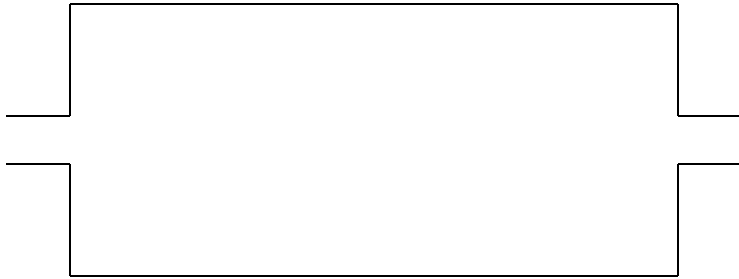




# Resonant Cavities



CHESS &amp; LEPP



TE Modes: Standing waves with nodes

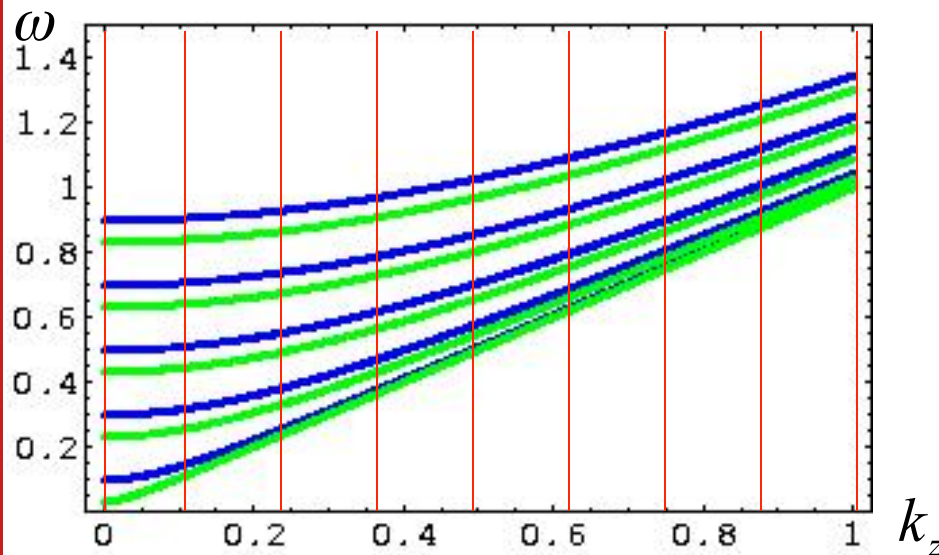
$$B_z(\vec{x}) \propto \sin(k_z z) \sin(\omega t), \quad k_z = \frac{l\pi}{L}$$

$$l > 0$$

TM Modes: Standing waves with nodes

$$E_z(\vec{x}) \propto \cos(k_z z) \cos(\omega t), \quad k_z = \frac{l\pi}{L}$$

$$l \geq 0$$



For each mode  $TE_{nm}$  or  $TM_{nm}$   
there is a discrete set of frequencies  
that can be excited.

$$\omega_{nm}^{(E/B)} = c \sqrt{k_{nm}^{(E/B)2} + \left(\frac{l\pi}{L}\right)^2}$$

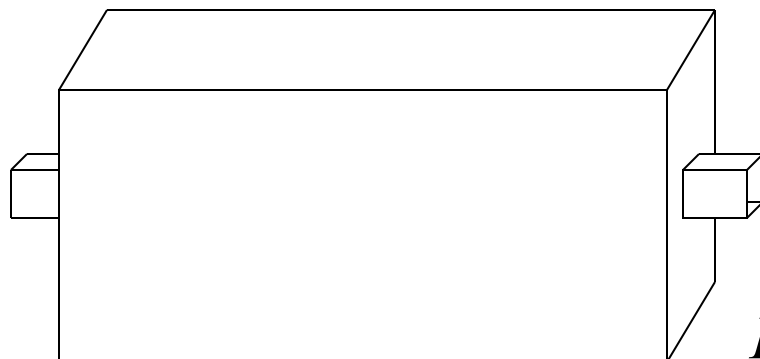


# Resonant Cavities Examples



CHESS &amp; LEPP

Rectangular cavity:

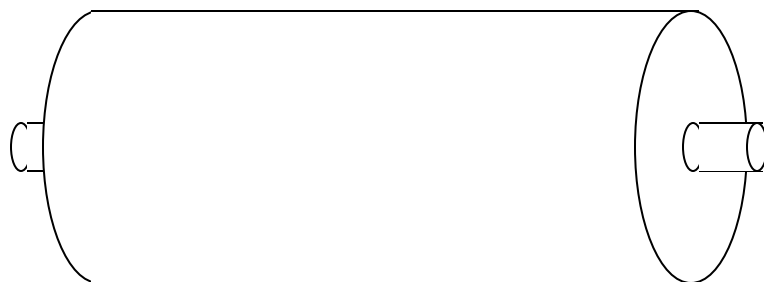


$$\omega_{nml}^{(E/B)} = c \sqrt{\left(\frac{n\pi}{L_x}\right)^2 + \left(\frac{m\pi}{L_y}\right)^2 + \left(\frac{l\pi}{L_z}\right)^2}$$

Fundamental acceleration mode:  $\omega_{110}^{(B)} = c \frac{\pi}{L} \sqrt{2}$

$$L_x = L_y = 21.2 \text{ cm} \Rightarrow f_{110}^{(B)} = 1.0 \text{ GHz}$$

Pill Box cavity:



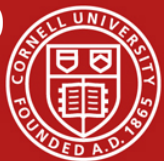
$$\omega_{nm}^{(E/B)} = c \sqrt{k_{nm}^{(E/B)2} + \left(\frac{l\pi}{L}\right)^2}$$

$k_{nm}^{(B)} r$  is the  $m^{\text{th}}$  0 of the  $n^{\text{th}}$  Bessel function.

$k_{nm}^{(E)} r$  is the  $m^{\text{th}}$  extremeum of  $J_n$

Fundamental acceleration mode:  $\omega_{010}^{(B)} = c \frac{2.40}{r}$

$$2r = 22.9 \text{ cm} \Rightarrow f_{010}^{(B)} = 1.0 \text{ GHz}$$



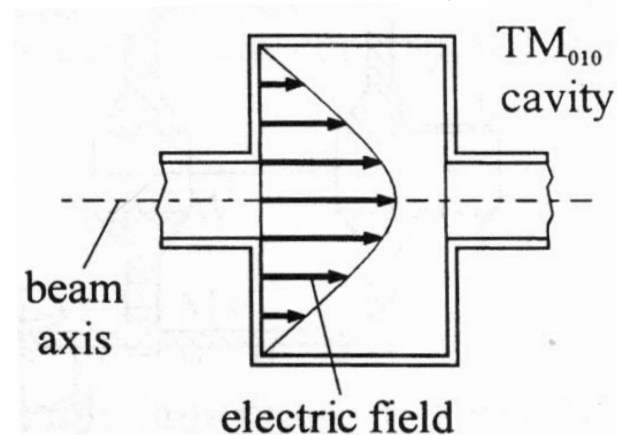
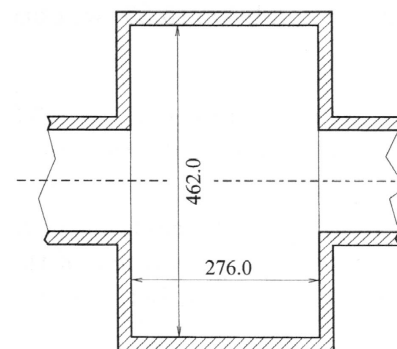
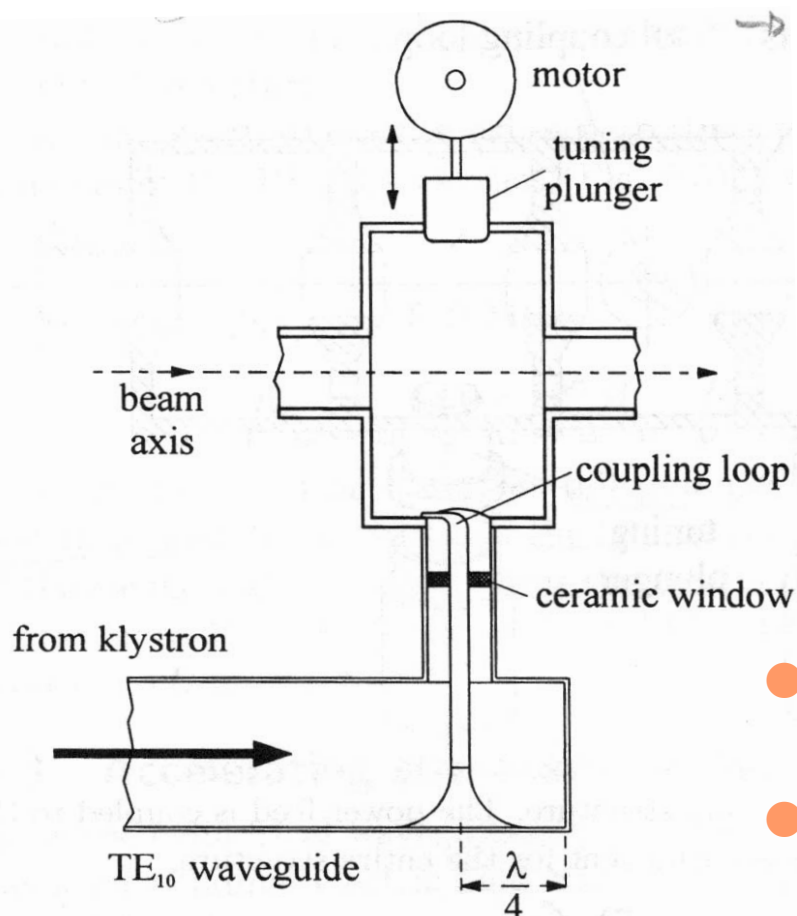
# Cavity Operation



CHESS &amp; LEPP

## 500MHz Cavity of DORIS:

$$r = 23.1\text{cm} \Rightarrow f_{010}^{(M)} = 0.4967\text{GHz}$$



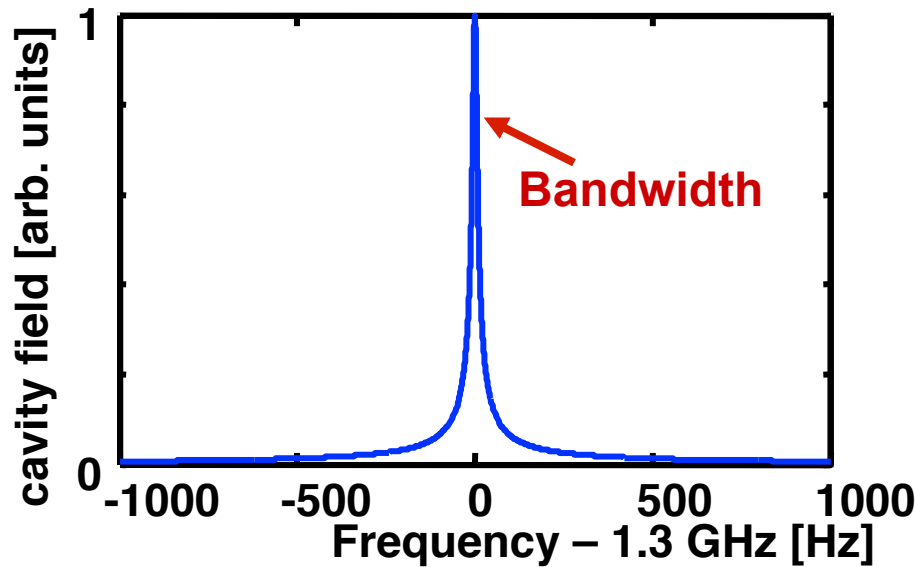
- The frequency is increased and tuned by a tuning plunger.
- An inductive coupling loop excites the magnetic field at the equator of the cavity.



## 3 dominant features of RF systems



CHESS & LEPP



$$I_{in} \Rightarrow V(\omega)$$

(1) The RF system has a resonant frequency  $\omega_0$

(2) The resonance curve has a characteristic width  $\Delta\omega = \frac{\omega_0}{2Q}$

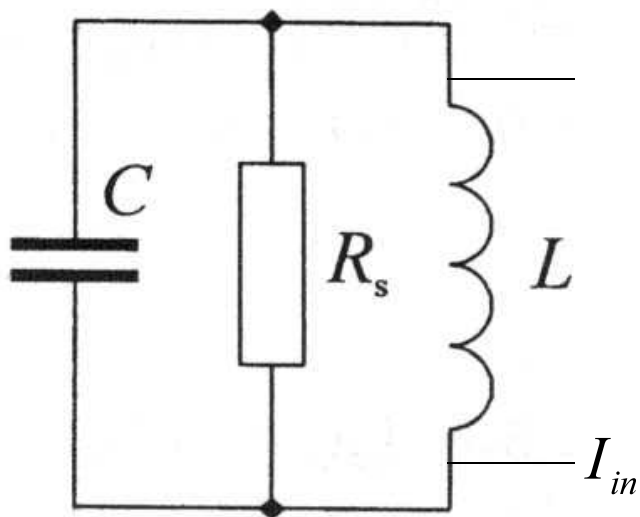
A resonant L/C/R circuit also has such characteristics



# RF systems for accelerators



CHESS &amp; LEPP



L and C: determined by the cavity geometry

$R_s$  : shunt impedance, related to surface res. R

$$I_{in} = \left( \frac{1}{R_s} + iC\omega + \frac{1}{iL\omega} \right) U_C$$

$$\hat{U}_C = \frac{1}{\sqrt{\frac{1}{R_s^2} + \left( \frac{1}{L\omega} - C\omega \right)^2}} \hat{I}_{in} \rightarrow \hat{U}_{Cres} = R_s \hat{I}_{in}$$

$$P_{RF} = \langle U_C I_{in} \rangle_t = \frac{1}{T} \int_0^T \text{Re} \left[ \left( \frac{1}{R_s} + iC\omega + \frac{1}{iL\omega} \right) U_C \right] \text{Re}[U_C] dt = \frac{1}{2} \frac{1}{R_s} \hat{U}_C^2$$

Quality factor:  $Q = 2\pi \frac{E}{\Delta E} = 2\pi \frac{\frac{1}{2} C U_C^2}{T P_{RF}} = \omega R_s C = R_s \sqrt{\frac{C}{L}}$

Geometry factor:  $\frac{R_s}{Q} = \sqrt{\frac{L}{C}}$



$$\begin{array}{lll}
 \vec{\nabla} \cdot \vec{E} = \frac{1}{\epsilon_0} \rho & \vec{E}' = \frac{1}{\alpha} \vec{E}(\alpha \vec{r}', \alpha t') & \vec{\nabla}' \cdot \vec{E}' = \frac{1}{\epsilon_0} \rho' \\
 \vec{\nabla} \times \vec{E} = -\partial_t \vec{B} & \vec{B}' = \frac{1}{\alpha} \vec{B}(\alpha \vec{r}', \alpha t') & \vec{\nabla}' \times \vec{E}' = -\partial_{t'} \vec{B}' \\
 \vec{\nabla} \cdot \vec{B} = 0 & \Rightarrow \rho' = \rho(\alpha \vec{r}', \alpha t') & \Rightarrow \vec{\nabla}' \cdot \vec{B}' = 0 \\
 \vec{\nabla} \times \vec{B} = \frac{1}{c^2} \left[ \frac{1}{\epsilon_0} \vec{j} + \partial_t \vec{E} \right] & \vec{j}' = \vec{j}(\alpha \vec{r}', \alpha t') & \vec{\nabla}' \times \vec{B}' = \frac{1}{c^2} \left[ \frac{1}{\epsilon_0} \vec{j}' + \partial_{t'} \vec{E}' \right]
 \end{array}$$

Reducing all sizes by **a**, letting the time pass **a** times faster, reducing all charges by **a**<sup>3</sup> and all currents by **a**<sup>2</sup> leads to fields that are **a** times smaller !

$$L = \frac{V}{I} = \frac{\alpha^2 V'}{\alpha I'} = \alpha L'$$

$$C = \frac{Q}{V} = \frac{\alpha^3 Q'}{\alpha^2 V'} = \alpha C'$$

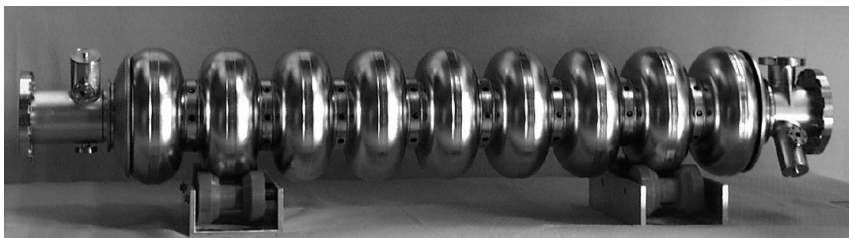
For any oscillating circuit  $\sqrt{\frac{L}{C}}$  is a size independent geometry factor !



# Superconducting Cavities



CHESS &amp; LEPP



$$Q = 10^{10}$$

$$E = 20\text{MV/m}$$



A bell with this  $Q$   
would ring for a year.

- Very low wall losses.
  - Therefore continuous operation is possible.
- ↓
- Energy recovery becomes possible.

## Normal conducting cavities

- Significant wall losses.
- Cannot operate continuously with appreciable fields.
- Energy recovery was therefore not possible.

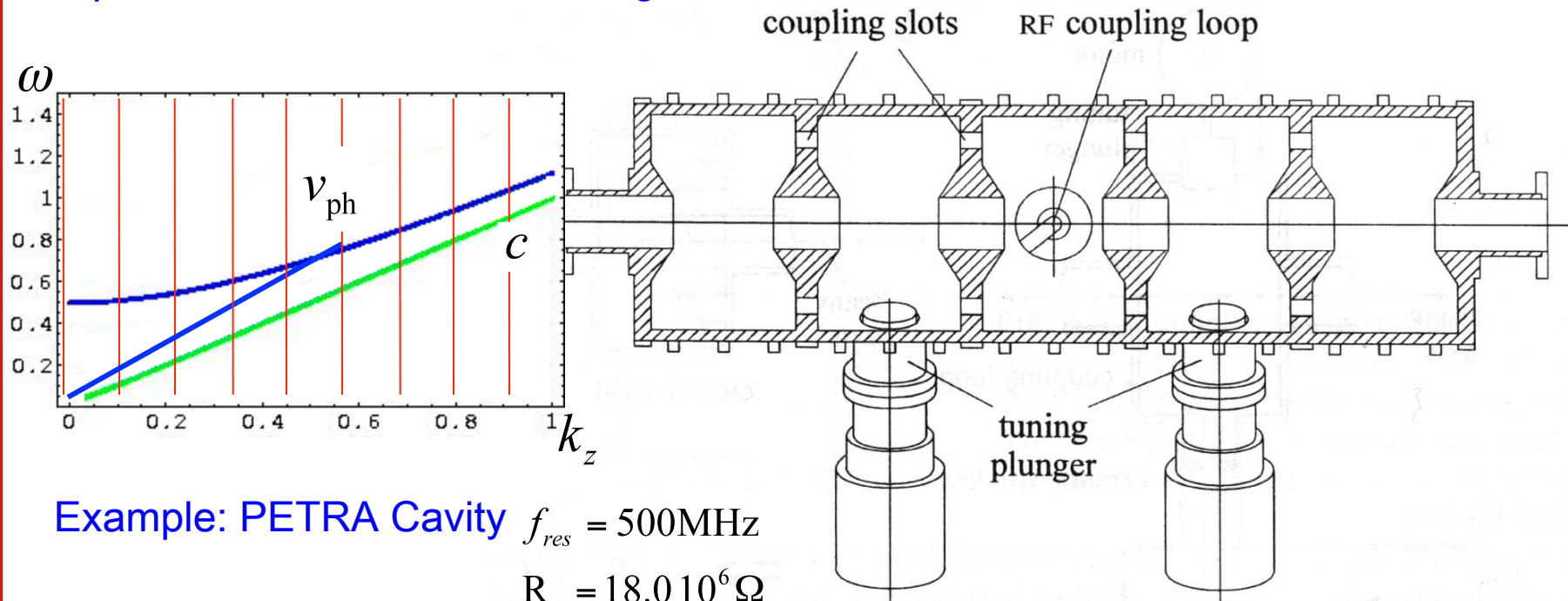


## Multicell standing-wave cavities



CHESS &amp; LEPP

The field in many cells can be excited by a single power source and a single input coupler in order to have the voltage of several cavities available.



Example: PETRA Cavity  $f_{res} = 500\text{MHz}$

$$R_s = 18.0 \cdot 10^6 \Omega$$

$$125\text{kW} \rightarrow 2.12\text{MV}$$

Without the walls: Long single cavity with too large wave velocity.  $v_{ph} = \frac{\omega}{k}$

Thick walls: shield the particles from regions with decelerating phase.



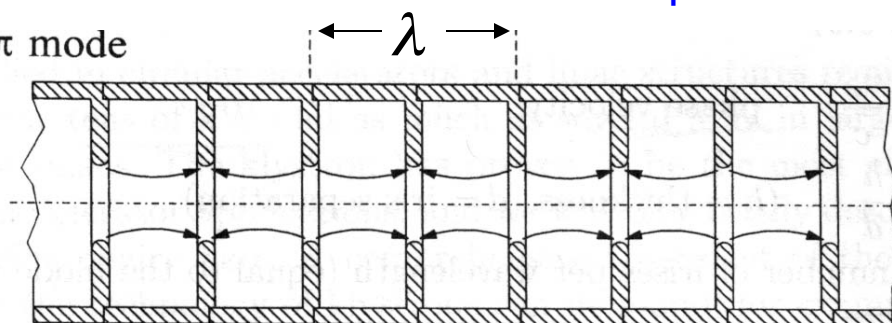
## Modes in Waveguides



CHESS & LEPP

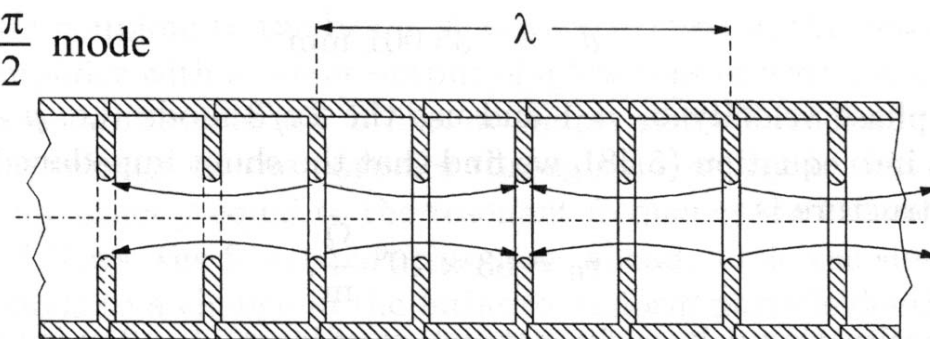
The iris size is chosen to let the phase velocity equal the particle velocity.

$\pi$  mode



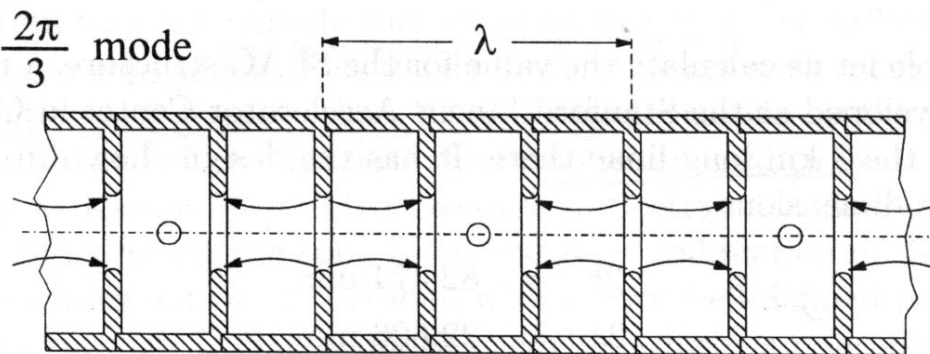
Long initial settling or filling time,  
not good for pulsed operation.

$\frac{\pi}{2}$  mode



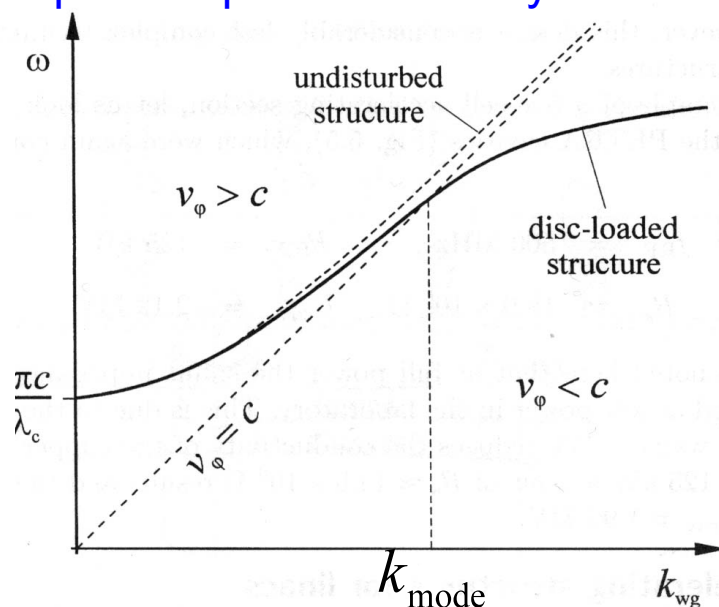
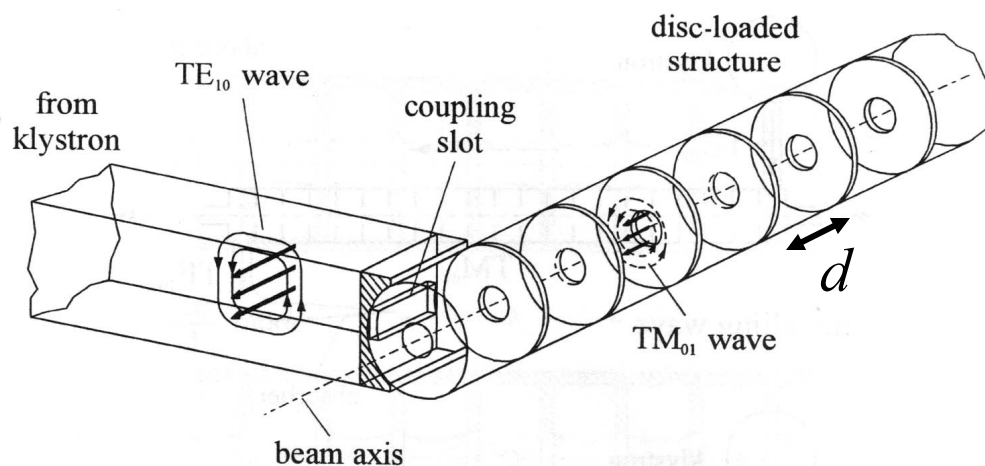
Small shunt impedance per length.

$\frac{2\pi}{3}$  mode



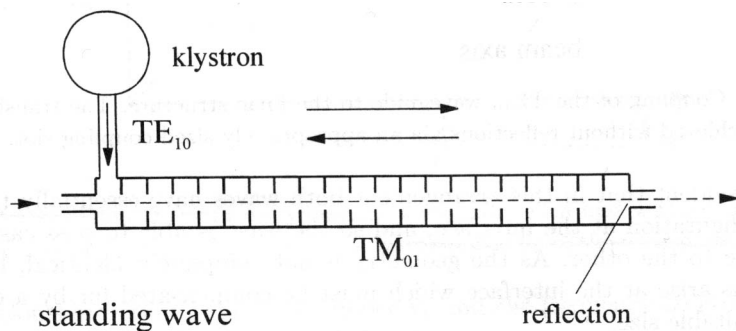
Common compromise.

The iris size is chosen to let the phase velocity equal the particle velocity.

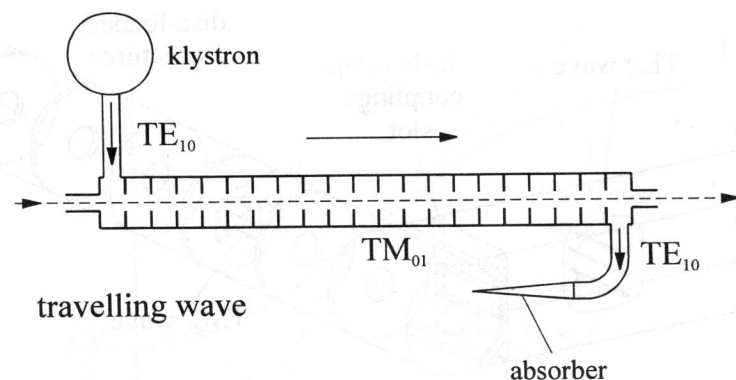


Loss free propagation:  $k = \frac{2\pi}{nd}$

Standing wave cavity.



Traveling wave cavity (wave guide).





# Transport maps of cavities



CHESS &amp; LEPP

(1) Linearization:  $E_r(r, z, t) = -\frac{r}{2} \partial_z E_z(0, z, t) \Rightarrow \vec{\nabla} \cdot \vec{E} = 0$

$$B_\varphi(r, z, t) = \frac{1}{c^2} \frac{r}{2} \partial_t E_z(0, z, t) \Rightarrow \vec{\nabla} \times \vec{B} = \frac{1}{c^2} \partial_t \vec{E}$$

(2) Equation of motion:

$$a = \frac{p_x}{p_0}$$

Exact for a traveling wave with  $w/k = v$

because of:  $\frac{d}{dz} \cos(\omega t - kz + \varphi_0) f(z) = \frac{d}{dz} f(z)$

$$a' = \frac{1}{p_0 v} (F_x - a F_z) = -\frac{q}{p_0 v} \left[ \frac{r}{2} \left( \partial_z + \frac{v}{c^2} \partial_t \right) E_z + a E_z \right]$$

$$= -\frac{q}{p_0 v} \left[ \frac{r}{2} \left( \frac{d}{dz} - \frac{1}{v} \left( 1 - \frac{v^2}{c^2} \right) \partial_t \right) E_z + a E_z \right] \approx -\frac{1}{p_0} \left[ r \frac{1}{2} p_0'' + a p_0' \right]$$

$$u = r \sqrt{p}$$

$p$  denotes  $p_0$  for simplicity

$$u' = a \sqrt{p} + r \sqrt{p} \frac{p'}{2p}$$

Focusing !

$$u'' \approx -\frac{1}{\sqrt{p}} \left( r \frac{1}{2} p'' + a p' \right) + a \sqrt{p} \frac{p'}{p} + r \left( \frac{p''}{2\sqrt{p}} - \frac{p'}{4\sqrt{p}^3} \right) = -u \left( \frac{p'}{2p} \right)$$



(3) Average focusing over one period with relatively little energy change:

$$u'' \approx -u \frac{\Delta^2/4}{p^2}, \quad \Delta = \sqrt{\langle p'^2 \rangle}$$

(4) Continuous energy change:

$$p' \approx \Omega, \quad \Omega = \langle p' \rangle$$

$$\frac{d^2}{dp^2} u \approx \frac{1}{\Omega^2} u'' \approx -u \frac{(\Delta/\Omega)^2}{4p^2}$$

$$\frac{d^2}{dp^2} (r \sqrt{p}) = \frac{d^2}{dp^2} r \sqrt{p} + \frac{d}{dp} r \frac{1}{\sqrt{p}} - r \frac{1}{4\sqrt{p}^3} \approx -r \frac{(\Delta/\Omega)^2}{4\sqrt{p}^3}$$

$$\frac{d^2}{dp^2} r + \frac{d}{dp} r \frac{1}{p} \approx -r \frac{(\Delta/\Omega)^2 - 1}{4p^2} = -r \frac{\varepsilon^2}{p^2}$$

$$r(p) = \eta(-\ln(p)) \quad \Rightarrow \quad \frac{d^2}{dp^2} r = \frac{1}{p^2} \eta' + \frac{1}{p^2} \eta'' = \frac{1}{p^2} \eta' - \eta \frac{\varepsilon^2}{p^2}$$



# Transport maps of traveling wave cavities



CHESS &amp; LEPP

$$\eta'' = -\varepsilon^2 \eta, \quad \eta(\xi) = A \cos(\varepsilon \xi) - B \sin(\varepsilon \xi)$$

$$\begin{pmatrix} r \\ a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(p)) & \sin(\varepsilon \ln(p)) \\ -\sin(\varepsilon \ln(p)) & \cos(\varepsilon \ln(p)) \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix}$$

$$\begin{pmatrix} r \\ a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(\frac{p}{p_i})) & \sin(\varepsilon \ln(\frac{p}{p_i})) \\ -\sin(\varepsilon \ln(\frac{p}{p_i})) & \cos(\varepsilon \ln(\frac{p}{p_i})) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\varepsilon} \frac{p_i}{p'} \end{pmatrix} \begin{pmatrix} r_i \\ a_i \end{pmatrix}$$

$$E_z = \sum_{n=0}^{\infty} g_n \cos(n \frac{2\pi}{L} z + \alpha_n) \cos(\omega t - kz + \varphi_0)$$

$$= \sum_{n=0}^{\infty} g_n \cos(n \frac{2\pi}{L} z + \alpha_n) \cos(\varphi_0), \quad \alpha_0 = 0$$

$$\langle p' \rangle = g_0 \cos(\varphi_0), \quad \langle p'^2 \rangle = \frac{1}{2} \sum_{n=0}^{\infty} g_n^2 \cos^2(\varphi_0) \Big\} \varepsilon = \frac{1}{2} \sqrt{\frac{1}{2} \sum_{n=0}^{\infty} \left( \frac{g_n}{g_0} \right)^2} - 1$$



# Transport maps of standing wave cavities



CHESS &amp; LEPP

$$\begin{pmatrix} r \\ a \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(\frac{p}{p_i})) & \sin(\varepsilon \ln(\frac{p}{p_i})) \\ -\sin(\varepsilon \ln(\frac{p}{p_i})) & \cos(\varepsilon \ln(\frac{p}{p_i})) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\varepsilon} \frac{p_i}{p'} \end{pmatrix} \begin{pmatrix} r_i \\ a_i \end{pmatrix}$$

$$E_z = \sum_{n=-\infty}^{\infty} g_n e^{in\frac{2\pi}{L}z} \cos(kz) \cos(\omega t + \varphi_0) , \quad \omega t = kz , k = m \frac{\pi}{L} , \quad g_{-n} = g_n^*$$

$$= \frac{1}{4} \sum_{n=-\infty}^{\infty} g_n [e^{i(n+m)\frac{2\pi}{L}z + \varphi_0} + e^{i(n-m)\frac{2\pi}{L}z - \varphi_0} + e^{in\frac{2\pi}{L}z + \varphi_0} + e^{in\frac{2\pi}{L}z - \varphi_0}]$$

$$= \frac{1}{4} \sum_{n=-\infty}^{\infty} [g_{n-m} e^{i\varphi_0} + g_{n+m} e^{-i\varphi_0} + 2g_n \cos(\varphi_0)] e^{in\frac{2\pi}{L}z} = \sum_{n=-\infty}^{\infty} f_n e^{in\frac{2\pi}{L}z}$$

$$\left. \begin{aligned} \langle p' \rangle &= f_0 , \\ \langle p'^2 \rangle &= \sum_{n=0}^{\infty} |f_n|^2 \end{aligned} \right\} \varepsilon = \frac{1}{2} \sqrt{\sum_{n=0}^{\infty} \left| \frac{f_n}{f_0} \right|^2} - 1$$



# Phase space precervation in cavities



CHESS &amp; LEPP

Average focusing over one period with relatively little energy change:

$$\begin{pmatrix} r \\ a \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & \varepsilon \frac{p'}{p} \end{pmatrix} \begin{pmatrix} \cos(\varepsilon \ln(\frac{p}{p_i})) & \sin(\varepsilon \ln(\frac{p}{p_i})) \\ -\sin(\varepsilon \ln(\frac{p}{p_i})) & \cos(\varepsilon \ln(\frac{p}{p_i})) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\varepsilon} \frac{p_i}{p'} \end{pmatrix}}_{\underline{M}} \begin{pmatrix} r_i \\ a_i \end{pmatrix}$$

$$\det(\underline{M}) = \frac{p_i}{p}$$

Because the determinant is not 1, the phase space volume is no longer conserved but changes by  $p_0/p$ .

A new propagation and definition of Twiss parameters is therefore needed:

$$r = \sqrt{2J \frac{1}{\beta_r \gamma_r}} \beta \sin(\psi + \phi_0)$$



# Twiss parameters in accelerating cavities



CHESS &amp; LEPP

$$\alpha = -\frac{1}{2}\beta', \quad \gamma = \frac{1+\alpha^2}{\beta}$$

$$a = r' = \sqrt{2J \frac{mc}{p}} \left[ -\frac{2\alpha + \beta \frac{p'}{p}}{2\sqrt{\beta}} \sin(\psi + \phi_0) + \frac{\beta \psi'}{\sqrt{\beta}} \cos(\psi + \phi_0) \right]$$

$$a' \approx -\frac{1}{p} \left[ r(pK + \frac{1}{2} p'') + ap' \right]$$

$$a' = -\sqrt{2J \frac{mc}{\beta p}} \begin{pmatrix} \frac{(\beta \psi')^2 + \alpha^2}{\beta} + \alpha' - \alpha \frac{p'}{p} + \beta \frac{p''}{2p} - \beta \frac{3p'^2}{4p^2} \\ 2\alpha \psi' + \beta \frac{p'}{p} \psi' - \beta \psi'' \end{pmatrix} \begin{pmatrix} \sin(\psi + \phi_0) \\ \cos(\psi + \phi_0) \end{pmatrix}$$

$$= -\sqrt{2J \frac{mc}{\beta p}} \begin{pmatrix} \beta(K + \frac{1}{2} \frac{p''}{p}) - (\alpha + \beta \frac{p'}{2p}) \frac{p'}{p} \\ \beta \frac{p'}{p} \psi' \end{pmatrix} \begin{pmatrix} \sin(\psi + \phi_0) \\ \cos(\psi + \phi_0) \end{pmatrix}$$

$$\Rightarrow \psi' = \frac{A}{\beta}, \text{ choice: } A = 1 \left\{ \begin{pmatrix} r \\ a \end{pmatrix} = \sqrt{2J \frac{mc}{p}} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha + \beta \frac{p'}{2p}}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \sin(\psi + \phi_0) \\ \cos(\psi + \phi_0) \end{pmatrix} \right.$$

$$\left. \alpha' + \gamma = \beta \left[ K + \left( \frac{p'}{2p} \right)^2 \right] \right\}$$



## Beta functions in accelerating cavities



CHESS &amp; LEPP

$$\begin{pmatrix} r \\ a \end{pmatrix} = \sqrt{2J_n \frac{mc}{p}} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\tilde{\alpha}}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \cos(\psi + \phi_0) \\ \sin(\psi + \phi_0) \end{pmatrix}, \quad \tilde{\alpha} = \alpha + \beta \frac{p'}{2p}$$

For systems with changing energy one uses the normalized Courant-Snyder invariant  $J_n = J \mathbf{b}_r \mathbf{g}_r$

$$\begin{pmatrix} r & a \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{\beta}} & \frac{\tilde{\alpha}}{\sqrt{\beta}} \\ 0 & \sqrt{\beta} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{\beta}} & 0 \\ \frac{\tilde{\alpha}}{\sqrt{\beta}} & \sqrt{\beta} \end{pmatrix} \begin{pmatrix} r \\ a \end{pmatrix} \frac{p}{2mc} = \begin{pmatrix} r & a \end{pmatrix} \begin{pmatrix} \frac{1+\tilde{\alpha}^2}{\beta} & \tilde{\alpha} \\ \tilde{\alpha} & \beta \end{pmatrix} \begin{pmatrix} r \\ a \end{pmatrix} \frac{p}{2mc} = J_n$$

### Reasons:

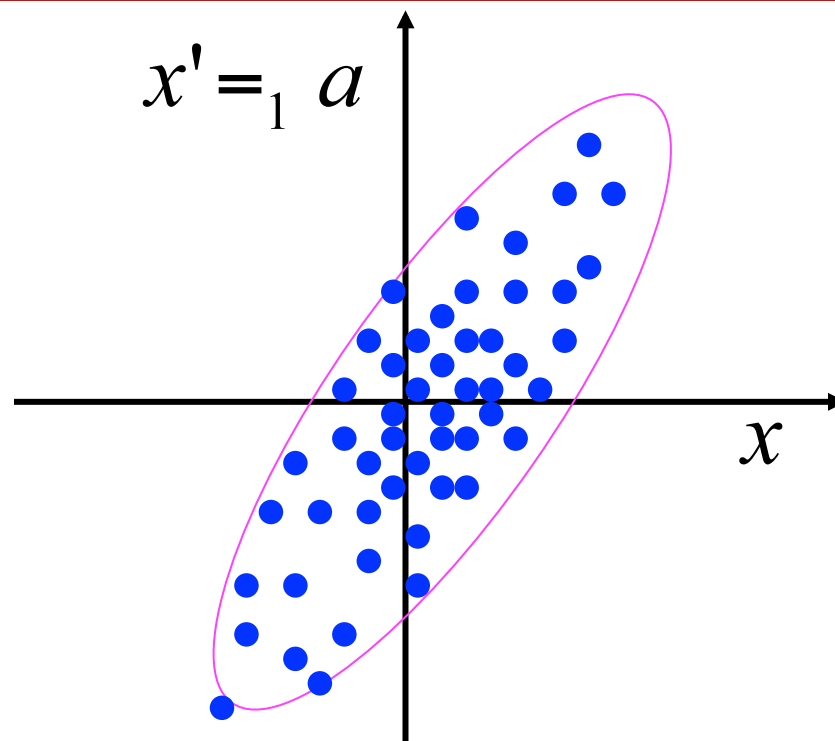
- (1)  $J$  is the phase space amplitude of a particle in  $(x, a)$  phase space, which is the area in phase space (over  $2p$ ) that its coordinate would circumscribe during many turns in a ring. However,  $a = p_x/p_0$  is not conserved when  $p_0$  changes in a cavity. Therefore  $J$  is not conserved.
- (2)  $J_n = J p_0/mc$  is therefore proportional to the corresponding area in  $(x, p_x)$  phase space, and is thus conserved.



## The normalized emittance



CHESS & LEPP



### Remarks:

- (1) The phase space area that a beam fills in  $(x, a)$  phase space shrinks during acceleration by the factor  $p_i/p$ . This area is the emittance  $e$ .
- (2) The phase space area that a beam fills in  $(x, p_x)$  phase space is conserved. This area (divided by  $mc$ ) is the normalized emittance  $e_n$ .

$$\varepsilon = \frac{\varepsilon_n}{\beta_r \gamma_r}$$