

# Signatures of Axion Monodromy Inflation

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Cornell University

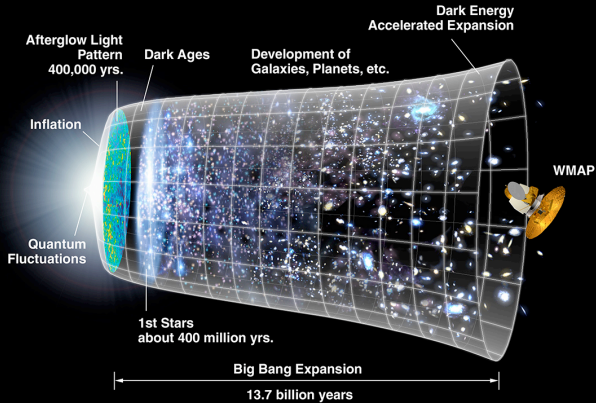
based on arXiv:0907.2916  
with Flauger, McAllister, Pajer and Westphal

McGill University  
December 2009

# Outline

- 1 Motivations
- 2 Linear Potential from String Theory
- 3 Modulations and Phenomenology
- 4 Microscopic Constraints

# Inflation



# Brief Introduction to Inflation

A period of accelerated expansion

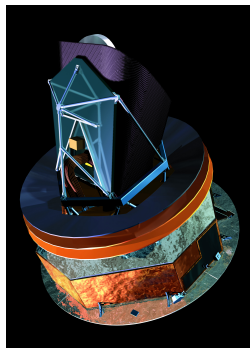
$$ds^2 = -dt^2 + e^{2Ht} d\vec{x}^2$$

$$H \approx \text{const}$$

## Generic Predictions:

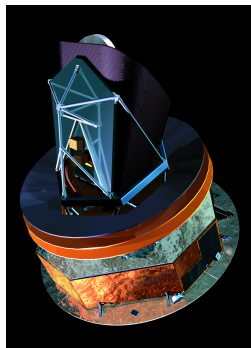
- Scalar perturbations are approximately scale-invariant:  $n_s \approx 1$
- Tensor to scalar ratio  $r = 16\epsilon$ , where  $\epsilon \equiv -\frac{\dot{H}}{H^2}$ .
- Scalar perturbations are approximately Gaussian.

# Cosmological Data



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- Planck is able to detect  $r > 0.05$  and constrain  $f_{NL}^{loc} < 6$

# r and the Field Excursion

- $\mathcal{L} = 1/2(\partial\phi)^2 - V(\phi)$

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<sup>1</sup>Lyth, 1996

# $r$ and the Field Excursion

- $\mathcal{L} = 1/2(\partial\phi)^2 - V(\phi)$
- $r = 16\epsilon = 8 \left( \frac{\dot{\phi}}{H} \right)^2$  with  $dN = Hdt$

$$\frac{\Delta\phi}{M_p} = \int_{N_{CMB}}^{N_{end}} dN \sqrt{\frac{r(N)}{8}}$$

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$$\frac{\Delta\phi}{M_p} \geq O(1) \left( \frac{r}{0.01} \right)^{1/2}$$

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- Detectable gravitational waves suggests **superplanckian** field variation:  $\Delta\phi > M_p$ <sup>1</sup>

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# UV Sensitivity

- An inflaton potential in EFT:

$$V = 1/2 m^2 \phi^2 + 1/4 \lambda \phi^4 + \phi^4 \sum_{p=1}^{\infty} \lambda_p \left( \frac{\phi}{\Lambda} \right)^{2p}$$

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- Still not satisfactory b/c EFT is ignorant about Planck scale.
- We need a candidate UV completed theory, string theory.

# Shift symmetry of axions

- Axions are pseudoscalar fields with only derivative couplings.  
Continuous shift symmetry:  $S(a) = S(a + \text{const})$ .

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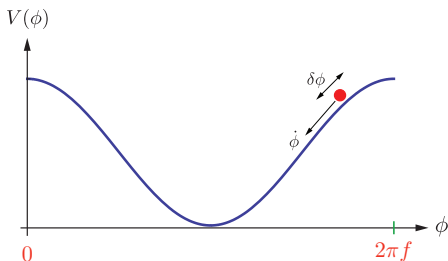
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- Natural Inflation<sup>2</sup> with potential  $V(\phi) = \Lambda^4 \left(1 - \cos\left(\frac{\phi}{f}\right)\right)$



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# Axion inflation

- Problem with Natural Inflation: Axions with super-Planckian decay constant<sup>3</sup> are elusive in controllable string theory setup.

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- A good idea: N-flation<sup>4</sup> use  $N \sim 10^3$  axions collectively to inflate
- Our proposal: recycle an axion  $N$  times via **monodromy**

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# Real-life Monodromy: Waterride

A waterride I have taken when I was young



# Real-life Monodromy: Spiral Stairs

or the spiral stairs once walked on



# Summary of Motivations

- **Large** field inflation is both interesting for observations and theoretical concerns.

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- **Large** field inflation is both interesting for observations and theoretical concerns.
- Our model= An **axion** from **string theory** with **shift symmetry**
  - + Its non-periodic potential from **monodromy**
  - + **Modulations** computed with string theory setup



# Axions in String Theory

- String theory provides many axions:

$$b(x) = \int_{\Sigma_2} B_2, \quad c(x) = \int_{\Sigma_p} C_p$$

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- Worldsheet vertex operator<sup>5</sup>:

$$V(k) = \int_{WS} d^2\xi \exp(ik \cdot X(\xi)) \epsilon^{\alpha\beta} \partial_\alpha X^\mu \partial_\beta X^\nu B_{\mu\nu}(X)$$

$V(k=0) = \int_{\Sigma_2} B_2 = 0$  indicates axion  $b$  can only have derivative couplings.

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# Shift Symmetry Breaking

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## From D-branes

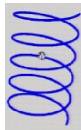
The presence of worldsheet boundaries such as D-branes.  
We use wrapped branes to create a monodromy.

## From instantons

Worldsheet instantons, or D-brane instantons introduce sinusoidal modulations.

## Monodromy from a Five Brane

Consider a D5-brane wrapping on a two cycle<sup>6</sup>,



$$S_{DBI} = -T_5 \int d^4x \sqrt{g_4} \int d^2\xi \sqrt{\det(G^{ind} + B^{ind})}$$

$$V(b) = T_5 \sqrt{l^4 + b^2}$$

Take large b limit:  $V(\phi) = \mu^3 \phi$

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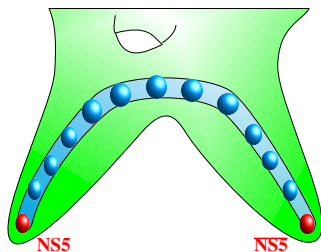
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## Fixing Parameters

### A linear potential

$$V = \mu^3 \phi \text{ with } \epsilon = \frac{1}{2\phi^2}, \eta = 0$$

- $N(\phi) = \int_{\phi_{end}}^{\phi} \frac{d\phi}{\sqrt{2\epsilon}}$  60 efolds indicates  $\phi_* = 11Mp$
- COBE normalization:  $P_s = \frac{1}{24\pi^2} \frac{V}{\epsilon} = (5.4 \times 10^{-5})^2$  fixes  $\mu = 6 \times 10^{-4} Mp$

## Predictions of the Linear Potential

$$V = \mu^3 \phi$$

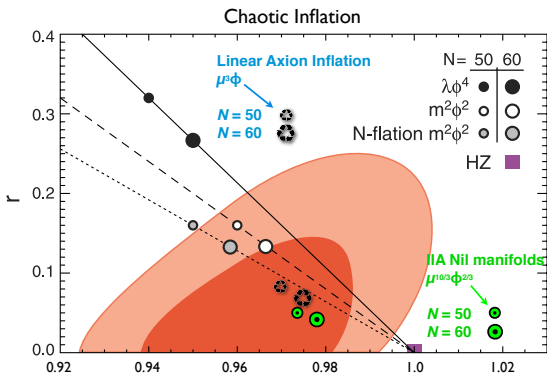
- Tensor mode:  $r = 0.07$ . We will soon know!
- Tilt:  $n_s = 0.975$



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# Modulations

- $V(\phi) = \mu^3 \phi + \mu^3 b f \cos(\frac{\phi}{f})$
- Monotonicity:  $b < 1$
- Number of oscillations in CMB:  $\frac{1}{10f}$

**oscillations**



## Background Evolution

Solve  $\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$  perturbatively in  $b$

$$\phi = \phi_0 + \phi_1$$

Zeroth order solution:  $\phi_0(t) = (\phi_*^{3/2} - \frac{\sqrt{3}}{2}\mu^{3/2}t)^{2/3}$

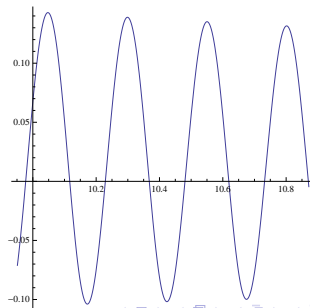
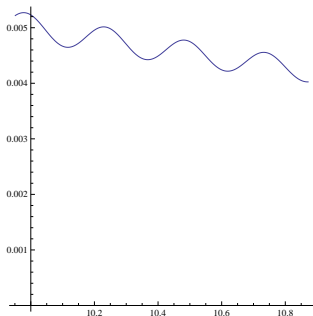
First order solution:  $\phi_1 \approx -3bf^2\phi_0 \sin\left(\frac{\phi_0}{f}\right)$

# Background Evolution

Slow roll parameters

$$\epsilon = \epsilon_0 + \epsilon_{osci} \approx \frac{1}{2\phi_*^2} - \frac{3bf}{\phi_*} \cos\left(\frac{\phi_0}{f}\right)$$

$$\eta = \eta_0 + \eta_{osci} \approx 0 - 6b \sin\left(\frac{\phi_0}{f}\right)$$



# Resonant Mechanism

- Background oscillates at frequency  $\omega = \frac{\dot{\phi}}{f}$
- Perturbation mode of comoving momentum  $k$  oscillates at frequency  $\frac{k}{a}$  until freezes at  $k = aH$

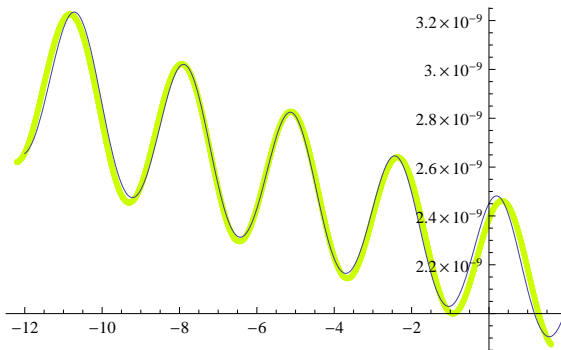
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- So when  $H < \omega < M_p$ , every mode will resonate with the background at some time.

# Postulated Power Spectrum

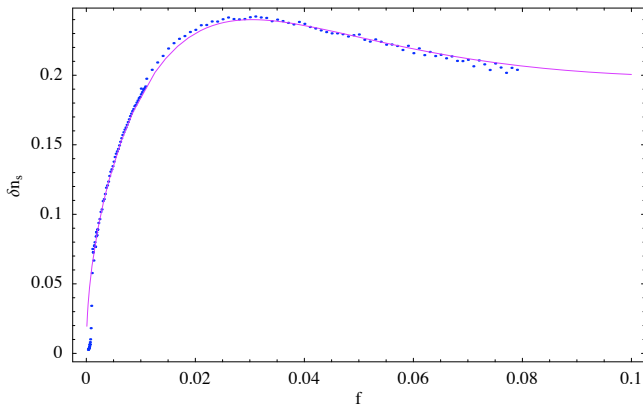
- Oscillations in the power spectrum

$$P_s = A_s \left( \frac{k}{k_*} \right)^{n_s - 1} \left( 1 + \delta n_s \cos \left( \frac{\phi_k}{f} \right) \right) \text{ where } \phi_k \simeq \phi_* - \frac{\ln k/k_*}{\phi_*}$$



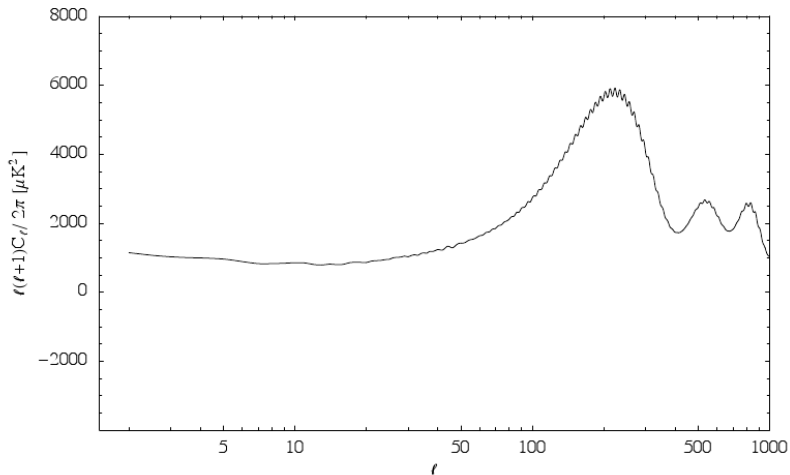
# Analytical Power Spectrum

$$\text{Solution: } \delta n_s = \frac{12b}{\sqrt{(1+(3f\phi_*)^2)}} \sqrt{\frac{\pi}{8} \coth\left(\frac{\pi}{2f\phi_*}\right)} f\phi_* \sim 24b\sqrt{f}$$

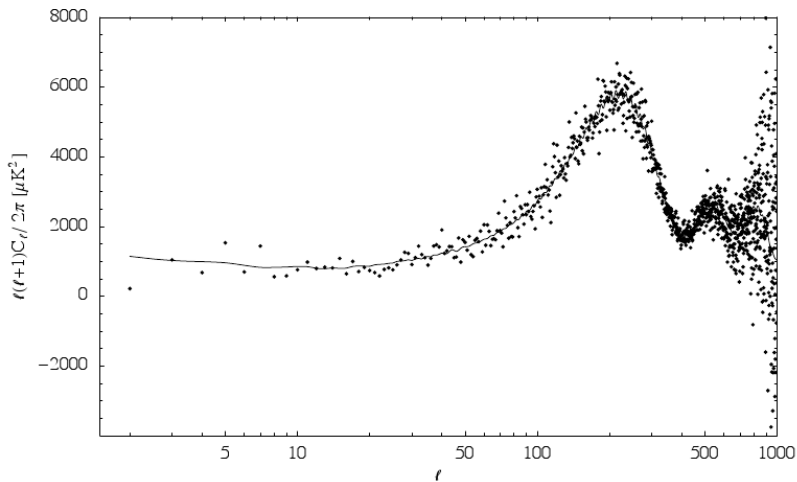




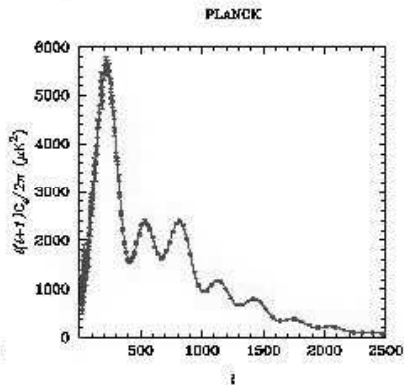
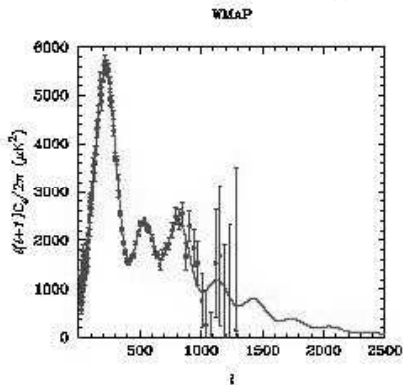
# Predicted Angular Power Spectrum



## Compare with Unbinned WMAP



# Improvement from Planck



7

<sup>7</sup>Planck Collaboration, 2006

# Power Spectrum: Likelihood Analysis

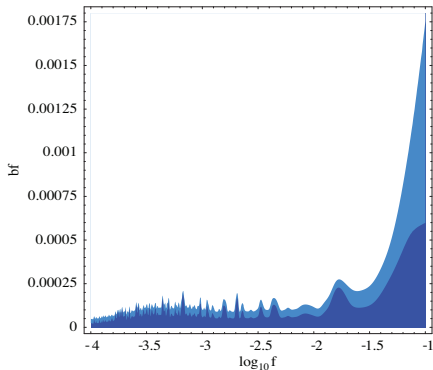
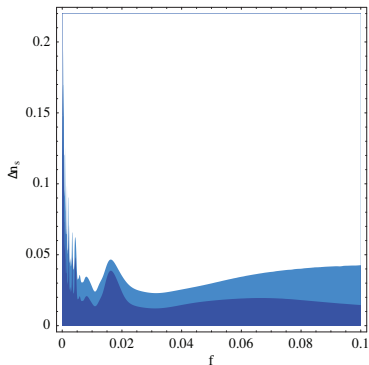
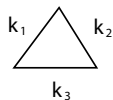


Figure of merit:

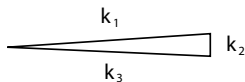
$$bf < 10^{-4} \text{ for } f < 0.01$$

## Lightning review of non-Gaussianity

- Simple case:  $\phi(x) = \phi_G(x) + f_{NL}^{local} \phi_G^2(x)$ <sup>8</sup>
- $f_{NL}$ , amplitude of bispectrum, is usually a function of three momenta



**Equilateral**



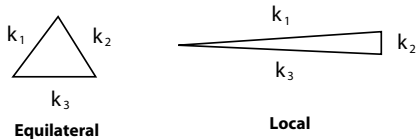
**Local**

<sup>8</sup>Komatsu and Spergel, 2001

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- WMAP5 data gives:  $-9 < f_{NL}^{local} < 111$ ,  $-151 < f_{NL}^{equil} < 253$ <sup>9</sup>

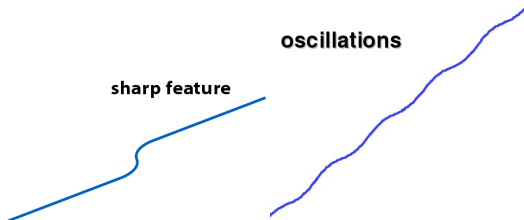
Planck will constraint  $f_{NL}^{local} < 6$

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## $f_{NL}$ from Inflation Models

- Canonical single-field slow-roll<sup>10</sup> :  $f_{NL} \sim O(\epsilon)$ ,  $\epsilon \sim 10^{-2}$
- Curvaton, non-canonical kinetic terms(k-inflation, DBI) or features in the potential:



<sup>10</sup>Maldacena, 2003

## Non-Gaussianity: Oscillatory

- Resonant non-Gaussianity condition<sup>11</sup>:  $H < \omega < M_p$   
for linear potential:  $2.4 \times 10^{-6} < f < 0.09$ .

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 $\frac{P_s^2}{(k_1 k_2 k_3)^2} f_{res} \sin\left(\frac{1}{\phi f} \ln K + \text{phase}\right) \delta^3(\mathbf{K}) (2\pi)^7,^{12}$
- $f_{res} \simeq \frac{3 \dot{\eta}_{osci}}{8H\sqrt{\phi f}},$

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- $f_{res} \simeq \frac{3\dot{\eta}_{osci}}{8H\sqrt{\phi f}},$
- Our model:

$$f_{res} \simeq \frac{9b}{4\phi_0^{3/2} f^{3/2}} = \frac{9}{4} b \left(\frac{\omega}{H}\right)^{3/2}$$

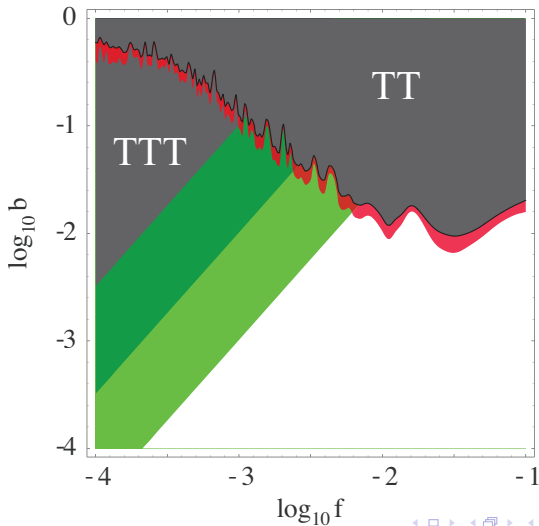
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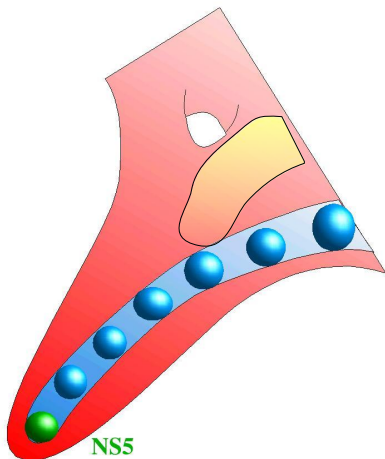
## Summary of the Phenomenology

- $r=0.07$ : Gravitational waves detectable by Planck.
- Potentially detectable oscillations in scalar power spectrum.
- Potentially detectable resonantly enhanced non-Gaussianity.

# Parameter Space



# Cartoon of Embedding



# The Plan

Calculate the decay constant  $f$  and amplitude of modulation  $bf$  and constrain them

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Calculate the decay constant from the kinetic term:  $-\frac{1}{2}f^2(\partial c)^2$   
Recall in SUSY we have  $\mathcal{L} = K_{a\bar{a}}\partial\Phi^a\partial\bar{\Phi}^{\bar{a}}$  for superfields

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## Size of the modulation

Stabilize the moduli following KKL  
Scalar potential:  $V = e^K(|DW|^2 - 3|W|^2)$

Need to know D=4  $\mathcal{N} = 1$  data.



## $D = 4, \mathcal{N} = 1$ data from Type IIB O3/O7 orientifolds

Under orientifold action, cohomology classes

$$\text{split, } H^{r,s} = H_+^{r,s} + H_-^{r,s}$$

Corresponding two cycle bases  $\omega_A$  also split into  $\omega_a$ ,  
 $a = 1, \dots, h_-^{1,1}$ , and  $\omega_\alpha$ ,  $\alpha = 1, \dots, h_+^{1,1}$  <sup>13</sup>

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### Chiral multiplet

$$G^a \equiv \frac{1}{2\pi} \left( c^a - i \frac{b^a}{g_s} \right)$$

$$T_\alpha \equiv i\rho_\alpha + \frac{1}{2} c_{\alpha\beta\gamma} v^\beta v^\gamma + \frac{g_s}{4} c_{\alpha bc} G^b (G - \bar{G})^c$$

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- $K = \log\left(\frac{g_s}{2}\right) - 2\log\mathcal{V}_E$
- $\mathcal{V}_E = \frac{1}{6} c_{\alpha\beta\gamma} v^\alpha(T, G) v^\beta(T, G) v^\gamma(T, G)$ , note specifically  
 $v = v(\text{Re } T, \text{Im } G)$

<sup>13</sup>Grimm and Louis, 2004

## Calculation of Axion Decay Constant

- $-\frac{1}{2}f^2(\partial c)^2 \subset K_{G\bar{G}}\partial G\partial\bar{G}$

$$\frac{f^2}{M_p^2} = \frac{g_s}{8\pi^2} \frac{c_{\alpha--} v^\alpha}{\mathcal{V}_E}$$

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- Validity of  $\alpha'$  expansion: use worldsheet instanton to estimate:  $e^{-S_{ws}} < e^{-2}$

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## Calculation of Axion Decay Constant

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- Lower bound on  $f$ :

$$\frac{f^2}{M_p^2} > \frac{\sqrt{g_s}}{(2\pi)^3 \mathcal{V}_E}$$

## Warmup: Review of KKLT

- Non-perturbative superpotential:

$$W = W_0 + \sum_{\alpha=1}^{h_+^{1,1}} A_{\alpha} e^{-a_{\alpha} T_{\alpha}}, \quad a_{\alpha} = \frac{2\pi}{N_{\alpha}}$$

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- $D_a W = -iW \frac{c_{\alpha a c} v^{\alpha} b^c}{4\pi \mathcal{V}_E} = 0$  stabilizes  $b^a = 0$

## Find $bf$ : instanton correction

- Educated guess:  $K = -2\log(\mathcal{V}_E + e^{-S_{ED1}} \cos(c))$

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- $\mathcal{U}_{mod} = \frac{g_s}{2} \left( \frac{3|W|^2}{\mathcal{V}_E^2} \right)_{(0)}$  from AdS minimum+uplifting
- $bf < 2c_0 \cdot 10^7 \frac{g_s}{\mathcal{V}_E^2} e^{-2/g_s} \left( \frac{W}{0.1} \right)^2$

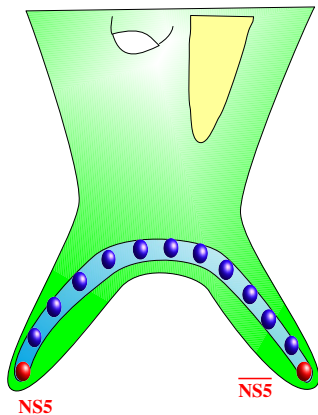
## Consistency Conditions

- $g_s \ll 1$
- Euclidean instanton:  $e^{-a_\alpha T_\alpha} < e^{-2} \rightarrow \tau_\alpha > \frac{N_\alpha}{\pi}$
- Back reaction on the throat  

$$N_w = \frac{\phi}{2\pi f} \ll \frac{R_{\text{perp}}^4 X}{4\pi g_s} \rightarrow \frac{f}{M_p} > \frac{0.09}{X^{1/3} \mathcal{V}_E^{2/3}}$$
- $V_{\text{inf}} \ll U_{\text{mod}} \rightarrow \tau_\alpha \ll 73 - 8 \log \left( \frac{v^\alpha \pi \sqrt{g_s}}{2g_s} \right)$  where we used  $N_\alpha < 50$
- Back reaction of the 4-cycle volume.
- Higher derivative terms

## Backreaction of 4-cycle volume

Effect of  $N_w$   $D3$  branes<sup>14</sup>



<sup>14</sup>Alternative: Berg, Pajer and Sjörs, to appear

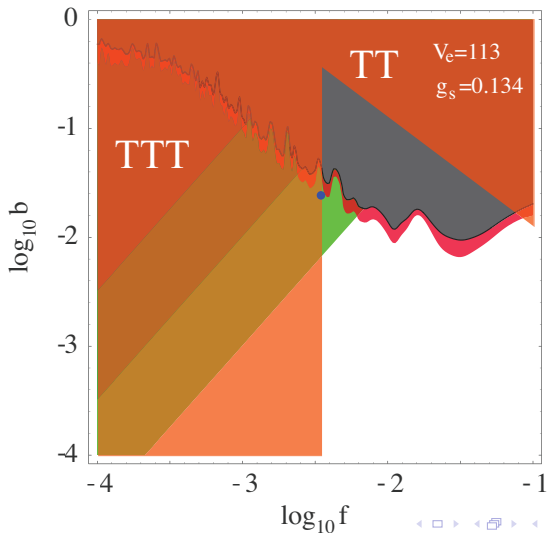
## Higher derivative terms

- $S_{10D,E} \supset \alpha'^3 \bar{R}^4$
- $\bar{R}_{MN}{}^{PQ} \supset \nabla_{[M} H_{N]}{}^{PQ}$  and  $H_{[M}{}^C{}^{[P} H_{N]C}{}^{Q]} + \dots$ ,<sup>15</sup> where  $H = dB$
- $S_{4D} = \int d^4x \left[ -\frac{1}{2} \dot{\phi}^2 + \frac{\phi^8}{M_I^{12}} + \frac{\ddot{\phi}^4}{M_{II}^8} \right]$ ,
- $\frac{\omega}{M_I}, \frac{\omega}{M_{II}}$  at most a few percent.

<sup>15</sup>Gross and Sloan, 1987



# A Toy Example



## Conclusions

- Axion monodromy inflation is falsifiable by its prediction of  $r = 0.07$ .
- In addition: possible detectable oscillations in the power spectrum and resonantly enhanced non-Gaussianity.
- We study the microscopic constraints on specific class of models in string theory.

## Future directions

- Study of oscillatory type non-Gaussianity with data.
- Study of reheating.
- Realization of chain inflation.
- Other compactification models.