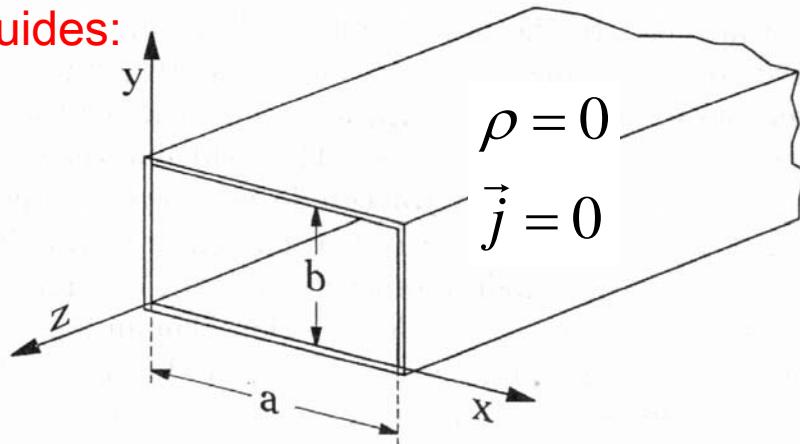




$$\left. \begin{aligned} \vec{\nabla} \times \vec{E} &= -\partial_t \vec{B} \\ \vec{\nabla} \times \vec{B} &= \frac{1}{c^2} \partial_t \vec{E} + \mu_0 \vec{j} \end{aligned} \right\} \begin{aligned} \vec{\nabla} \times (\vec{\nabla} \times \vec{E}) &= -\frac{1}{c^2} \partial_t^2 \vec{E} - \mu_0 \partial_t \vec{j} \\ \vec{\nabla} \times (\vec{\nabla} \times \vec{B}) &= -\frac{1}{c^2} \partial_t^2 \vec{B} - \mu_0 \vec{\nabla} \times \vec{j} \end{aligned}$$

Wave guides:



Wave equation for all components

$$\begin{aligned} \vec{\nabla}^2 \vec{E} &= \frac{1}{c^2} \partial_t^2 \vec{E} \\ \vec{\nabla}^2 \vec{B} &= \frac{1}{c^2} \partial_t^2 \vec{B} \end{aligned}$$

$$\left. \begin{aligned} \vec{\nabla} \times \vec{E} &= -\partial_t \vec{B} \\ \vec{\nabla} \times \vec{B} &= \frac{1}{c^2} \partial_t \vec{E} \end{aligned} \right\} \begin{aligned} \vec{\nabla}_\perp \times \vec{E}_\perp &= -\partial_t \vec{B}_z \\ \vec{\nabla}_\perp \times \vec{B}_\perp &= \frac{1}{c^2} \partial_t \vec{E}_z \end{aligned}$$

$$\left. \begin{aligned} \vec{\nabla} \cdot \vec{E} &= 0 \\ \vec{\nabla} \cdot \vec{B} &= 0 \end{aligned} \right\} \begin{aligned} \vec{\nabla}_\perp \cdot \vec{E}_\perp + \partial_z E_z &= 0 \\ \vec{\nabla}_\perp \cdot \vec{B}_\perp + \partial_z B_z &= 0 \end{aligned}$$

Search for simple modes:

Transverse electric and magnetic (TEM) waves cannot exist, since:

$$E_z = 0 \text{ and } B_z = 0 \Rightarrow \vec{E}_\perp = 0 \text{ and } \vec{B}_\perp = 0$$



Fourier expansion of the z-dependence: $\vec{E}(x, y, z, t) = \int \vec{E}_{k_z \omega}(x, y) e^{ik_z z - i\omega t} dk_z d\omega$

$$\begin{aligned}\vec{\nabla}^2 \vec{E} &= \frac{1}{c^2} \partial_t^2 \vec{E} \\ \vec{\nabla}^2 \vec{B} &= \frac{1}{c^2} \partial_t^2 \vec{B}\end{aligned} \Rightarrow \begin{aligned}\vec{\nabla}_\perp^2 E_z &= -[(\frac{\omega}{c})^2 - k_z^2] E_z \\ \vec{\nabla}_\perp^2 B_z &= -[(\frac{\omega}{c})^2 - k_z^2] B_z\end{aligned}$$

Eigenvalue equation with boundary conditions:

$$\vec{\nabla} \times \vec{B} = \frac{1}{c^2} \partial_t \vec{E}$$

$$\vec{\nabla}_\perp \times B_z + ik_z \vec{e}_z \times \vec{B}_\perp = -i\omega \frac{1}{c^2} \vec{E}_\perp$$

$$\vec{\nabla}_r \times B_z + ik_z \vec{e}_z \times \vec{B}_r = -i\omega \frac{1}{c^2} \vec{E}_\phi \Rightarrow \partial_r B_z = 0$$

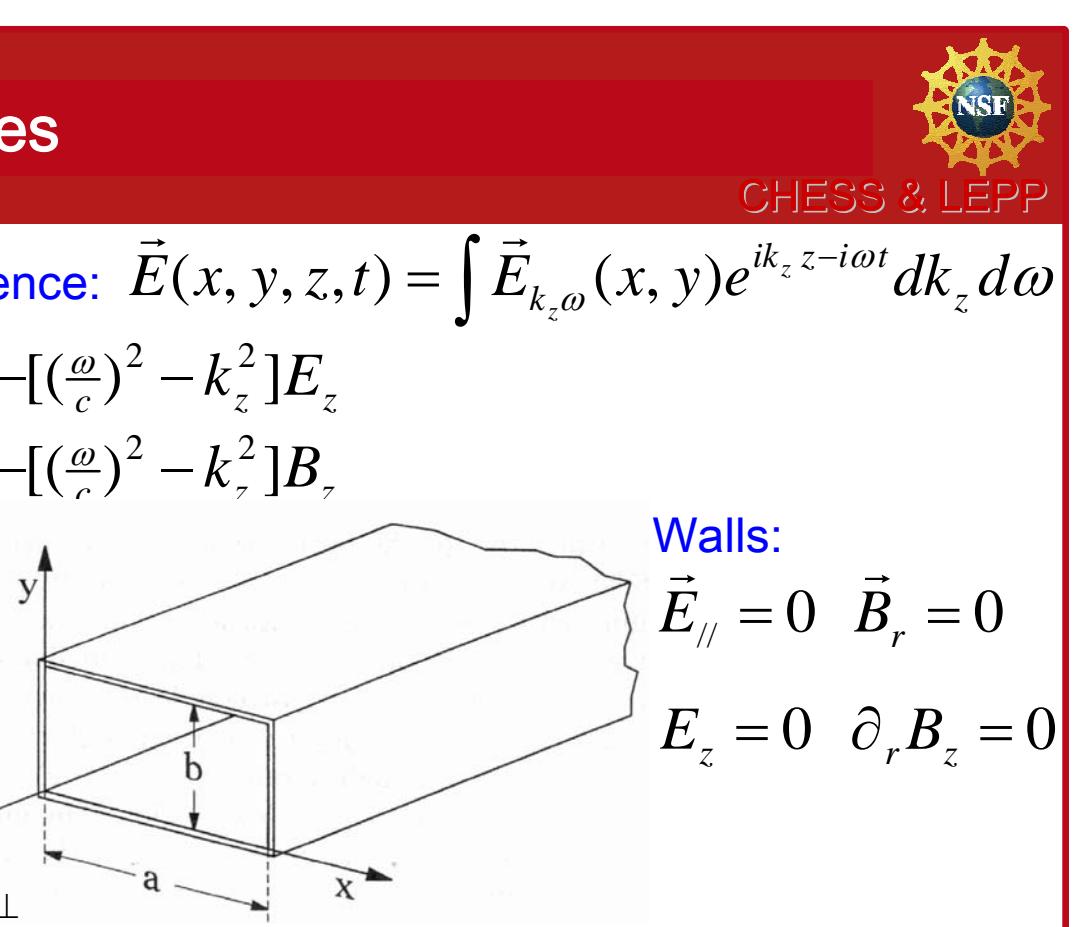
Solutions for E or B only exist for a discrete set of eigenvalues: $(\frac{\omega}{c})^2 - k_z^2 = k_n^{(E)^2}$

$$(\frac{\omega}{c})^2 - k_z^2 = k_n^{(B)^2}$$

Due to different boundary conditions, E_z and B_z cannot simultaneously be nonzero.

TE modes have $E_z = 0$

TM modes have $B_z = 0$





Dispersion relation



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$$\omega(k_z) = c \sqrt{A_n^2 + k_z^2}$$

Phase velocity $v_{ph} = \omega / k_z = c \sqrt{1 + \left(\frac{A_n}{k_z}\right)^2} > c$

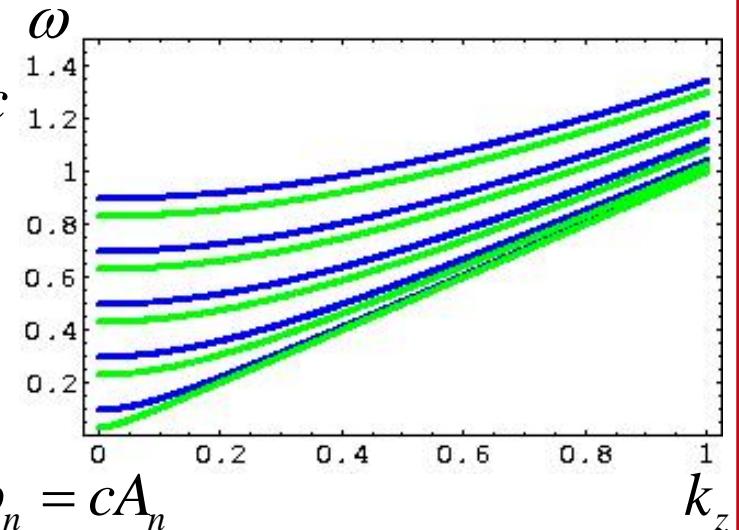
Group velocity $v_{gr} = d\omega / dk_z = c / \sqrt{1 + \left(\frac{A_n}{k_z}\right)^2} < c$

For each excitation frequency ω one obtains a propagation in the wave guide of

$$e^{ik_z z}, \quad k_z = \sqrt{\left(\frac{\omega}{c}\right)^2 - A_n^2}$$

Transport for ω above the cutoff frequency $\omega > \omega_n = cA_n$

Damping for ω below the cutoff frequency $\omega < \omega_n = cA_n$





Rectangular Wave Guide



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Boundary conditions:

$$E_z(\vec{x}_0) = 0 \quad \vec{\nabla}_{\perp}^2 E_z = [k_z^2 - (\frac{\omega}{c})^2] E_z$$

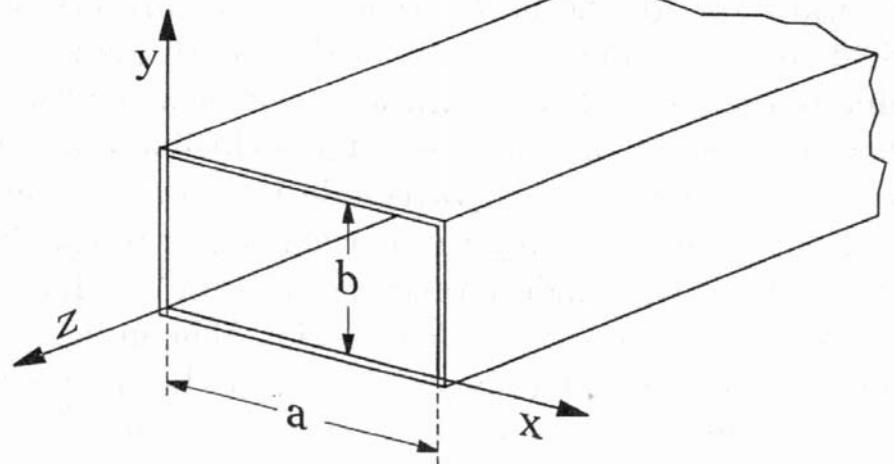
$$E_z(\vec{x}) = E_z \sin\left(\frac{n\pi}{a}x\right) \sin\left(\frac{m\pi}{b}y\right)$$

$$(\frac{\omega}{c})^2 - k_z^2 = k_{nm}^{(B)2} = \left(\frac{n\pi}{a}\right)^2 + \left(\frac{m\pi}{b}\right)^2$$

$$\partial_r B_z(\vec{x}_0) = 0 \quad \vec{\nabla}_{\perp}^2 B_z = [k_z^2 - (\frac{\omega}{c})^2] B_z$$

$$B_z(\vec{x}) = B_z \cos\left(\frac{n\pi}{a}x\right) \cos\left(\frac{m\pi}{b}y\right)$$

$$(\frac{\omega}{c})^2 - k_z^2 = k_{nm}^{(E)2} = \left(\frac{n\pi}{a}\right)^2 + \left(\frac{m\pi}{b}\right)^2$$



TE and TM modes happen to have the same eigenvalues.

For simplicity one still looks at TE and TM modes separately.



Rectangular TE Modes



CHESS & LEPP

Boundary conditions: $E_z(\vec{x}) = 0$

$$\vec{E}_{\parallel}(\vec{x}_0) = 0$$

$$E_x(\vec{x}) = [A \cos(\frac{n\pi}{a}x) + B \sin(\frac{n\pi}{a}x)] \sin \frac{m\pi}{b} y$$

$$E_y(\vec{x}) = \sin(\frac{n\pi}{a}x) [C \cos(\frac{m\pi}{b}y) + D \sin(\frac{m\pi}{b}y)]$$

$$\vec{\nabla}_{\perp} \cdot \vec{E}_{\perp} = 0 \Rightarrow D = 0, \quad B = 0, \quad C = -A \frac{n}{a} \frac{b}{m}$$

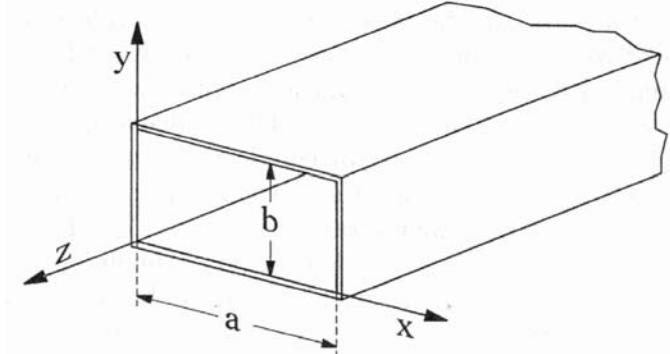
$$\vec{\nabla}_{\perp} \times \vec{E}_{\perp} = i\omega B_z \cos(\frac{n\pi}{a}x) \cos(\frac{m\pi}{b}y) \Rightarrow A \frac{b}{m\pi} \underbrace{[(\frac{m\pi}{b})^2 + (\frac{n\pi}{a})^2]}_{k_{nm}^{(E)2}} = -i\omega B_z$$

$$\vec{B}_r(\vec{x}_0) = 0 \quad B_x(\vec{x}) = \sin(\frac{n\pi}{a}x) [C' \cos(\frac{m\pi}{b}y) + D' \sin(\frac{m\pi}{b}y)]$$

$$B_y(\vec{x}) = [A' \cos(\frac{n\pi}{a}x) + B' \sin(\frac{n\pi}{a}x)] \sin(\frac{m\pi}{b}y)$$

$$\vec{\nabla}_{\perp} \times \vec{B}_{\perp} = 0 \Rightarrow D' = 0, \quad B' = 0, \quad C' = A' \frac{n}{a} \frac{b}{m}$$

$$\vec{\nabla}_{\perp} \cdot \vec{B}_{\perp} = -ik_z B_z \cos(\frac{n\pi}{a}x) \cos(\frac{m\pi}{b}y) \Rightarrow A' \frac{b}{m\pi} k_{nm}^{(E)2} = -ik_z B_z$$





Rectangular TE and TM Modes



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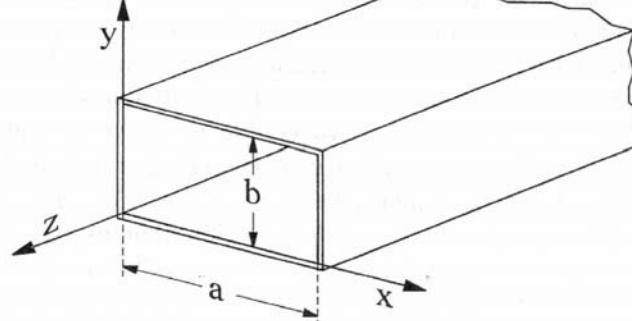
TE Modes

$$\vec{B}(\vec{x}) = B_z \begin{pmatrix} \frac{n\pi}{a} \frac{k_z}{k_{nm}^{(E)2}} \sin\left(\frac{n\pi}{a}x\right) \cos\left(\frac{m\pi}{b}y\right) \sin(k_z z - \omega t) \\ \frac{m\pi}{b} \frac{k_z}{k_{nm}^{(E)2}} \cos\left(\frac{n\pi}{a}x\right) \sin\left(\frac{m\pi}{b}y\right) \sin(k_z z - \omega t) \\ \cos\left(\frac{n\pi}{a}x\right) \cos\left(\frac{m\pi}{b}y\right) \cos(k_z z - \omega t) \end{pmatrix}$$

TM Modes:
Exchange of
E and B

$$\vec{E}(\vec{x}) = \frac{\omega}{k_{nm}^{(E)2}} B_z \begin{pmatrix} \frac{m\pi}{b} \cos\left(\frac{n\pi}{a}x\right) \sin\left(\frac{m\pi}{b}y\right) \sin(k_z z - \omega t) \\ -\frac{n\pi}{a} \sin\left(\frac{n\pi}{a}x\right) \cos\left(\frac{m\pi}{b}y\right) \sin(k_z z - \omega t) \\ 0 \end{pmatrix}$$

Notation: TE_{nm} Mode

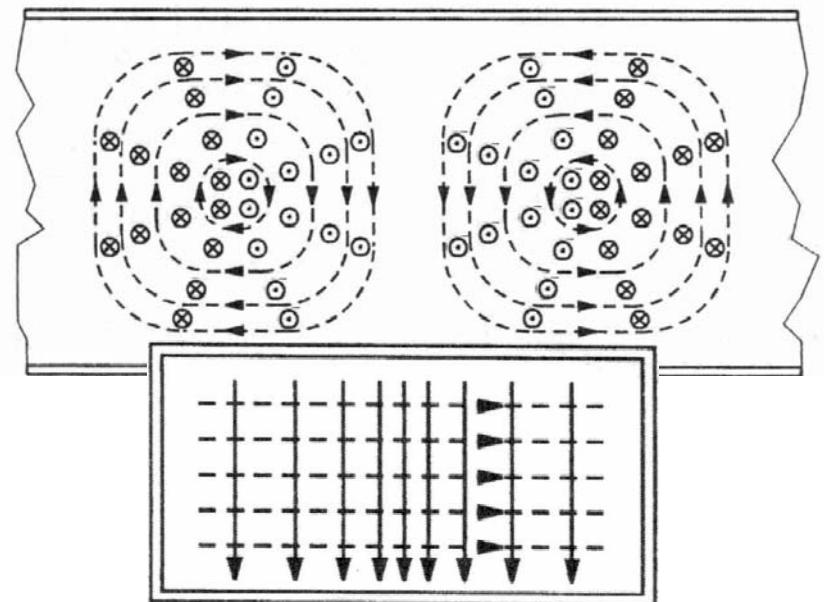


$$\vec{E} \longrightarrow$$

$$\vec{B} \dashrightarrow$$

$$n=1$$

$$m=0$$





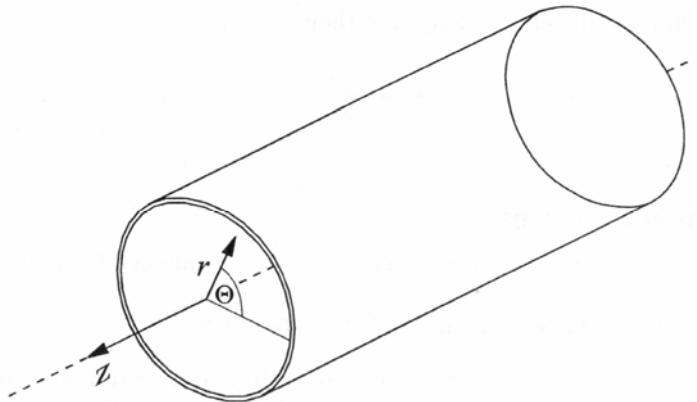
TM Modes:

$$E_z(\vec{x}_0) = 0 \quad \vec{\nabla}_{\perp}^2 E_z = [k_z^2 - (\frac{\omega}{c})^2] E_z$$

$$(\partial_r^2 + \frac{1}{r} \partial_r + \frac{1}{r^2} \partial_{\phi}^2) E_z = [k_z^2 - (\frac{\omega}{c})^2] E_z$$

$$(\xi^2 \partial_{\xi}^2 + \xi \partial_{\xi} + \xi^2 - n^2) E_z = 0, \quad \xi = k_{nm}^{(E)} r$$

$$E_z(\vec{x}) = E_z J_n(k_{nm}^{(B)} r) e^{in\phi} \quad k_{nm}^{(B)} \text{ is the } m^{\text{th}} \text{ zero of the } n^{\text{th}} \text{ Bessel function over } r.$$



TE Modes:

$$\partial_r B_z(\vec{x}_0) = 0$$

$$\vec{\nabla}_{\perp}^2 B_z = [k_z^2 - (\frac{\omega}{c})^2] B_z$$

$$B_z(\vec{x}) = B_z J_n(k_{nm}^{(E)} r) e^{in\phi}$$

$k_{nm}^{(E)}$ is the m^{th} extremeum of J_n over r .

Notation: TE_{nm} Mode



Fundamental Mode



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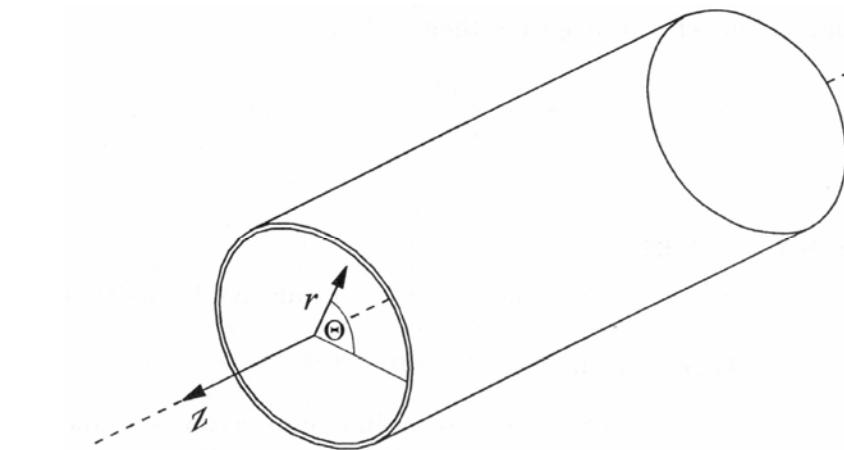
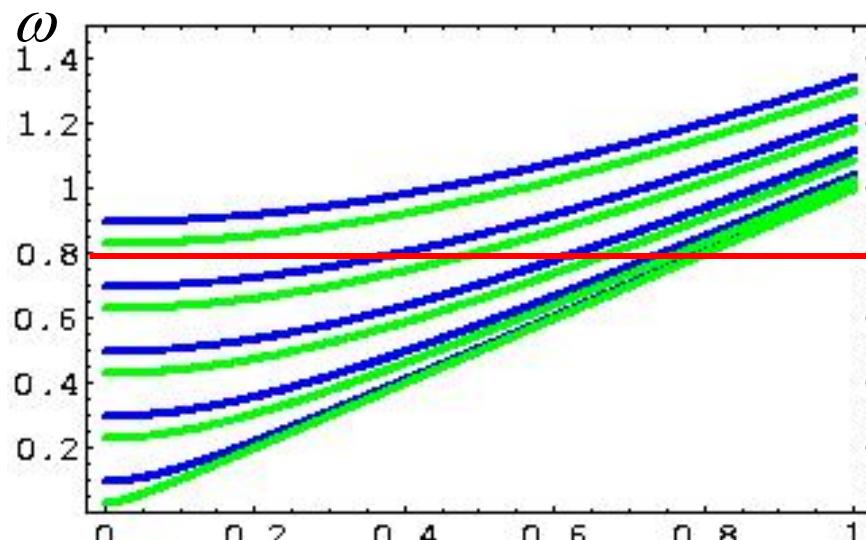
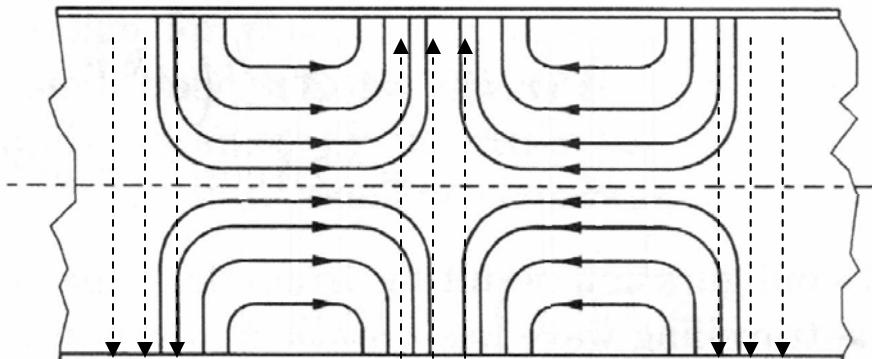
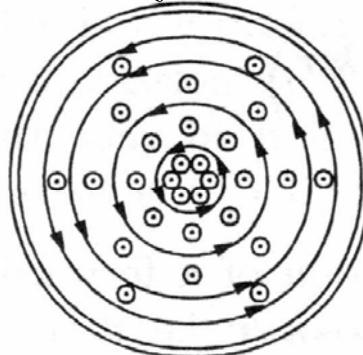
Mode for particle acceleration: TM₀₁ $E_z(\vec{x}) = E_z J_0\left(\frac{r}{r_0}\right) \cos(k_z z - \omega t)$

$$E_r(\vec{x}) = -E_z r_1 k_z J_0'\left(\frac{r}{r_1}\right) \sin(k_z z - \omega t)$$

$$E_\varphi(\vec{x}) = 0$$

$$B_r(\vec{x}) = 0$$

$$B_\varphi(\vec{x}) = -E_z r_1 \frac{\omega}{c^2} J_0'\left(\frac{r}{r_1}\right) \sin(k_z z - \omega t)$$

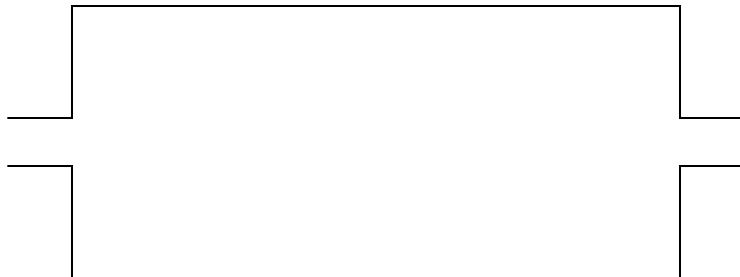




Resonant Cavities



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TE Modes: Standing waves with nodes

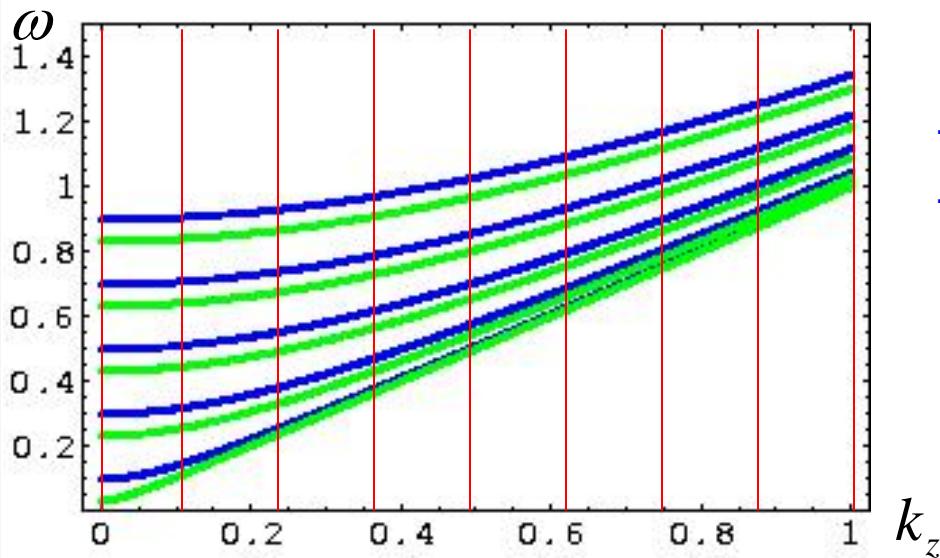
$$B_z(\vec{x}) \propto \sin(k_z z) \sin(\omega t), \quad k_z = \frac{l\pi}{L}$$

$$l > 0$$

TM Modes: Standing waves with nodes

$$E_z(\vec{x}) \propto \cos(k_z z) \cos(\omega t), \quad k_z = \frac{l\pi}{L}$$

$$l \geq 0$$



For each mode TE_{nm} or TM_{nm}
there is a discrete set of frequencies
that can be excited.

$$\omega_{nm}^{(E/B)} = c \sqrt{k_{nm}^{(E/B)2} + \left(\frac{l\pi}{L}\right)^2}$$

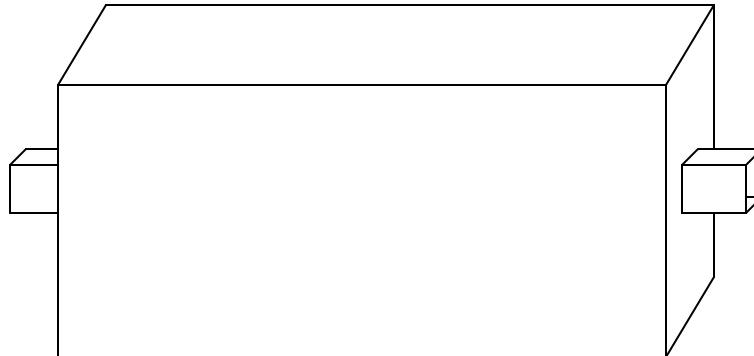


Resonant Cavities Examples



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Rectangular cavity:



$$\omega_{nml}^{(E/B)} = c \sqrt{\left(\frac{n\pi}{L_x}\right)^2 + \left(\frac{m\pi}{L_y}\right)^2 + \left(\frac{l\pi}{L_z}\right)^2}$$

Fundamental acceleration mode: $\omega_{110}^{(B)} = c \frac{\pi}{L} \sqrt{2}$

$$L_x = L_y = 22\text{cm} \Rightarrow f_{110}^{(B)} = 1.0\text{GHz}$$

Pill Box cavity:



$$\omega_{nm}^{(E/B)} = c \sqrt{k_{nm}^{(E/B)2} + \left(\frac{l\pi}{L}\right)^2}$$

$k_{nm}^{(B)} r$ is the mth 0 of the nth Bessel function.

$k_{nm}^{(E)} r$ is the mth extremeum of J_n

$$\text{Fundamental acceleration mode: } \omega_{010}^{(E)} = c \frac{2.4}{r}$$

$$r = 11\text{cm} \Rightarrow f_{010}^{(M)} = 1.0\text{GHz}$$

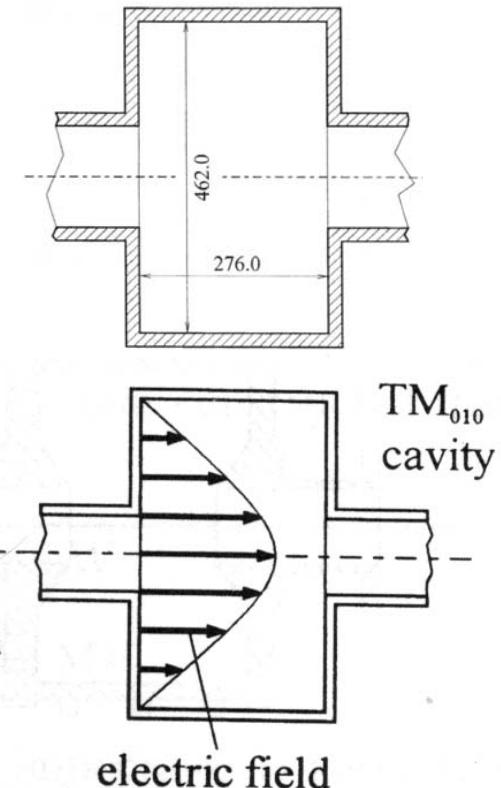
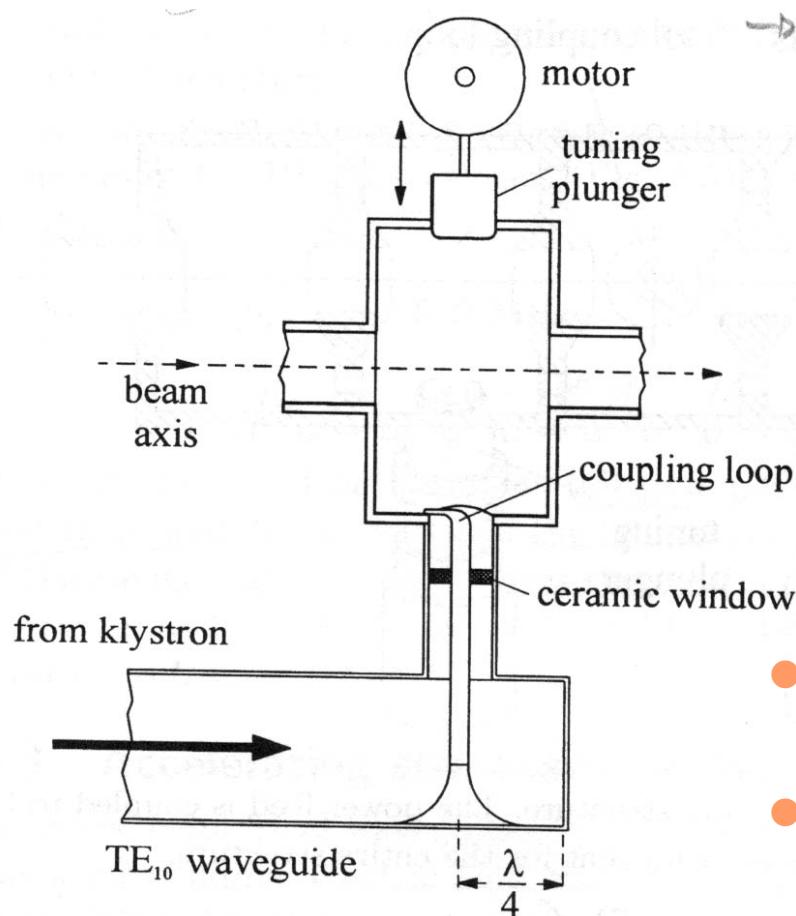
Cavity Operation



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500MHz Cavity of DORIS:

$$r = 23.1\text{cm} \Rightarrow f_{010}^{(M)} = 0.4967\text{GHz}$$



- The frequency is increased and tuned by a tuning plunger.
- An inductive coupling loop excites the magnetic field at the equator of the cavity.



Superconducting Cavities



CHESS & LEPP



$$Q = 10^{10}$$

$$E = 20 \text{ MV/m}$$



A bell with this Q
would ring for a year.

- Very low wall losses.
 - Therefore continuous operation is possible.
- ↓
- Energy recovery becomes possible.

Normal conducting cavities

- Significant wall losses.
- Cannot operate continuously with appreciable fields.
- Energy recovery was therefore not possible.

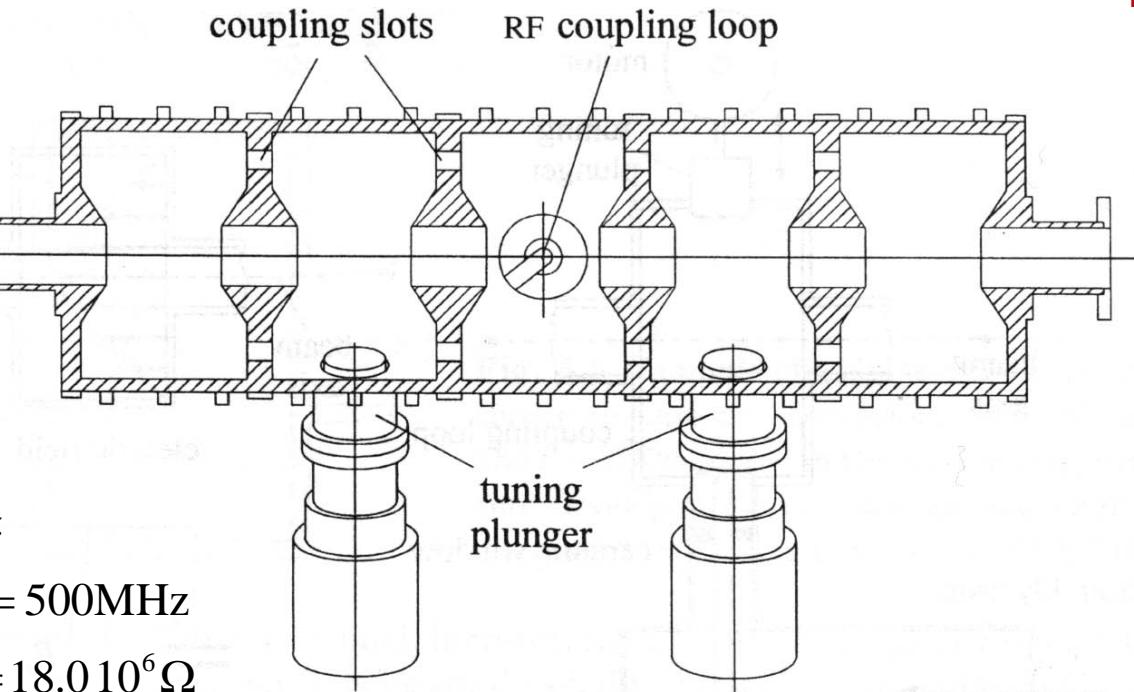
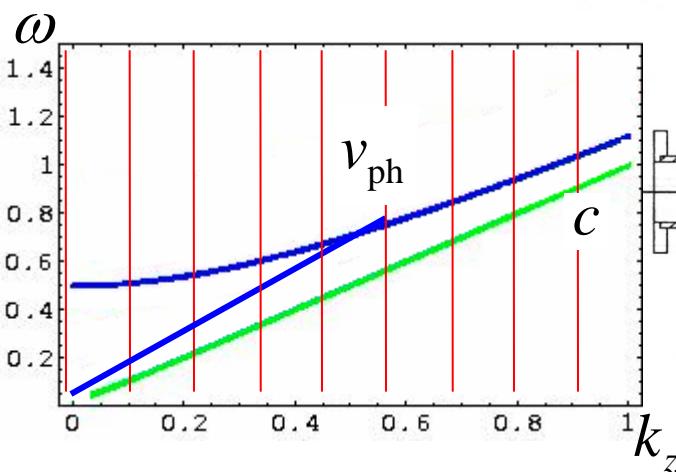


Multicell Cavities



CHESS & LEPP

The field in many cells can be excited by a single power source and a single input coupler in order to have the voltage of several cavities available.



Example: PETRA Cavity $f_{res} = 500\text{MHz}$

$$R_s = 18.0 \cdot 10^6 \Omega$$

$$125\text{kW} \rightarrow 2.12\text{MV}$$

Without the walls: Long single cavity with too large wave velocity. $v_{ph} = \frac{\omega}{k}$

Thick walls: shield the particles from regions with decelerating phase.



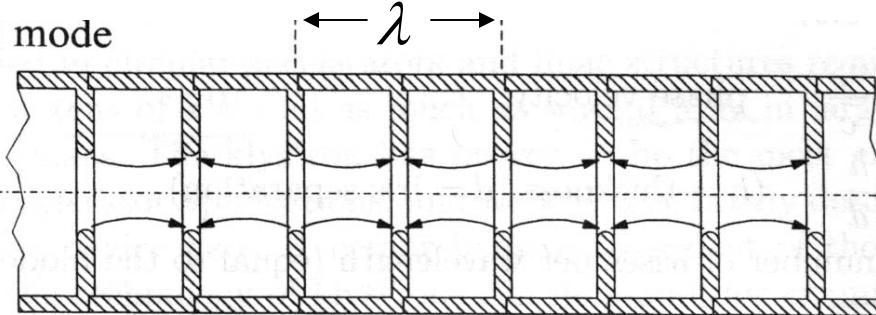
Modes in Waveguides



CHESS & LEPP

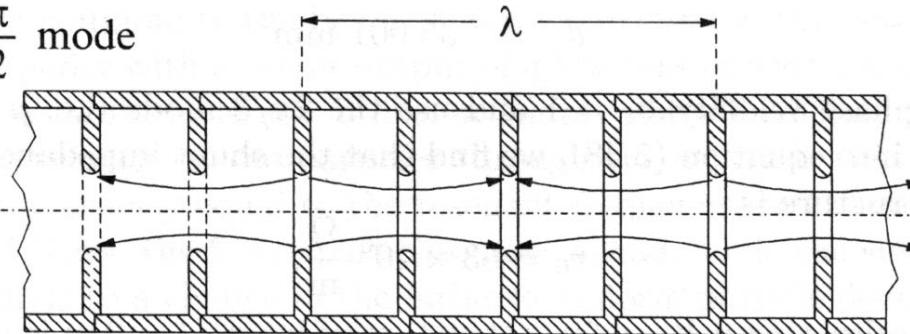
The iris size is chosen to let the phase velocity equal the particle velocity.

π mode



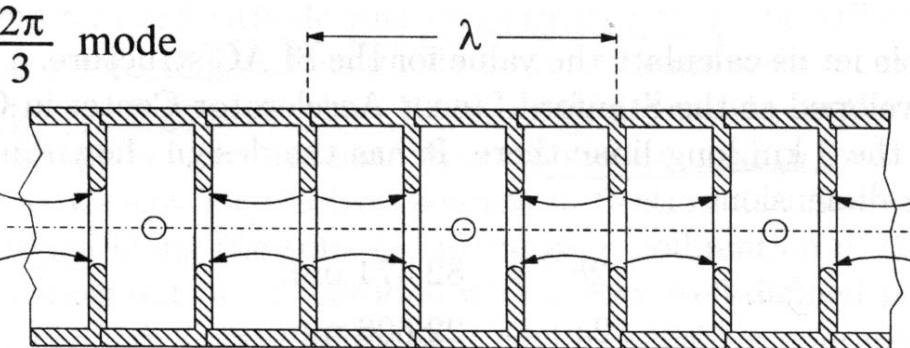
Long initial settling or filling time,
not good for pulsed operation.

$\frac{\pi}{2}$ mode



Small shunt impedance per length.

$\frac{2\pi}{3}$ mode



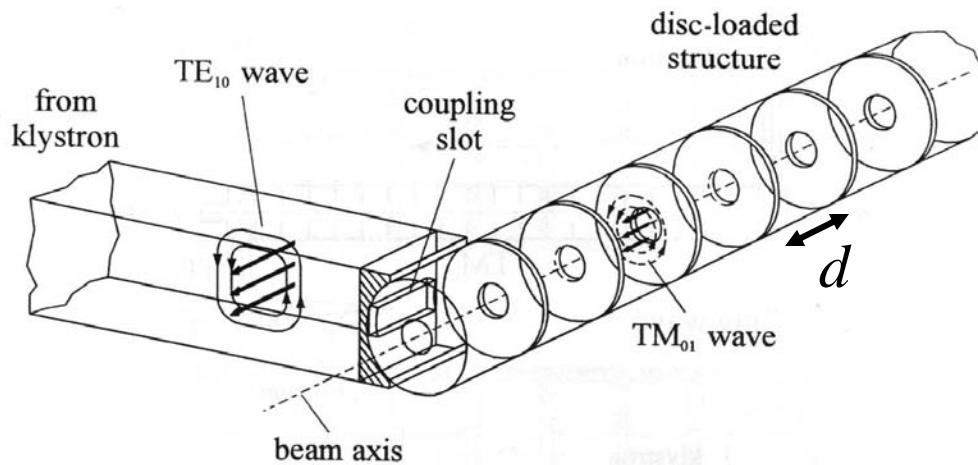
Common compromise.

Disc Loaded Waveguides



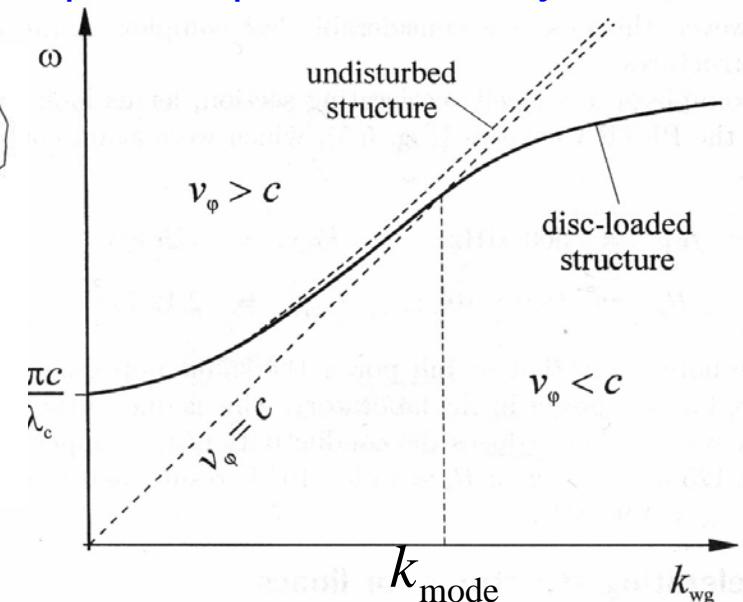
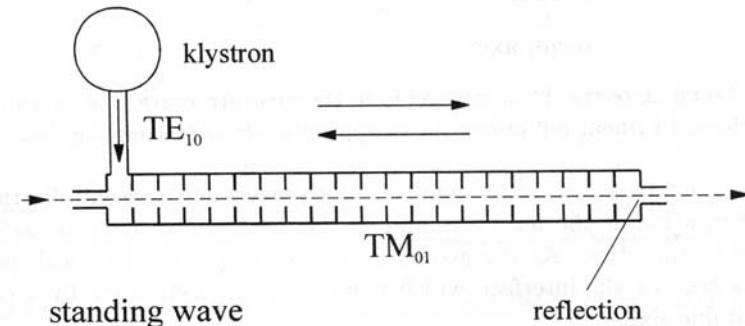
CHESS & LEPP

The iris size is chosen to let the phase velocity equal the particle velocity.

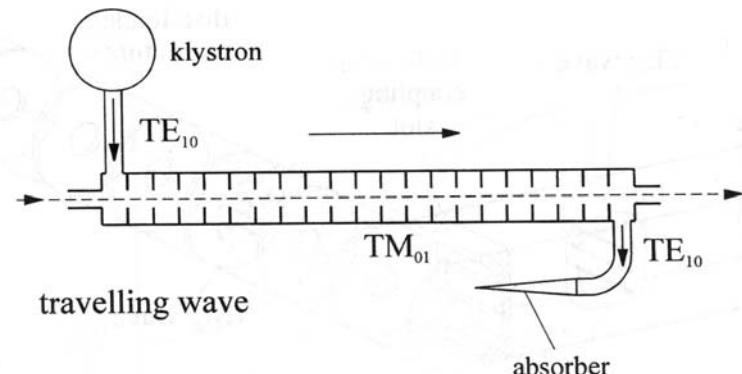


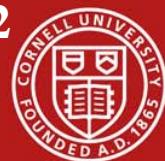
$$\text{Loss free propagation: } k = \frac{2\pi}{nd}$$

Standing wave cavity.



Traveling wave cavity (wave guide).

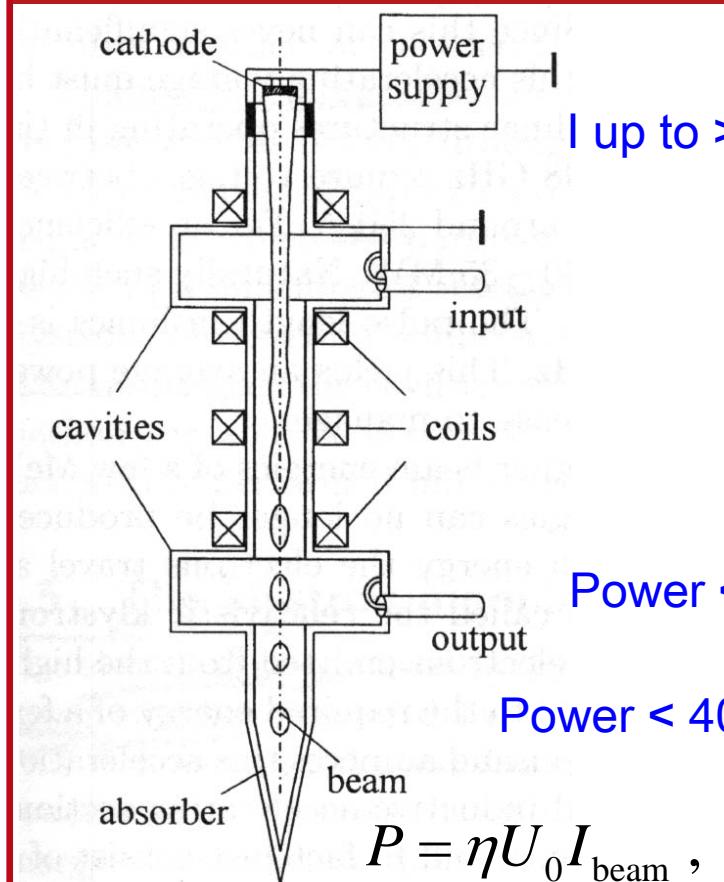




The Klystron as Power Source



CHESS & LEPP

Power $< 1.5\text{MW}$ Power $< 40\text{MW}$ pulsed

$$P = \eta U_0 I_{\text{beam}}, \quad \eta \leq 65\%$$

- DC acceleration to several 10kV, 100kV pulsed
- Energy modulation with a cavity
- Time of flight density modulation
- Excitation of a cavity with output coupler

