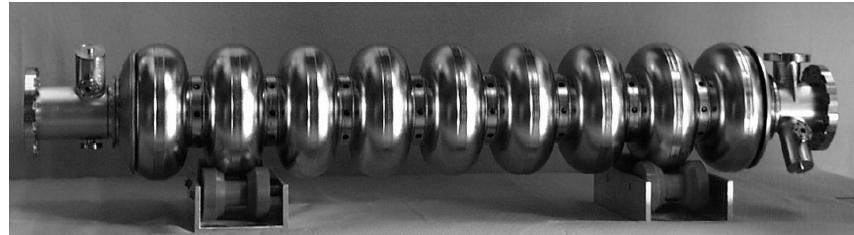




# Superconducting Cavities



CHESS & LEPP



$$Q = 10^{10}$$

$$E = 20 \text{ MV/m}$$



A bell with this Q  
would ring for a year.

- Very low wall losses.
  - Therefore continuous operation is possible.
- ↓
- Energy recovery becomes possible.

## Normal conducting cavities

- Significant wall losses.
- Cannot operate continuously with appreciable fields.
- Energy recovery was therefore not possible.

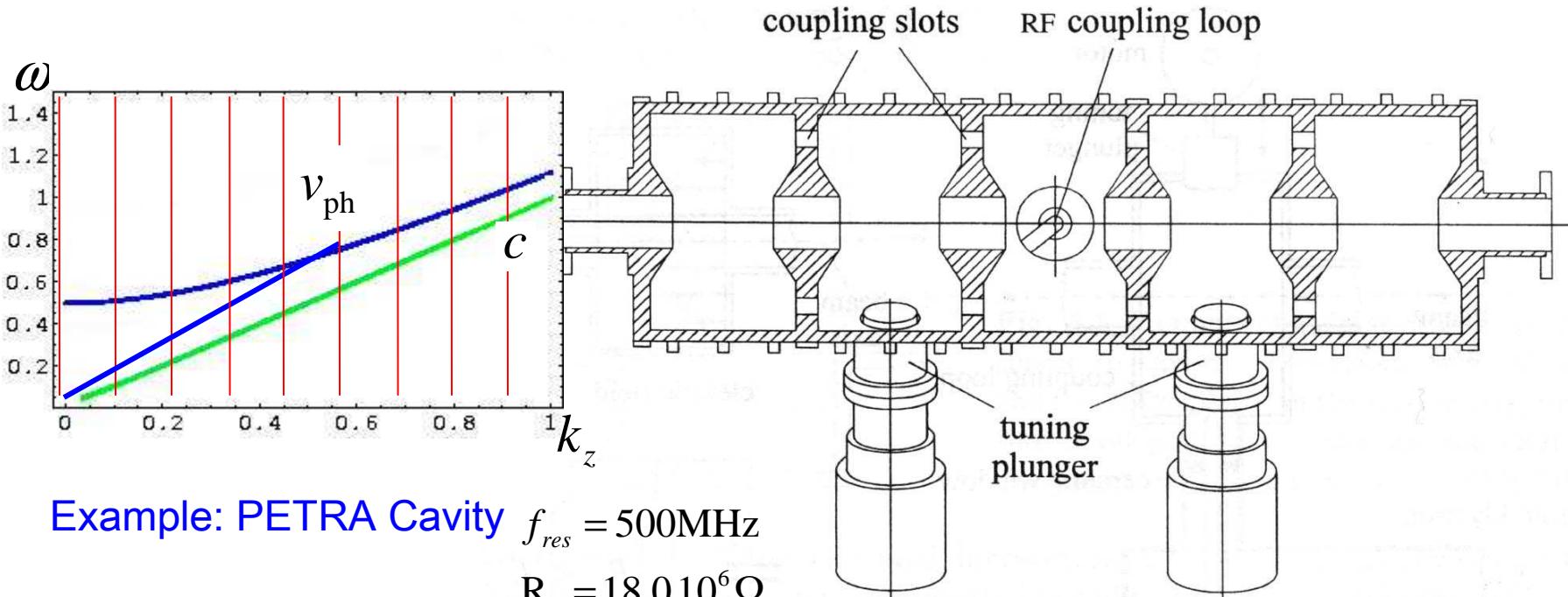


## Multicell standing-wave cavities



CHESS & LEPP

The field in many cells can be excited by a single power source and a single input coupler in order to have the voltage of several cavities available.



Example: PETRA Cavity  $f_{res} = 500\text{MHz}$

$$R_s = 18.0 \cdot 10^6 \Omega$$

$$125\text{kW} \rightarrow 2.12\text{MV}$$

Without the walls: Long single cavity with too large wave velocity.  $v_{ph} = \frac{\omega}{k}$

Thick walls: shield the particles from regions with decelerating phase.

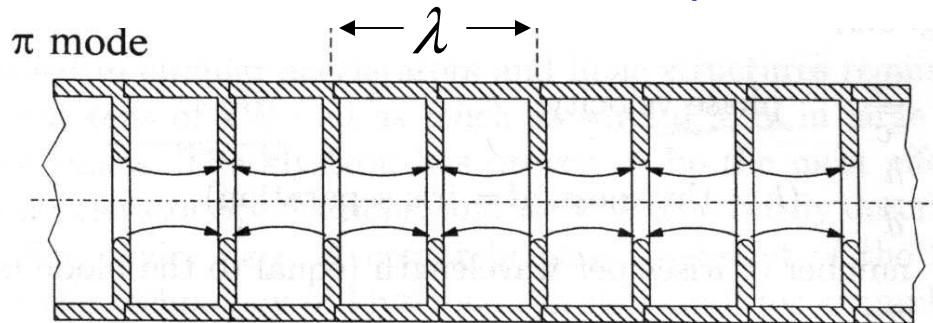


# Modes in Waveguides

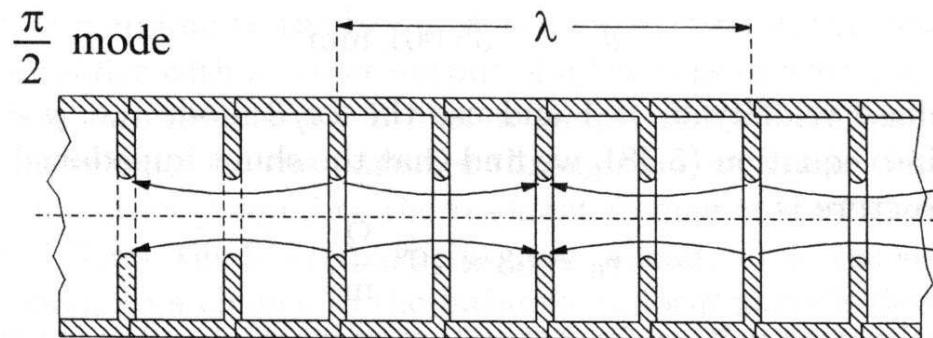


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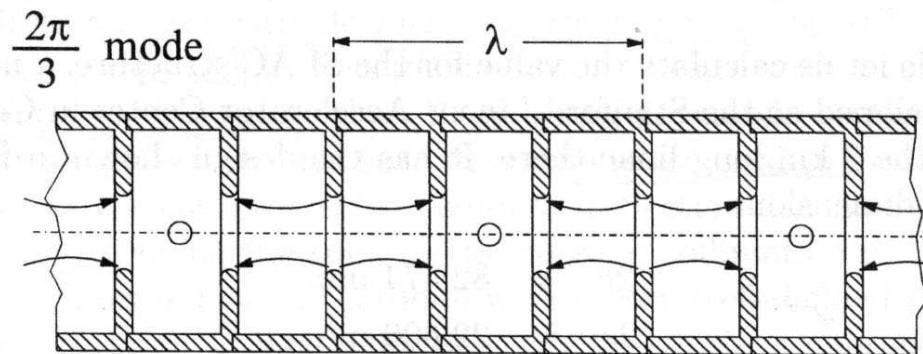
The iris size is chosen to let the phase velocity equal the particle velocity.



Long initial settling or filling time,  
not good for pulsed operation.



Small shunt impedance per length.



Common compromise.

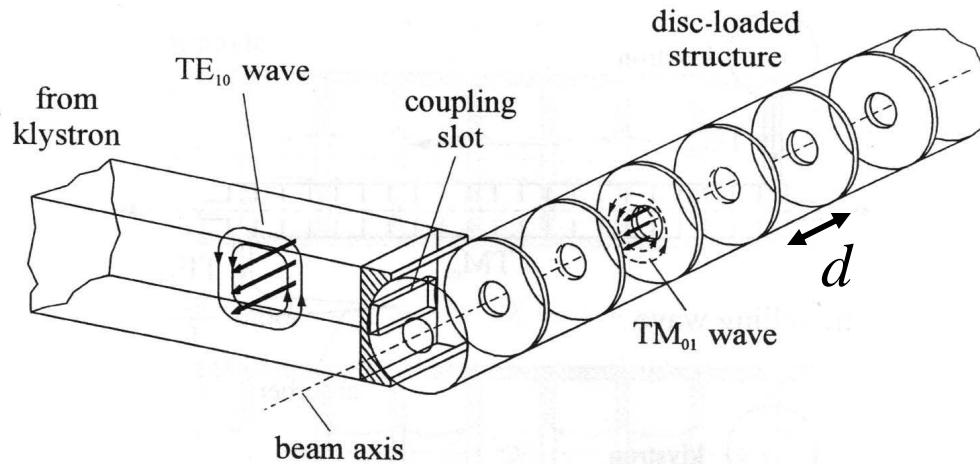


# Disc Loaded Waveguides



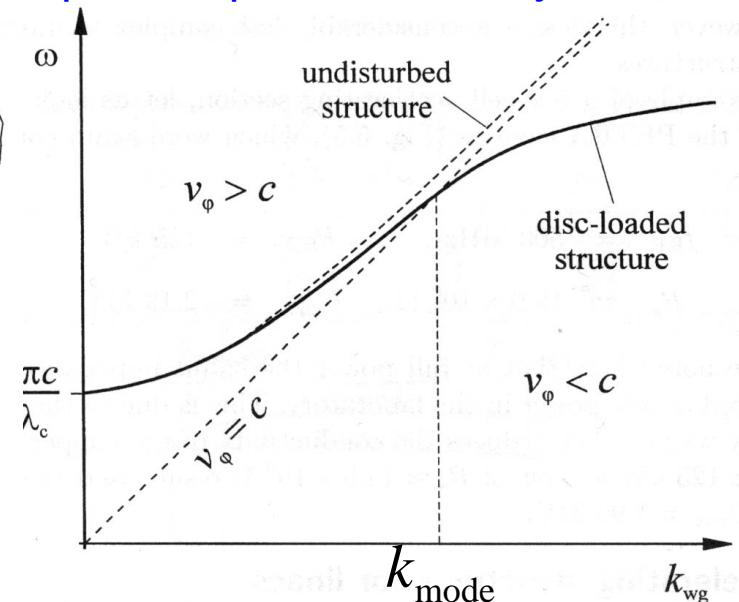
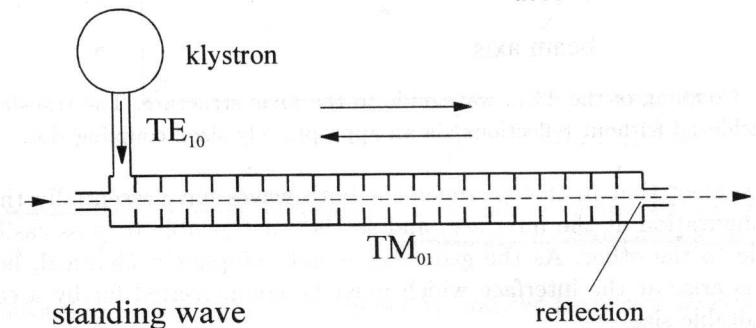
CHESS & LEPP

The iris size is chosen to let the phase velocity equal the particle velocity.

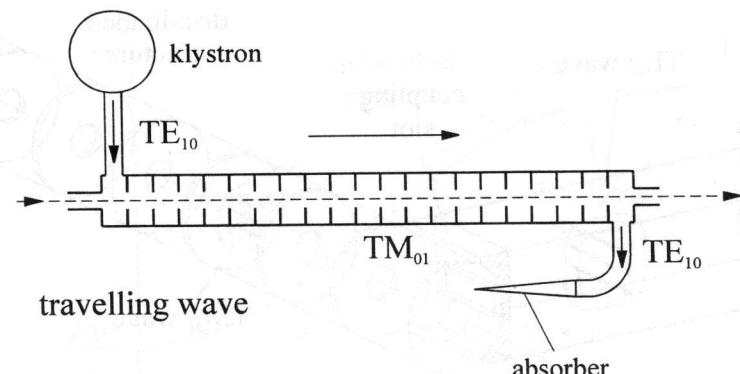


$$\text{Loss free propagation: } k = \frac{2\pi}{nd}$$

Standing wave cavity.



Traveling wave cavity (wave guide).





# Transport maps of cavities



CHESS & LEPP

$$(1) \text{ Linearization: } E_r(r, z, t) =_1 -\frac{r}{2} \partial_z E_z(0, z, t) \Rightarrow \vec{\nabla} \cdot \vec{E} = 0$$

$$B_\phi(r, z, t) =_1 \frac{1}{c^2} \frac{r}{2} \partial_t E_z(0, z, t) \Rightarrow \vec{\nabla} \times \vec{B} = \frac{1}{c^2} \partial_t \vec{E}$$

(2) Equation of motion:

$$a = \frac{p_x}{p_0}$$

High energy approximation  $\gamma^2 \ll 1$ :

$$\begin{aligned} a' &= \frac{1}{p_0 v} (F_x - a F_z) = -\frac{q}{p_0 v} \left[ \frac{r}{2} (\partial_z + \frac{v}{c^2} \partial_t) E_z + a E_z \right] \\ &= -\frac{q}{p_0 v} \left[ \frac{r}{2} \left( \frac{d}{dz} - \frac{1}{v} \left( 1 - \frac{v^2}{c^2} \right) \partial_t \right) E_z + a E_z \right] \approx -\frac{1}{p_0} \left[ r \frac{1}{2} p_0'' + a p_0' \right] \end{aligned}$$

$$u = r \sqrt{p} \quad p \text{ denotes } p_0 \text{ for simplicity}$$

$$u' = a \sqrt{p} + r \sqrt{p} \frac{p'}{2p}$$

Focusing !

$$u'' \approx -\frac{1}{\sqrt{p}} (r \frac{1}{2} p'' + a p') + a \sqrt{p} \frac{p'}{p} + r \left( \frac{p''}{2\sqrt{p}} - \frac{p'^2}{4\sqrt{p^3}} \right) = -u \left( \frac{p'}{2p} \right)^2$$



## Transport maps of cavities



(3) Average focusing over one period with relatively little energy change:

$$u'' \approx -u \frac{\Delta^2/4}{p^2}, \quad \Delta = \sqrt{\langle p'^2 \rangle}$$

(4) Continuous energy change:

$$p' \approx \Omega, \quad \Omega = \langle p' \rangle$$

$$\frac{d^2}{dp^2} u \approx \frac{1}{\Omega^2} u'' \approx -u \frac{(\Delta/\Omega)^2}{4p^2}$$

$$\frac{d^2}{dp^2} (r\sqrt{p}) = \frac{d^2}{dp^2} r\sqrt{p} + \frac{d}{dp} r \frac{1}{\sqrt{p}} - r \frac{1}{4\sqrt{p}^3} \approx -r \frac{(\Delta/\Omega)^2}{4\sqrt{p}^3}$$

$$\frac{d^2}{dp^2} r + \frac{d}{dp} r \frac{1}{p} \approx -r \frac{(\Delta/\Omega)^2 - 1}{4p^2} = -r \frac{\varepsilon^2}{p^2}$$

$$r(p) = \eta(-\ln(p)) \Rightarrow \frac{d^2}{dp^2} r = \frac{1}{p^2} \cancel{\eta'} + \frac{1}{p^2} \eta'' = \frac{1}{p^2} \cancel{\eta'} - \eta \frac{\varepsilon^2}{p^2}$$



# Transport maps of traveling wave cavities



CHESS & LEPP

$$\eta'' = -\varepsilon^2 \eta, \quad \eta(\xi) = A \cos(\varepsilon \xi) - B \sin(\varepsilon \xi)$$

$$\begin{pmatrix} r \\ a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(p)) & \sin(\varepsilon \ln(p)) \\ -\sin(\varepsilon \ln(p)) & \cos(\varepsilon \ln(p)) \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix}$$

$$\begin{pmatrix} r \\ a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(\frac{p}{p_i})) & \sin(\varepsilon \ln(\frac{p}{p_i})) \\ -\sin(\varepsilon \ln(\frac{p}{p_i})) & \cos(\varepsilon \ln(\frac{p}{p_i})) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\varepsilon} \frac{p_i}{p'} \end{pmatrix} \begin{pmatrix} r_i \\ a_i \end{pmatrix}$$

$$E_z = \sum_{n=0}^{\infty} g_n \cos(n \frac{2\pi}{L} z + \alpha_n) \cos(\omega t - kz + \varphi_0)$$

$$= \sum_{n=0}^{\infty} g_n \cos(n \frac{2\pi}{L} z + \alpha_n) \cos(\varphi_0), \quad \alpha_0 = 0$$

$$\left. \begin{aligned} \langle p' \rangle &= g_0 \cos(\varphi_0), \quad \langle p'^2 \rangle = \frac{1}{2} \sum_{n=0}^{\infty} g_n^2 \cos^2(\varphi_0) \end{aligned} \right\} \varepsilon = \frac{1}{2} \sqrt{\frac{1}{2} \sum_{n=0}^{\infty} \left( \frac{g_n}{g_0} \right)^2 - 1}$$



# Transport maps of standing wave cavities



CHESS & LEPP

$$\begin{pmatrix} r \\ a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(\frac{p}{p_i})) & \sin(\varepsilon \ln(\frac{p}{p_i})) \\ -\sin(\varepsilon \ln(\frac{p}{p_i})) & \cos(\varepsilon \ln(\frac{p}{p_i})) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\varepsilon} \frac{p_i}{p'} \end{pmatrix} \begin{pmatrix} r_i \\ a_i \end{pmatrix}$$

$$E_z = \sum_{n=-\infty}^{\infty} g_n e^{in\frac{2\pi}{L}z} \cos(kz) \cos(\omega t + \varphi_0) , \quad \omega t = kz , k = m \frac{\pi}{L} , \quad g_{-n} = g_n^*$$

$$= \frac{1}{4} \sum_{n=-\infty}^{\infty} g_n [e^{i(n+m)\frac{2\pi}{L}z + \varphi_0} + e^{i(n-m)\frac{2\pi}{L}z - \varphi_0} + e^{in\frac{2\pi}{L}z + \varphi_0} + e^{in\frac{2\pi}{L}z - \varphi_0}]$$

$$= \frac{1}{4} \sum_{n=-\infty}^{\infty} [g_{n-m} e^{i\varphi_0} + g_{n+m} e^{-i\varphi_0} + 2g_n \cos(\varphi_0)] e^{in\frac{2\pi}{L}z} = \sum_{n=-\infty}^{\infty} f_n e^{in\frac{2\pi}{L}z}$$

$$\left. \begin{aligned} \langle p' \rangle &= f_0 , \\ \langle p'^2 \rangle &= \sum_{n=0}^{\infty} |f_n|^2 \end{aligned} \right\} \mathcal{E} = \frac{1}{2} \sqrt{\sum_{n=0}^{\infty} \left| \frac{f_n}{f_0} \right|^2 - 1}$$



## Phase space preservation in cavities



CHESS & LEPP

Average focusing over one period with relatively little energy change:

$$\begin{pmatrix} r \\ a \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(\frac{p}{p_i})) & \sin(\varepsilon \ln(\frac{p}{p_i})) \\ -\sin(\varepsilon \ln(\frac{p}{p_i})) & \cos(\varepsilon \ln(\frac{p}{p_i})) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\varepsilon} \frac{p_i}{p'} \end{pmatrix}}_{\underline{M}} \begin{pmatrix} r_i \\ a_i \end{pmatrix}$$

$$\det(\underline{M}) = \frac{p_i}{p}$$

Because the determinant is not 1, the phase space volume is no longer conserved but changes by  $p_0/p$ .

A new propagation and definition of Twiss parameters is therefore needed:

$$r = \sqrt{2J \frac{1}{\beta_r \gamma_r} \beta} \sin(\psi + \phi_0)$$



$$\alpha = -\frac{1}{2} \beta', \quad \gamma = \frac{1+\alpha^2}{\beta}$$

$$a = r' = \sqrt{2J \frac{mc}{p}} \left[ -\frac{2\alpha + \beta \frac{p'}{p}}{2\sqrt{\beta}} \sin(\psi + \phi_0) + \frac{\beta \psi'}{\sqrt{\beta}} \cos(\psi + \phi_0) \right]$$

$$a' \approx -\frac{1}{p} [r(pK + \frac{1}{2} p'') + ap']$$

$$a' = -\sqrt{2J \frac{mc}{\beta p}} \begin{pmatrix} \frac{(\beta \psi')^2 + \alpha^2}{\beta} + \alpha' - \alpha \frac{p'}{p} + \beta \frac{p''}{2p} - \beta \frac{3p'^2}{4p^2} \\ 2\alpha \psi' + \beta \frac{p'}{p} \psi' - \beta \psi'' \end{pmatrix} \begin{pmatrix} \sin(\psi + \phi_0) \\ \cos(\psi + \phi_0) \end{pmatrix}$$

$$= -\sqrt{2J \frac{mc}{\beta p}} \begin{pmatrix} \beta(K + \frac{1}{2} \frac{p''}{p}) - (\alpha + \beta \frac{p'}{2p}) \frac{p'}{p} \\ \beta \frac{p'}{p} \psi' \end{pmatrix} \begin{pmatrix} \sin(\psi + \phi_0) \\ \cos(\psi + \phi_0) \end{pmatrix}$$

$$\Rightarrow \psi' = \frac{A}{\beta}, \text{ choice: } A = 1$$

$$\alpha' + \gamma = \beta \left[ K + \left( \frac{p'}{2p} \right)^2 \right]$$

$$\begin{pmatrix} r \\ a \end{pmatrix} = \sqrt{2J \frac{mc}{p}} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha + \beta \frac{p'}{2p}}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \sin(\psi + \phi_0) \\ \cos(\psi + \phi_0) \end{pmatrix}$$



## Beta functions in accelerating cavities



CHESS & LEPP

$$\begin{pmatrix} r \\ a \end{pmatrix} = \sqrt{2J_n \frac{mc}{p}} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\tilde{\alpha}}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \cos(\psi + \phi_0) \\ \sin(\psi + \phi_0) \end{pmatrix}, \quad \tilde{\alpha} = \alpha + \beta \frac{p}{2p}$$

For systems with changing energy one uses the normalized Courant-Snyder invariant  $J_n = J \beta_r \gamma_r$

$$(r \quad a) \begin{pmatrix} \frac{1}{\sqrt{\beta}} & \frac{\tilde{\alpha}}{\sqrt{\beta}} \\ 0 & \sqrt{\beta} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{\beta}} & 0 \\ \frac{\tilde{\alpha}}{\sqrt{\beta}} & \sqrt{\beta} \end{pmatrix} \begin{pmatrix} r \\ a \end{pmatrix}^{\frac{p}{2mc}} = (r \quad a) \begin{pmatrix} \frac{1+\tilde{\alpha}^2}{\beta} & \tilde{\alpha} \\ \tilde{\alpha} & \beta \end{pmatrix} \begin{pmatrix} r \\ a \end{pmatrix}^{\frac{p}{2mc}} = J_n$$

Reasons:

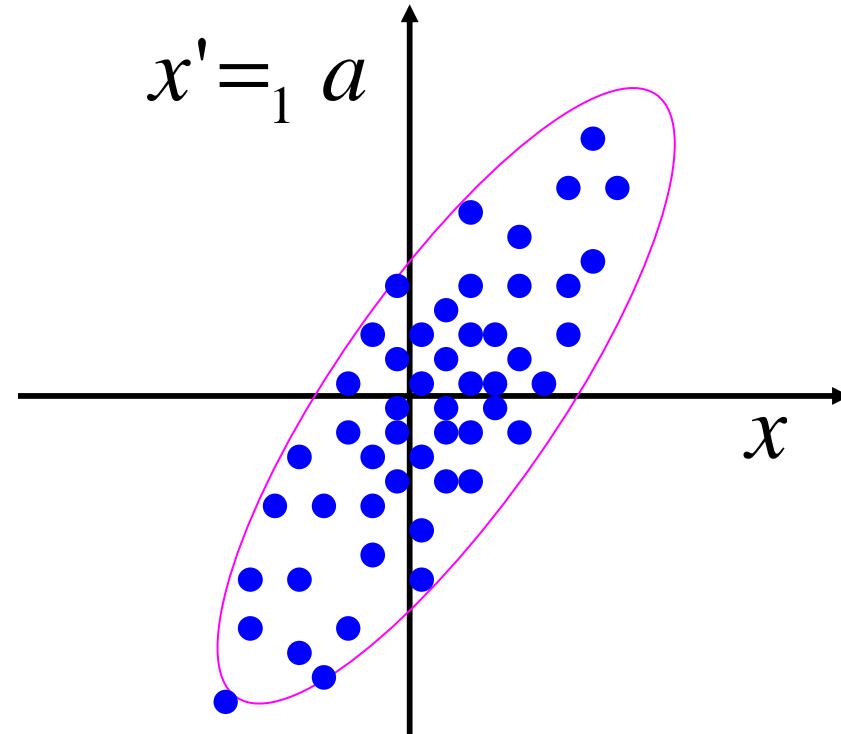
- (1)  $J$  is the phase space amplitude of a particle in  $(x, a)$  phase space, which is the area in phase space (over  $2p$ ) that its coordinate would circumscribe during many turns in a ring. However,  $a=p_x/p_0$  is not conserved when  $p_0$  changes in a cavity. Therefore  $J$  is not conserved.
- (2)  $J_n = J p_0/mc$  is therefore proportional to the corresponding area in  $(x, p_x)$  phase space, and is thus conserved.



## The normalized emittance



CHESS & LEPP



### Remarks:

- (1) The phase space area that a beam fills in  $(x, a)$  phase space shrinks during acceleration by the factor  $p_i/p$ . This area is the emittance  $\epsilon$ .
- (2) The phase space area that a beam fills in  $(x, p_x)$  phase space is conserved. This area (divided by  $mc$ ) is the normalized emittance  $\epsilon_n$ .

$$\epsilon = \frac{\epsilon_n}{\beta_r \gamma_r}$$