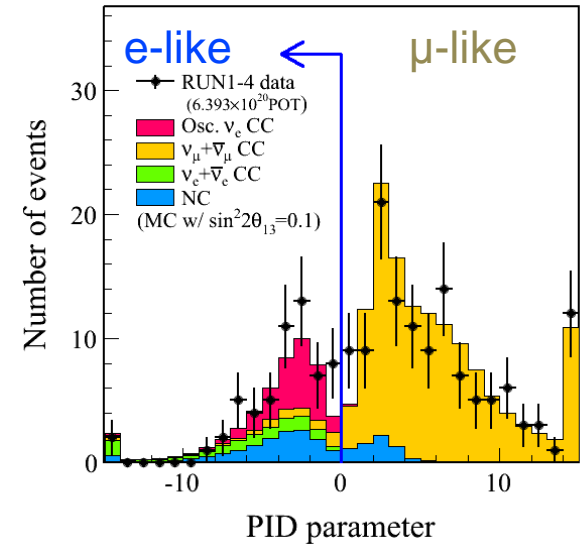
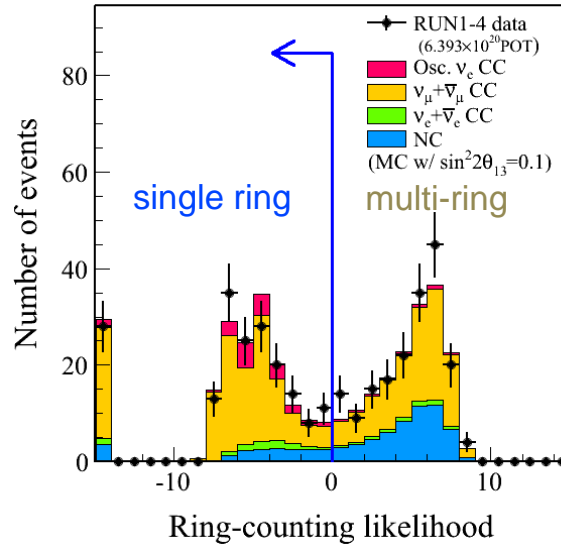


# T2K $\nu_e$ Event Selection



## $\nu_e$ Selection Cuts

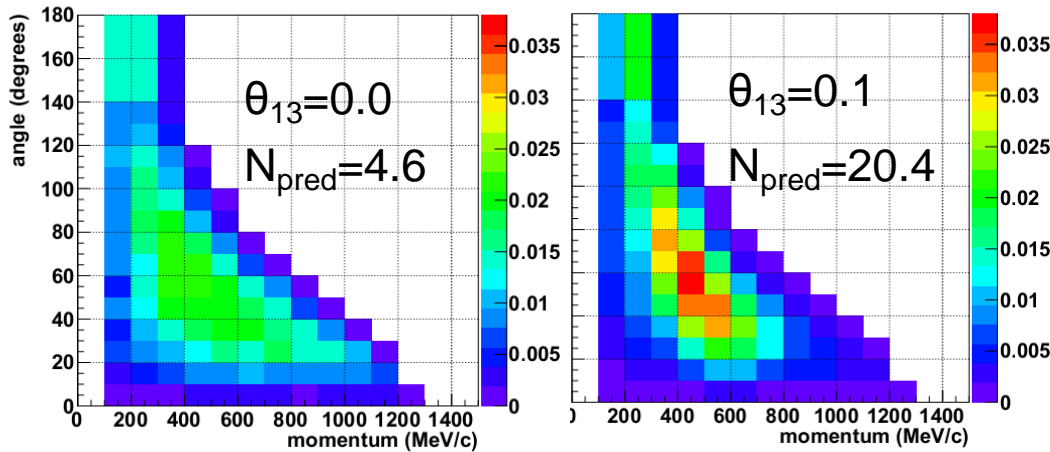
- # veto hits < 16
- Fid. Vol. = 200 cm
- # of rings = 1
- Ring is e-like
- $E_{\text{visible}} > 100$  MeV
- no Michel electrons
- fitQun  $\pi^0$  cut
- $0 < E_\nu < 1250$  MeV



# Neutrino Oscillation Parameters

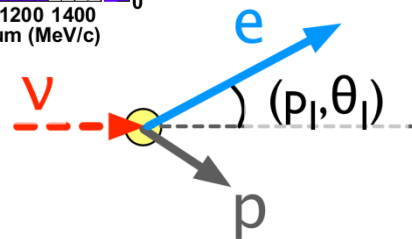


Electron momentum vs. angle distribution (MC)



Fixed oscillation parameters

$\Delta m_{12}^2$	$7.6 \times 10^{-5} \text{ eV}^2$
$\Delta m_{32}^2$	$2.4 \times 10^{-3} \text{ eV}^2$
$\sin^2 2\theta_{23}$	1.0
$\sin^2 2\theta_{12}$	0.8495 ← Was 0.8704 in 2012 analysis
$\delta_{CP}$	0 degree



The fit method is not changed from 2012 analysis.

- Scan over  $\sin^2 2\theta_{13}$  space to find the maximum likelihood
- Fix the oscillation parameters other than  $\sin^2 2\theta_{13}$ .

# Predicted number of events and systematic uncertainties



Distribution of predicted number of events

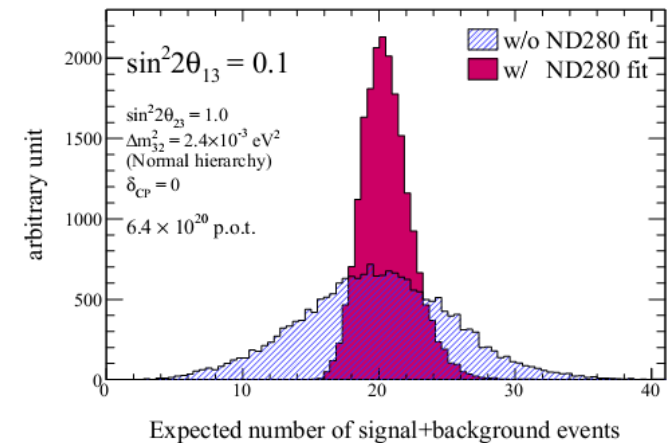
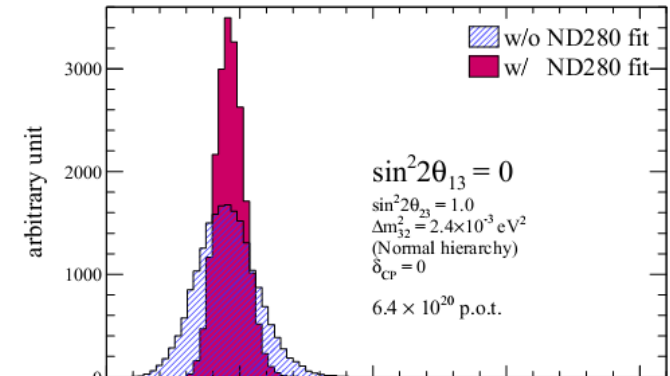
## Predicted # of events w/ $6.4 \times 10^{20}$ POT

Event category	$\sin^2 2\theta_{13}=0.0$	$\sin^2 2\theta_{13}=0.1$
$\nu_e$ signal	0.38	16.42
$\nu_e$ background	3.17	2.93
$\nu_\mu$ background (mainly $NC\pi^0$ )	0.89	0.89
$\nu_\mu + \nu_e$ background	0.20	0.19
<b>Total</b>	<b>4.64</b>	<b>20.44</b>
Total (w/ 2012 flux & cross section parameters)	5.15	21.77

Near detector constraint in 2013 predicts smaller number of events compared to 2012 analysis.

## Systematic uncertainties

Error source	$\sin^2 2\theta_{13}=0.0$	$\sin^2 2\theta_{13}=0.1$
Beam flux + $\nu$ int. in T2K fit	4.9 %	3.0 %
$\nu$ int. (from other exp.)	6.7 %	7.5 %
Far detector	7.3 %	3.5 %
<b>Total</b>	<b>11.1 %</b>	<b>8.8 %</b>
Total (2012)	13.0 %	9.9 %

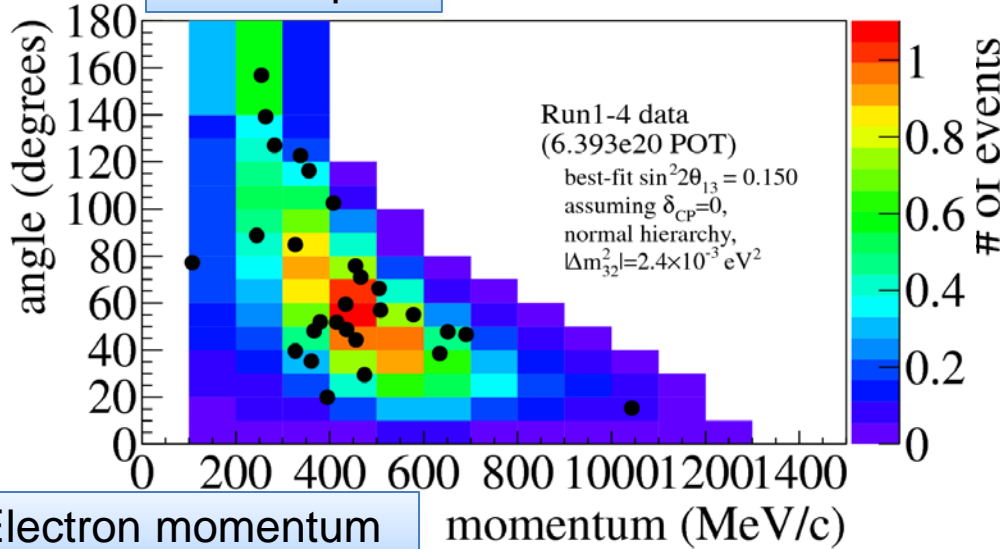


Errors are reduced from 2012 mainly due to near detector analysis improvement.

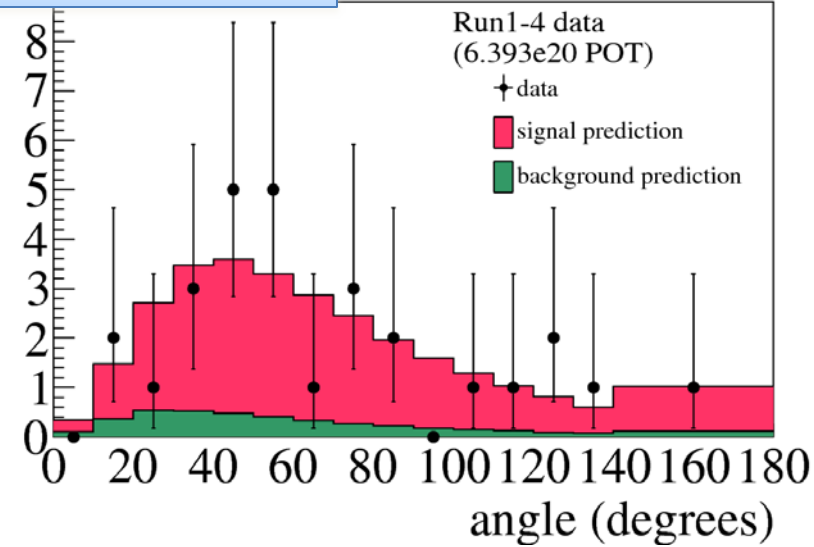
# Results



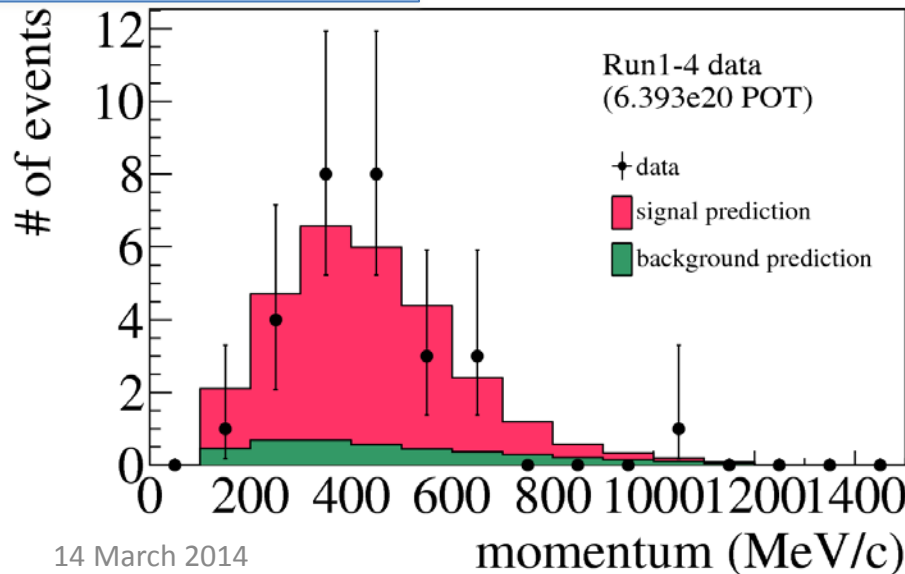
Electron  $p-\theta$



Electron angle



Electron momentum



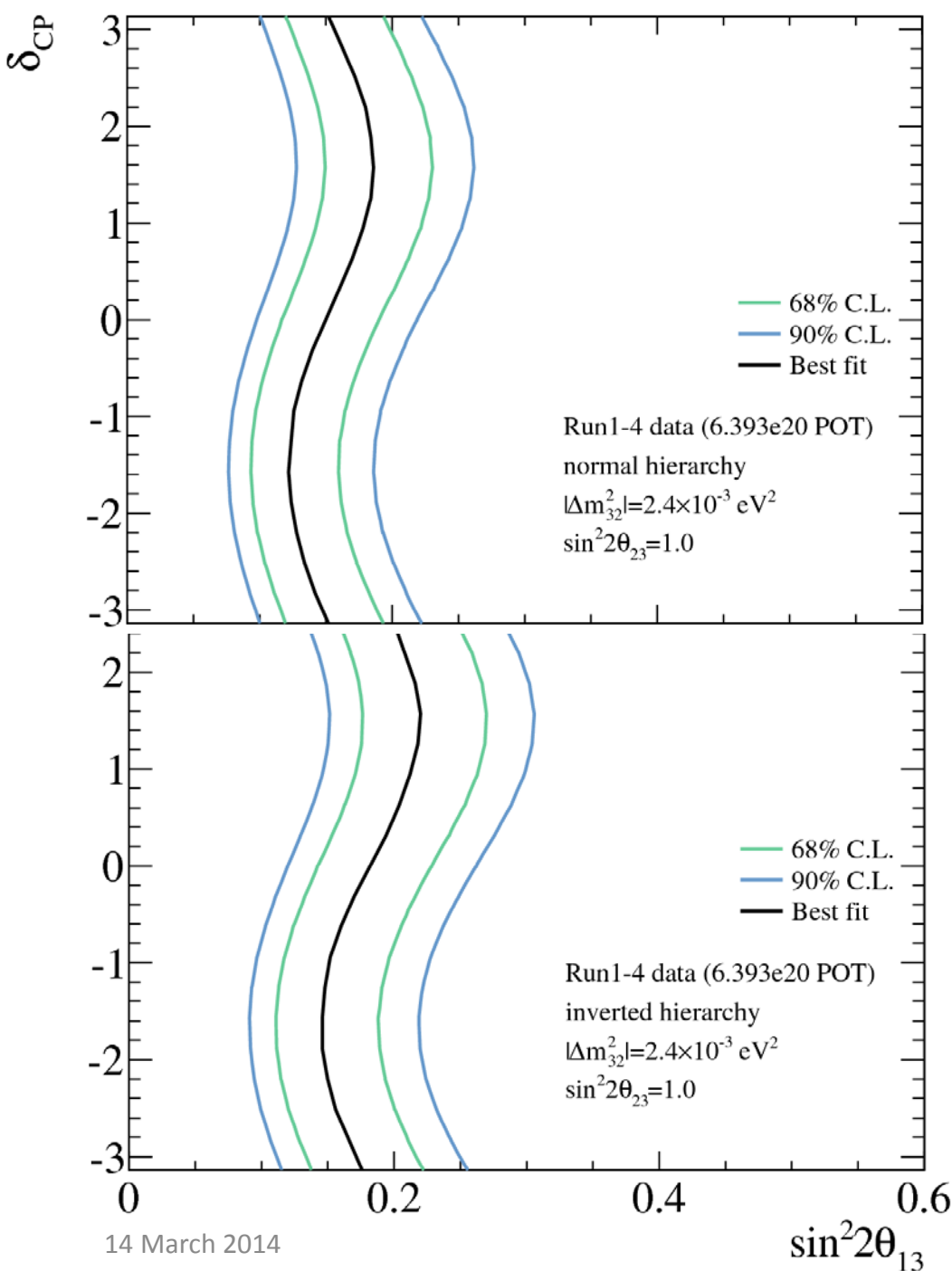
Assuming  $\delta_{CP}=0$ , normal hierarchy,  
 $|\Delta m^2_{32}| = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} = 1$

Best fit w/ 68% C.L. error:

$$\sin^2 2\theta_{13} = 0.150^{+0.039}_{-0.034}$$

90% allowed region:

$$0.097 < \sin^2 2\theta_{13} < 0.218$$



# Results



Allowed region of  $\sin^2 2\theta_{13}$  for each value of  $\delta_{CP}$

Best fit w/ 68% C.L. error @  $\delta_{CP}=0$

**normal hierarchy:**

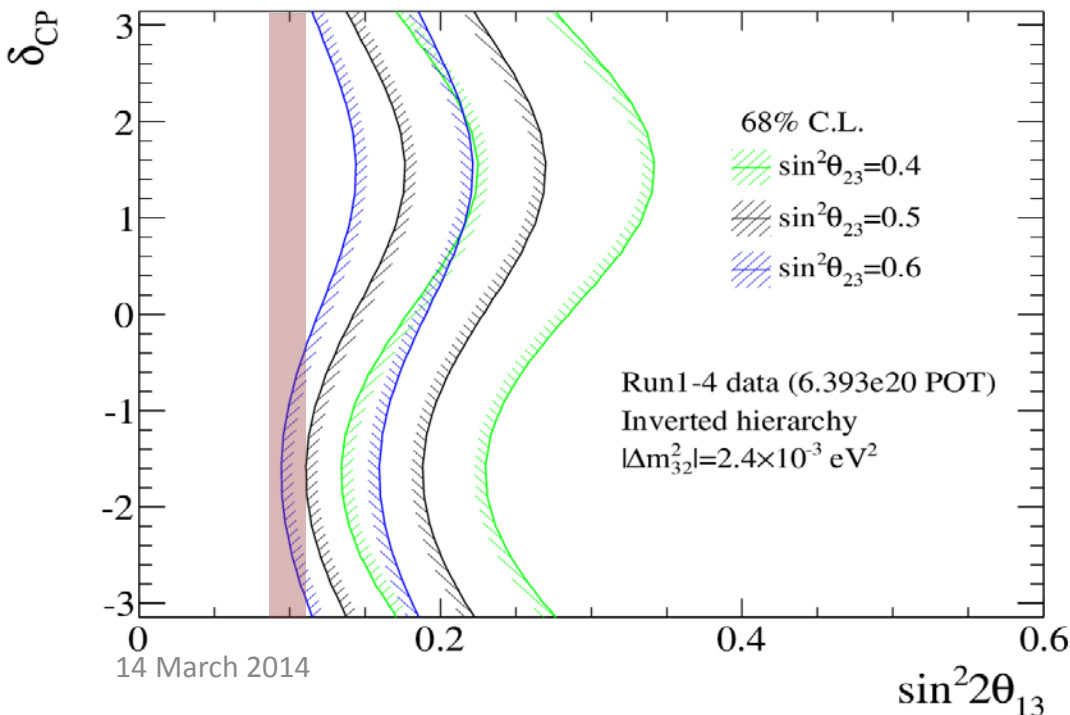
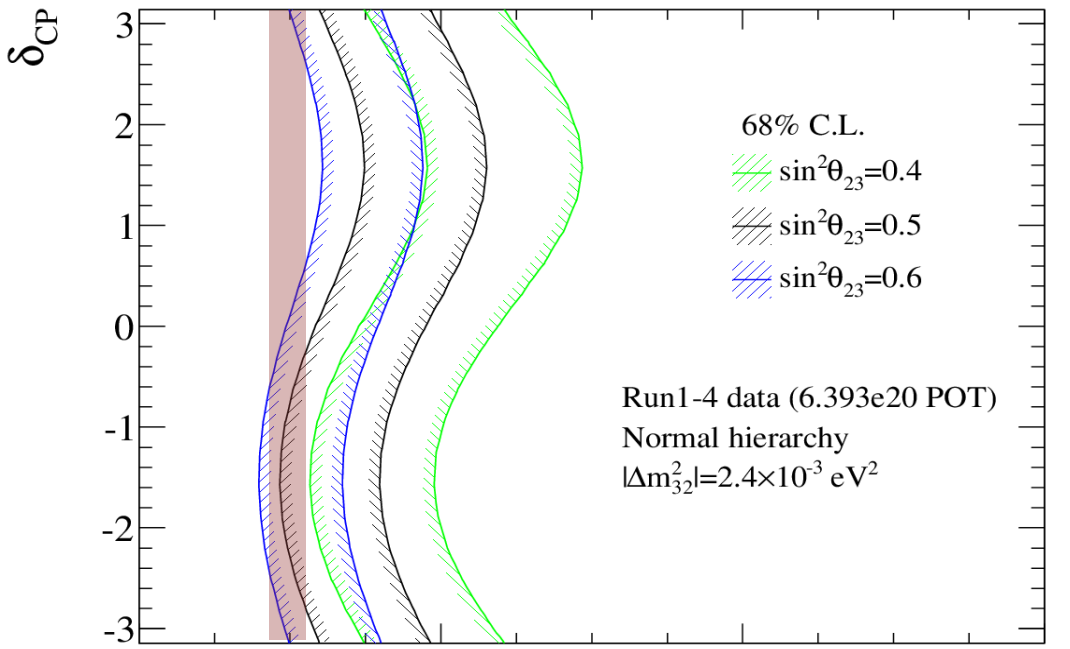
$$\sin^2 2\theta_{13} = 0.150^{+0.039}_{-0.034}$$

**inverted hierarchy:**


$$\sin^2 2\theta_{13} = 0.182^{+0.046}_{-0.040}$$

$\sqrt{2\Delta\ln L}$  significance of non-zero  $\theta_{13}$  yields  $7.5\sigma$

NOTE: These are 1D contours for values of  $\delta_{CP}$ , not 2D contours in  $\delta_{CP}-\theta_{13}$  space



# $\delta_{CP}$ vs. $\sin^2 2\theta_{13}$ for $\theta_{23} \neq \pi/4$



$\delta_{CP}$  vs.  $\sin^2 2\theta_{13}$  contour depends significantly on the value of  $\sin^2\theta_{23}$

- Green and Blue bands are at edge of disappearance 90% confidence interval

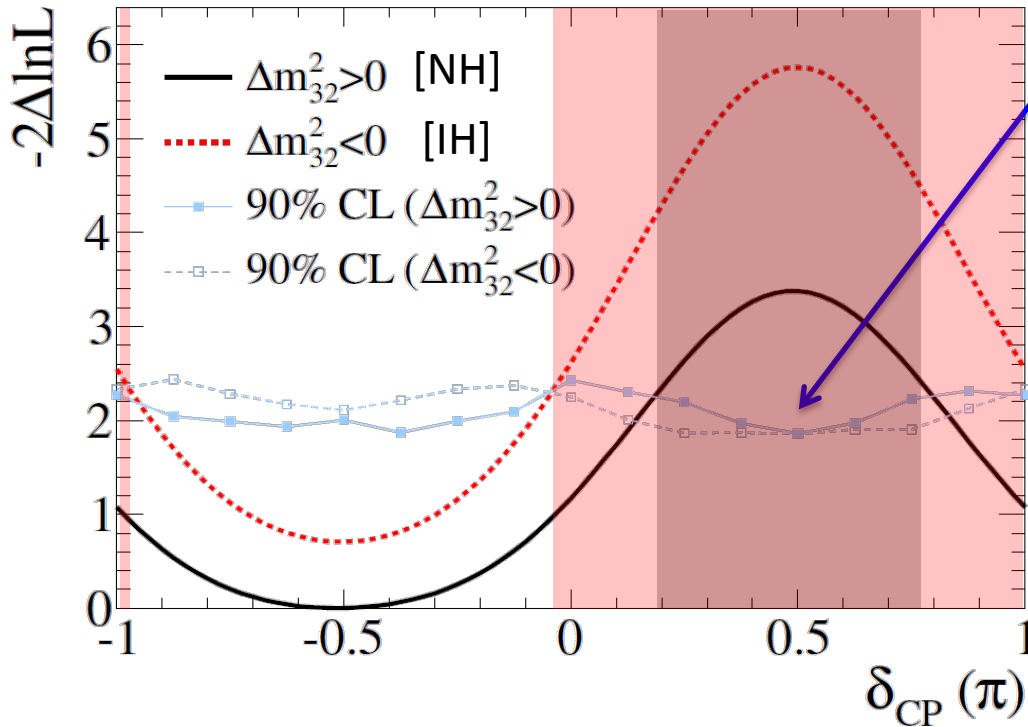
Pink band represents PDG2012 reactor average value of  $\sin^2 2\theta_{13} = (0.098 \pm 0.013)$

NOTE: These are 1D contours for values of  $\delta_{CP}$ , not 2D contours in  $\delta_{CP}-\theta_{13}$  space

# Results of $\nu_e$ appearance analysis



Combination of T2K + Reactor ( $\sin^2 2\theta_{13} = 0.098 \pm 0.013$  from PDG2012)



90% C.L. limits by Feldman-Cousins

$\sin^2 \theta_{23}$  marginalized over less precise Run1-3 result. Full Run1-4 fit next week.

**90% C.L. excluded region**

[NH]:  $0.19\pi \sim 0.80\pi$

[IH]:  $-0.04\pi \sim 1.03\pi$

[NH] Normal hierarchy,  
[IH] Inverted hierarchy

- Best fit is found at very interesting point,  $\delta_{CP} \sim -\pi/2$ .
- If it is the true value, NOvA and T2K just heard the starter's gun

# CONCLUSIONS AND FUTURE PROSPECTS



# T2K and J-PARC Run Plans



- T2K's oscillation analyses still statistics limited
  - So far, we have been able to steadily decrease systematics
- T2K will continue to run and benefit from planned J-PARC Main Ring (MR) power improvements
  - 220 kW operation in CY2013. Integrated  $6.7E20$  POT to date.
  - Linac upgrade to be completed with a year. Expect range of steady MR operation for neutrino between 200-400 kW
  - Planned MR upgrade by 2018 (depends on funding). Up to 750 kW
  - Possible scenario:
    - Double current protons on target by mid-2015
    - Next-to-next doubling by early 2017
    - If MR upgrade done in 2018, reach full planned statistics ( $78E20$  POT), roughly 12x the current exposure, roughly end of 2020
- T2K beamline designed to easily switch from neutrino to anti-neutrino beams
  - T2K has made no firm plans for anti-neutrino running

# Conclusions



- We have measured non-zero  $\theta_{13}$  with  $7\sigma$  significance by observation of  $\nu_{\mu} \rightarrow \nu_e$
- Now also have best measurement of  $\nu_{\mu} \rightarrow \nu_{\mu}$  which favors maximal mixing
- Accelerator oscillations at “atmospheric” baseline are now precision measurements
- Promise for the near future with interplay of T2K and NOvA in the coming years

**PLEASE CONTINUE TO ENJOY  
NEUTRINO OSCILLATIONS**

**PLEASE CONTINUE TO ENJOY  
NEUTRINO OSCILLATIONS**

*^  
precision  
measurements of*

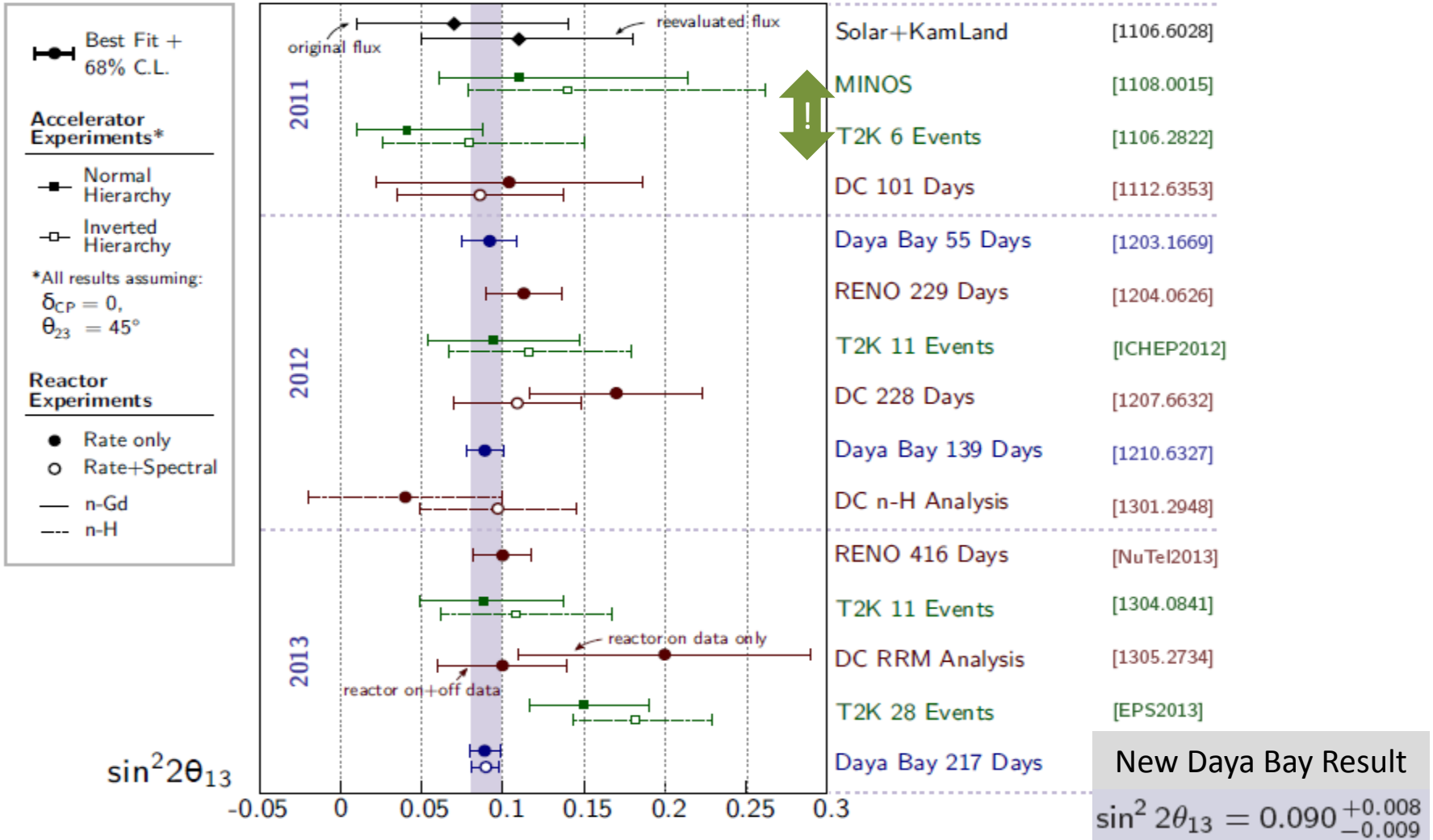
# BACKUP PLOTS

# Global $\theta_{13}$

(includes Daya Bay spectrum results)



Compilation from Soeren Jetter (HEP), NuFact 2013



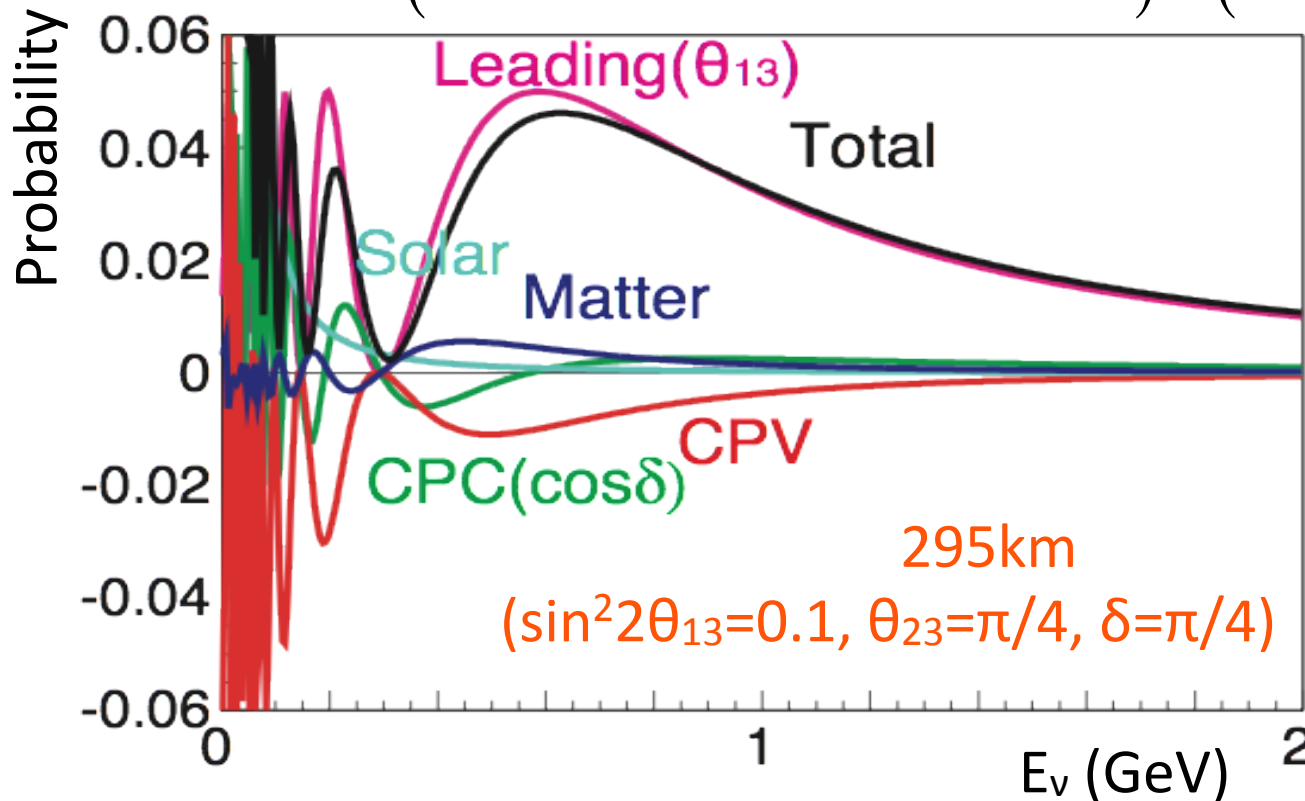
# OSCILLATION PROBABILITIES

# Oscillation Probabilities at T2K



$$P(\nu_\mu \rightarrow \nu_e) \sim \underbrace{\sin^2 2\theta_{13} \sin^2 \theta_{23}}_{\text{Leading } (\theta_{13})} \sin^2 \frac{\Delta m_{32}^2 \cdot L}{4E} + (\text{solar term})$$

$$+ (\text{interference or "CP" terms}) + (\text{matter term})$$



Leading  
Differentiates 1<sup>st</sup>/2<sup>nd</sup>  
octants of  $\theta_{23}$

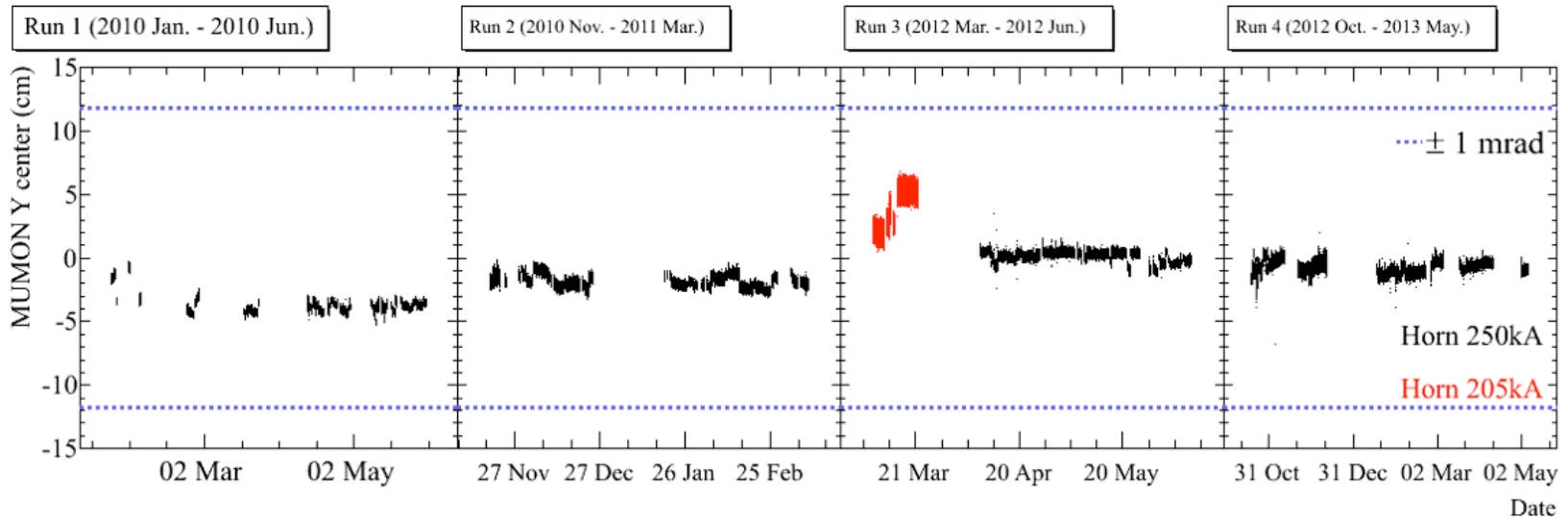
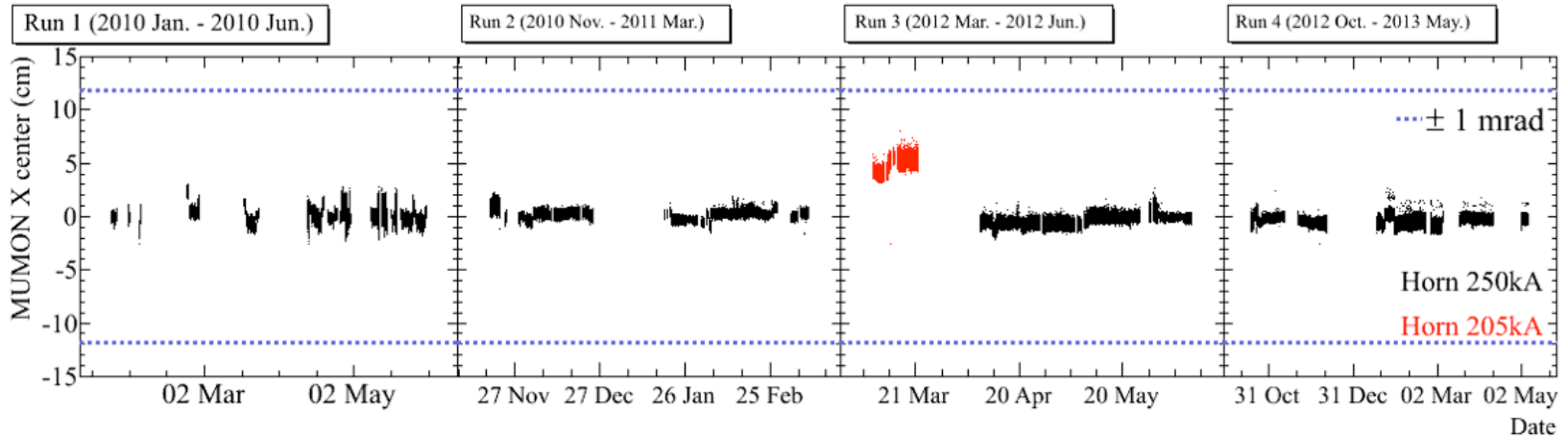


# BEAM STABILITY

# $\nu$ beam stability

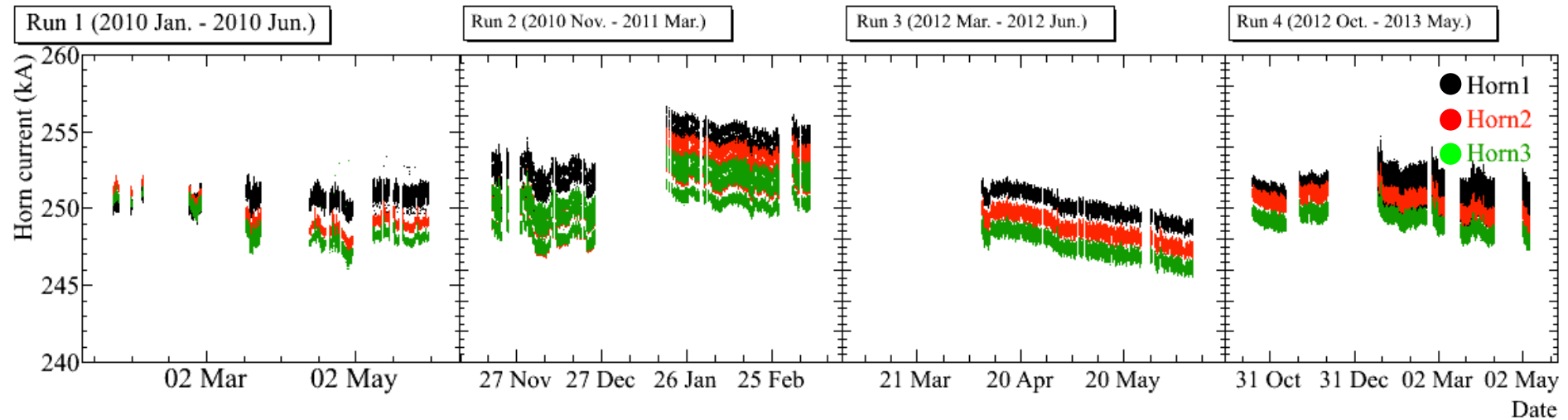
## Stability of beam direction (Muon monitor)

*Stability of beam direction is less than 1mrad(\*) during whole run period*



(\*) 1 mrad change of  $\nu$  beam direction results in 2-3% change of the neutrino energy scale ( $\sim 16\text{MeV}$ )

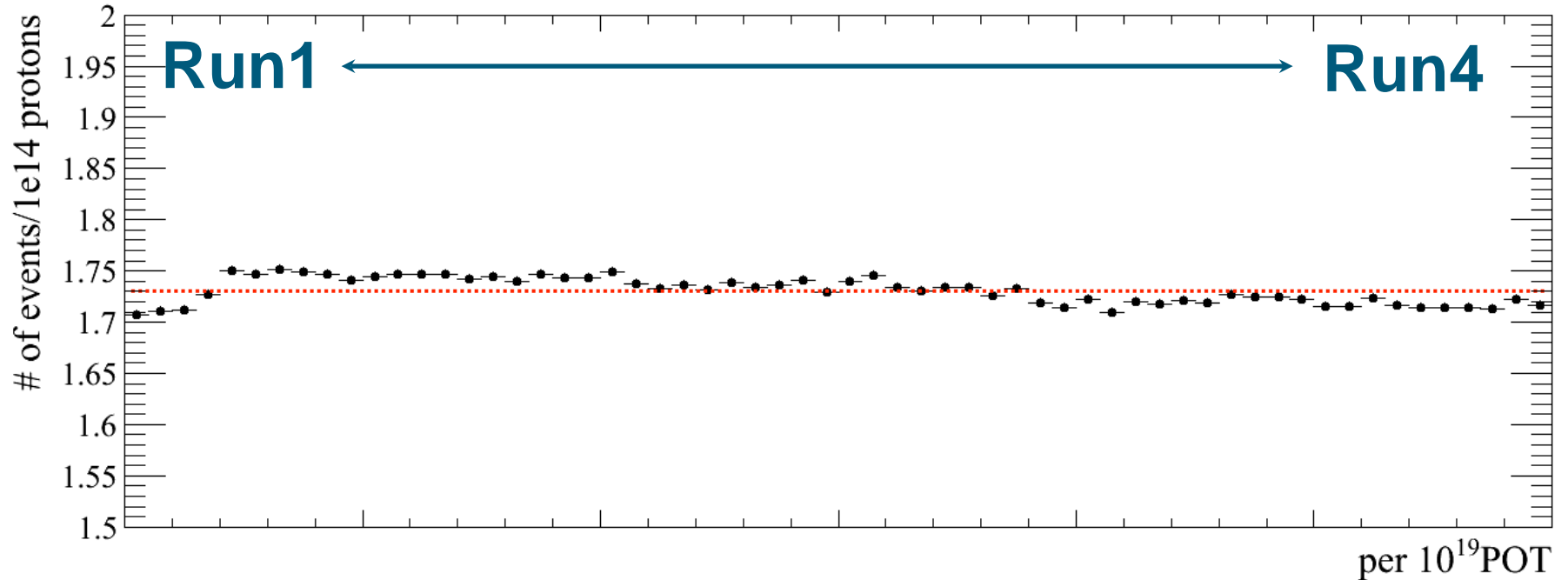
# Stability of horn current



- \* Nominal horn current is 250kA
- \* 205kA horn operation in the beginning of Run3 (13% flux reduction at peak)
- \* We used averaged horn current of each run period in the flux prediction
- \* Horn current is stable within  $\pm 5$ kA of the averaged current of each run period

# $\nu$ beam stability

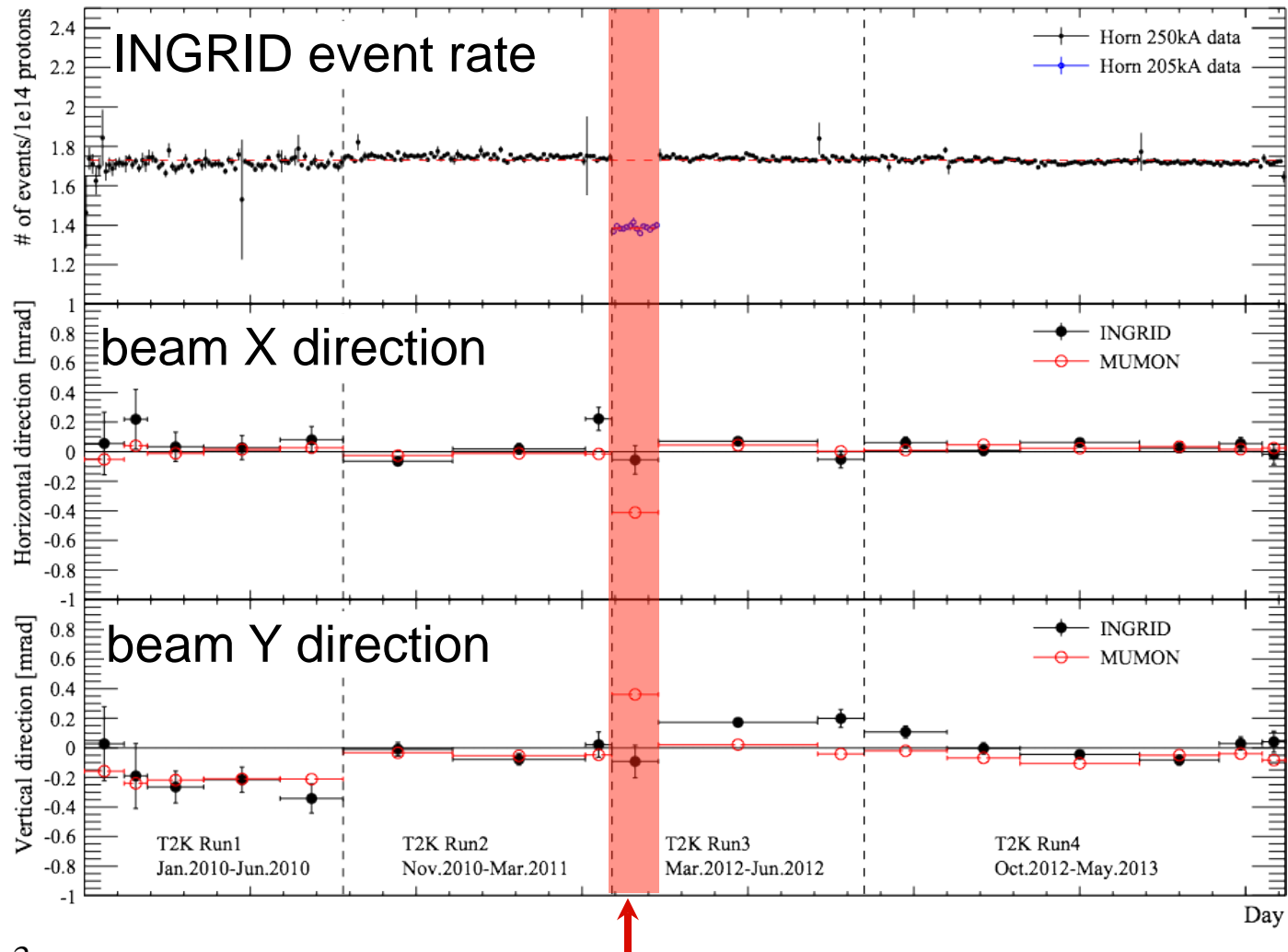
Neutrino event rate per  $10^{19}$  p.o.t measured by INGRID from Run1 to Run4



RMS/Mean of the event rate for whole period is approximately **0.7%**

**Achieved good stability**

# 205kA operation



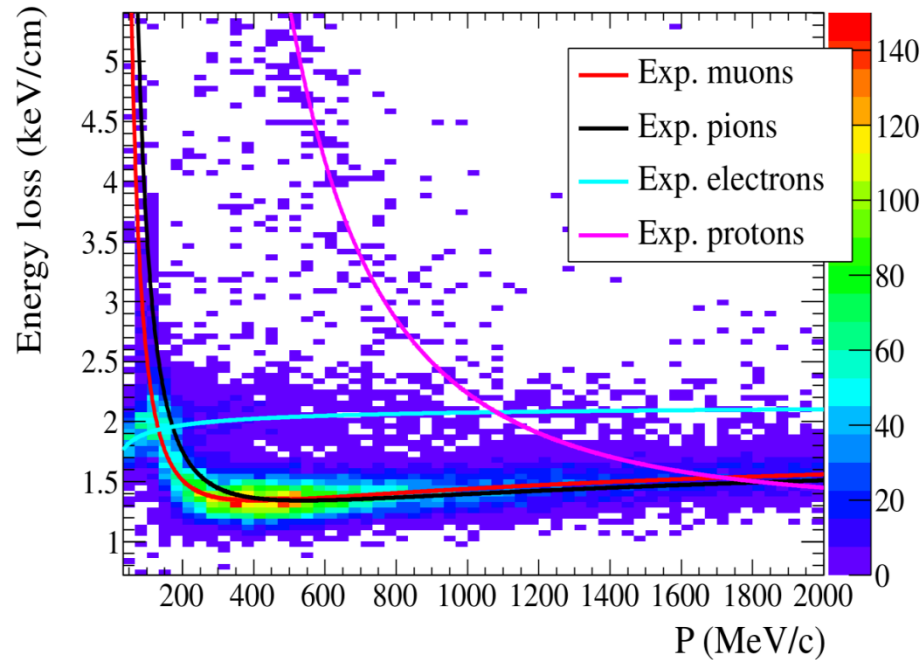
- In Run 3
  - One of power supplies of horns was broken before starting Run 3 operation
  - Replaced it with an old power supply used in K2K experiment
  - **205kA** operation was done in the beginning of Run 3
    - Then came back to 250kA operation after improving the old power supply

# ND280 MEASUREMENTS

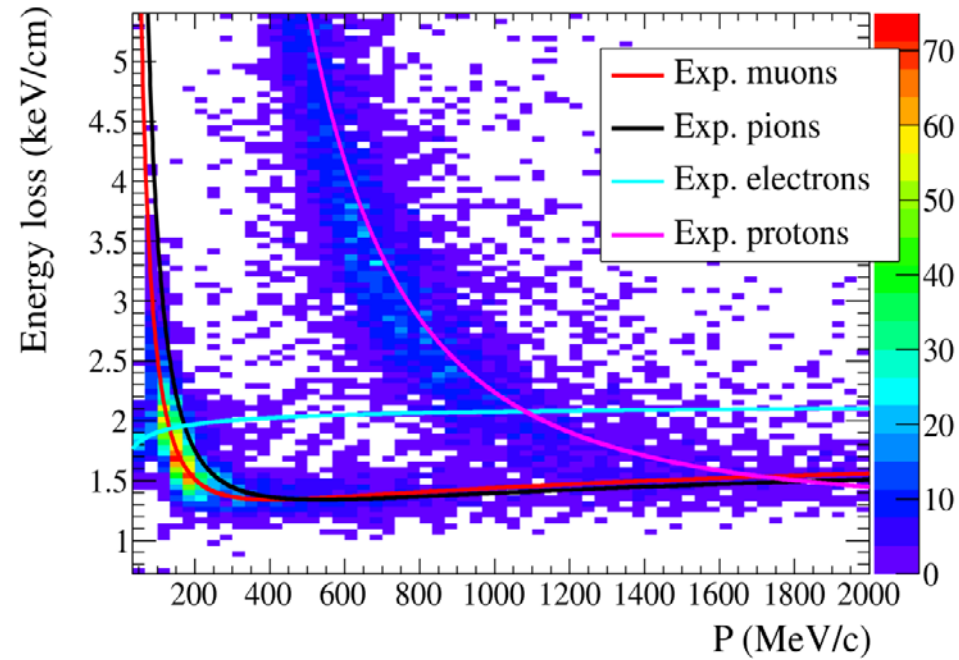
# ND280 TPC Particle ID by dE/dx



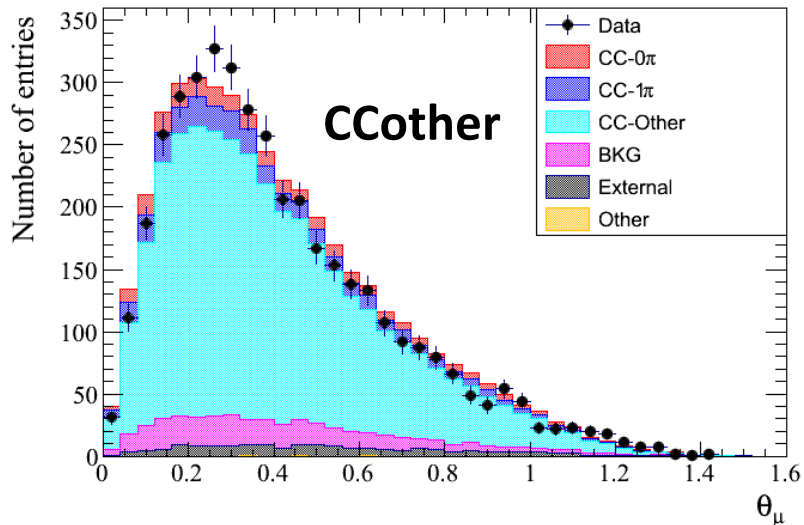
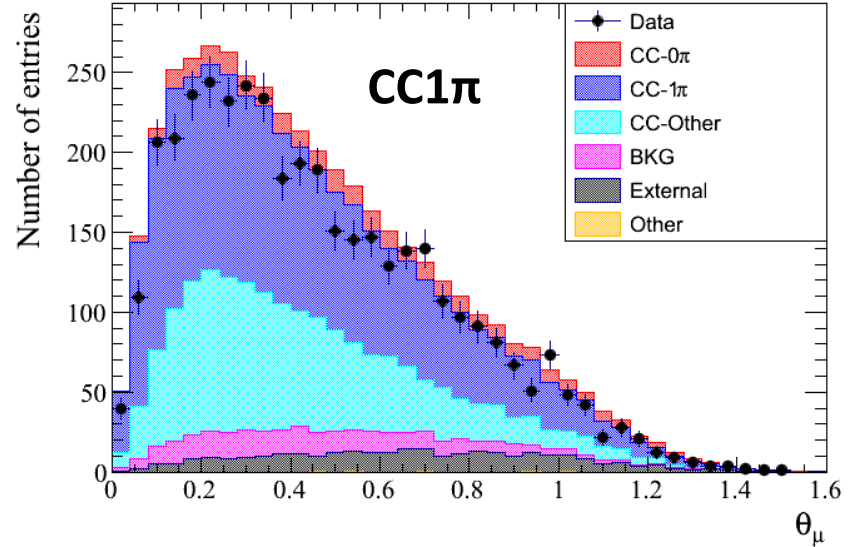
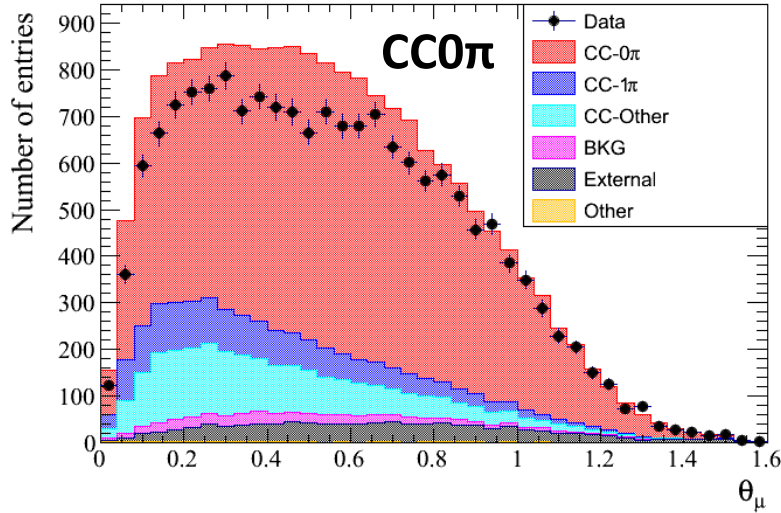
Negative tracks in the TPC.



Positive tracks in the TPC.



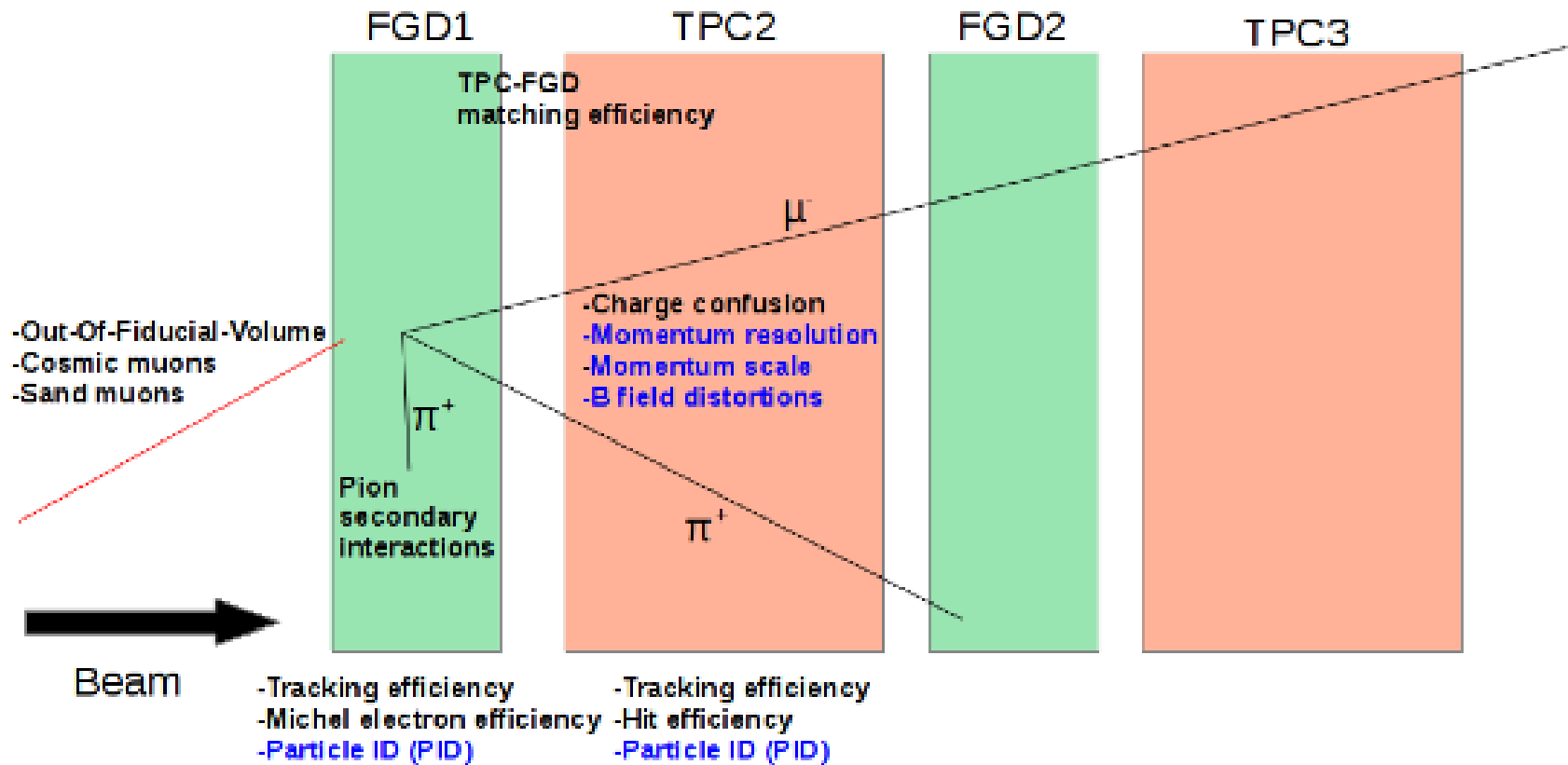
# Muon Angle in ND280



	CC0π purities	CC1π purities	CCOther purities
CC0π	72.6%	6.4%	5.8%
CC1π	8.6%	49.4%	7.8%
CCOther	11.4%	31%	73.8%
Bkg(NC+anti-nu)	2.3%	6.8%	8.7%
Out FGD1 FV	5.1%	6.5%	3.9%



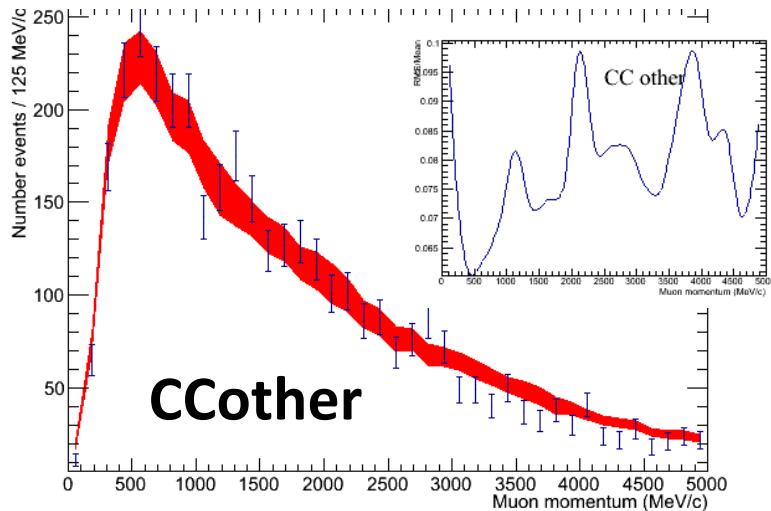
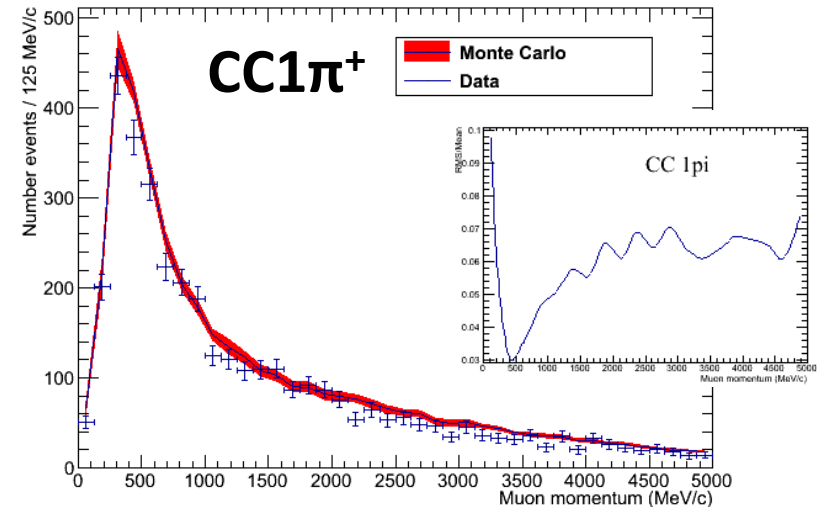
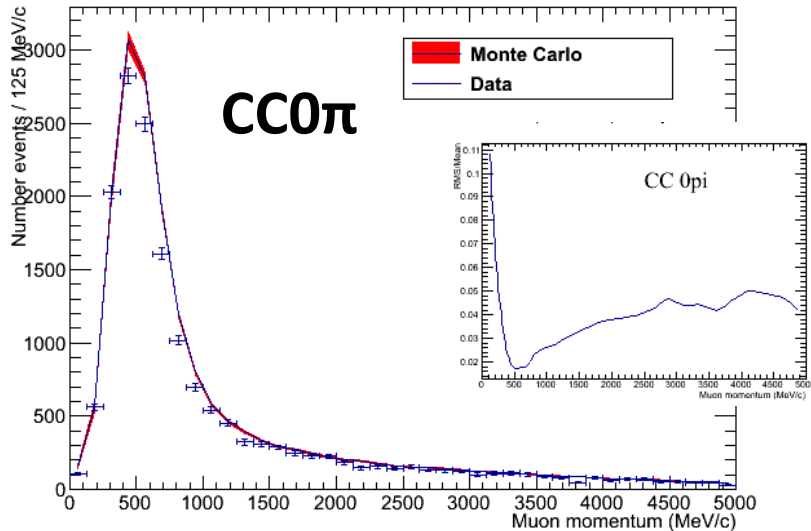
# ND280 Systematic Errors



- Many sources of systematic error have been evaluated for the ND280 constraint

— All errors are assigned using data control samples

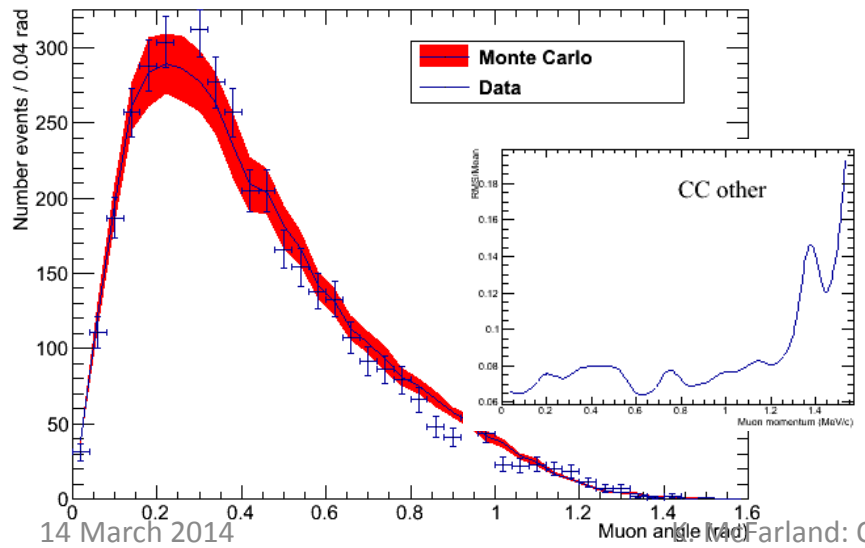
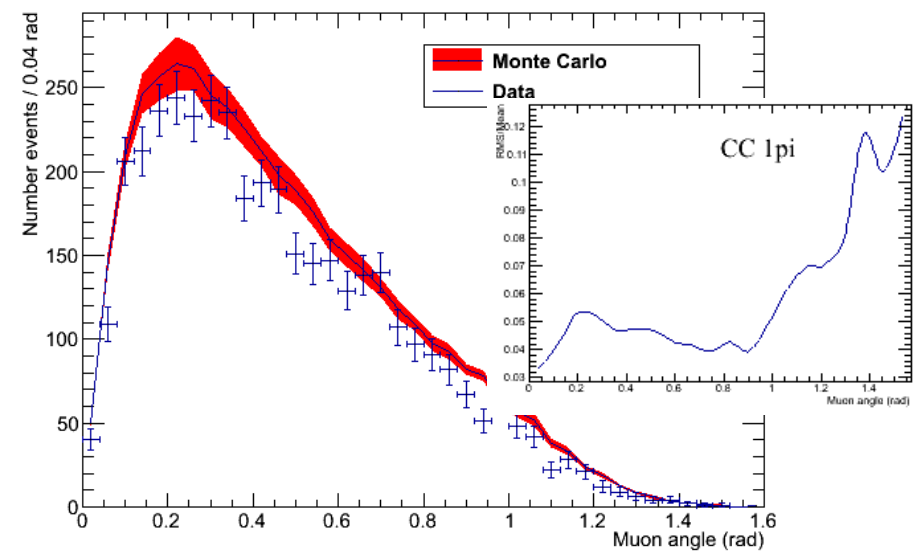
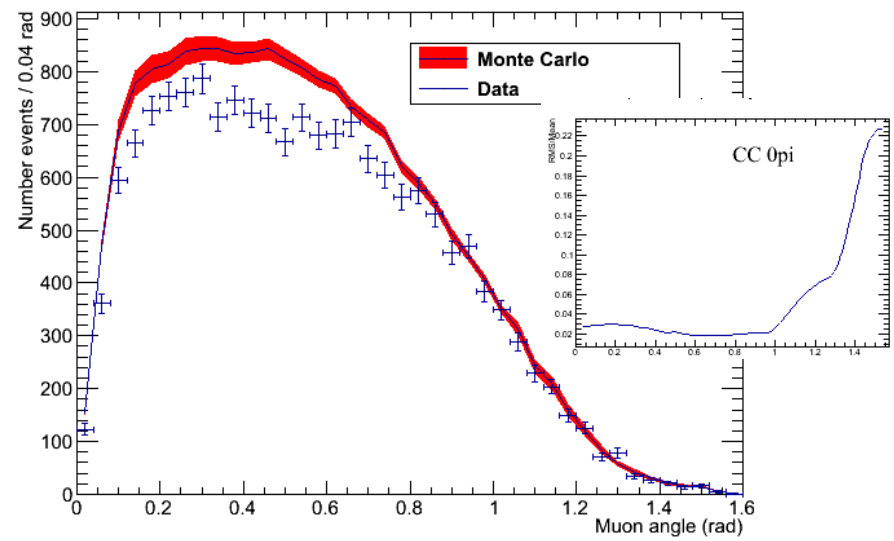
# ND280 Detector systematics



Largest relative error in all momentum bins in all categories

B Field distortion (0.3%)	TPC Tracking efficiency (0.6%)
TPC-FGD matching efficiency (1%)	<b>TPC Charge confusion (2.2%)</b>
TPC Momentum scale (2%)	<b>TPC Momentum resolution (5%)</b>
TPC Quality cut (0.7%)	Michel electron efficiency(0.7%)
FGD Mass(0.65%)	<b>Out of Fiducial Volume (10%)</b>
Pile-up (0.07%)	Sand muon (0.02%)
<b>TPC PID (3.5%)</b>	FGD PID (0.3%)
FGD tracking efficiency (1.4%)	<b>Pion secondary interaction (8%)</b>

# ND280 Detector systematics



B Field distortion (0.3%)	TPC Tracking efficiency (0.2%)
<b>TPC-FGD matching efficiency (1.8%)</b>	<b>TPC Charge confusion (5.0%)</b>
<b>TPC Momentum scale (2%)</b>	<b>TPC Momentum resolution (5%)</b>
TPC Quality cut (0.7%)	Michel electron efficiency(0.7%)
FGD Mass(0.65%)	<b>Out of Fiducial Volume (22%)</b>
Pile-up (0.07%)	Sand muon (0.02%)
<b>TPC PID (9.0%)</b>	FGD PID (0.3%)
<b>FGD tracking efficiency (1.4%)</b>	<b>Pion secondary interaction (8%)</b>

14 March 2014

Farland: Oscillations @ T2K

75

Largest relative error in all angle bins in all categories

# **FLUX PREDICTION AND UNCERTAINTIES**

# Fraction of the neutrino flux for each parent particle

## Fraction for each flavors

Parent	Flux Percentage of Each Flavors			
	$\nu_\mu$	$\bar{\nu}_\mu$	$\nu_e$	$\bar{\nu}_e$
Secondary				
$\pi^\pm$	60.0%	41.8%	31.9%	2.8%
$K^\pm$	4.0%	4.3%	26.9%	11.3%
$K_L^0$	0.1%	0.9%	7.6%	49.0%
Tertiary				
$\pi^\pm$	34.4%	50.0%	20.4%	6.6%
$K^\pm$	1.4%	2.6%	10.0%	8.8%
$K_L^0$	0.0%	0.4%	3.2%	21.3%

## Total fraction for all flavors

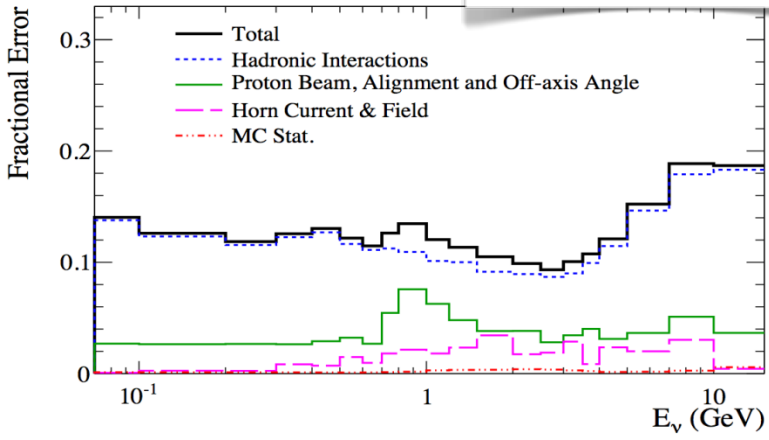
Parent	Flux Percentage of All Flavors			
	$\nu_\mu$	$\bar{\nu}_\mu$	$\nu_e$	$\bar{\nu}_e$
$\pi^\pm$	87.5%	5.5%	0.6%	0.0%
$K^\pm$	5.0%	0.5%	0.4%	0.0%
$K_L^0$	0.1%	0.2%	0.1%	0.1%

# Flux uncertainty as a function of energy

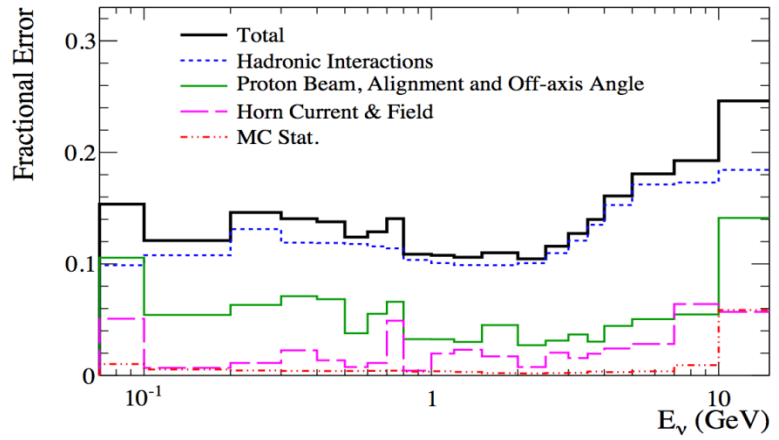
uncertainties are evaluated based on NA61 measurements and T2K beam monitor measurements

SK  $\nu_\mu$  flux

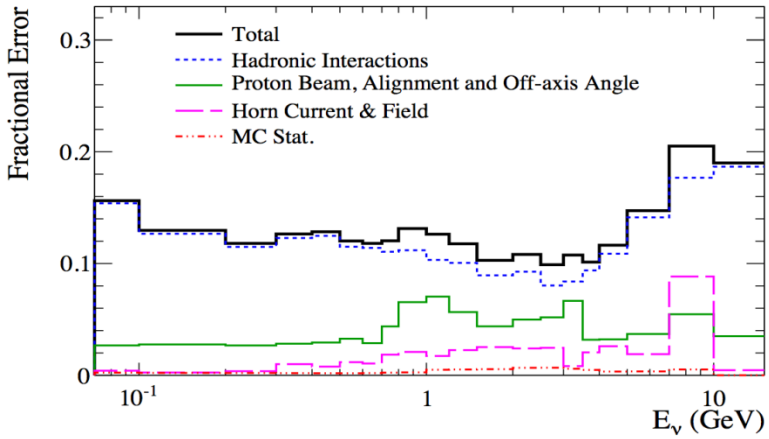
10~15% error



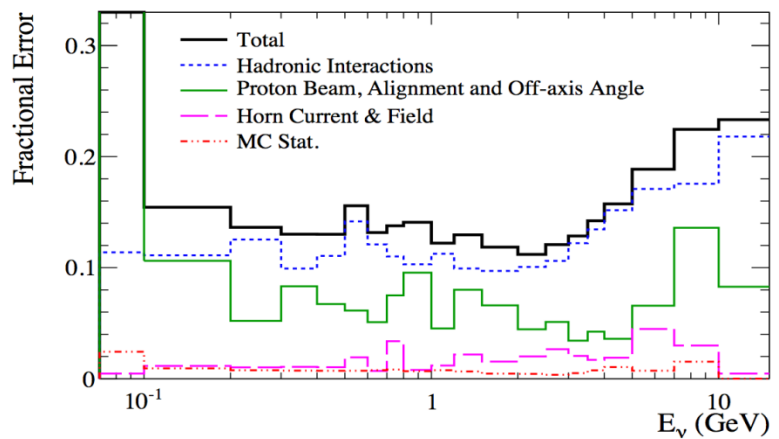
SK  $\nu_e$  flux



ND280  $\nu_\mu$  flux



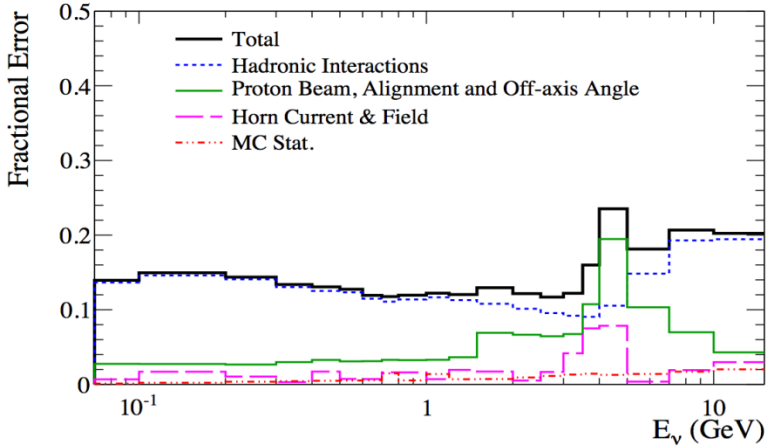
ND280  $\nu_e$  flux



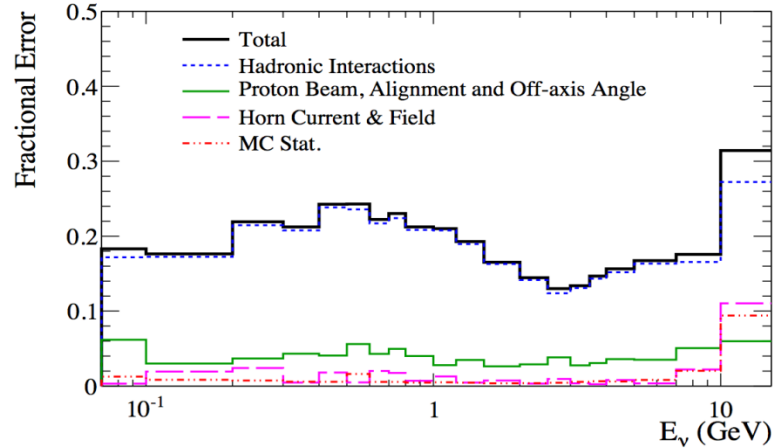
# Flux uncertainty as a function of energy

uncertainties are evaluated based on NA61 measurements and T2K beam monitor measurements

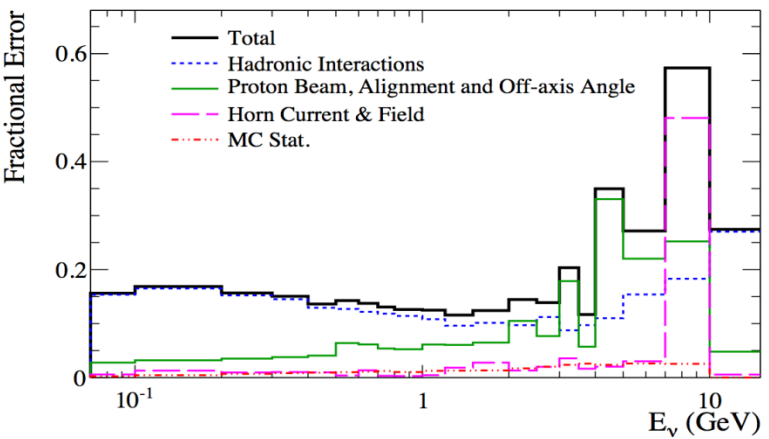
SK  $\nu_\mu$  flux



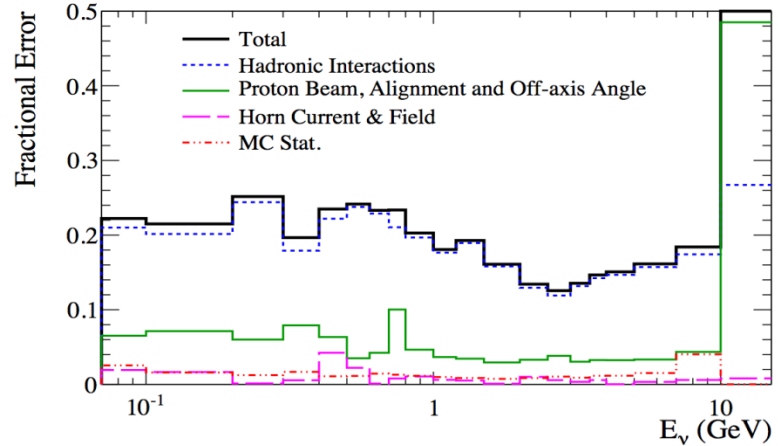
SK  $\nu_e$  flux



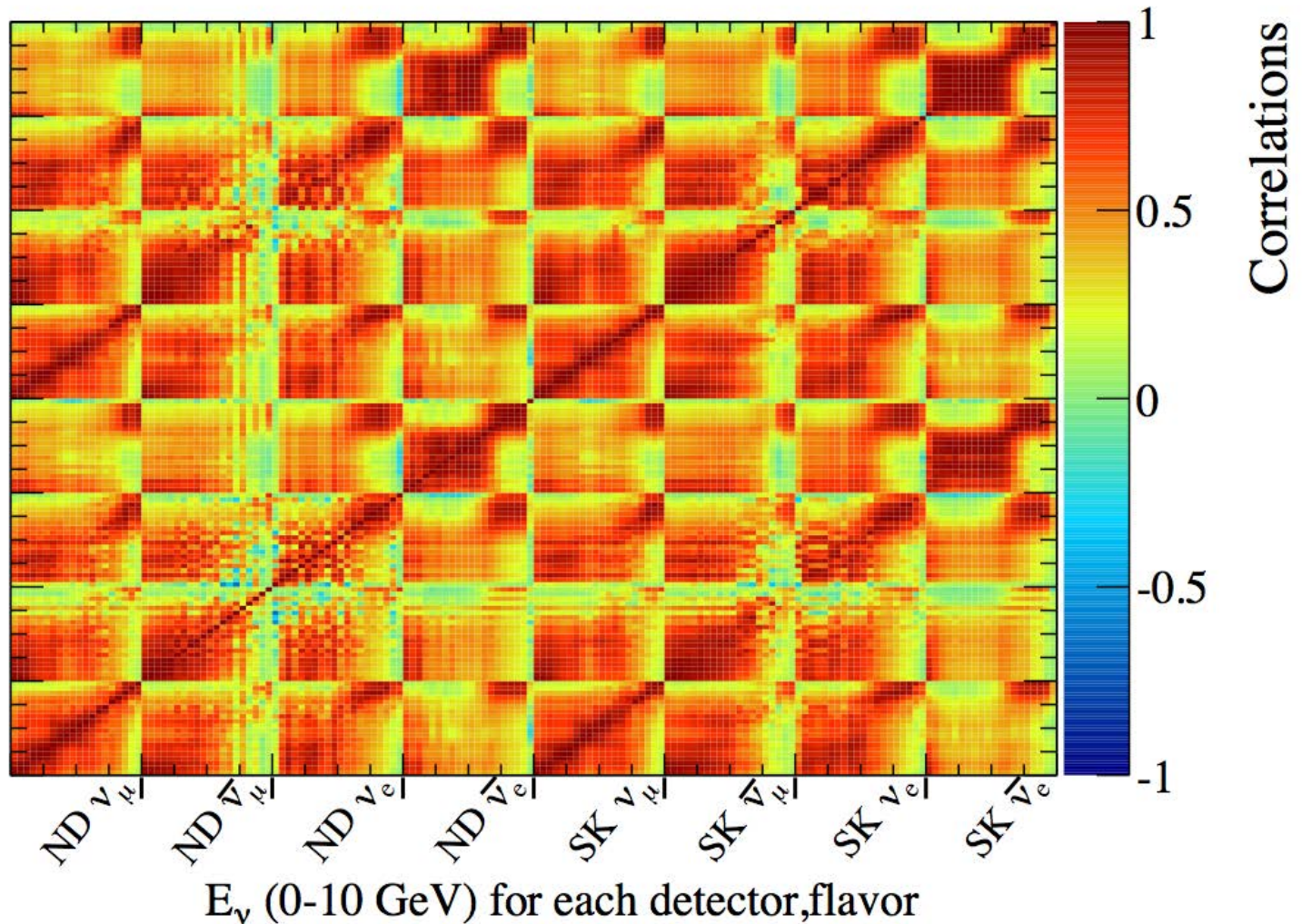
ND280  $\nu_\mu$  flux



ND280  $\nu_e$  flux



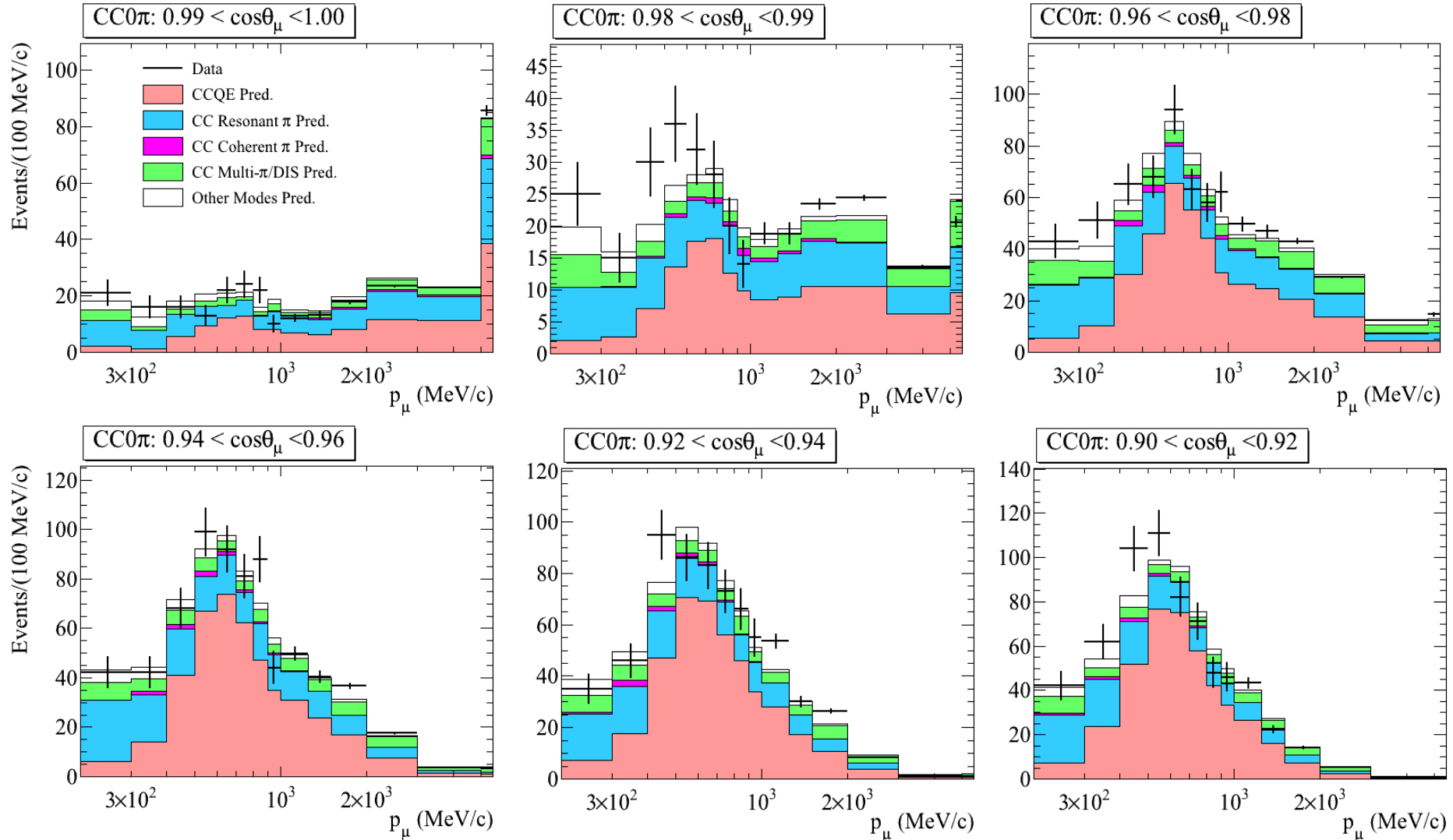
*energy dependent errors w/ full correlations among  $\nu$  types and between detectors(ND280, SK) are taken into account*



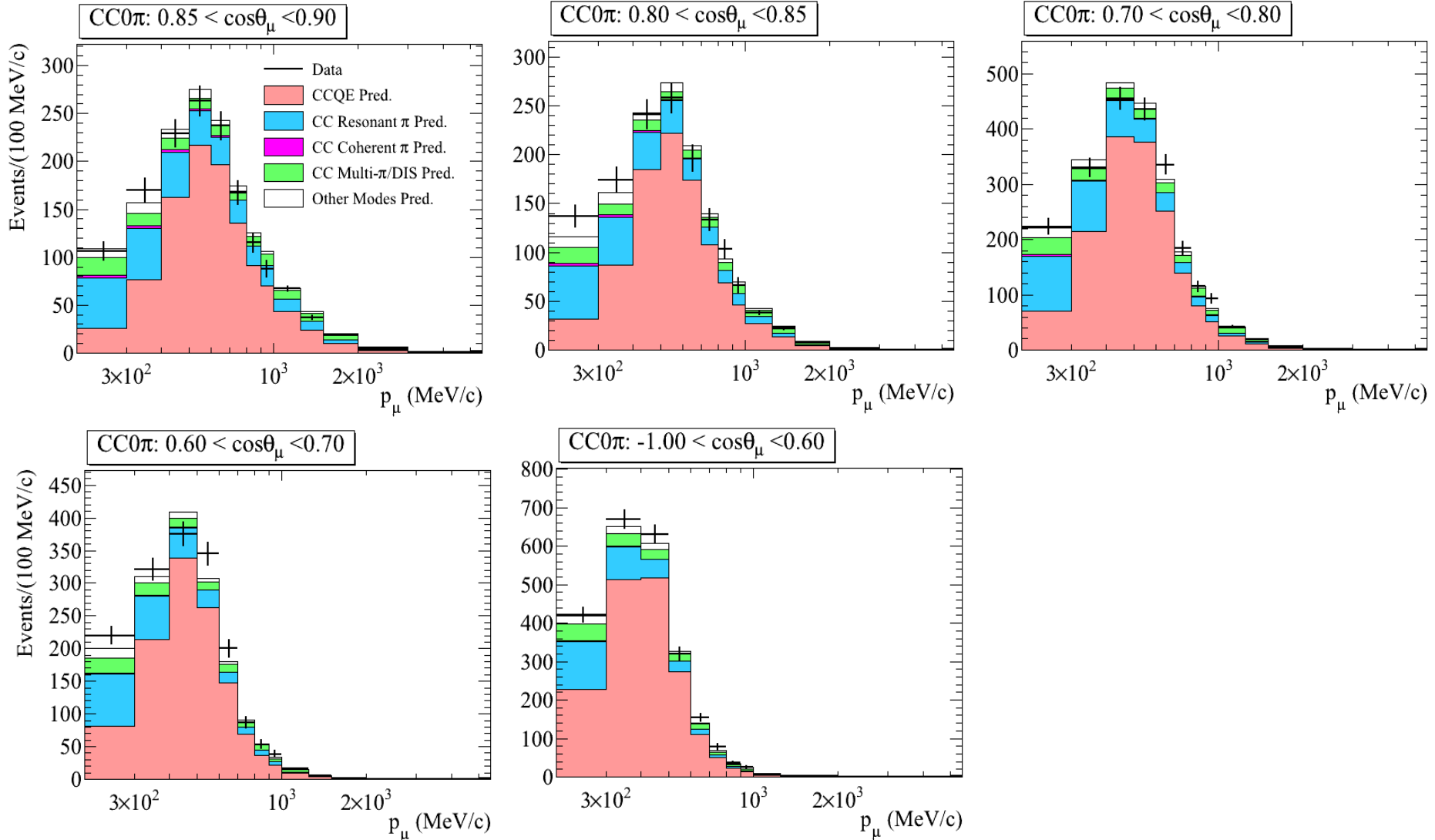


# ND280 CONSTRAINT FITS

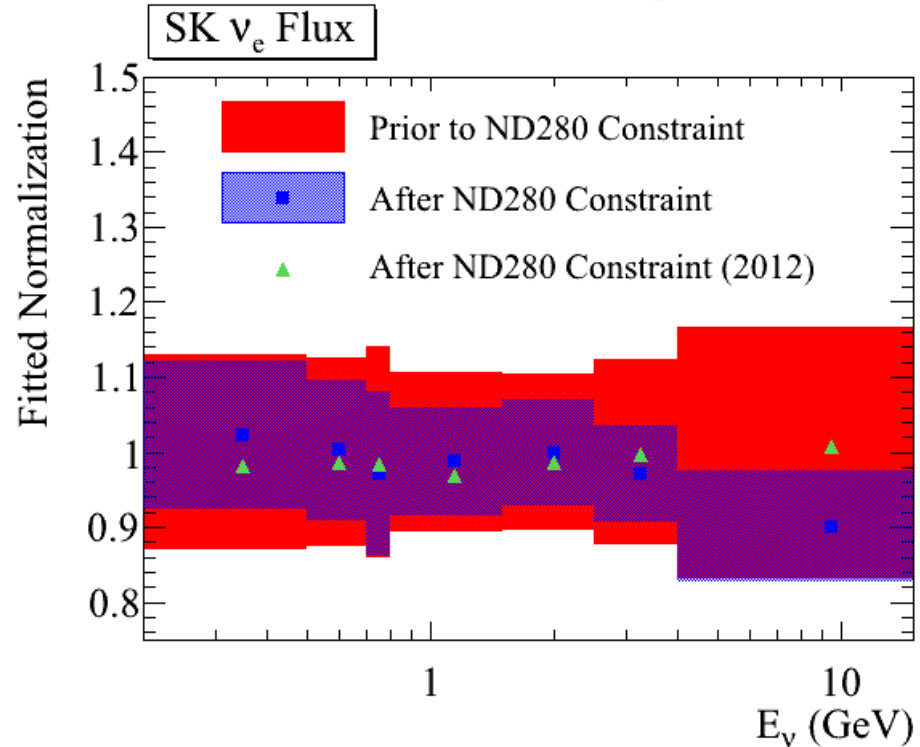
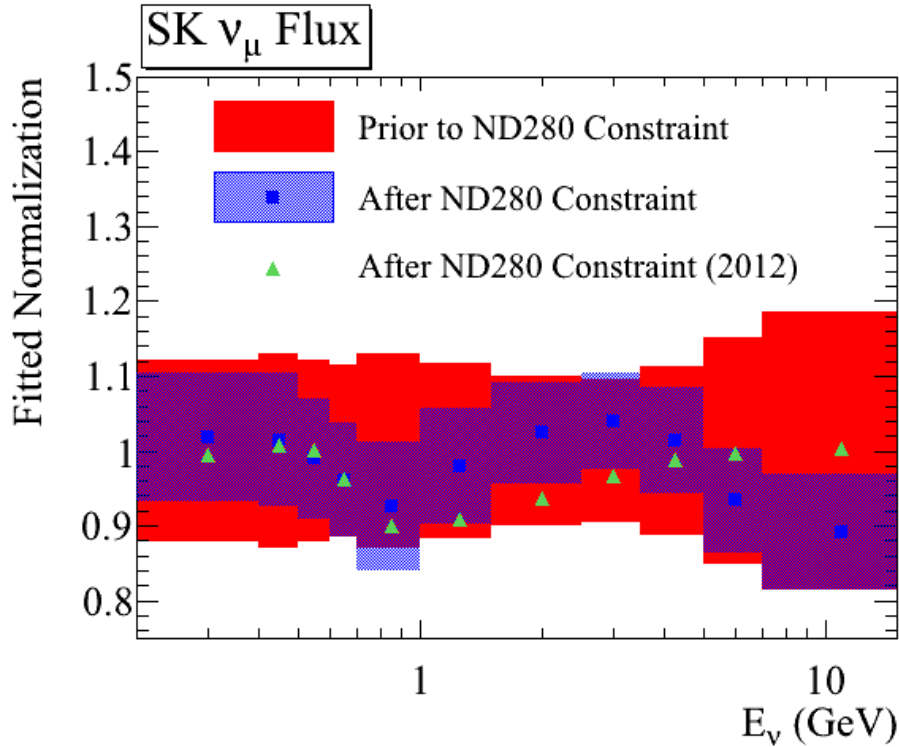
# ND CC0 $\pi$ Prediction and Data after ND280 Constraint



# ND $CC0\pi$ Prediction and Data after ND280 Constraint



# Flux after ND280 Constraint



Far detector  $\nu_\mu$  and  $\nu_e$  flux predictions are constrained by the fit, as illustrated by the central values and error bands for normalization vs. neutrino energy, before and after ND280 constraint.

(Central values are changed from 2012 results: due to finer bins and new ND280 selection)

# Cross-Section Parameters after ND280 Constraint



Parameter	Prior to ND280 Constraint	After ND280 Constraint (Runs 1-4)	After ND280 Constraint (2012 analysis, Runs 1-3)
$M_A^{QE}$ (GeV)	$1.21 \pm 0.45$	$1.223 \pm 0.072$	$1.269 \pm 0.194$
$M_A^{RES}$ (GeV)	$1.41 \pm 0.22$	$0.963 \pm 0.063$	$1.223 \pm 0.127$
CCQE Norm.*	$1.00 \pm 0.11$	$0.961 \pm 0.076$	$0.951 \pm 0.086$
CC1 $\pi$ Norm.**	$1.15 \pm 0.32$	$1.22 \pm 0.16$	$1.37 \pm 0.20$
NC1 $\pi^0$ Norm.	$0.96 \pm 0.33$	$1.10 \pm 0.25$	$1.15 \pm 0.27$

\*For  $E_\nu < 1.5$  GeV    \*\*For  $E_\nu < 2.5$  GeV

Significant changes to  $M_A^{RES}$  and CC1 $\pi$  normalization parameters and reduction in uncertainties since 2012 analysis due to finer bins and new selection that explicitly identified CC1 $\pi^+$  events.

# ND280 Fit $\Delta\chi^2$

$$\Delta\chi^2 = 2 \sum_i^{p, \cos\theta \text{ bins}} N_i^{\text{pred}}(\vec{b}, \vec{x}, \vec{d}) - N_i^{\text{data}} + N_i^{\text{data}} \ln[N_i^{\text{data}} / N_i^{\text{pred}}(\vec{b}, \vec{x}, \vec{d})]$$

$$+ \sum_i^{E_\nu \text{ bins}} \sum_j^{E_\nu \text{ bins}} (1 - b_i)(V_b^{-1})_{i,j}(1 - b_j) + \sum_i^{xsec \text{ pars}} \sum_j^{xsec \text{ pars}} (x_i^{\text{nom}} - x_i)(V_x^{-1})_{i,j}(x_j^{\text{nom}} - x_j)$$

$$+ \sum_i^{p, \cos\theta \text{ bins}} \sum_j^{p, \cos\theta \text{ bins}} (d_i^{\text{nom}} - d_i)(V_d^{-1})_{i,j}(d_j^{\text{nom}} - d_j)$$

$b$  = flux nuisance parameters

$x$  = cross section nuisance parameters

$d$  = detector/reconstruction model nuisance parameters

$V_b, V_x, V_d$  = covariance matrices (pre-fit uncertainties)

$$N_i^{\text{pred}}(\vec{b}, \vec{x}, \vec{d}) = d_i \sum_{j=1}^{\text{MC Events}} b_j x_j^{\text{norm}} w_j^x(\vec{x})$$

Pre-calculated weight function for cross section parameters with non-linear response

# Results from Fit to ND280 Data

Selection	Number of Events (Data)	Number of Events (MC before ND280 constraint)	Number of Events (MC after ND280 constraint)
CC0 $\pi$	16912	20016	16803
CC1 $\pi$	3936	5059	3970
CC Other	4062	4602	4006
CC Inclusive	24910	29678	24779

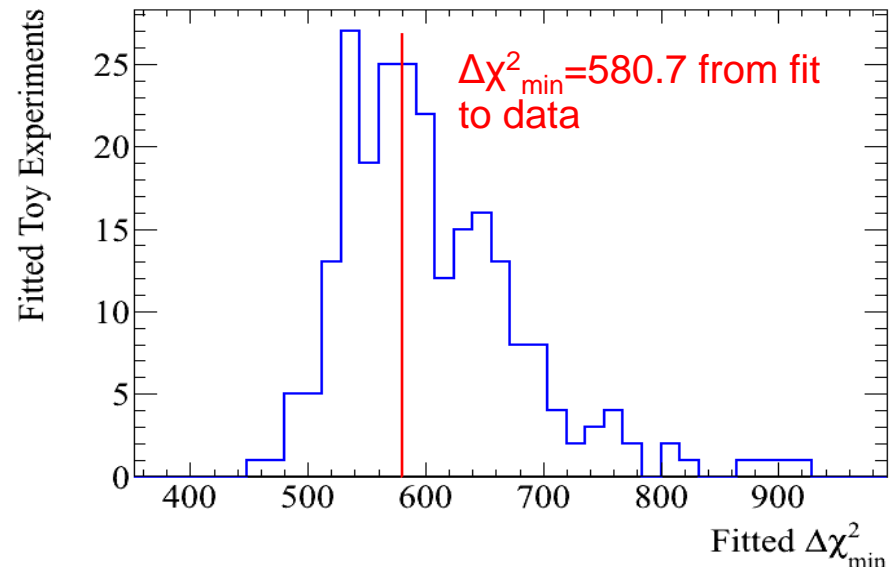
Test the data and constrained MC agreement with toy experiments:

Generated variations of models within prior uncertainties

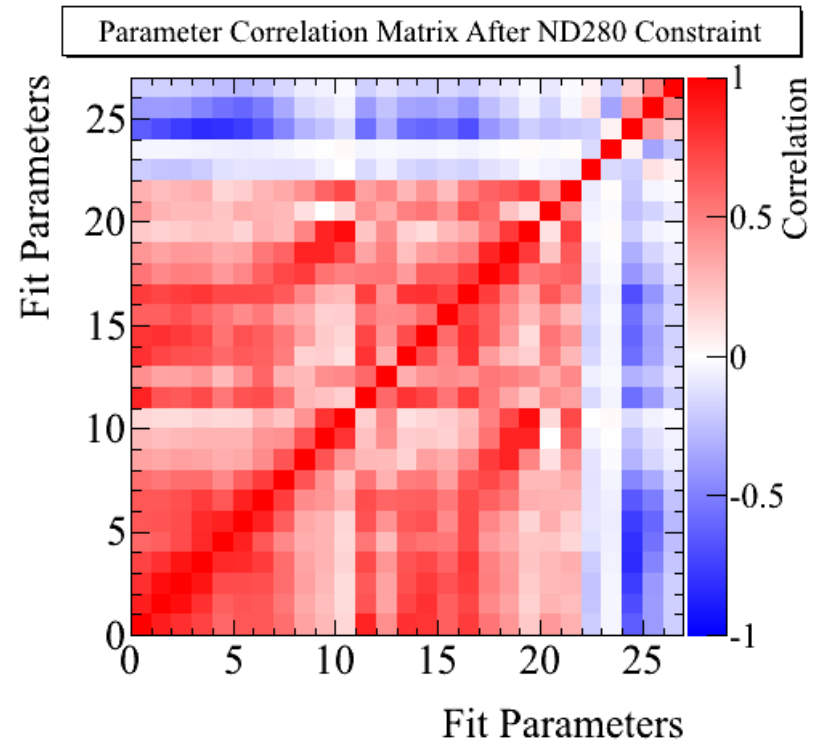
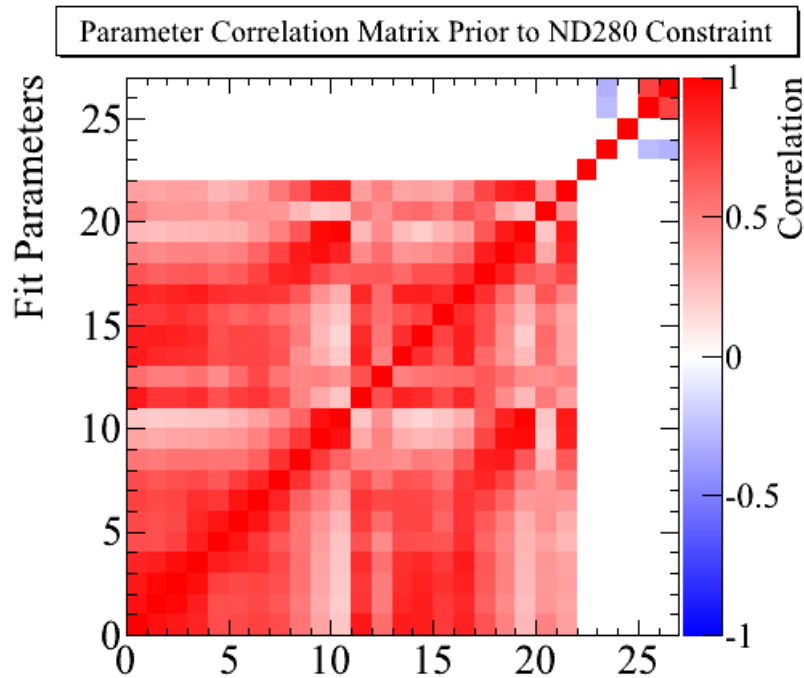
Fit toy data in same manner as data

Record  $\Delta\chi^2$  at minimum for each toy fit

$\Delta\chi^2_{\min}=580.7$  for data has p-value of 0.57



# Parameter Correlations



## Parameters:

0-10: SK  $\nu_\mu$  flux  
 11-12: SK  $\nu_{\mu^-}$  flux  
 13-19: SK  $\nu_e$  flux  
 20-21: SK  $\nu_e$  flux

Fit Parameters  
 22:  $M_A^{QE}$   
 23:  $M_A^{RES}$   
 24: CCQE Norm.  
 25: CC1 $\pi$  Norm.  
 26: NC1 $\pi^0$  Norm.

The constraint from the measured event rates causes anti-correlations between flux and cross section nuisance parameters



# SK Uncertainty Reduction

Reduction of uncertainty on the SK prediction from constrained flux and cross section nuisance parameters is due to increased statistics and improved SK and ND280 analysis techniques

ND280 Analysis	ND280 Data	SK Selection	$\sin^2 2\theta_{13}=0.1$	$\sin^2 2\theta_{13}=0.0$
No Constraint	--	Old	22.6%	18.3%
No Constraint	--	New	26.9%	22.2%
2012 method*	Runs 1-2	Old	5.7%	8.7%
2012 method**	Runs 1-3	Old	5.0%	8.5%
2012 method	Runs 1-3	New	4.9%	6.5%
2012 method***	Runs 1-3	New	4.7%	6.1%
2013 method	Runs 1-3	New	3.5%	5.2%
2013 method	Runs 1-4	New	3.0%	4.9%

Factor 2.4 more  
ND280 POT

Improved SK  $\pi^0$   
rejection

New ND280  
reconstruction,  
selection, binning

Factor 2.2 more  
ND280 POT

\*Results presented at Neutrino 2012 conference

\*\*Published results, arXiv:1304.0841v2

\*\*\*Update to NEUT tuning with MiniBooNE data

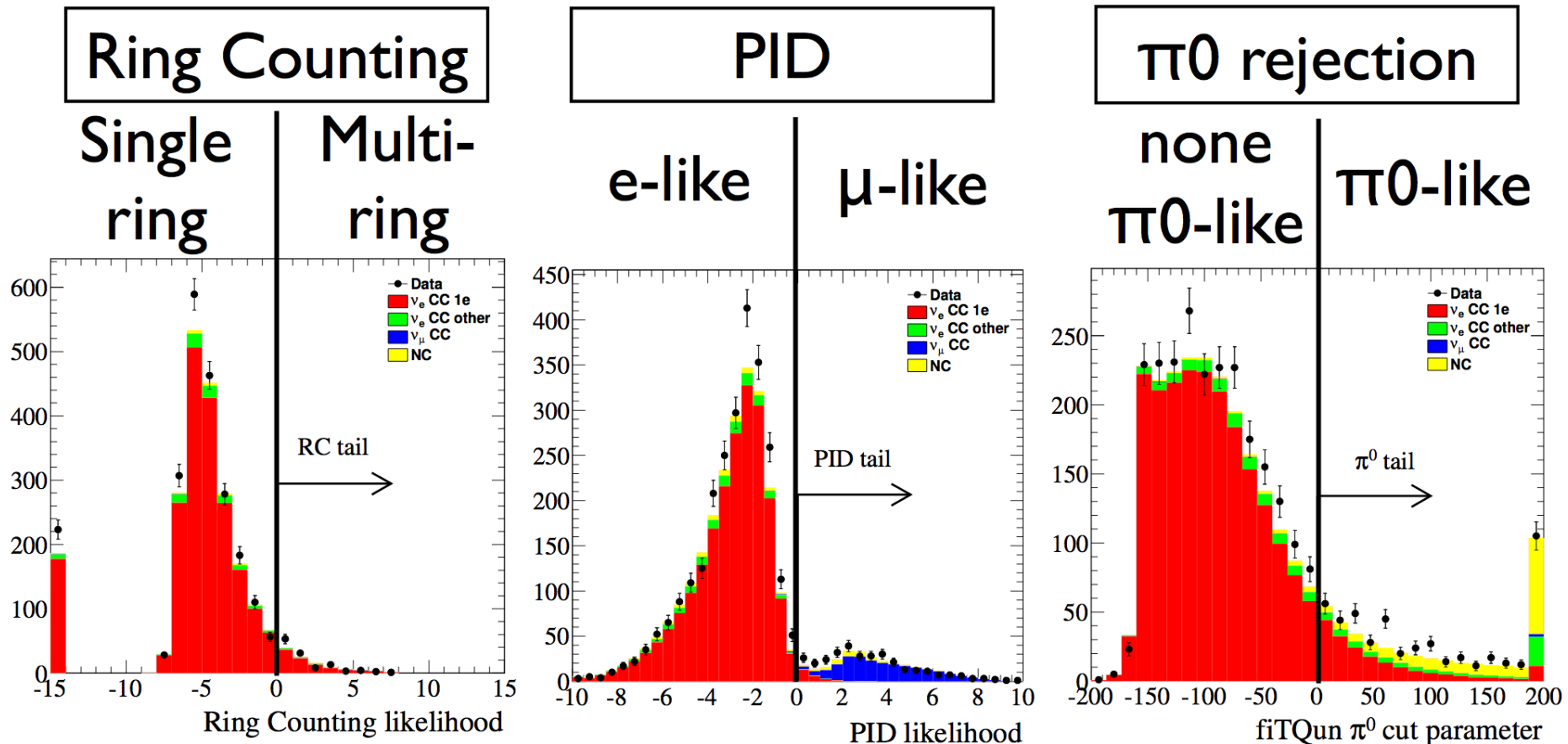
# **SUPER-K DETECTOR SYSTEMATIC UNCERTAINTIES**

# SK errors with atmospheric- $\nu_e$

- Evaluate the errors on ‘ $\nu_e$  selection efficiencies’ using SK atmospheric neutrino samples
  - Errors on ring counting (RC), particle identification (PID), and  $\pi^0$  rejection
  - (cf.  $\nu_e$  candidates: l-ring & e-like & no  $\pi^0$ -like)
- Use SK atmospheric neutrino data of 1417.4 days live-time for the 2013 analysis

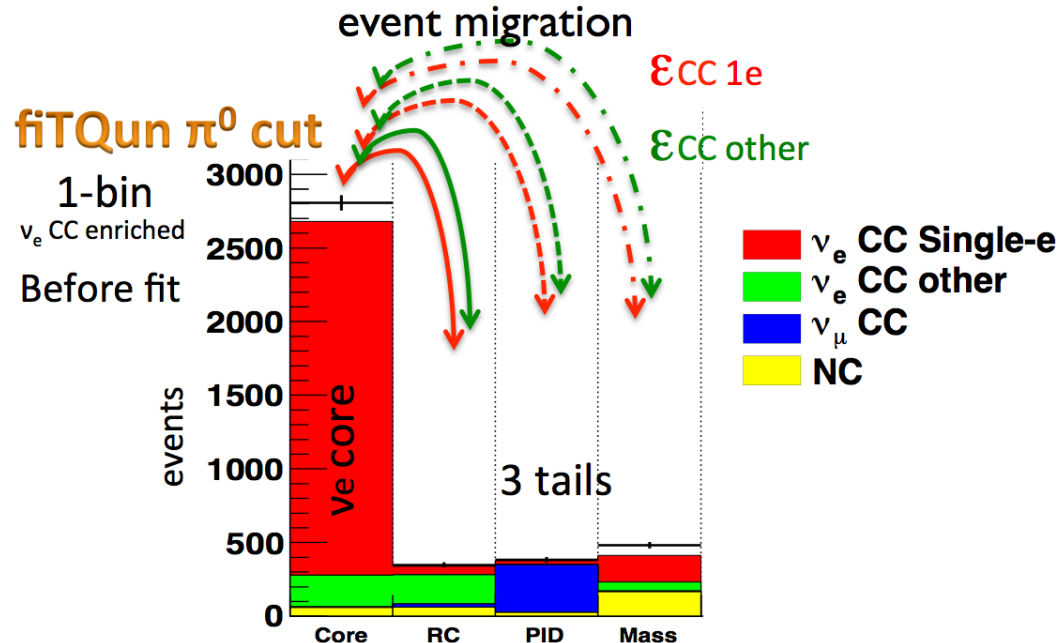
# Control Samples

- $\nu_e$  candidate sample (“core” sample) + rejected samples (three “tail” samples)
  - Selections: ring counting, PID, and  $\pi^0$  rejection
  - (cf.  $\nu_e$  candidates: 1-ring & e-like & none  $\pi^0$ -like)

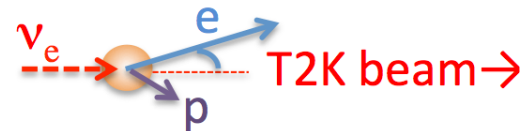


# Atmospheric $\nu$ fit

- Evaluate errors on ' $\nu_e$  selection efficiencies' by fit the MC predictions to data by introducing the efficiency parameters  $\epsilon$ , that describes event migration between 'core' and 'tail' samples



- Evaluate the errors in bins of momentum ( $p$ ) and scattered angle ( $\theta$ )
  - $p$  bins: 100, 300, 700, 1250, 2000, 5000 MeV/c
  - $\theta$  bins: 0, 40, 60, 80, 100, 120, 140, 180 deg.



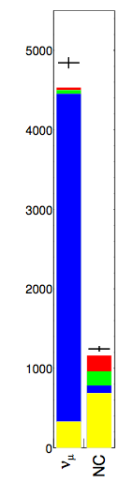
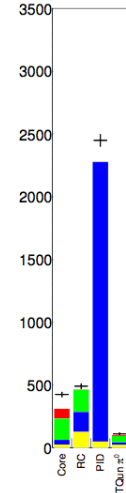
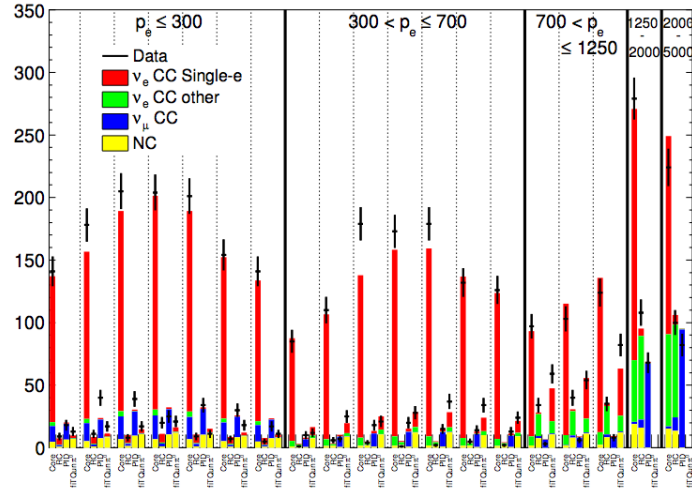
# atm- $\nu$ fit results

Number of events in  $p$ - $\theta$  bins and control samples.

(i)  $\nu_e$  enriched sample

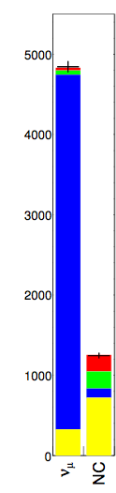
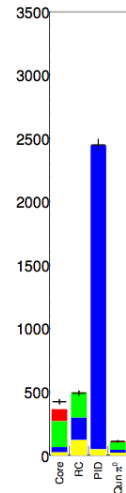
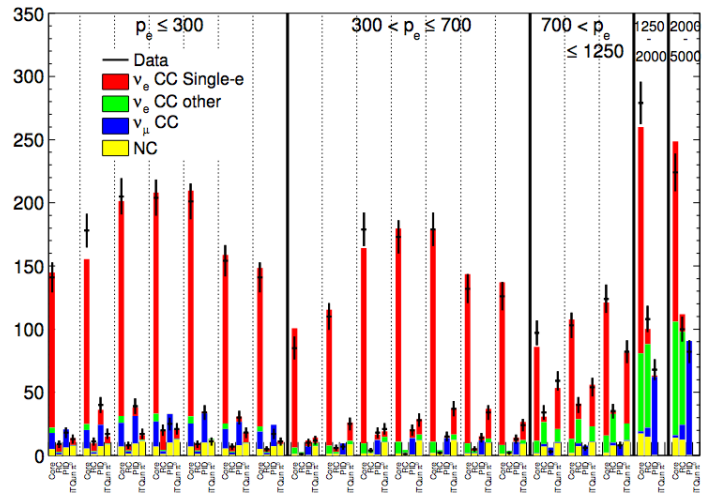
(ii) CCnQE enriched  
(iii) BG enriched

Before fit



(a) Before atm- $\nu$  fit (Original MC) ( $\chi^2 = 336.8$ )

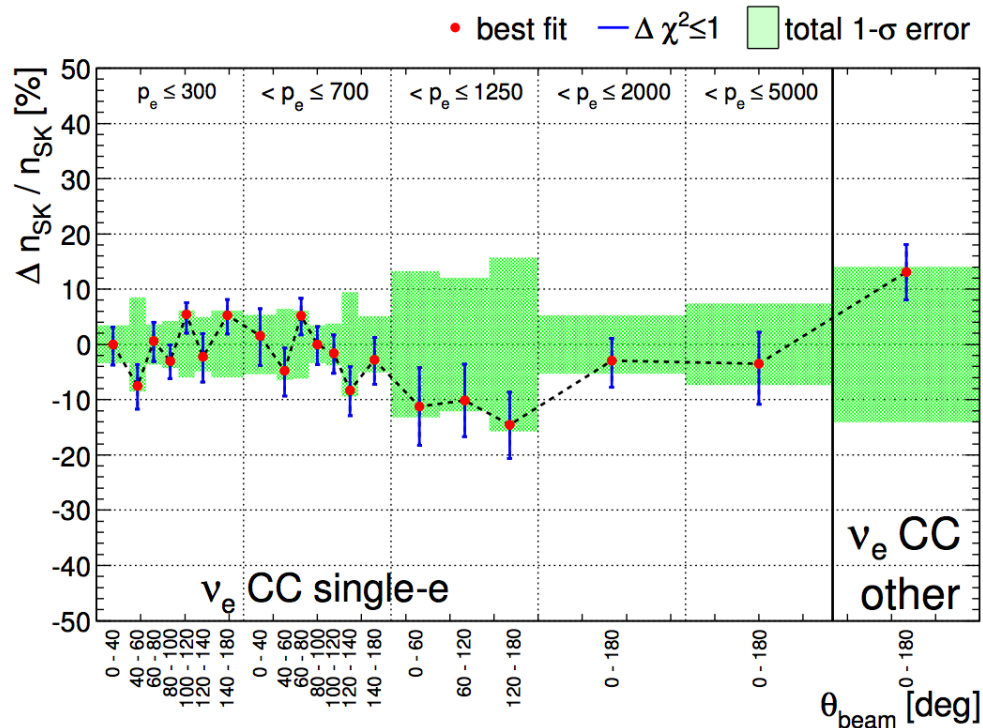
Best fit



(b) Best fit (Minimized all parameters) ( $\chi^2 = 165.4$ ) / ( $d.o.f. = 186\text{bins} - 58 = 128$ )

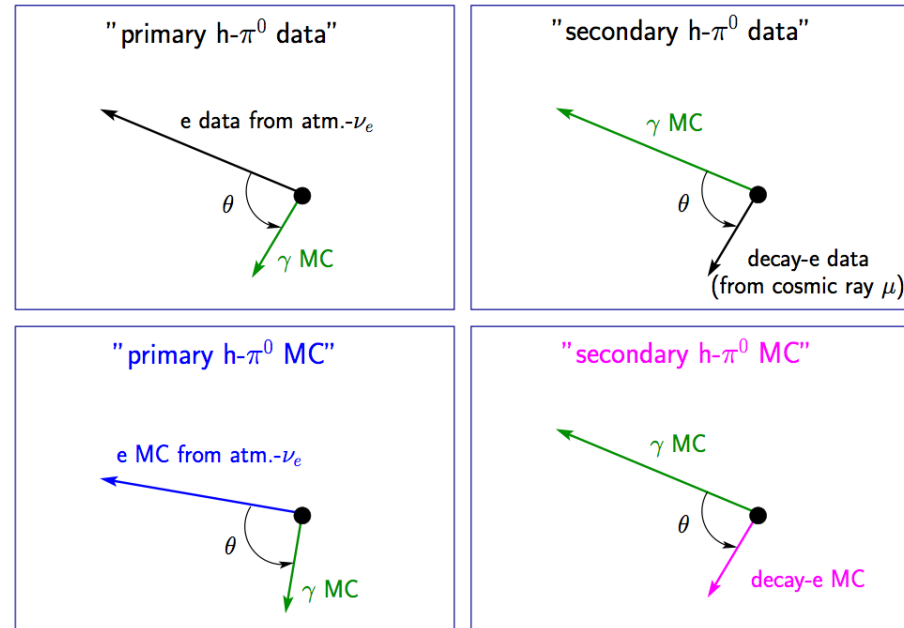
# SK error w/ atm- $\nu$ fit

- Errors on number of  $\nu_e$  candidates ( $n_{SK}$ ) in 19  $p$ - $\theta$  bins for ‘ $\nu_e$  CC single-electron’ events and 1 bin for ‘ $\nu_e$  CC other’ events
- **Correlated error (red point):** difference from the ‘best fit’
- **Uncorrelated error (blue bar):** fit error (stat. error)



# “Hybrid- $\pi^0$ ” samples

- “Hybrid- $\pi^0$ ” samples
  - Electron track from atm- $\nu_e$  data is combined with  $\gamma$  from MC following  $\pi^0$  decay kinematics



- Control samples:
  - Primary: electron from atm- $\nu_e$  is used for the higher energy “ $\gamma$ ”, and the lower energy  $\gamma$  from MC
  - Secondary: electron of atm- $\nu_e$  (and decay-e from cosmic-ray  $\mu$ ) is the lower energy “ $\gamma$ ”, and higher energy  $\gamma$  from MC

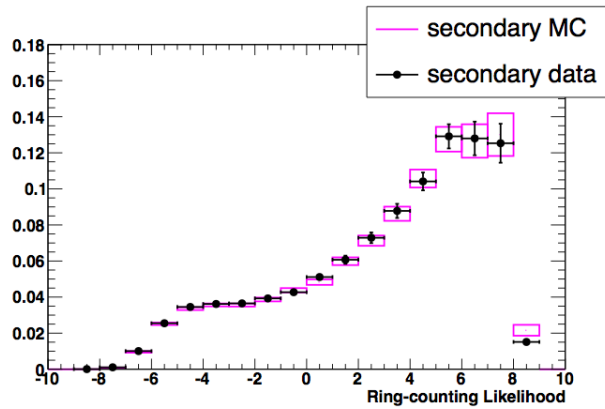


# Control samples

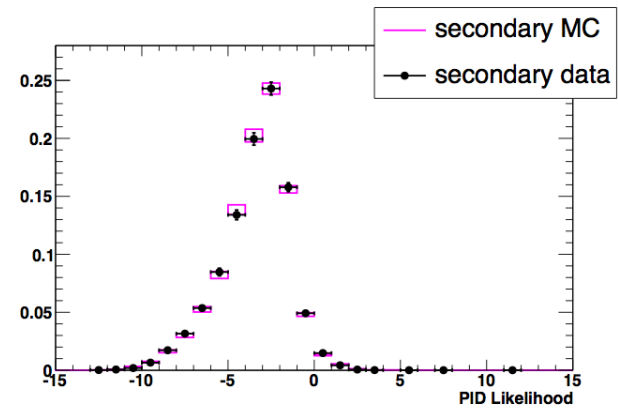
- Three type of control samples:
  - “NC hybrid- $\pi^0$ ” sample
  - “NC hybrid- $\pi^0$  + other” sample
  - “ $\nu\mu$  CC hybrid- $\pi^0$  + other” sample
  - where “other” includes charged pions, and protons (and their combinations)
- All samples have ‘primary’ and ‘secondary’ samples
- The errors are evaluated in  $p$ - $\theta$  bins (the same definition as atm- $\nu$  fit)

# Basic distributions

## Ring Counting

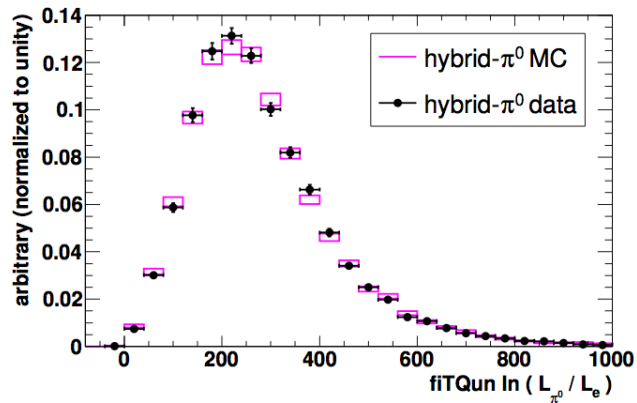


## PID

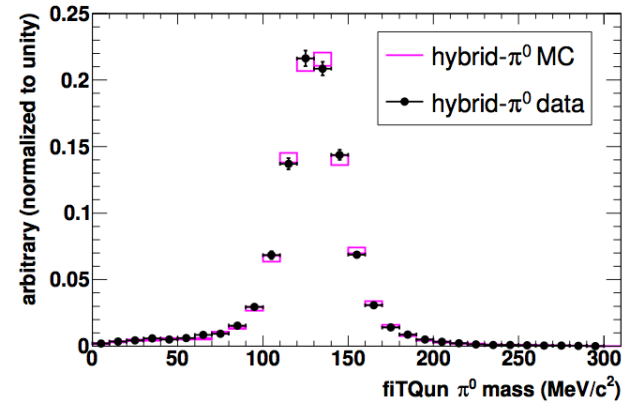


## $\pi^0$ rejection

### $\pi^0/e$ likelihood ratio



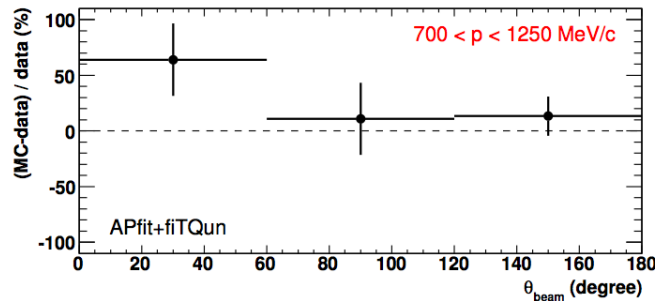
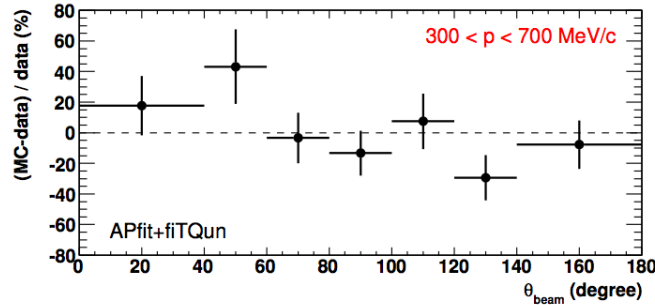
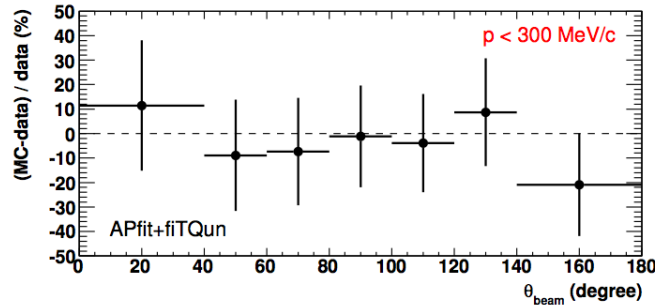
### $\pi^0$ mass



# SK error w/ hybrid- $\pi^0$

Correlated error:  $(MC-Data)/Data$

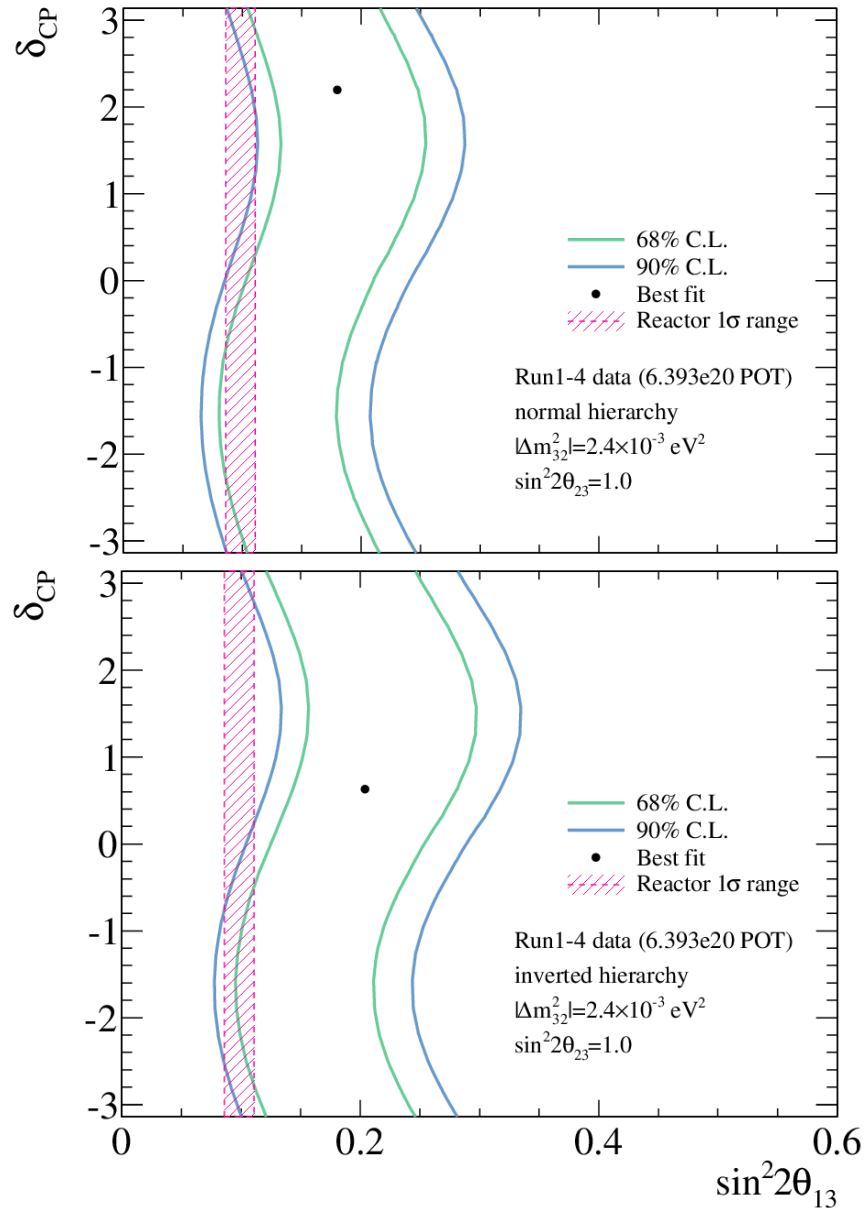
Uncorrelated error: Statistical uncertainties



# MUON NEUTRINO DISAPPEARANCE ANALYSIS

# **ELECTRON NEUTRINO APPEARANCE ANALYSIS**

# 2D Contour of $\delta_{CP}$ vs. $\sin^2 2\theta_{13}$ with reactor result



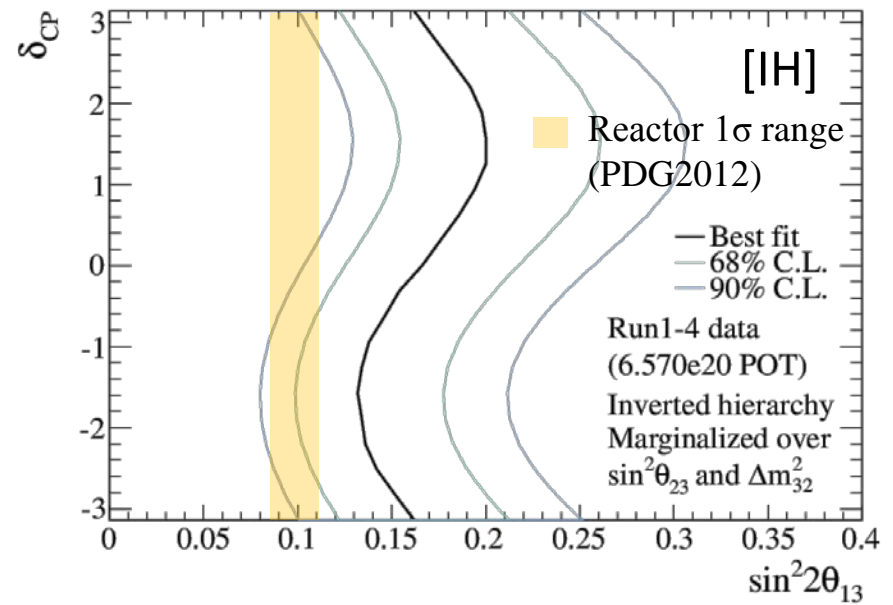
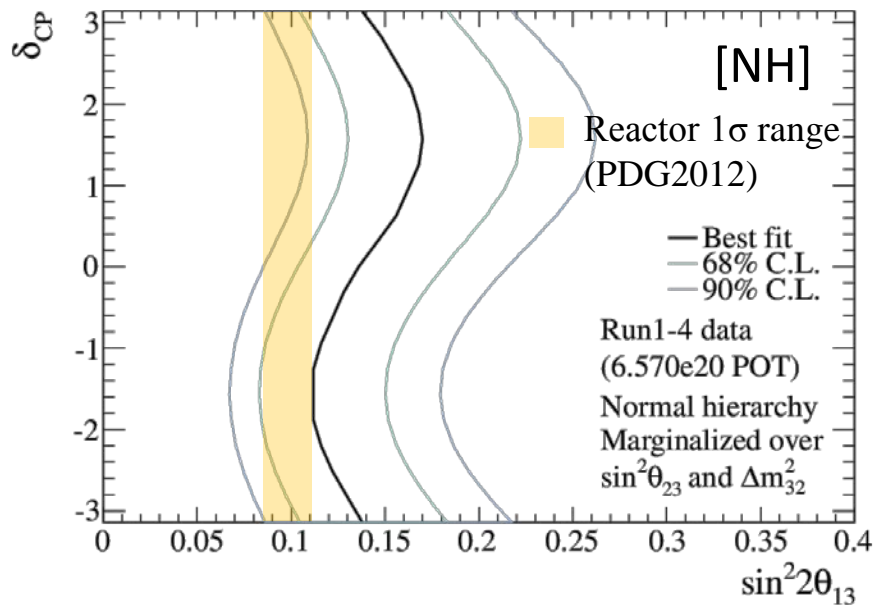
In these plots, the contours are calculated in 2D space.

Pink band represents PDG2012 reactor average value of  $\sin^2 2\theta_{13}$ . ( $0.098 \pm 0.013$ )

# Marginalizing over Disappearance Parameters



Allowed region of  $\sin^2 2\theta_{13}$  for each value of  $\delta_{CP}$



[NH] Normal hierarchy,  
[IH] Inverted hierarchy

Note

- These are 1D contours for values of  $\delta_{CP}$ , not 2D contours in  $\delta_{CP}$ - $\theta_{13}$  space

# Systematic errors for $N_{\text{exp}}$

Black: 2013  
Blue: 2012

(unit: %)

Error source	$\sin^2 2\theta_{13} = 0$		$\sin^2 2\theta_{13} = 0.1$					
	w/o ND280 fit	w/ ND280 fit	w/o ND280 fit	w/ ND280 fit				
Beam only	10.6	10.8	7.3	7.5	11.6	11.9	7.5	8.1
$M_A^{QE}$	15.6	9.5	2.4	4.0	21.5	16.3	3.2	6.7
$M_A^{RES}$	7.2	4.5	2.1	3.9	3.3	2.0	0.9	1.8
CCQE norm. ( $E_\nu < 1.5$ GeV)	7.1	4.9	4.8	3.8	9.3	7.9	6.3	6.2
CC1 $\pi$ norm. ( $E_\nu < 2.5$ GeV)	4.9	5.1	2.4	3.5	4.2	5.2	2.0	3.5
NC1 $\pi^0$ norm.	2.7	7.9	1.9	7.3	0.6	2.3	0.4	2.2
CC other shape	0.3	0.2	0.3	0.2	0.1	0.1	0.1	0.1
Spectral Function	4.7	3.3	4.8	3.3	6.0	5.7	6.0	5.7
$p_F$	0.1	0.3	0.1	0.3	0.1	0.0	0.1	0.0
CC coh. norm.	0.3	0.2	0.3	0.2	0.3	0.2	0.2	0.2
NC coh. norm.	1.1	2.1	1.1	2.0	0.3	0.6	0.2	0.6
NC other norm.	2.3	2.6	2.2	2.6	0.5	0.8	0.5	0.8
$\sigma_{\nu_e}/\sigma_{\nu_\mu}$	2.4	1.8	2.4	1.8	2.9	2.6	2.9	2.6
W shape	1.0	1.9	1.0	1.9	0.2	0.8	0.2	0.8
pion-less $\Delta$ decay	3.3	0.5	3.1	0.5	3.7	3.2	3.5	3.2
SK detector eff.	5.7	6.8	5.6	6.8	2.4	3.0	2.4	3.0
FSI	3.0	2.9	3.0	2.9	2.3	2.3	2.3	2.3
PN	3.6		3.5		0.8		0.8	
SK momentum scale	1.5	0.0	1.5	0.0	0.6	0.0	0.6	0.0
Total	24.5	21.0	11.1	13.0	28.1	24.2	8.8	9.9



# Systematic errors for $N_{\text{exp}}$

Black: 2013  
Blue: 2012

(unit: %)

Error source	$\sin^2 2\theta_{13} = 0$		$\sin^2 2\theta_{13} = 0.1$					
	w/o ND280 fit	w/ ND280 fit	w/o ND280 fit	w/ ND280 fit				
Beam only	10.6	10.8	7.3	7.5	11.6	11.9	7.5	8.1
$M_A^{QE}$	15.6	9.5	2.4	4.0	21.5	16.3	3.2	6.7
$M_A^{RES}$	7.2	4.5	2.1	3.9	3.3	2.0	0.9	1.8
CCQE norm. ( $E_\nu < 1.5$ GeV)	7.1	4.9	4.8	3.8	9.3	7.9	6.3	6.2
CC1 $\pi$ norm. ( $E_\nu < 2.5$ GeV)	4.9	5.1	2.4	3.5	4.2	5.2	2.0	3.5
NC1 $\pi^0$								
CC oth								
Spectra								
$p_F$								
CC col								
NC col								
NC oth								
$\sigma_{\nu_e}/\sigma_{\nu_\mu}$								
W sha								
pion-less $\Delta$ decay	3.3	0.5	3.1	0.5	3.7	3.2	3.5	3.2
SK detector eff.	5.7	6.8	5.6	6.8	2.4	3.0	2.4	3.0
FSI	3.0	2.9	3.0	2.9	2.3	2.3	2.3	2.3
PN	3.6		3.5		0.8		0.8	
SK momentum scale	1.5	0.0	1.5	0.0	0.6	0.0	0.6	0.0
Total	24.5	21.0	11.1	13.0	28.1	24.2	8.8	9.9

- Photo Nuclear effect is added in SK MC.
- SK momentum scale was only implemented as PDF error, but now it is also implemented for  $N_{\text{exp}}$  error. (It was already implemented for  $E_{\text{rec}}$ .)
- Enu 1pi shape error is removed from BANFF.
- SK error is improved thanks to additional atm. nu. data set and MC improvements.

# Systematic errors for $N_{\text{exp}}$

Black: 2013  
Blue: 2012

(unit: %)

Error source	$\sin^2 2\theta_{13} = 0$		$\sin^2 2\theta_{13} = 0.1$	
	w/o ND280 fit	w/ ND280 fit	w/o ND280 fit	w/ ND280 fit
Beam only	10.6 10.8	7.3 7.5	11.6 11.9	7.5 8.1
$M_A^{QE}$	15.6 9.5	2.4 4.0	21.5 16.3	3.2 6.7
$M_A^{RES}$	7.2 4.5	2.1 3.9	3.3 2.0	0.9 1.8
CCQE norm. ( $E_\nu < 1.5$ GeV)	7.1 4.9	4.8 3.8	9.3 7.9	6.3 6.2
CC1 $\pi$ norm. ( $E_\nu < 2.5$ GeV)	4.9 5.1	2.4 3.5	4.2 5.2	2.0 3.5
NC1 $\pi^0$ norm.	2.7 7.9	1.9 7.3	0.6 2.3	0.4 2.2
CC other shape	0.3 0.2	0.3 0.2	0.1 0.1	0.1 0.1
Spectral Function	4.7 3.3	4.8 3.3	6.0 5.7	6.0 5.7
$p_F$	0.1 0.3	0.1 0.3	0.1 0.0	0.1 0.0
CC coh. norm.				
NC coh. norm.				
NC other norm.				
$\sigma_{\nu_e}/\sigma_{\nu_\mu}$				
W shape				
pion-less $\Delta$ decay				
SK detector eff.	5.7 6.8	5.6 6.8	2.4 3.0	2.4 3.0
FSI	3.0 2.9	3.0 2.9	2.3 2.3	2.3 2.3
PN	3.6	3.5	0.8	0.8
SK momentum scale	1.5 0.0	1.5 0.0	0.6 0.0	0.6 0.0
Total	24.5 21.0	11.1 13.0	28.1 24.2	8.8 9.9

By using fitQun, the fraction of  $\nu_e$  signal events (i.e. CCQE events) increased. Therefore, the dominant error ( $M_A^{QE}$ ) increased and the total error increased.  
(This is a fractional error. The absolute error is decreased.)

# Systematic errors for $N_{\text{exp}}$

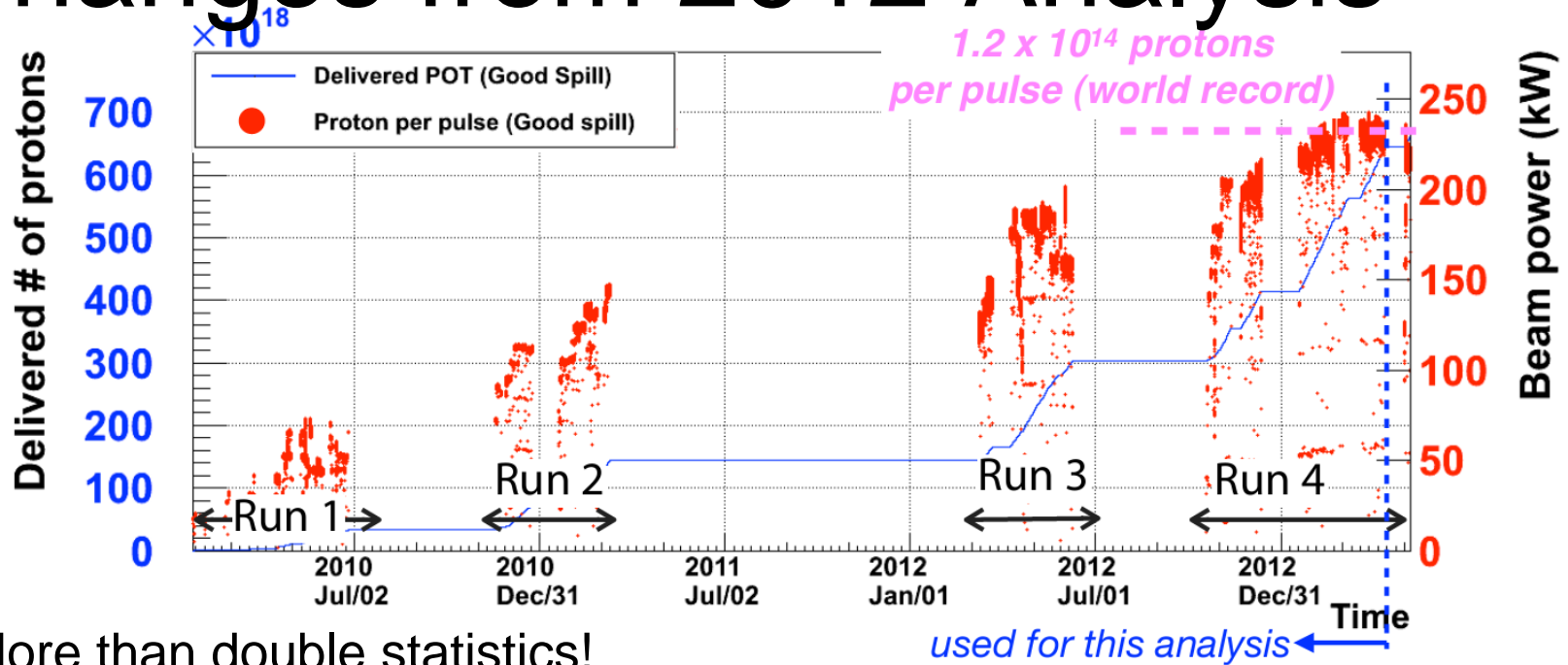
Black: 2013  
Blue: 2012

(unit: %)

Error source	$\sin^2 2\theta_{13} = 0$		$\sin^2 2\theta_{13} = 0.1$					
	w/o ND280 fit	w/ ND280 fit	w/o ND280 fit	w/ ND280 fit				
Beam only	10.6	10.8	7.3	7.5	11.6	11.9	7.5	8.1
$M_A^{QE}$	15.6	9.5	2.4	4.0	21.5	16.3	3.2	6.7
$M_A^{RES}$	7.2	4.5	2.1	3.9	3.3	2.0	0.9	1.8
CCQE norm. ( $E_\nu < 1.5$ GeV)	7.1	4.9	4.8	3.8	9.3	7.9	6.3	6.2
CC1 $\pi$ norm. ( $E_\nu < 2.5$ GeV)	4.9	5.1	2.4	3.5	4.2	5.2	2.0	3.5
NC1 $\pi^0$ norm.	2.7	7.9	1.9	7.3	0.6	2.3	0.4	2.2
CC other shape	0.3	0.2	0.3	0.2	0.1	0.1	0.1	0.1
Spectral Function	4.7	3.3	4.8	3.3	6.0	5.7	6.0	5.7
$p_F$	0.1	0.3	0.1	0.3	0.1	0.0	0.1	0.0
CC coh. norm.								
NC coh. norm.								
NC other norm.								
$\sigma_{\nu_e}/\sigma_{\nu_\mu}$	2.4	1.8	2.4	1.8	2.9	2.0	2.9	2.0
W shape	1.0	1.9	1.0	1.9	0.2	0.8	0.2	0.8
pion-less $\Delta$ decay	3.3	0.5	3.1	0.5	3.7	3.2	3.5	3.2
SK detector eff.	5.7	6.8	5.6	6.8	2.4	3.0	2.4	3.0
FSI	3.0	2.9	3.0	2.9	2.3	2.3	2.3	2.3
PN	3.6		3.5		0.8		0.8	
SK momentum scale	1.5	0.0	1.5	0.0	0.6	0.0	0.6	0.0
Total	24.5	21.0	11.1	13.0	28.1	24.2	8.8	9.9

On the other hand, the post-fit error is reduced because the cross section errors are significantly reduced by new BANFF.

# Changes from 2012 Analysis

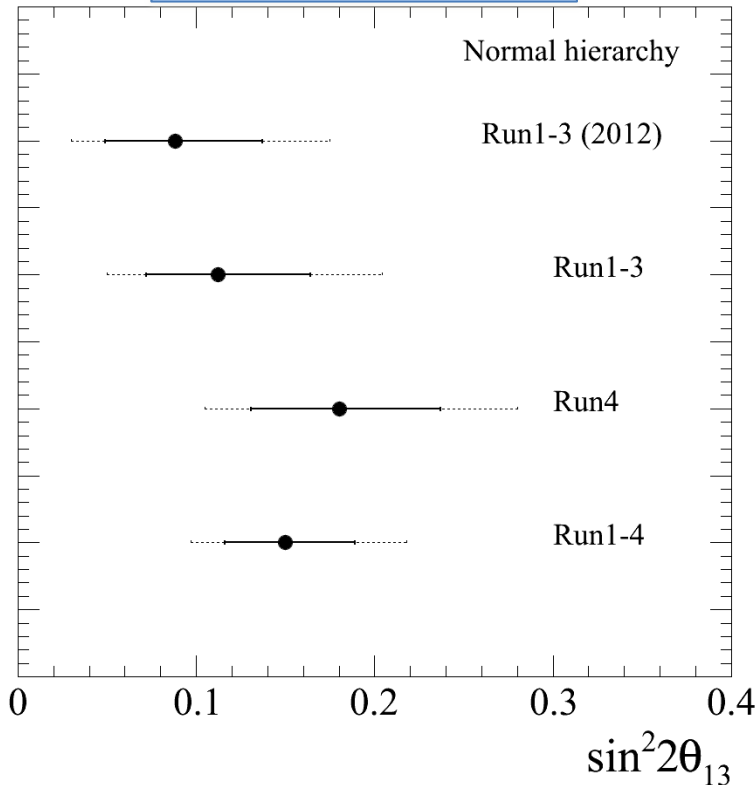


- More than double statistics!
  - 2012 analysis (Run1+2+3):  $3.010 \times 10^{20}$  POT,  $N_{\text{events}} = 11$
  - 2013 analysis (Run1+2+3+4 (~Apr 12)):  $6.393 \times 10^{20}$  POT,  $N_{\text{events}} = 11 + 17 = 28$
- The background rejection cut is improved by using a new SK reconstruction algorithm. BG events reduced from 6.4 to 4.6!
- Near detector measurement is improved by having new event categories which can further constraint the neutrino beam flux and cross section systematic errors.

# Current and Previous Results

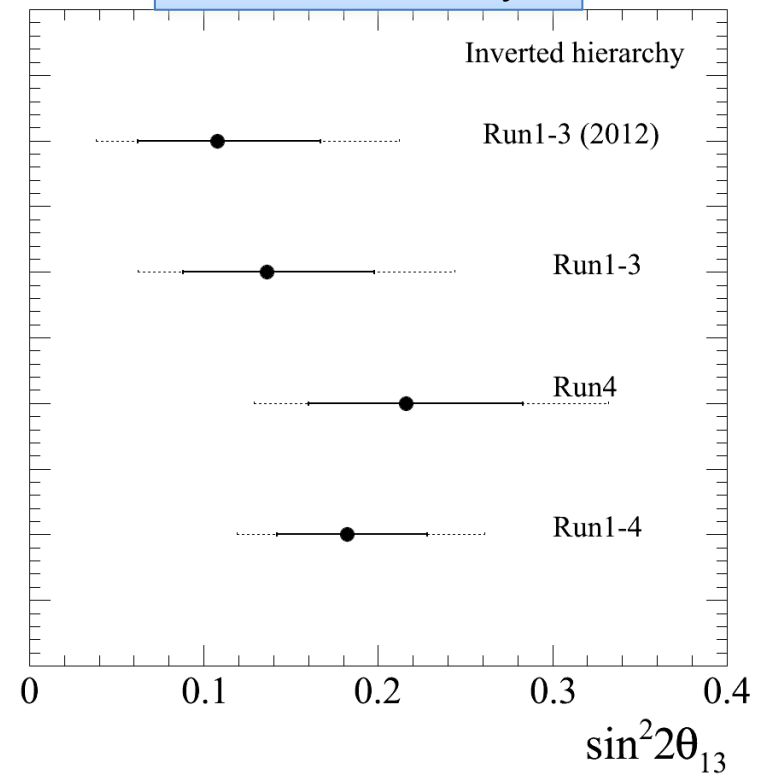


Normal hierarchy



— 68% C.L.  
 --- 90% C.L.

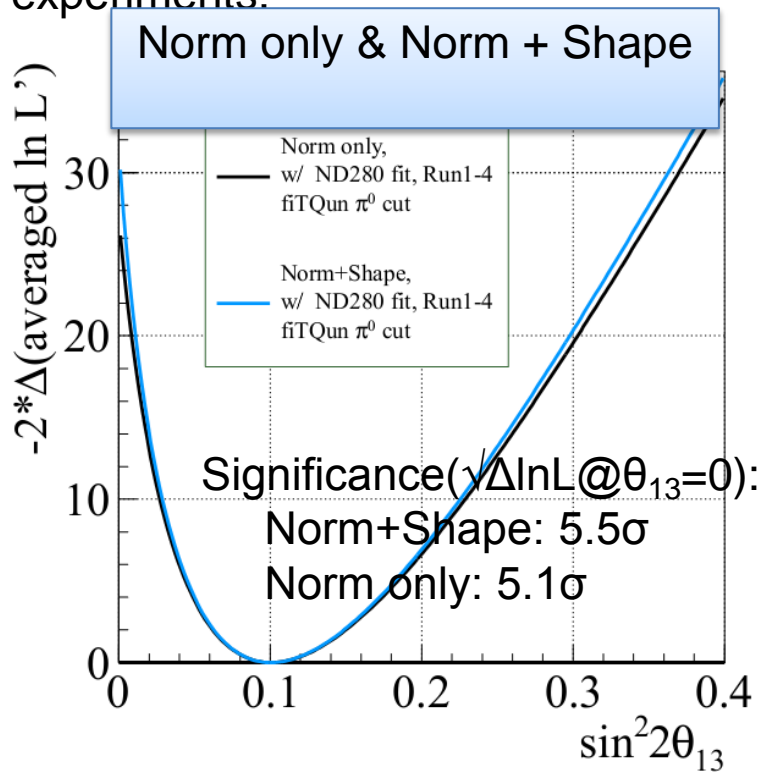
Inverted hierarchy



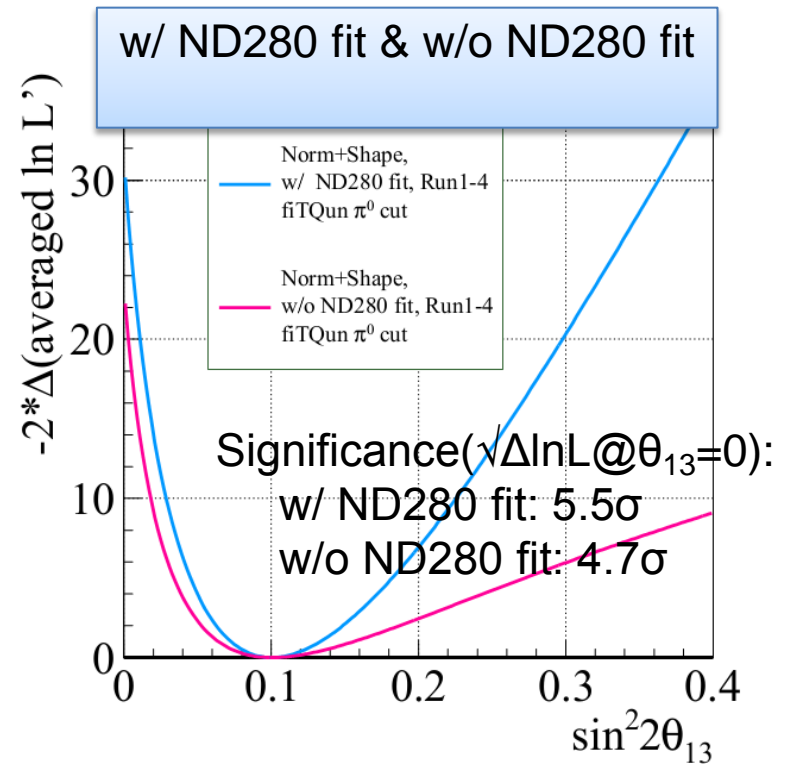
- Run 4 best fit value is higher than the others.
- Run1-3 (2012) looks different from Run1-3, because:
  - $N_{\text{pred}}$  decreased by using new Super-K reconstruction, while  $N_{\text{obs}}$  did not change.
  - $N_{\text{pred}}$  decreased with Run 1-4 near detector fit.

# Sensitivity checks

We fit the toy MC experiments (true  $\sin^2 2\theta_{13}=0.1$ ) to check the sensitivity. The averaged  $\ln L$  curves  $\downarrow$  are generated by averaging 4000 toy experiments.

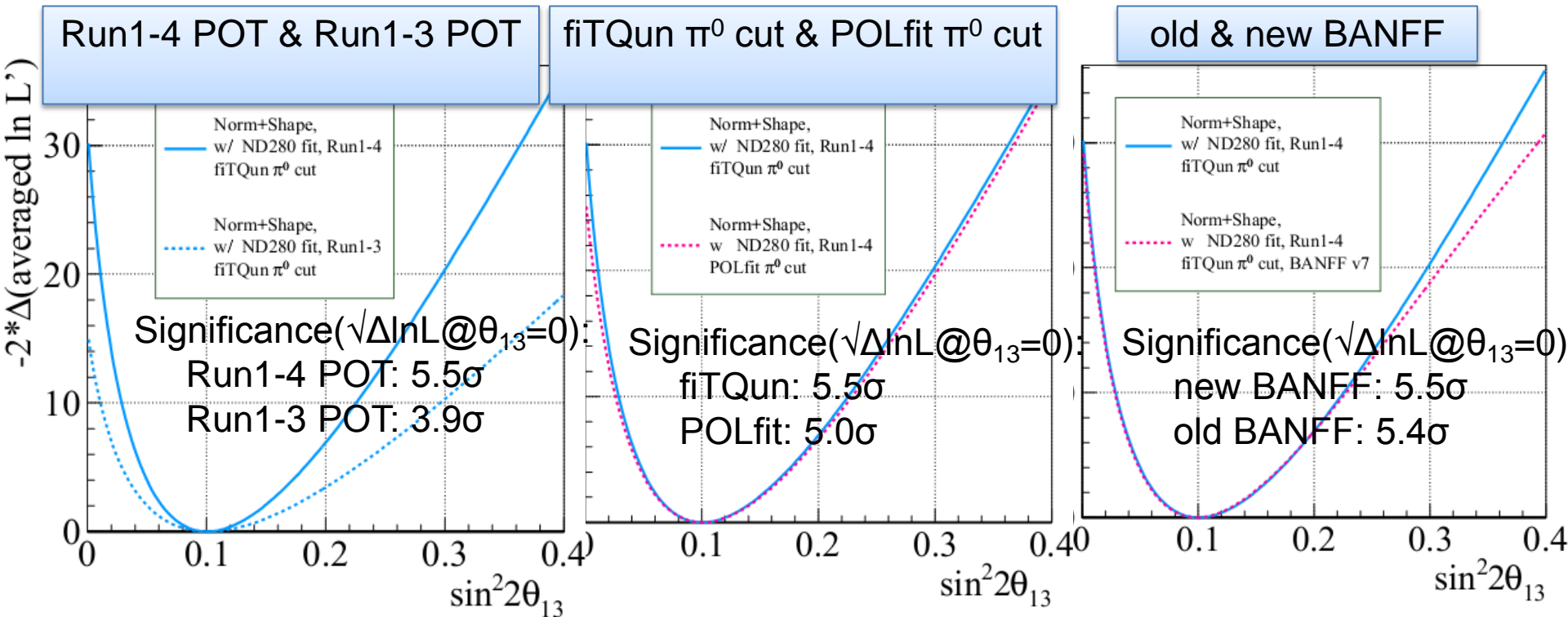


Effect of using shape information is not significant but important.



ND280 fit makes relatively large improvement.

# Sensitivity checks

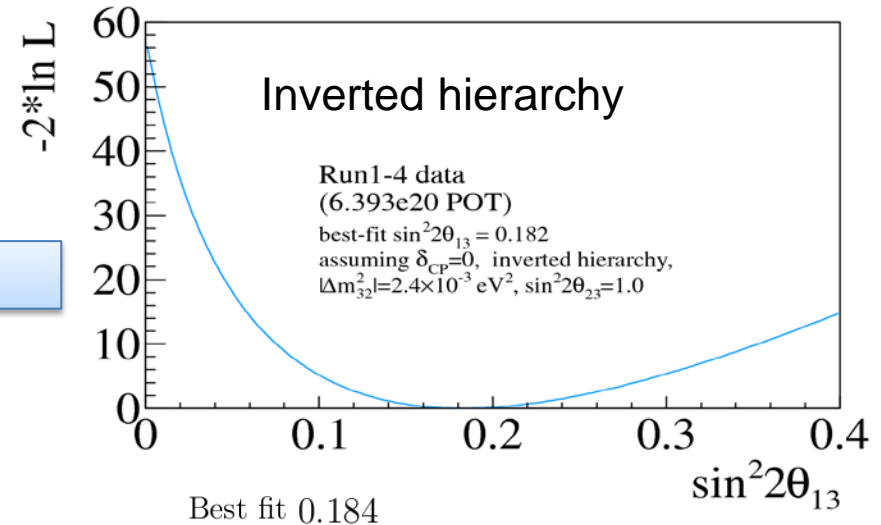
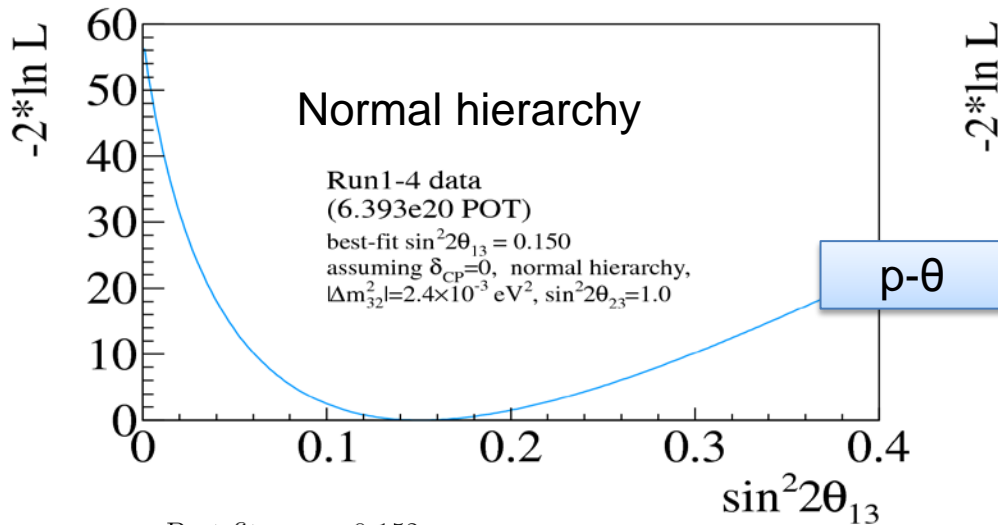


Significance becomes much larger by adding Run4.

Effect of using fiTQun is not significantly large but important.

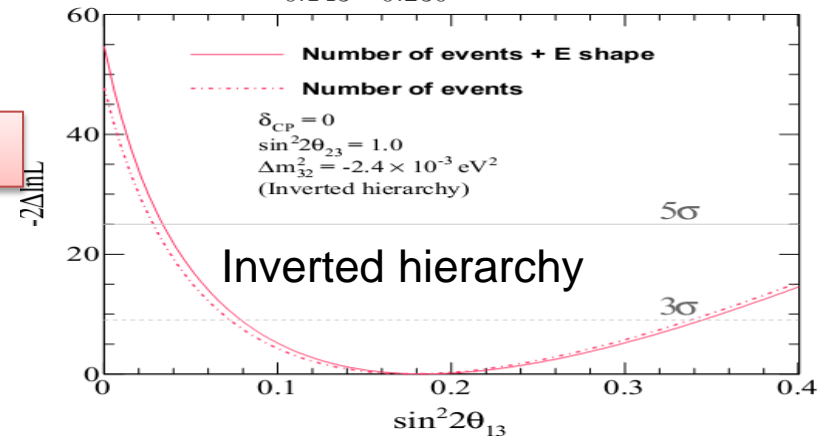
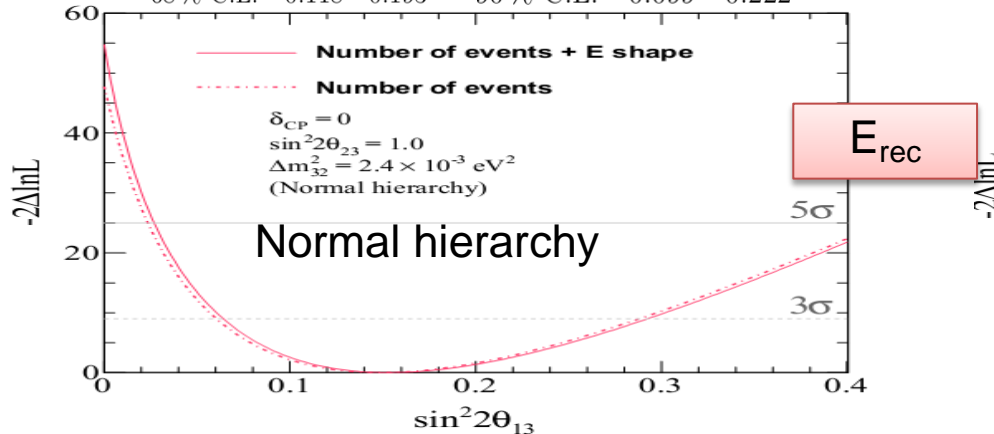
Significance is not much different for toy MC, because the  $N_{\text{exp}}$  become smaller with new BANFF while the errors are improved.

# Likelihood curves for Run1-4 data fit



Best fit 0.152  
68% C.L. 0.118 - 0.193 90% C.L. 0.099 - 0.222

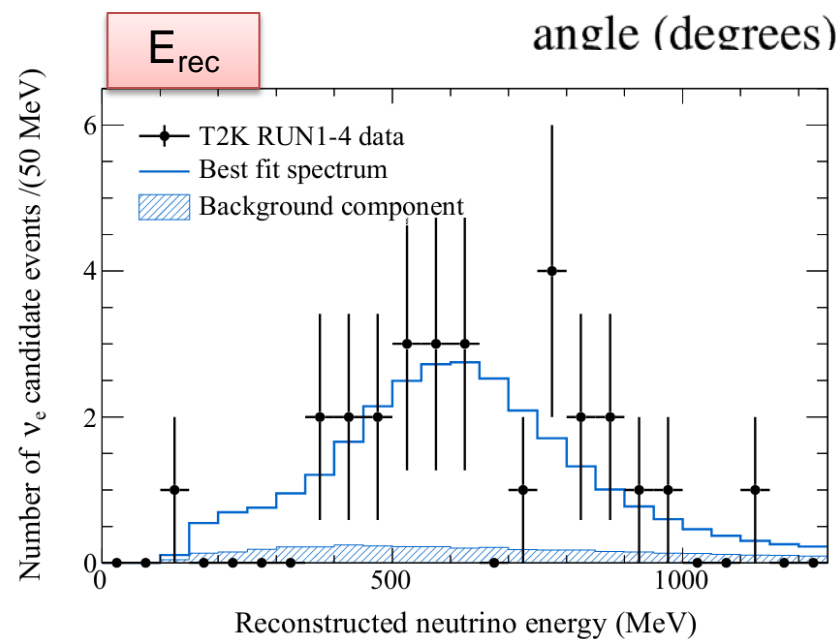
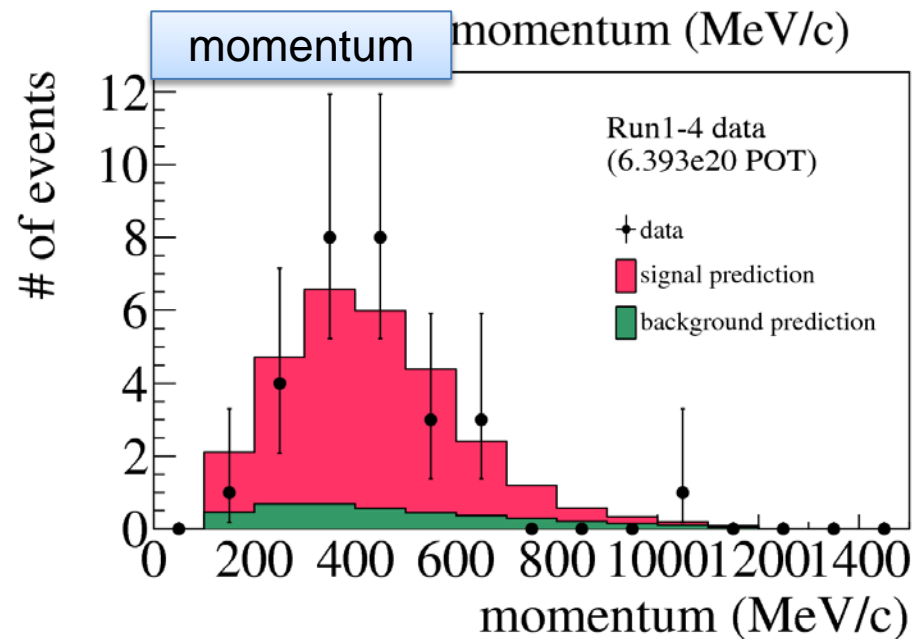
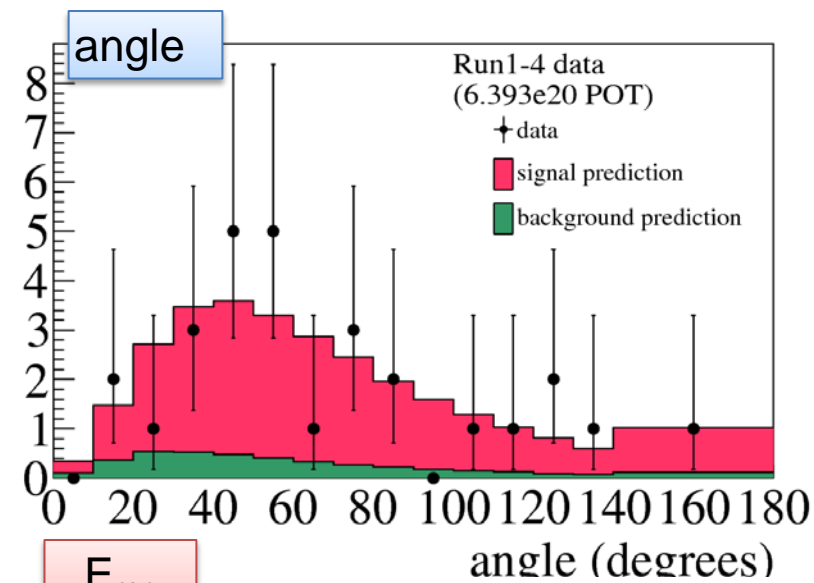
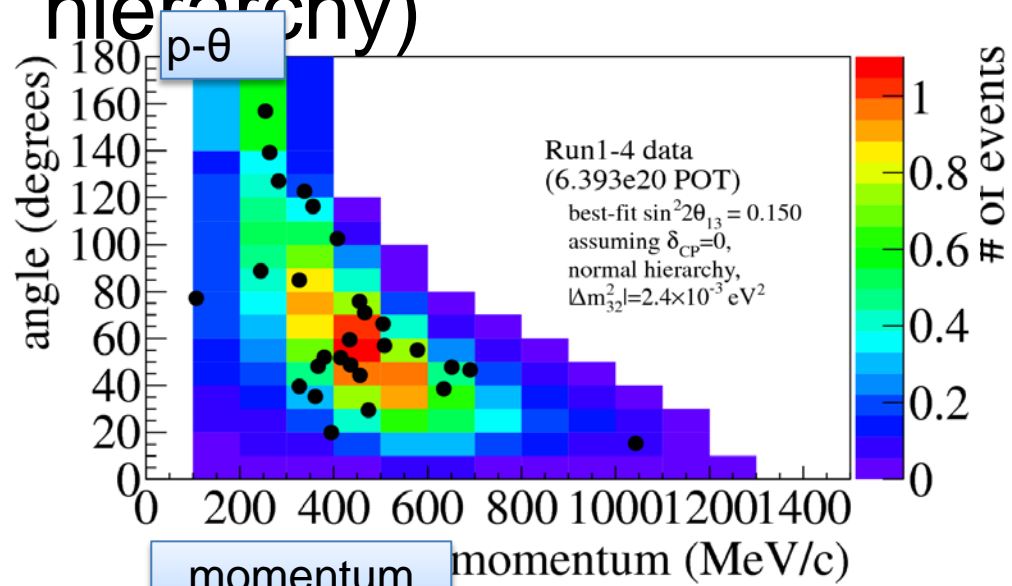
Best fit 0.184  
68% C.L. 0.143 - 0.230 90% C.L. 0.120 - 0.264



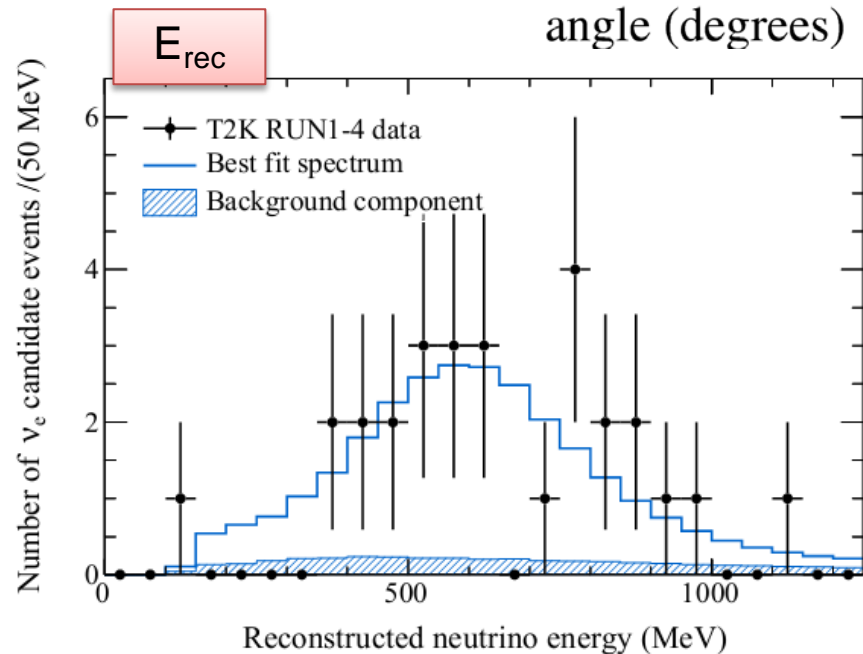
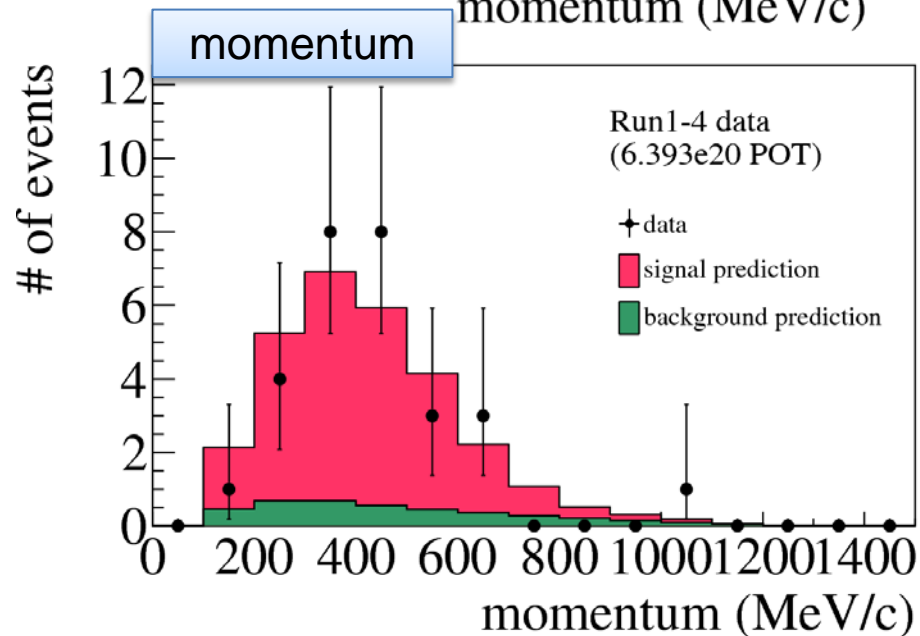
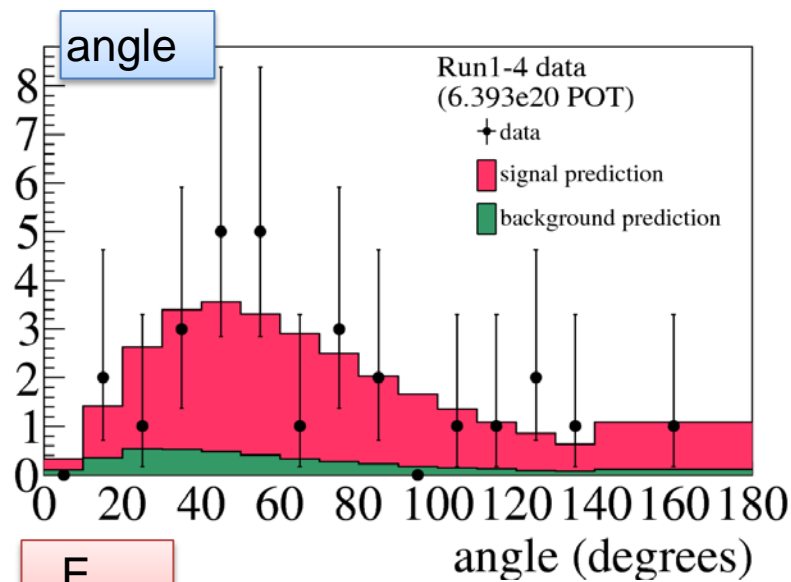
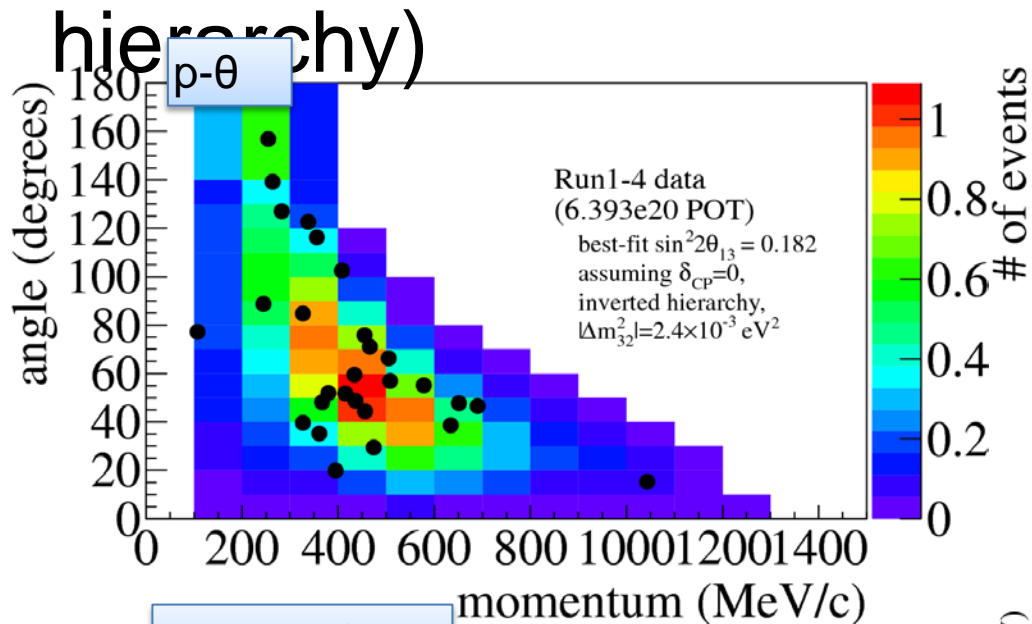
(summary table will be shown later.)



# Best fit distributions (Run1-4, normal hierarchy)



# Best fit distributions (Run1-4, inverted hierarchy)



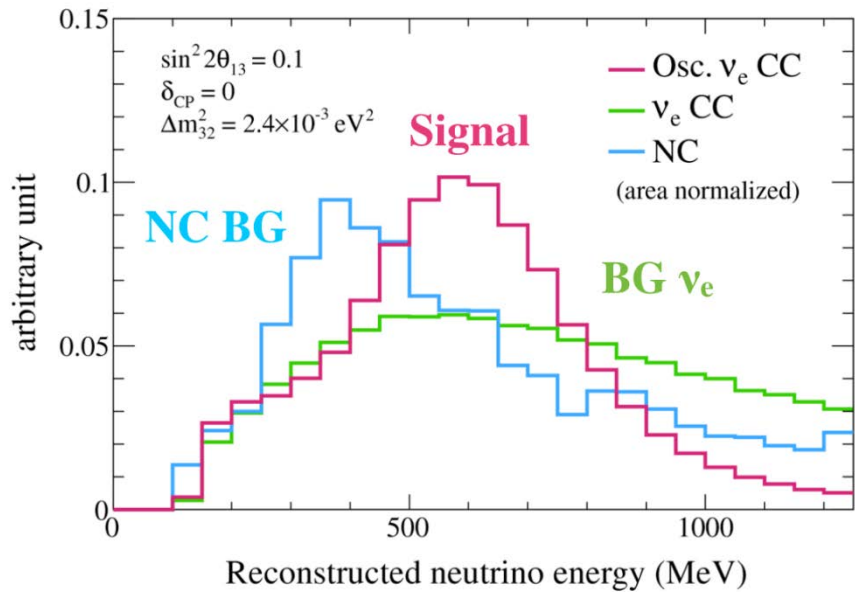
# Fit summary table

	Run1-4 (p- $\theta$ )	Run1-4 ( $E_{rec}$ )	Run4 only	Run1-3 (2013 analysis)	Run1-3 (2012 analysis)
POT	6.39e20	6.39e20	3.38e20	3.01e20	3.01e20
Observed number of events	28	28	17	11	11
<u>Normal hierarchy</u>					
Best fit	0.150	0.152	0.180	0.112	0.088
90% C.L.	0.097 - 0.218	0.099 - 0.222	0.105 - 0.280	0.050 - 0.204	0.030 - 0.175
68% C.L.	0.116 - 0.189	0.118 - 0.193	0.131 - 0.237	0.072 - 0.164	0.049 - 0.137
<u>Inverted hierarchy</u>					
Best fit	0.182	0.184	0.216	0.136	0.108
90% C.L.	0.119 - 0.261	0.120 - 0.264	0.129 - 0.332	0.062 - 0.244	0.038 - 0.212
68% C.L.	0.142 - 0.228	0.143 - 0.230	0.160 - 0.283	0.088 - 0.198	0.062 - 0.167

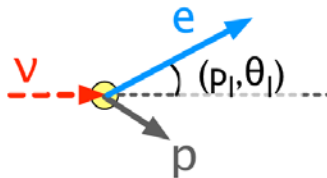
# Oscillation analysis method 2

## Method 2: Rate + reconstructed $E_\nu$ shape (1D)

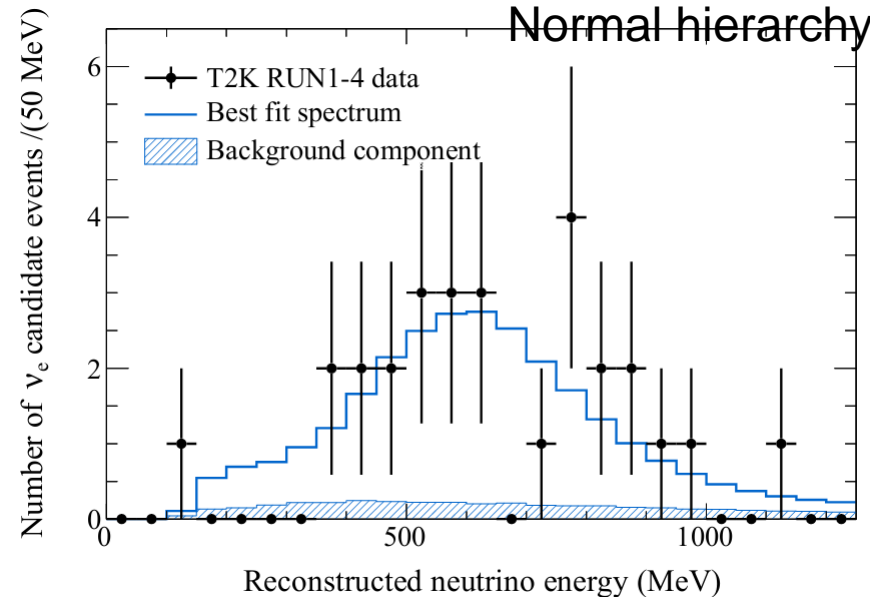
### Fit data to the reconstructed energy distribution



$$E^{rec} = \frac{m_p^2 - (m_n - E_b)^2 - m_e^2 + 2(m_n - E_b)E_e}{2(m_n - E_b - E_e + p_e \cos \theta_e)}$$



### Fit result



assuming  
 $|\Delta m_{32}^2| = 2.4 \times 10^{-3} \text{ eV}^2$   
 $\delta_{CP} = 0, \sin^2 2\theta_{23} = 1,$   
 Normal hierarchy

best fit w/ 68% C.L. error:

$$\sin^2 2\theta_{13} = 0.152^{+0.041}_{-0.034}$$

# Oscillation analysis method 2

Method 2: Rate + reconstructed  $E_\nu$  shape (1D)

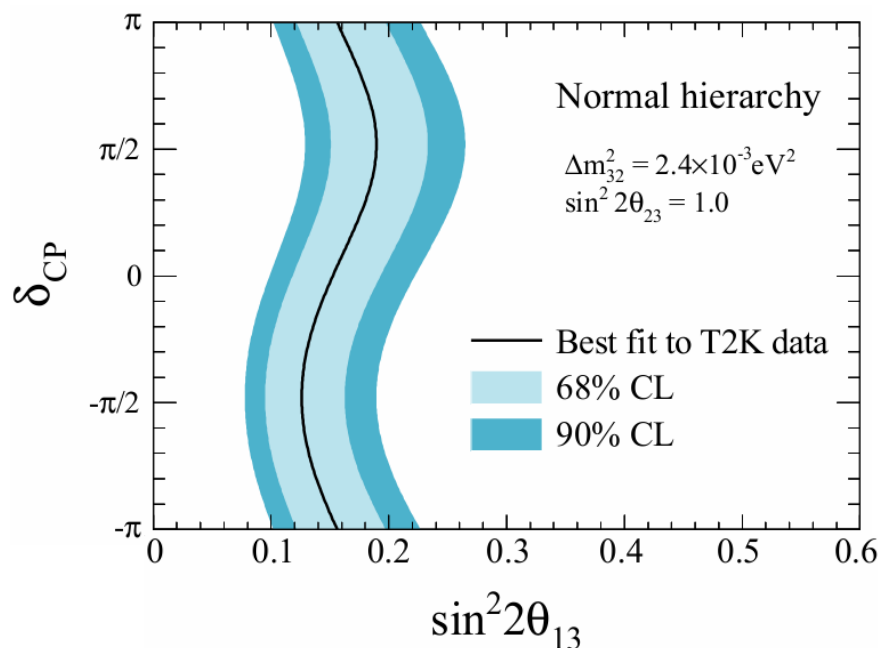
assuming

$$|\Delta m_{32}^2| = 2.4 \times 10^{-3} \text{ eV}^2$$

$$\delta_{CP} = 0, \sin^2 2\theta_{23} = 1,$$

Normal hierarchy

Allowed region of  $\sin^2 2\theta_{13}$  for each value of  $\delta_{CP}$

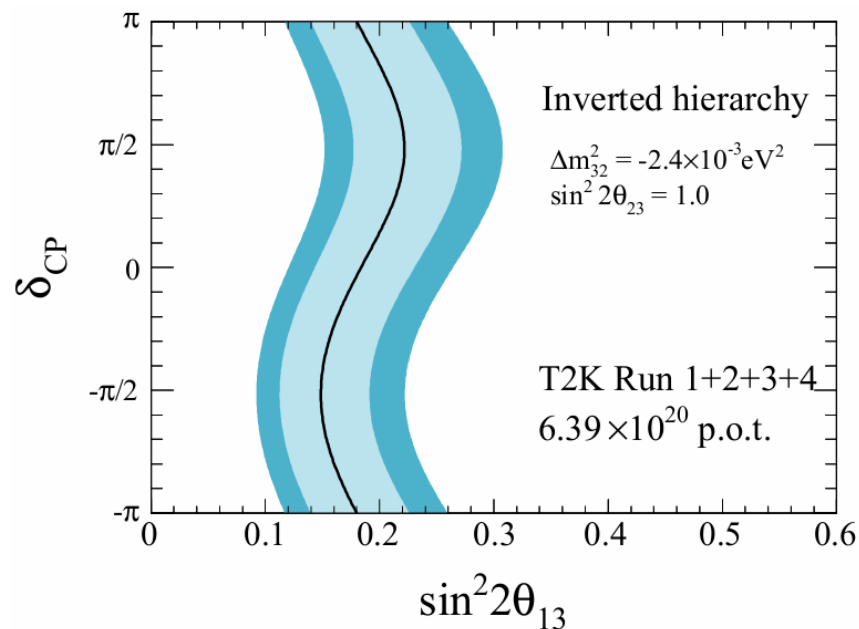


best fit w/ 68% C.L. error @  $\delta_{CP} = 0$

**normal**

**hierarchy:**

$$\sin^2 2\theta_{13} = 0.152^{+0.041}_{-0.034}$$



**inverted hierarchy:**

$$\sin^2 2\theta_{13} = 0.184^{+0.046}_{-0.041}$$

# J-PARC ACCELERATOR UPGRADES

Slides from Koseki-san  
at “Snowmass” April meeting

## Upgrade plan of linac

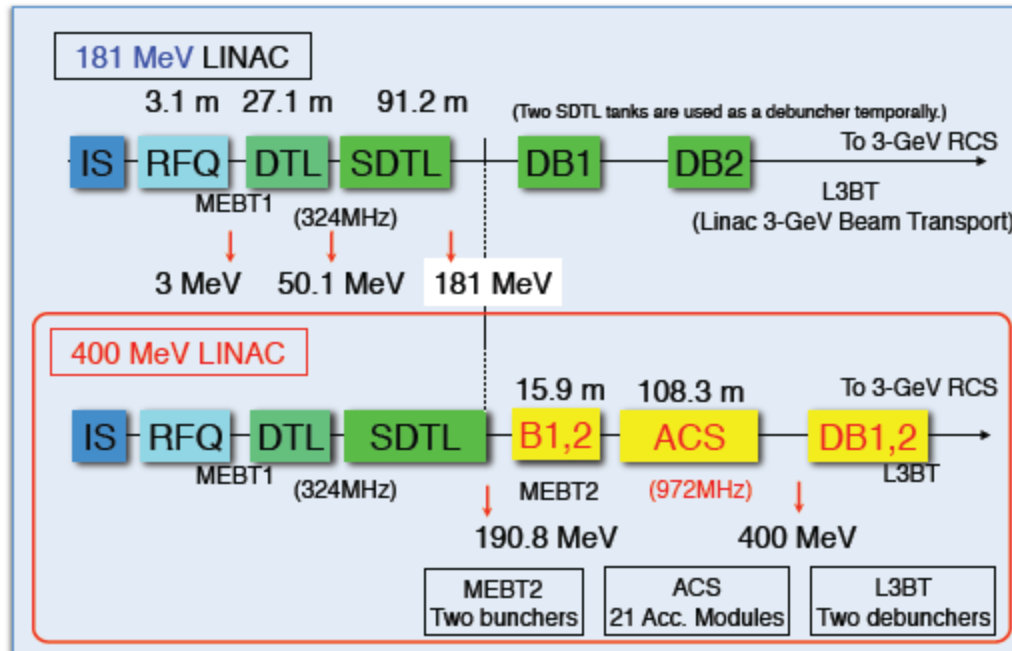
The design specification of the J-PARC facility (e.g. 1MW@RCS, 0.75MW@MR) cannot be realized with the present 181 MeV/30 mA linac.

For beam energy (Small emittance beam for the RCS injection) :

New accelerating structure, ACS( Annular Coupled Structure linac ) will be installed to increase the extracted beam energy of the linac from 181 MeV to 400 MeV. Power supplies of RCS injection magnets will also be replaced for adopting 400 MeV injection beam.

For peak beam current :

Front-end part (IS+RFQ) will be replaced for increasing peak current from 30 mA to 50 mA.



## Mid-term plan of MR

**FX:** We adopt the high repetition rate scheme to achieve the design beam intensity, 750 kW. Rep. rate will be increased from ~ 0.4 Hz to ~1 Hz by replacing magnet PS's and RF cavities.

**SX:** A part of SUS vacuum chambers will be replaced with Ti chambers to reduce residual radiation dose. After the replacement, 50 kW operation for users will be started. Beam power will be increased toward 100 kW carefully watching the residual activity. Local shields will also be installed if necessary.

JFY	2011	2012	2013	2014	2015	2016	2017
			LI. upgrade				
<b>FX power [kW]</b>	<b>150</b>	<b>200</b>	<b>~ 300</b>	<b>400</b>	→		<b>750</b>
<b>SX power :User op. (study) [kW]</b>	<b>3 (10)</b>	<b>10 (50)</b>	<b>&lt;50</b>	<b>50 (100)</b>	→		<b>100</b>
<b>Cycle time of main magnet PS</b>	3.04 s	2.56–2.48 s	2.48–2.40 s		Manufacture installation/test		1.3 s
<b>New magnet PS for high rep.</b>	→		R&D	→		Manufacture installation/test	
<b>Present RF system</b>	Install. #7,8	Install. #9					
<b>New high gradient rf system</b>	→		R&D	→		Manufacture installation/test	
<b>Ring collimators</b>	Additional shields	Add. shields & collimators (2kW)	Add. shields & collimators (3.5kW)				
<b>Injection system</b>	New inj. kicker	Kicker PS improvement, Septum1 manufacture /test					
<b>FX system</b>		LF septum, PS for HF septa manufacture /test					
<b>SX collimator / Local shields</b>	SX collimator			→ Local shields			
<b>Ti ducts and SX devices with Ti chamber</b>		Septum endplate	ESS, Beam ducts				

The new PS requires additional budget of ~ 60 oku-Yen. The budget request will be submitted to the government in 2014-2016.



# **FUTURE SENSITIVITY**

## $\nu_\mu \rightarrow \nu_e$ Oscillation Probability

Precise measurement of  $\sin^2 2\theta_{13}$  enhances the T2K sensitivity to  $\delta_{CP}$  and the  $\theta_{23}$  octant:

( $\nu_\mu$  disappearance measures  $\sin^2 2\theta_{23}$  and cannot distinguish the octant alone)

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \sin^2 \Phi_{31} \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2S_{13}^2) \right) \rightarrow \text{Leading, matter effect} \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cos \Phi_{32} \sin \Phi_{31} \sin \Phi_{21} \rightarrow \text{CP conserving} \\
 & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \sin \Phi_{32} \sin_{31} \sin \Phi_{21} \rightarrow \text{CP violating} \\
 & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \sin^2 \Phi_{21} \rightarrow \text{Solar} \\
 & - 8C_{13}^2 S_{13}^2 S_{23}^2 (1 - 2S_{13}^2) \frac{aL}{4E} \cos \Phi_{32} \sin \Phi_{31} \rightarrow \text{Matter effect}
 \end{aligned}$$

$$(C_{ij} = \cos \theta_{ij}, S_{ij} = \sin \theta_{ij}, \Phi_{ij} = \Delta m_{ij}^2 L / 4E)$$

- $\delta_{CP}$  completely unknown
- MH completely unknown
- $\theta_{12} = 33.6^\circ \pm 1.0^\circ$
- $\theta_{23} = 45^\circ \pm 6^\circ$  (90% C.L.) – is  $\theta_{23}$  maximal?
- $\theta_{13} = 9.1^\circ \pm 0.6^\circ$  – from reactor

## T2K Future Sensitivity Study

- T2K combined 3 flavor appearance + disappearance fits
  - At full T2K statistics –  $7.8 \times 10^{21}$  POT
  - Simultaneously fit MC SK reconstructed energy spectra for  $\nu_e, \nu_\mu, \bar{\nu}_e,$  and  $\bar{\nu}_\mu$
  - Maximum likelihood fit
  - Uncertainties on  $\sin^2 2\theta_{13}, \delta_{CP}, \sin^2 \theta_{23},$  and  $\Delta m_{32}^2$  are considered
  - Nominal assumption:  $\sin^2 2\theta_{13} = 0.1, \delta_{CP} = 0, \sin^2 \theta_{23} = 0.5,$  and  $\Delta m_{32}^2 = 2.4 \times 10^{-3} \text{eV}^2,$  normal MH
- Current T2K systematic errors used
  - $\sim 10\%$  for  $\nu_e, \sim 13\%$  for  $\nu_\mu$
  - $\bar{\nu}$  errors estimated as equal to  $\nu$  errors with an additional 10% normalization uncertainty
- With and without a reactor constraint based on the expected ultimate precision of Daya Bay + RENO + Double Chooz on  $\sin^2 2\theta_{13} (= 0.1 \pm 0.005)$

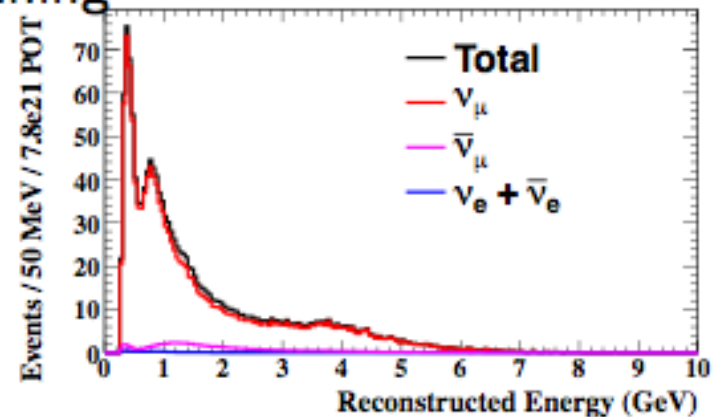
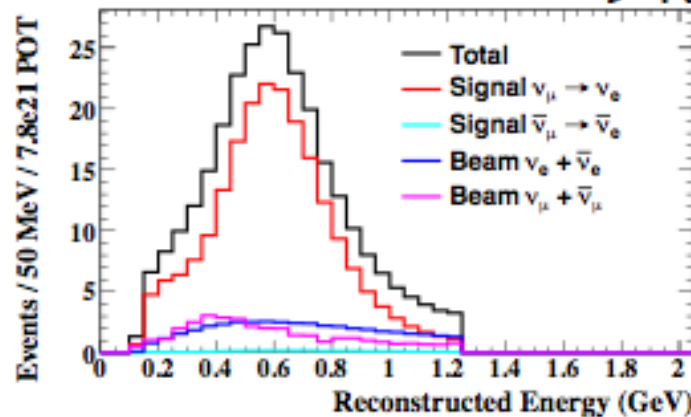
# SK Reconstructed Energy Spectra at T2K

## Full Statistics ( $7.8 \times 10^{21}$ POT)

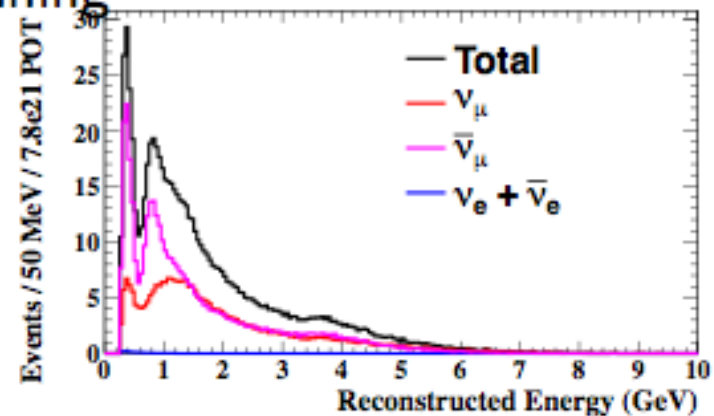
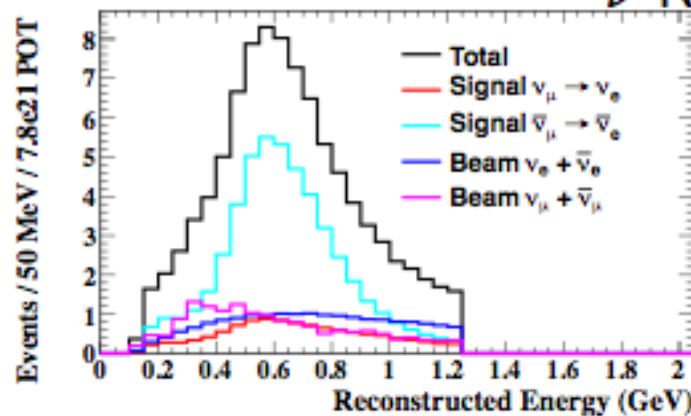
$\nu_e$  Appearance

$\nu_\mu$  Disappearance

$\nu$ -Running



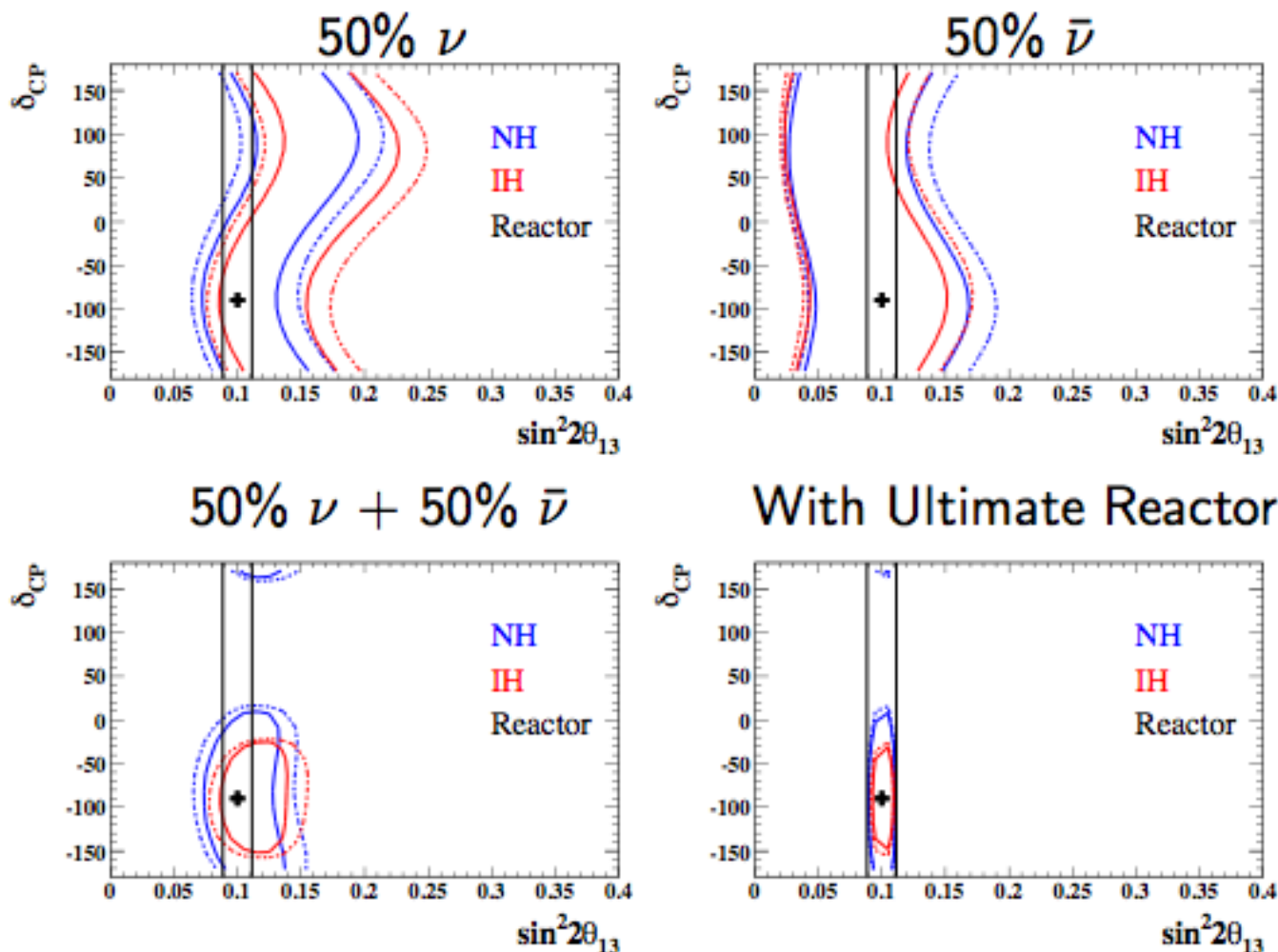
$\bar{\nu}$ -Running



# T2K 90% C.L. Regions for True

$$\delta_{CP} = -90^\circ, \sin^2 2\theta_{13} = 0.1$$

Solid: no sys. err., Dashed: with current sys. err.  
True MH is **NH**; contours drawn for two MH assumptions



# Ultimate T2K 90% C.L. Regions for True $\delta_{CP} = 0^\circ, \sin^2 2\theta_{13} = 0.1$

Solid: no sys. err., Dashed: with current sys. err.  
 True MH is **NH**; contours drawn for two MH assumptions

