



Hard X-ray Scanning Nanoprobe: coherent nanobeam optics limits; refractive lenses

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Collaboration

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HGF: VI-203, VI-403

Hard X-Ray Nanoprobe and Scanning Microscopy

Highest resolution:

- diffraction limited imaging of source onto sample
- flux on sample given by coherent flux F_c and efficiency T of optic

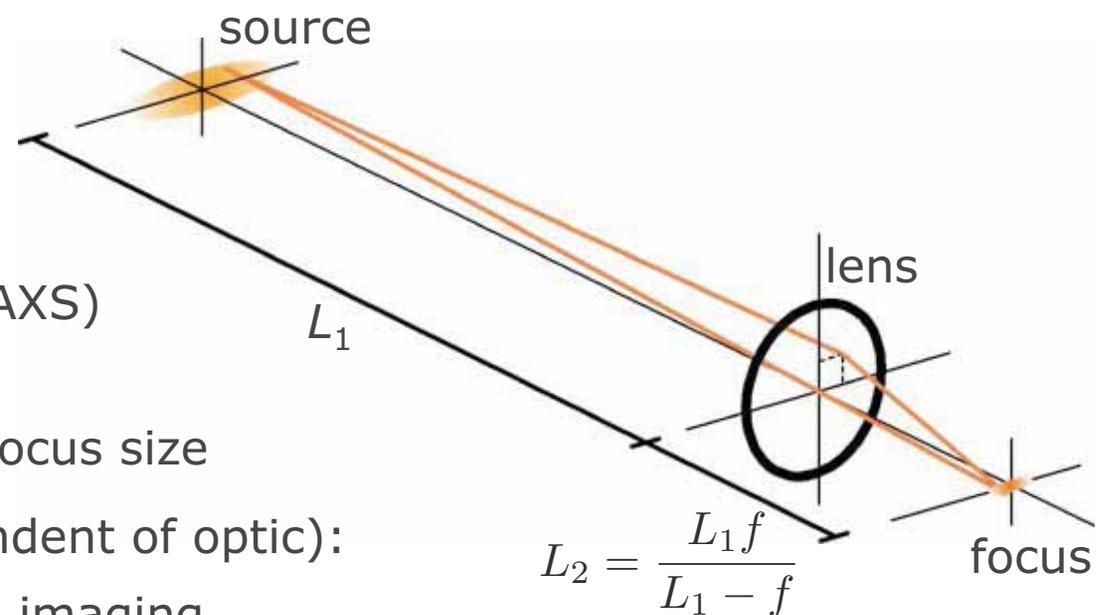
Scanning microscopy:

- different contrasts:
 - fluorescence
 - absorption (XAS)
 - diffraction (SAXS & WAXS)
 - ...

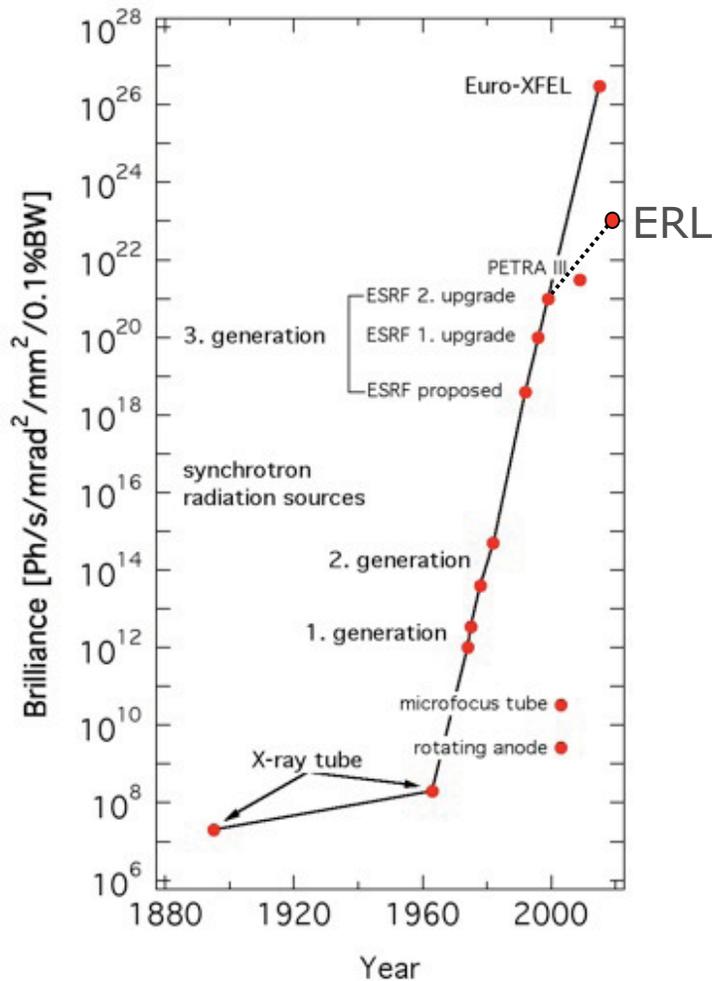
Resolution limited by focus size

Improve resolution (independent of optic):

- coherent x-ray diffraction imaging
- combined with nanofocusing



Brilliance and coherent dose density



XDL 2011, WS 5

Coherent flux:

$$F_c = Br \cdot \lambda^2 \cdot \frac{\Delta E}{E}$$

Diffraction limited beam:

coherent flux is focused!

Defines SNR for all contrast mechanisms
in scanning microscopy

Beyond nanobeam resolution:

CXDI: resolution limited by dose density

$$I_c \Delta t = \frac{F_c}{A} \Delta t$$

Schropp, Schroer, NJP **12**, 035016 (2010)
(cf. poster)

Optimal Nanofocusing: Optimize Coherent Flux Density

Assume optimal adaptation of aperture to coherence length

focused flux:

$$F = F_c \cdot T \quad T: \text{efficiency of optic} \quad F_c = Br \cdot \lambda^2 \cdot \frac{\Delta E}{E}$$

diffraction limit:

$$d_t = \alpha \frac{\lambda}{2NA} \propto \frac{\lambda}{NA}$$

focal spot area:

$$A \propto d_t^2 \propto \frac{\lambda^2}{NA^2}$$

Coherent dose density:

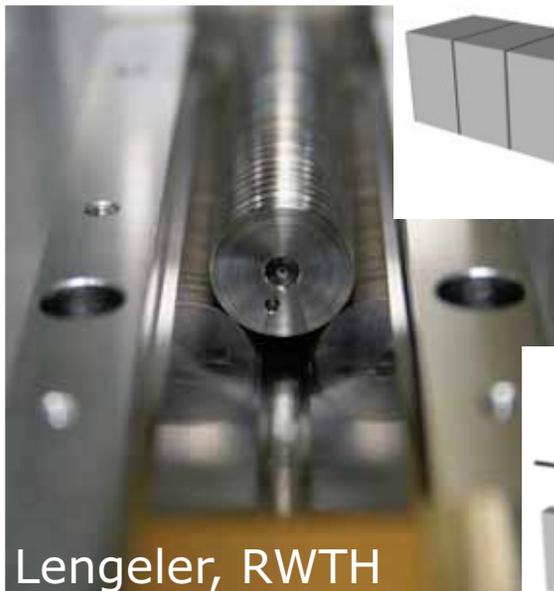
$$I_c \Delta t = \frac{F_c}{A} \Delta t \propto \underbrace{Br}_{\text{source}} \cdot \underbrace{NA^2 \cdot T}_{\text{figure of merit for optic}} \cdot \frac{\Delta E}{E} \cdot \Delta t \quad \Delta t: \text{exposure time}$$

source
figure of merit for optic
limited by sample

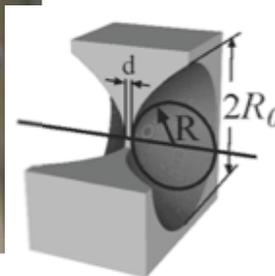
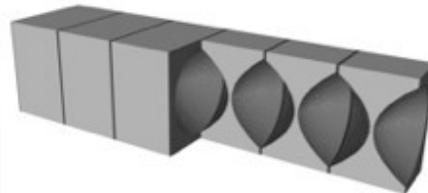
Refractive X-Ray Lenses

- first realized in 1996 (Snigirev et al.)
- a variety of refractive lenses have been developed since
- applied in full-field imaging and scanning microscopy
- most important to achieve optimal performance:

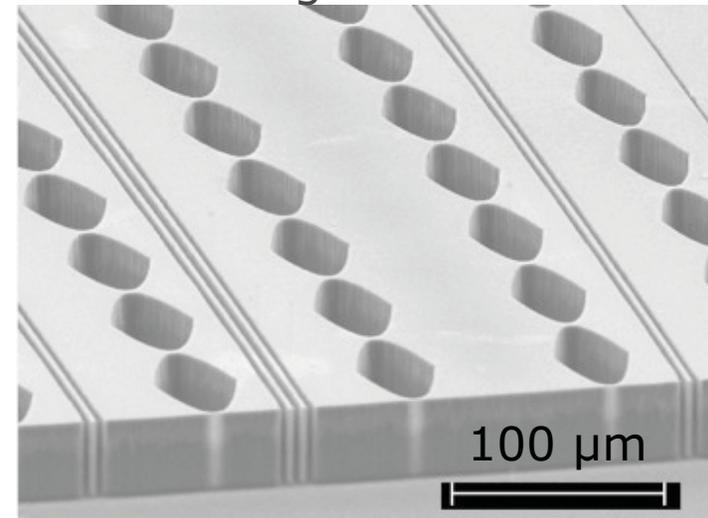
parabolic lens shape



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nanofocusing lenses



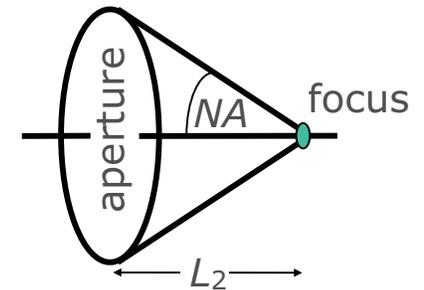
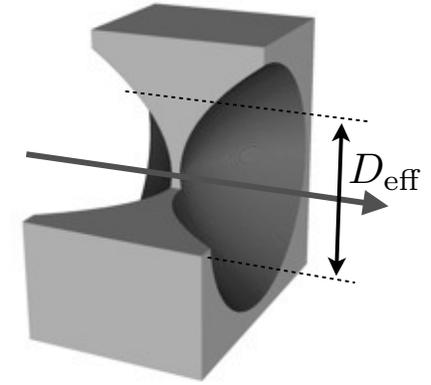
Nanofocus

large focal length f : aperture limited by absorption

$$D_{\text{eff}} = 4\sqrt{\frac{f\delta}{\mu}} \propto \sqrt{f}$$

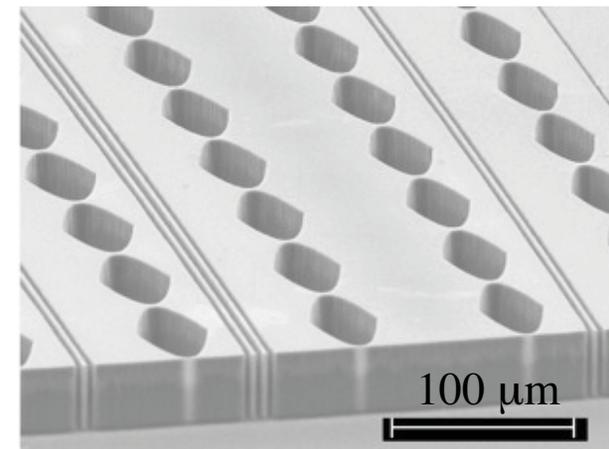
→ minimize μ/δ (\Rightarrow small atomic number Z)

→ $NA = \frac{D_{\text{eff}}}{2f} \propto \frac{1}{\sqrt{f}}$ (\Rightarrow minimize focal length f)



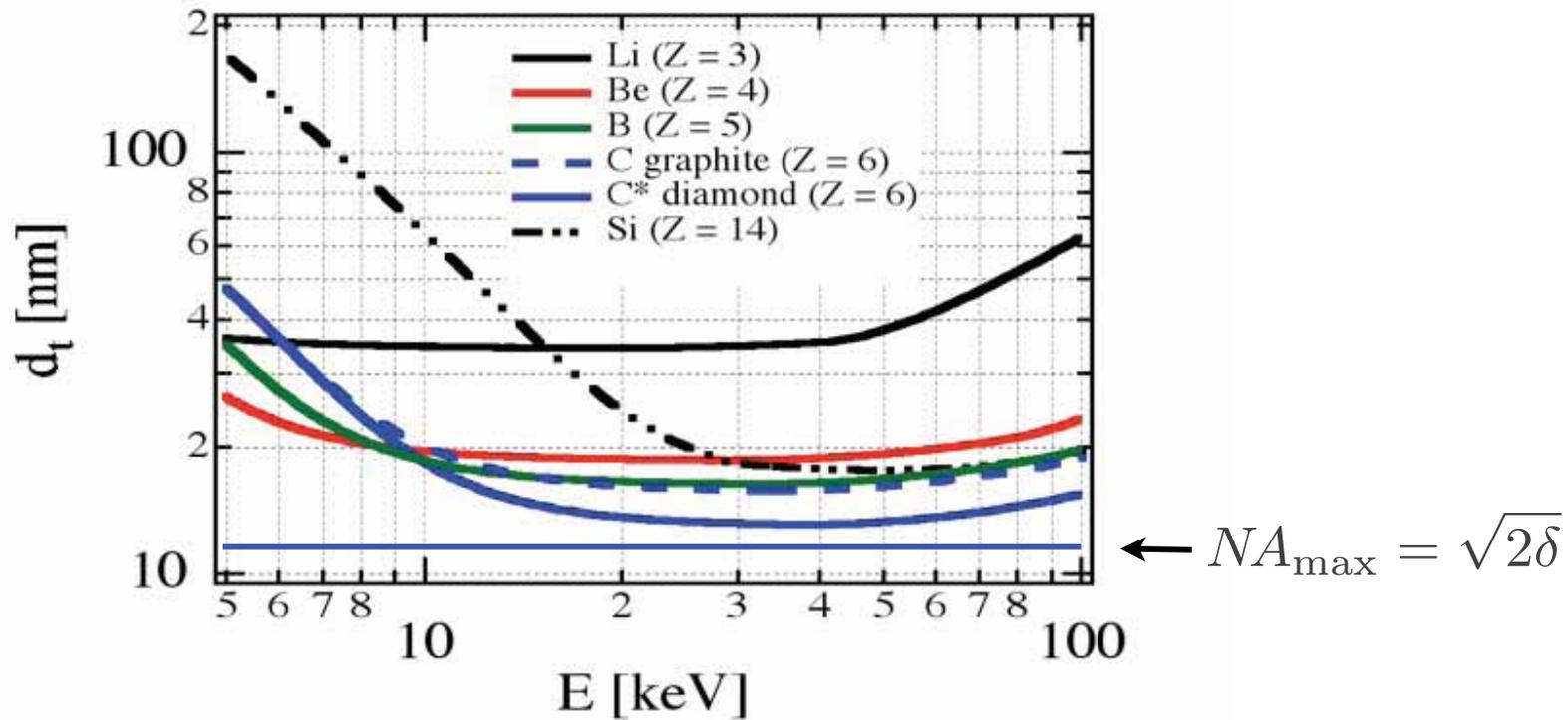
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transition to
nanofocusing
lenses (NFLs)



Nanofocusing Lenses

Optimal diffraction limit (at shortest focal length):



for focal point 1 mm behind horiz. lens

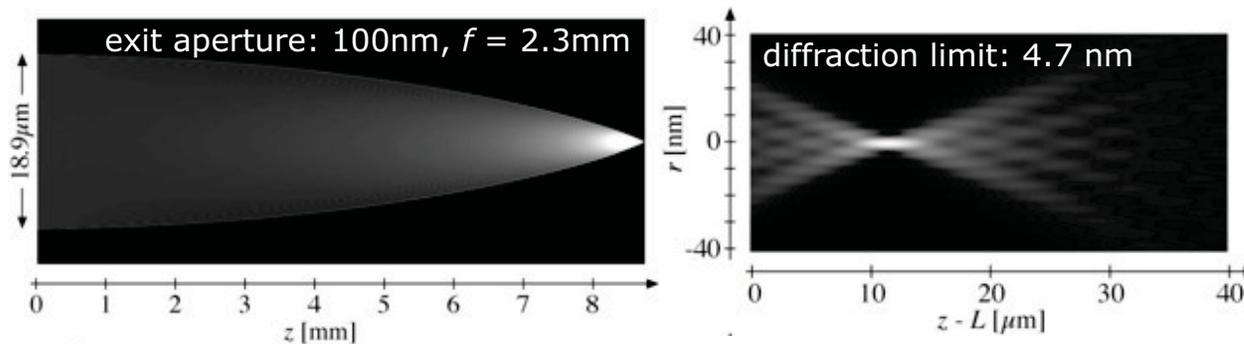
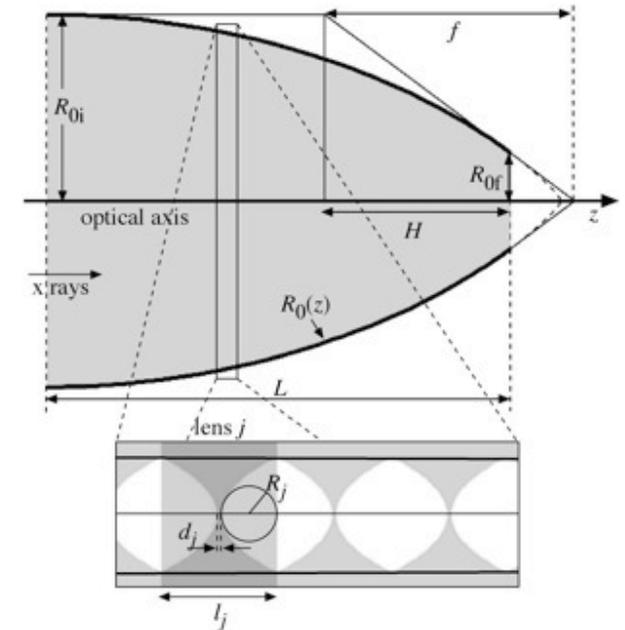
Adiabatically Focusing Lenses

Adjust aperture to follow converging beam:

- increased refractive power per unit length
- numerical aperture diverges logarithmically
- performance limited by feature size

Example: Diamond

- low Z high density ρ

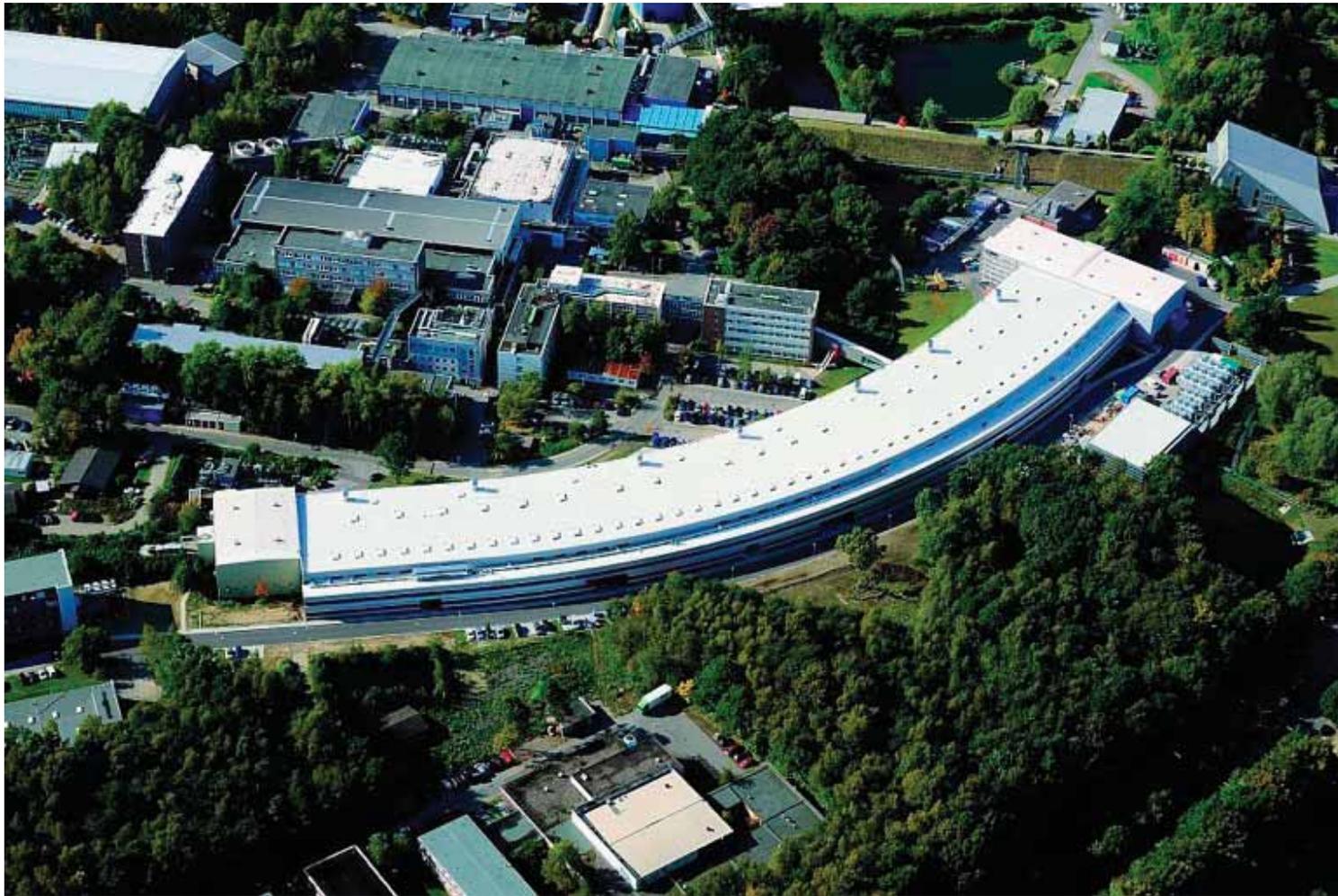


no sharp fundamental limit: limit given by fabrication

PRL 94, 054802 (2005)

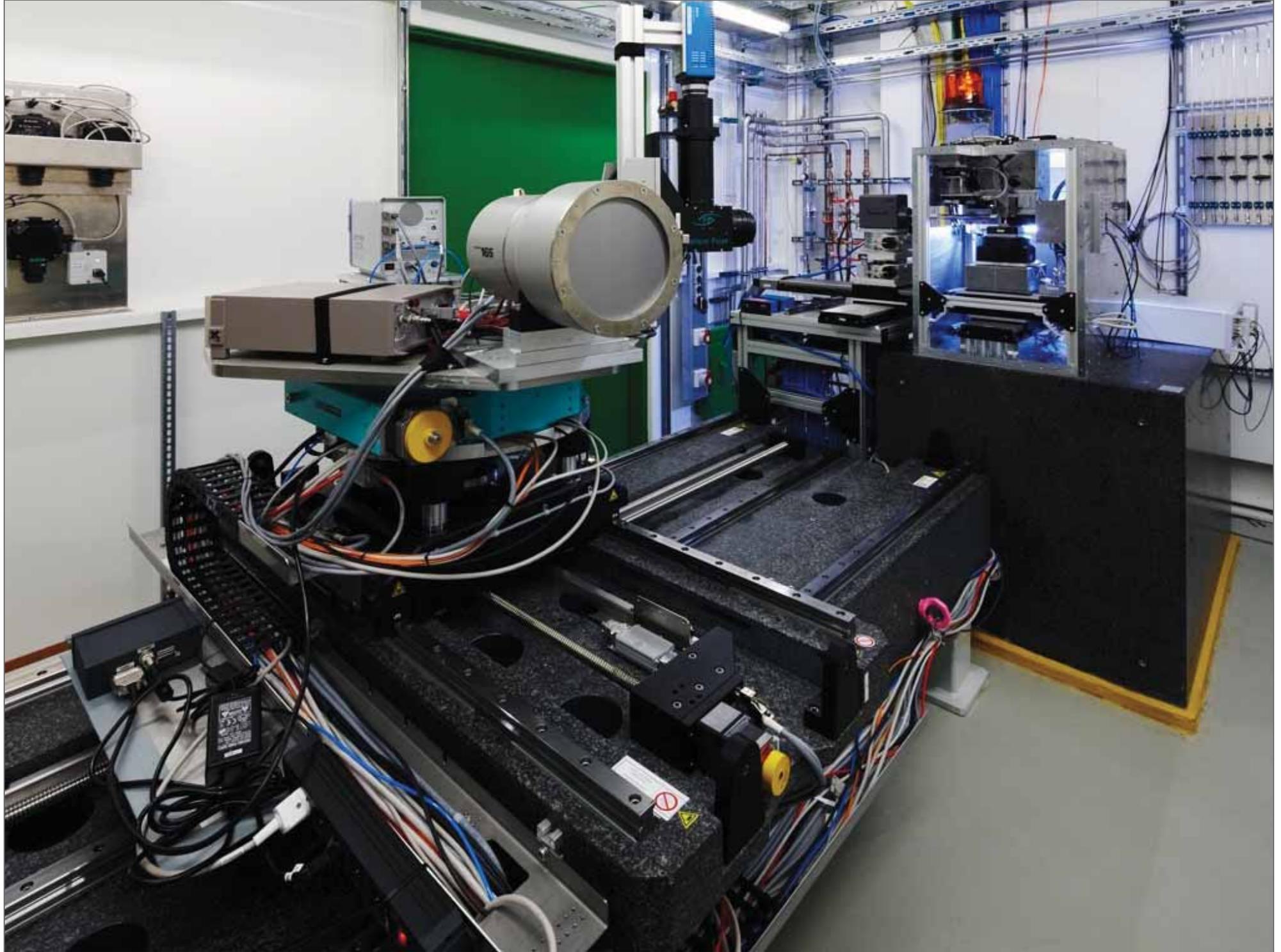
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Hard X-ray Scanning Microscopy at PETRA III



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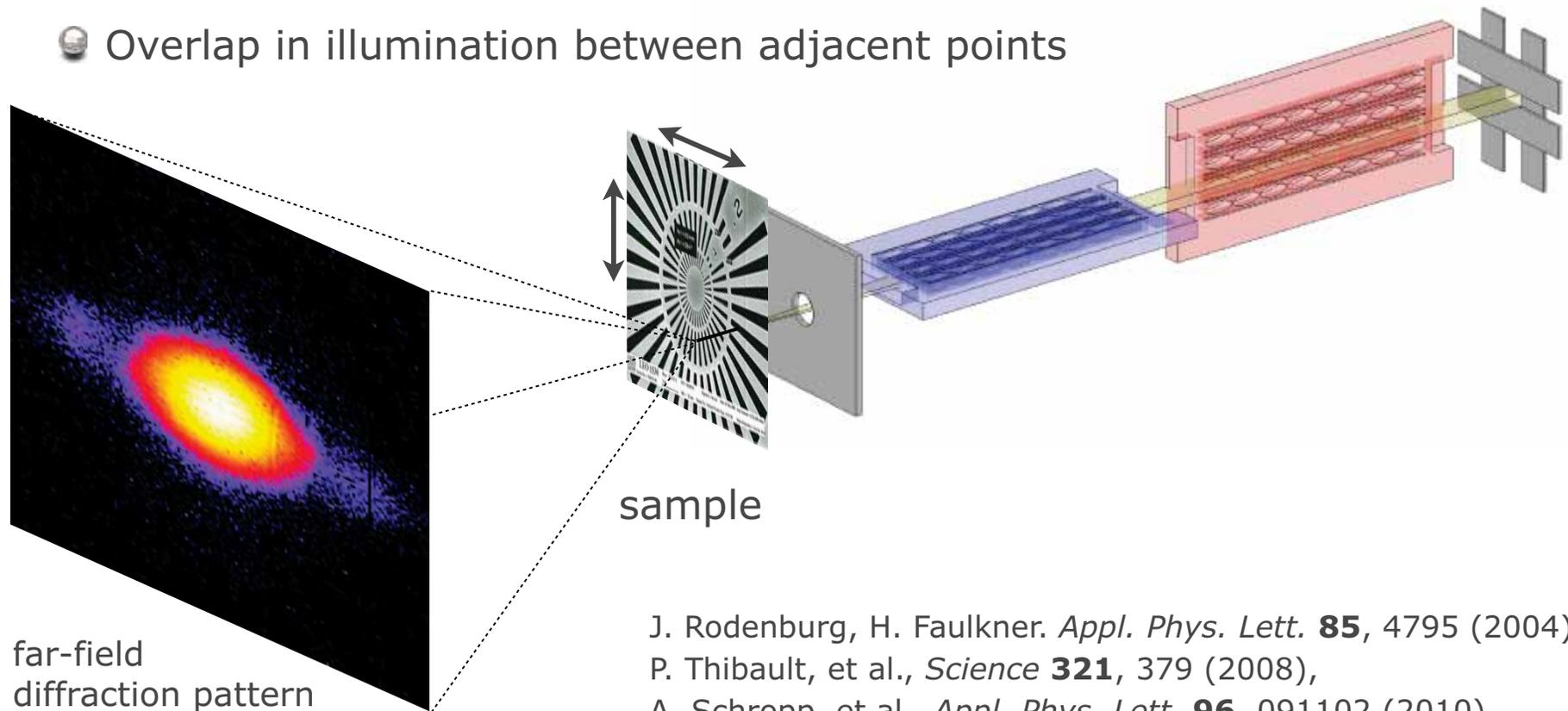
10



Tuesday, June 28, 2011

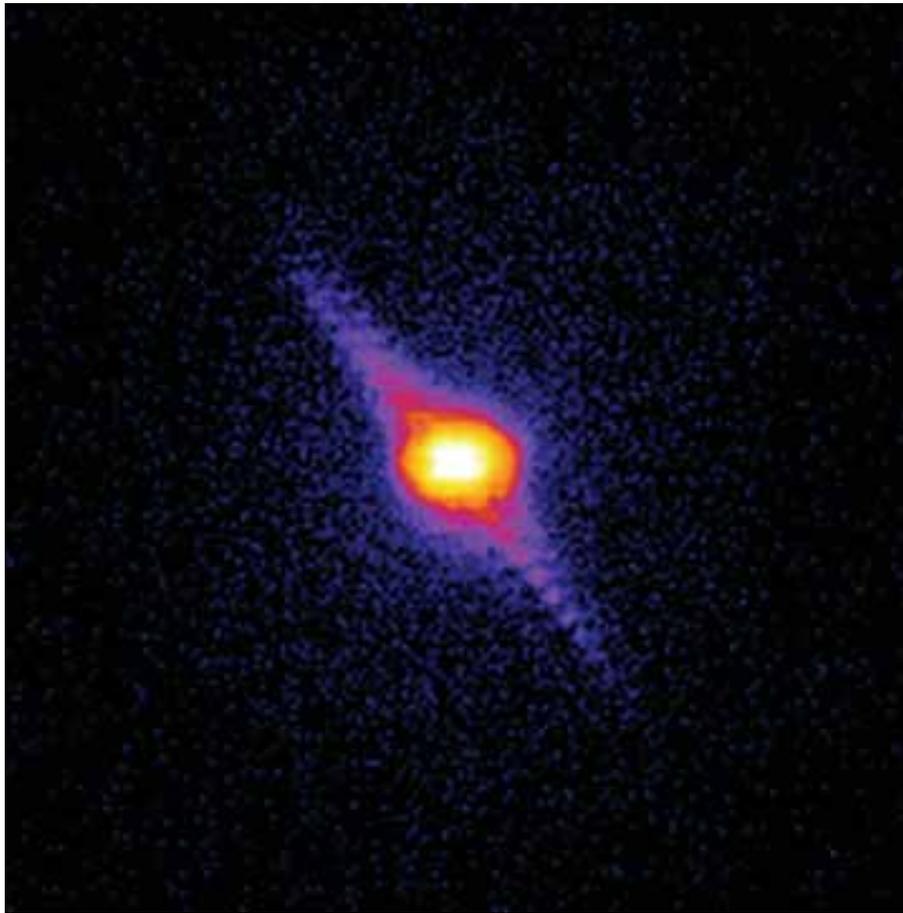
Scanning Coherent X-Ray Diffraction Imaging: Ptychography

- Sample is raster scanned through confined beam
- At each position of scan: diffraction pattern is recorded
- Overlap in illumination between adjacent points



J. Rodenburg, H. Faulkner. *Appl. Phys. Lett.* **85**, 4795 (2004),
P. Thibault, et al., *Science* **321**, 379 (2008),
A. Schropp, et al., *Appl. Phys. Lett.* **96**, 091102 (2010).

Ptychographic Microscopy



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Experiment at P06:

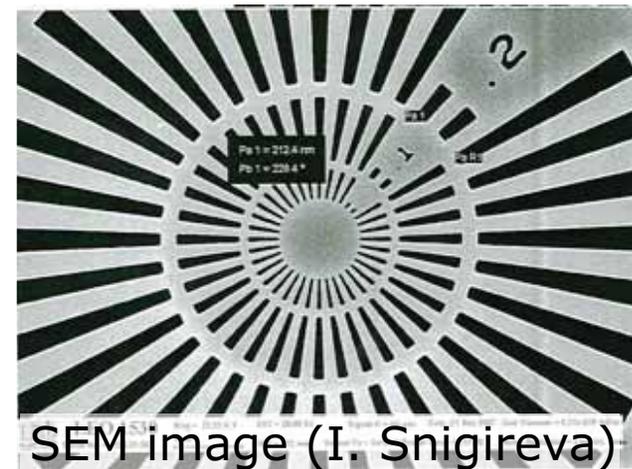
detector:

Pilatus 300k (172 μ m pixel size)

sample-detector distance:
2080 mm

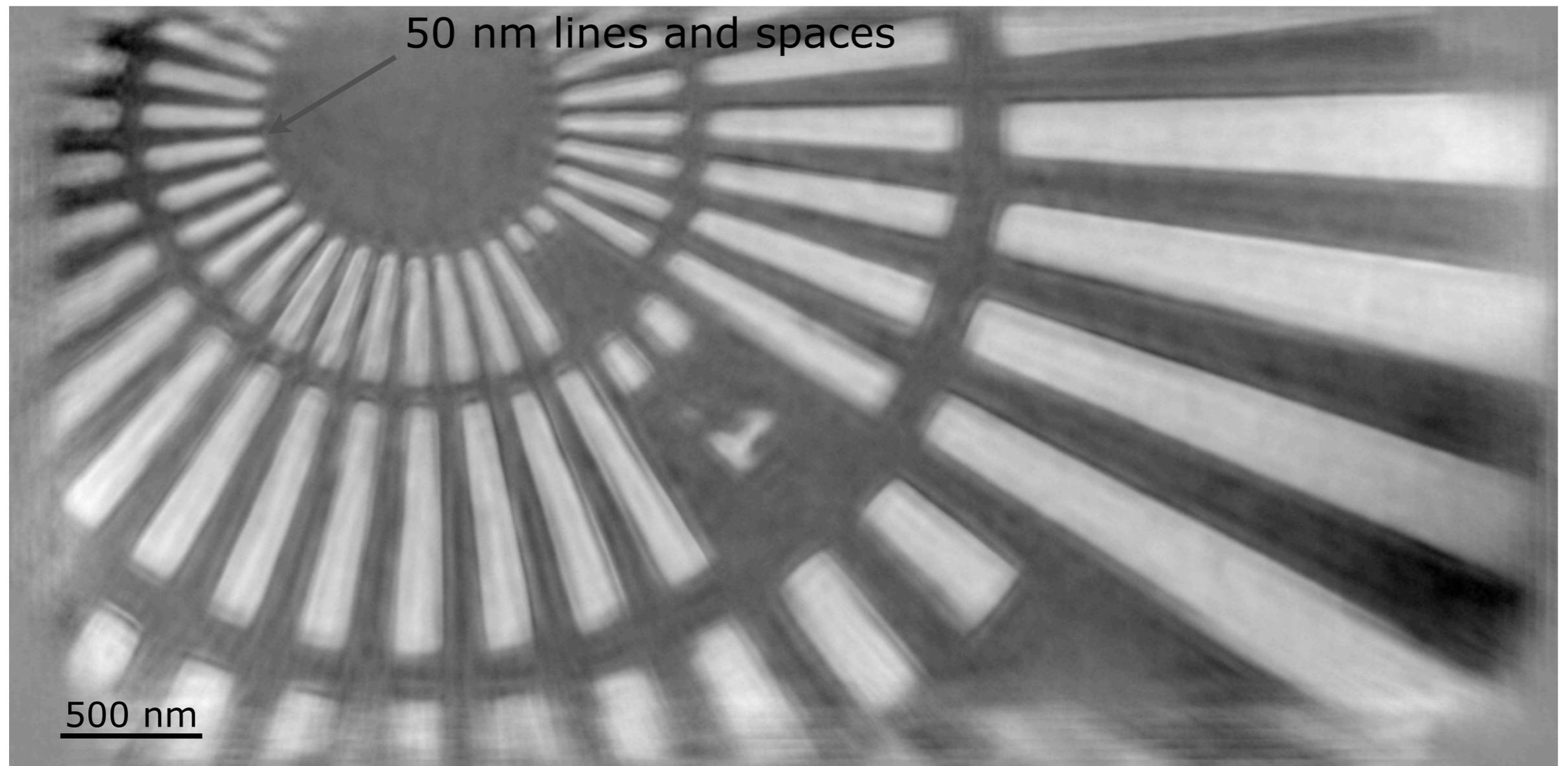
exposure time:
1.5 s per point

Sample: NTT AT test pattern



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Scanning Microscopy: Ptychography



$E = 15.25 \text{ keV}$, 125×62 steps of $40 \times 40 \text{ nm}^2$: $5 \times 2.48 \text{ }\mu\text{m}^2$ FOV
exposure: 0.3 s per point

Nanofocusing Lenses with High Numerical Aperture

$E = 24.3 \text{ keV}$

Lens made of Si becomes
nearly transparent

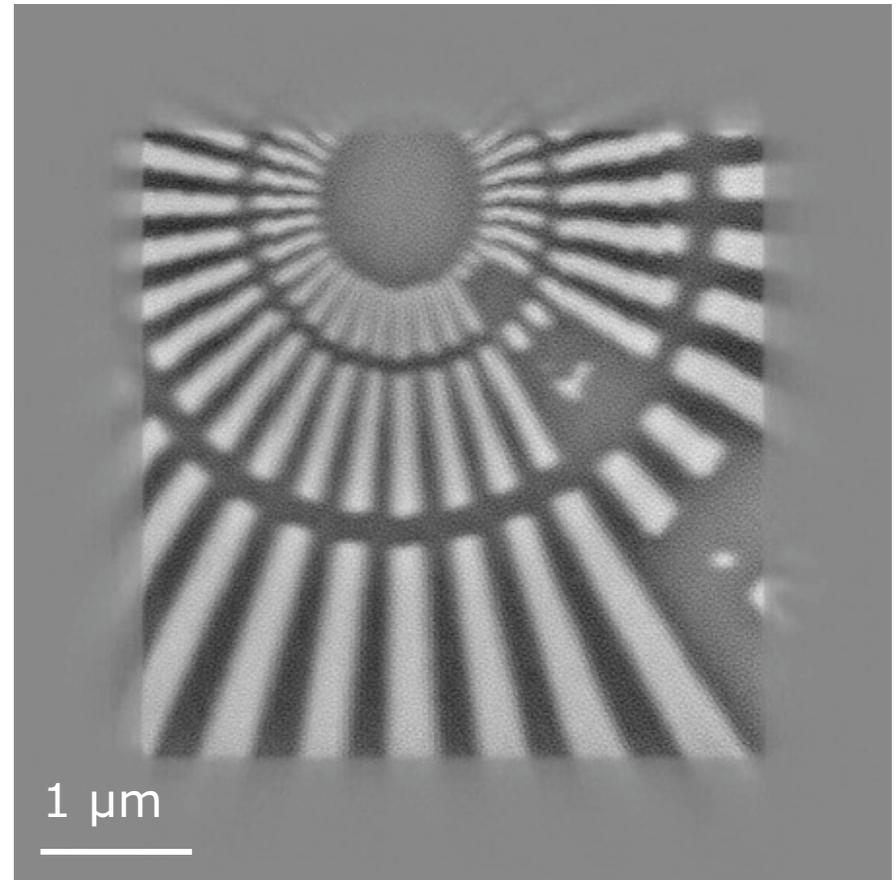
Expected focus: $43 \times 52 \text{ nm}^2$
(diffraction limited)

Ptychographic scan:

- 🕒 101x101 scan points
- 🕒 40 nm step size
- 🕒 0.5 s exposure time

Artifact: Graininess

Compton scattering
generates background



Schropp, et al., APL **96**, 091102 (2010), Schroer, et al., Proc. XRM 2010
XDL 2011, WS 5

Nanofocusing Lenses with High Numerical Aperture

$E = 24.3 \text{ keV}$

Lens made
nearly tran

Expected focu
(diffraction lin

Ptychographic

101x101

40 nm s

0.5 s ex

ESRF

Experiment done at ID 13
Collaboration for development of
nanoprobe

Artifact: Graininess

Compton scattering
generates background

1 μm

Schropp, et al., APL **96**, 091102 (2010), Schroer, et al., Proc. XRM 2010
XDL 2011, WS 5

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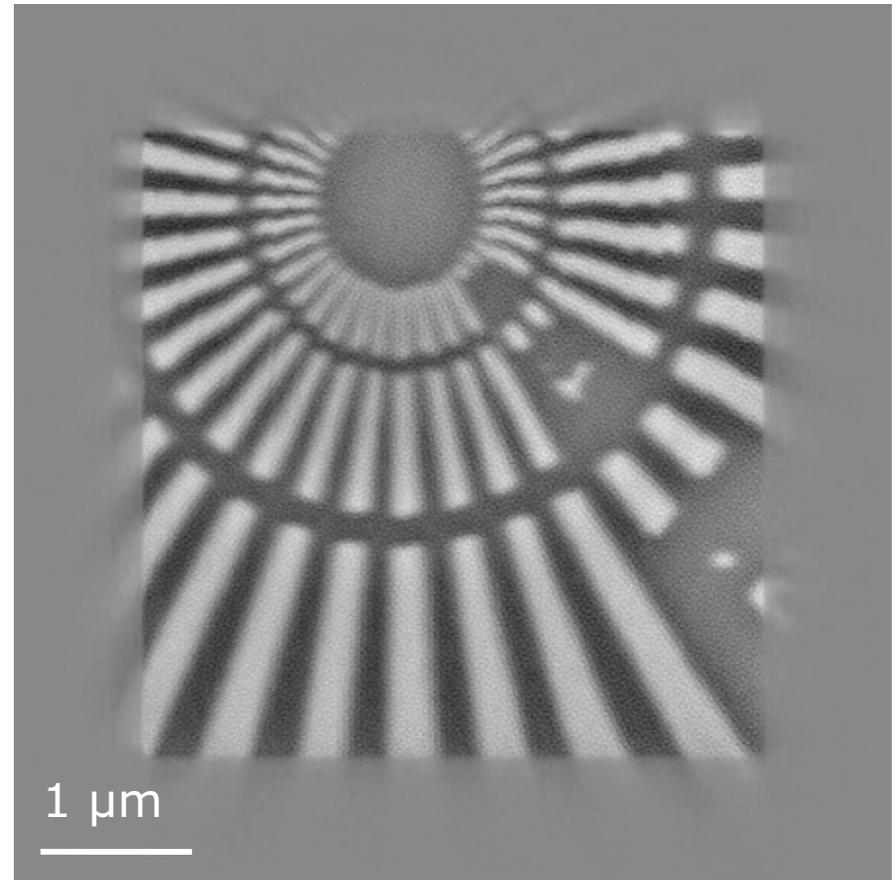
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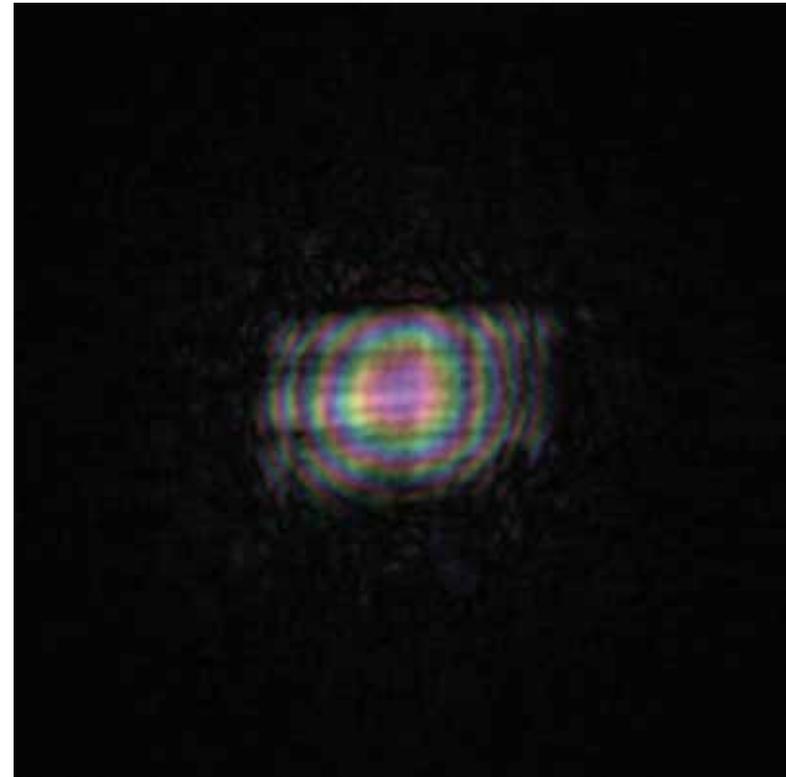
Ptychographic scan:

- 🕒 101x101 scan points
- 🕒 40 nm step size
- 🕒 0.5 s exposure time

Focus: astigmatism

lenses not perfectly
mutually orthogonal

Reconstructed focus



Schropp, et al., APL **96**, 091102 (2010), Schroer, et al., Proc. XRM 2010
XDL 2011, WS 5

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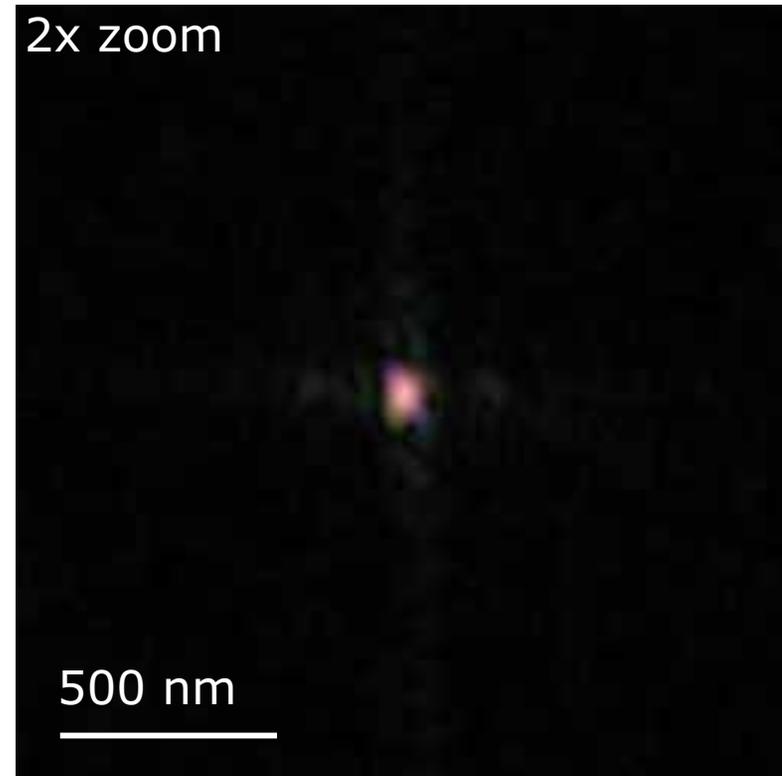
- 🕒 101x101 scan points
- 🕒 40 nm step size
- 🕒 0.5 s exposure time

Focus: astigmatism

lenses not perfectly
mutually orthogonal

Reconstructed focus

2x zoom



Schropp, et al., APL **96**, 091102 (2010), Schroer, et al., Proc. XRM 2010
XDL 2011, WS 5

Focus at High Numerical Aperture

$E = 24.3 \text{ keV}$

Lens made of Si becomes
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Expected focus: $43 \times 52 \text{ nm}^2$
(diffraction limited)

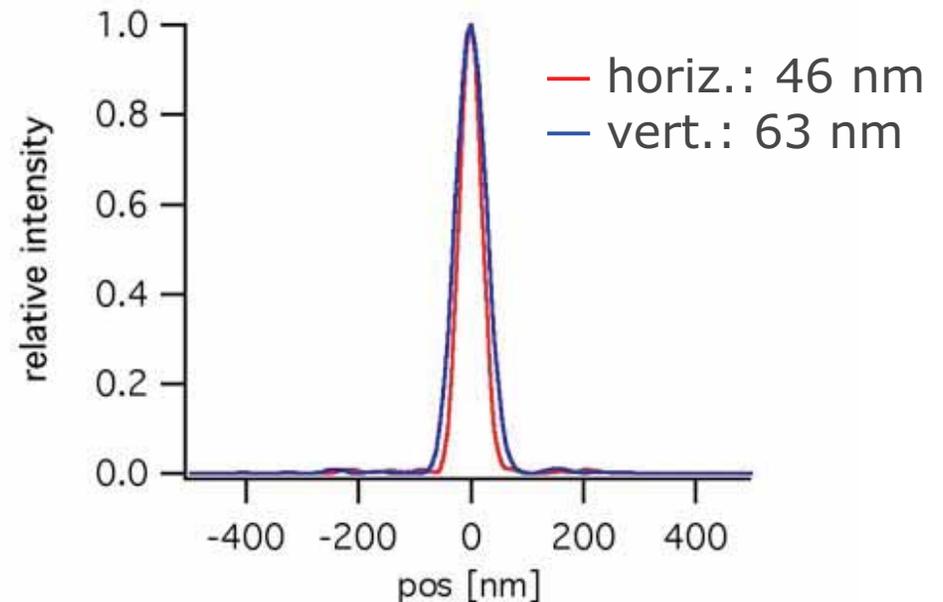
Ptychographic scan:

- 🕒 101x101 scan points
- 🕒 40 nm step size
- 🕒 0.5 s exposure time

Focus: astigmatism

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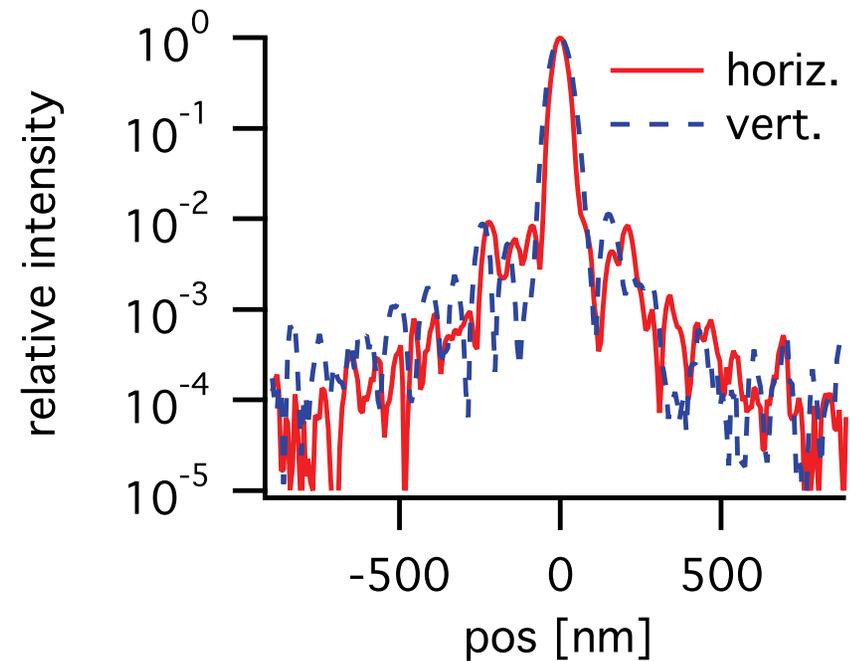
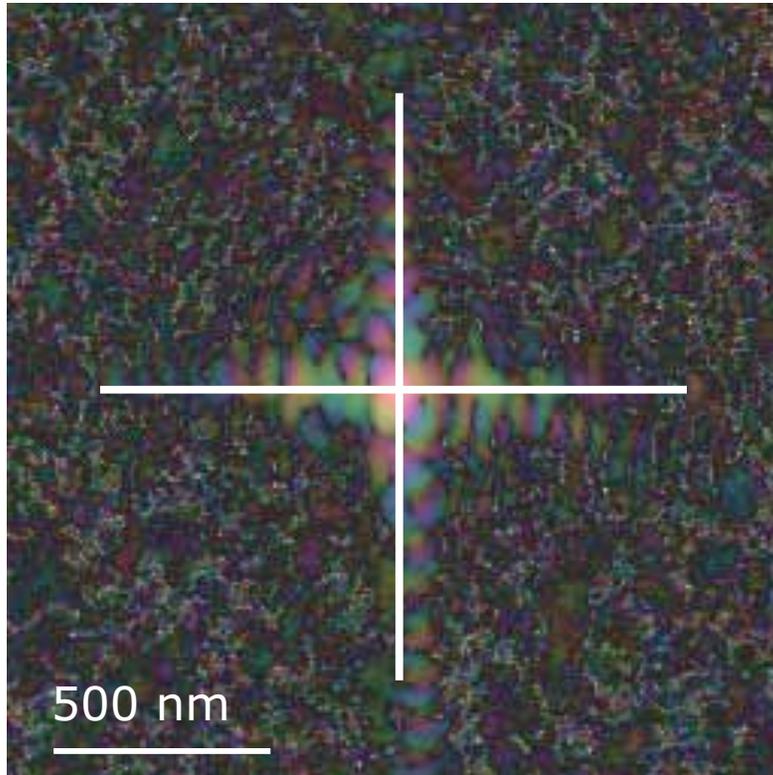
Main advantage of Gaussian aperture:
relatively clean Gaussian Beam



Schropp, et al., APL **96**, 091102 (2010), Schroer, et al., Proc. XRM 2010
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Focus at High Numerical Aperture

Reconstructed focus



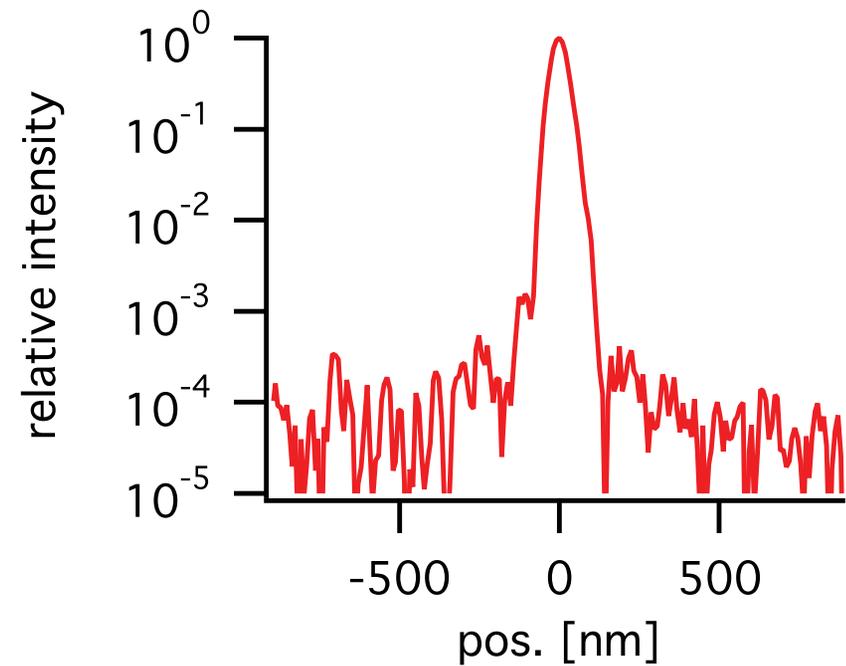
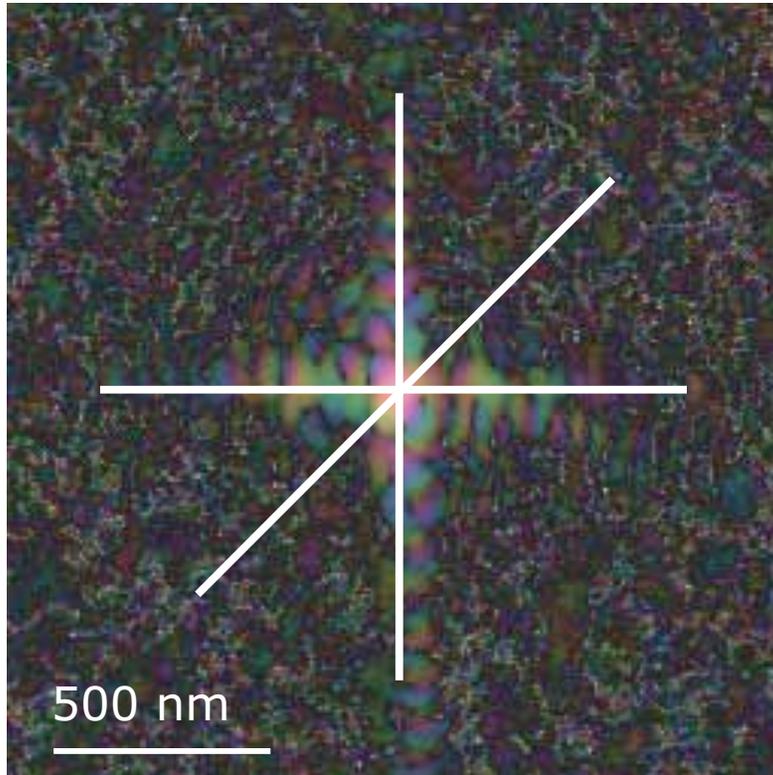
Focus: astigmatism

lenses not perfectly
mutually orthogonal

Schroer et al., Proc. XRM 2010
XDL 2011, WS 5

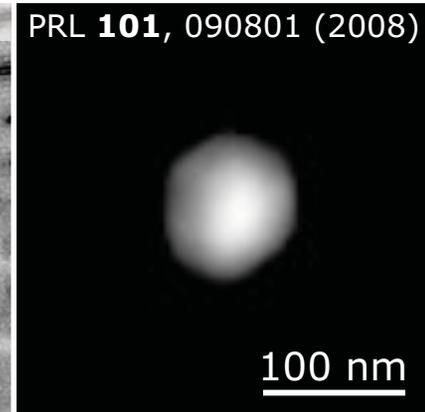
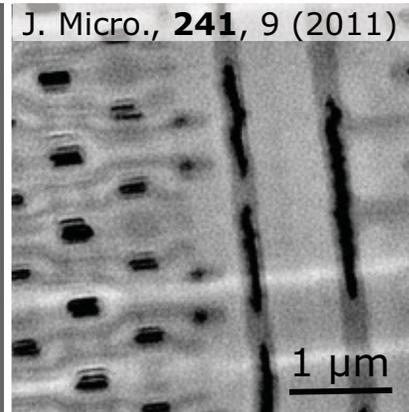
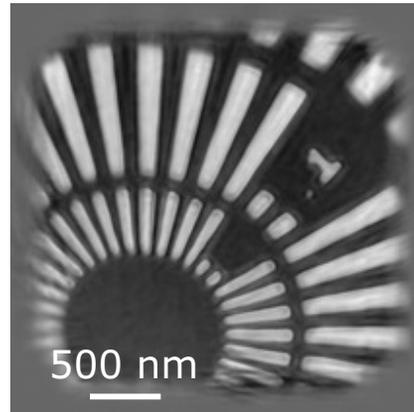
Focus at High Numerical Aperture

Reconstructed focus



Schroer et al., Proc. XRM 2010
XDL 2011, WS 5

Compare Resolution and Dose Density



	siemens star ptychography	microchip ptychography	gold particle CXDI
dose density [ph/nm ²]	100000	800	1500000
measured resolution	10 nm	40 nm	5 nm
dose density ratio	1:15	1:1875	1:1
expected resolution ratio	$1 : \sqrt[4]{15} \approx 1 : 1.96$	$1 : \sqrt[4]{1875} \approx 1 : 6.6$	1:1
expected resolution	≈ 10 nm	≈ 33 nm	5 nm

Current Results and Optimized Experiment at ERL

Match coherence with aperture of optic:

🌀 increase in flux: $> 20 \times$

ERL: increase brilliance by about 500 x

🌀 increase in flux: $\sim 500 \times$

Optic: diamond NFL

🌀 increase transmission: $> 10 \times$

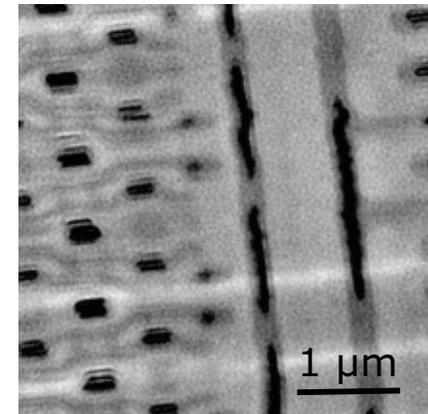
🌀 increase NA: $\sim 3 \times$

Imaging radiation hard object:

$$I_{\text{Cideal}} \Delta t \approx 20 \times 500 \times 10 \times \cdot I_{\text{Ctoday}} \Delta t \approx 10^6 \cdot I_{\text{Ctoday}} \Delta t$$

➔ gain about 1.5 orders of magnitude in resolution

➔ $\sim 0.3 \text{ nm}$ resolution (NTT test pattern), $\sim 1 \text{ nm}$ for C based object



$$I_c \Delta t \propto Br \cdot NA^2 \cdot T \cdot \Delta t$$