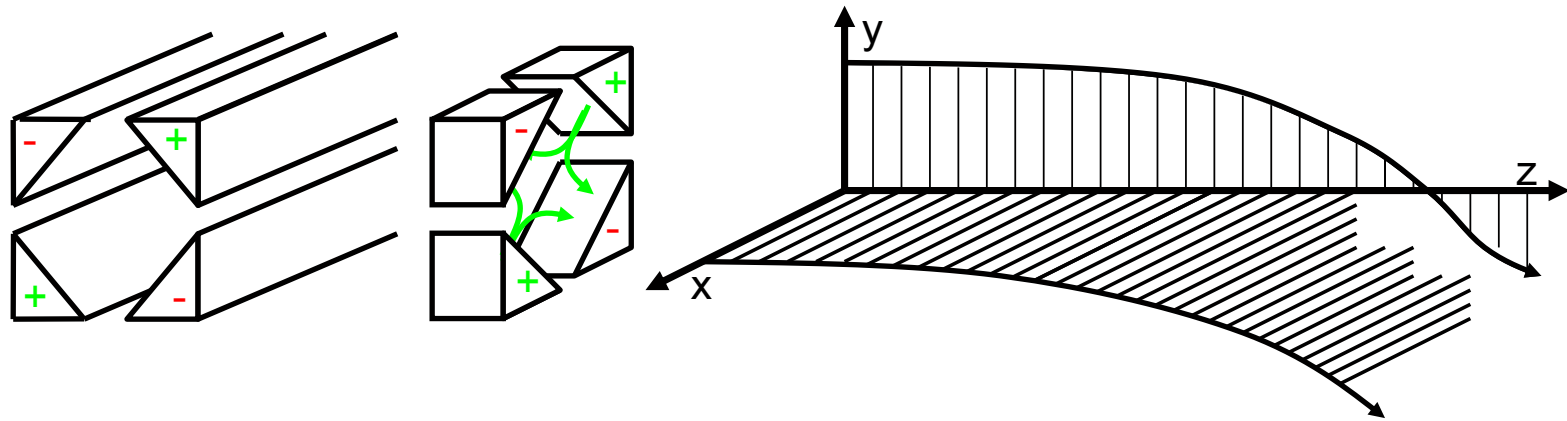


# Quadrupole Focusing

Magnetic fields can be computed from magnetic scalar potentials, because  $\vec{\nabla} \times \vec{B} = 0$ :  $\vec{B} = -\vec{\nabla}\psi$



In a **quadrupole** particles are focused in one plane and defocused in the other plane. Other modes of **strong focusing** are not possible.

The field is

- 1) zero in the center.
- 2) Increases linearly in x and y.
- 3) When x and y motion is not coupled,  $B_x$  depends only on y and y depends only on x.

$$\vec{B} = -\vec{\nabla}\psi = -\vec{\nabla} \psi_2 x y = -\psi_2 \begin{pmatrix} y \\ x \end{pmatrix}$$



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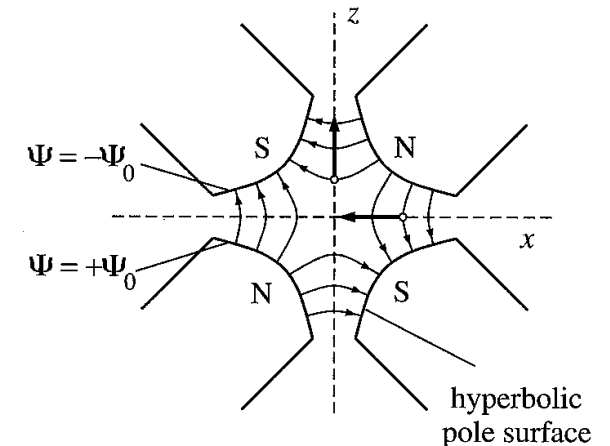
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# Linearized equations of motion in quadrupoles

Equation of motion in x and y from the Lorence Force equation  $\frac{d\vec{p}}{dt} = q\vec{v} \times \vec{B}$  for

- (1) Transverse magnetic fields:  $\frac{d\vec{p}}{dt} = q\vec{v} \times \vec{B}$
- (2) Linearization for small coordinates
- (3) No coupling of x-motion to y motion
- (4) Straight motion through the center of the magnetic element



$$m_0\gamma \begin{pmatrix} \ddot{x} \\ \ddot{y} \end{pmatrix} = q \begin{pmatrix} -\dot{z}B_y \\ \dot{z}B_x \end{pmatrix} \text{ using } \frac{d}{dt} = \dot{z} \frac{d}{dz} \text{ this leads to } \begin{pmatrix} x'' \\ y'' \end{pmatrix} = \frac{q}{m_0\gamma\dot{z}} \begin{pmatrix} -x\partial_x B_y \\ y\partial_y B_x \end{pmatrix} = -\frac{q}{p_0} \psi_2 \begin{pmatrix} -x \\ y \end{pmatrix}$$

$$\begin{aligned} x'' &= -k x \\ y'' &= k y \end{aligned}$$

For positive k, focusing in x and defocusing in y.



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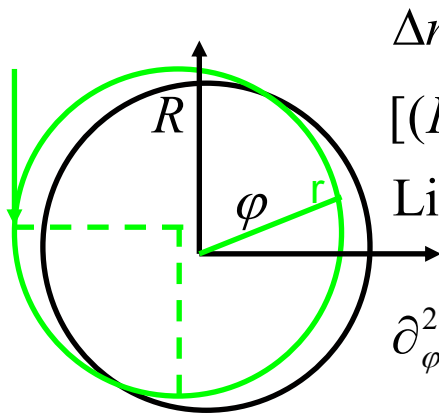
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# Linearized motion in a bend

$$\rho = \frac{p}{qB}$$

Weak focusing from natural ring focusing:



$$\Delta r = r - R$$

$$[(R + \Delta r) \cos \varphi - \Delta x_0]^2 + [(R + \Delta r) \sin \varphi - \Delta y_0]^2 = R^2$$

$$\text{Linearization in } \Delta: \Delta r = (\cos \varphi \Delta x_0 + \sin \varphi \Delta y_0)$$

$$\partial_\varphi^2 \Delta r = -\Delta r \Rightarrow \Delta \ddot{r} = -\dot{\varphi}^2 \Delta r = -\left(\frac{v}{\rho}\right)^2 \Delta r = -\left(\frac{qB}{m\gamma}\right)^2 \Delta r$$

$$\frac{d^2 \Delta r}{ds^2} = \frac{d^2 \Delta r}{dt^2} \frac{1}{v^2} = -\frac{1}{\rho^2} \Delta r$$

Focusing strength:  $\frac{1}{\rho^2}$

Linear equation of motion for  
bends and quadrupoles:

$$\begin{aligned} x'' &= -(k + \frac{1}{\rho^2}) x \\ y'' &= ky \end{aligned}$$



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# Betatron formalism for linear motion

$$\begin{aligned} x'' &= -x K \\ y'' &= y k \end{aligned}$$

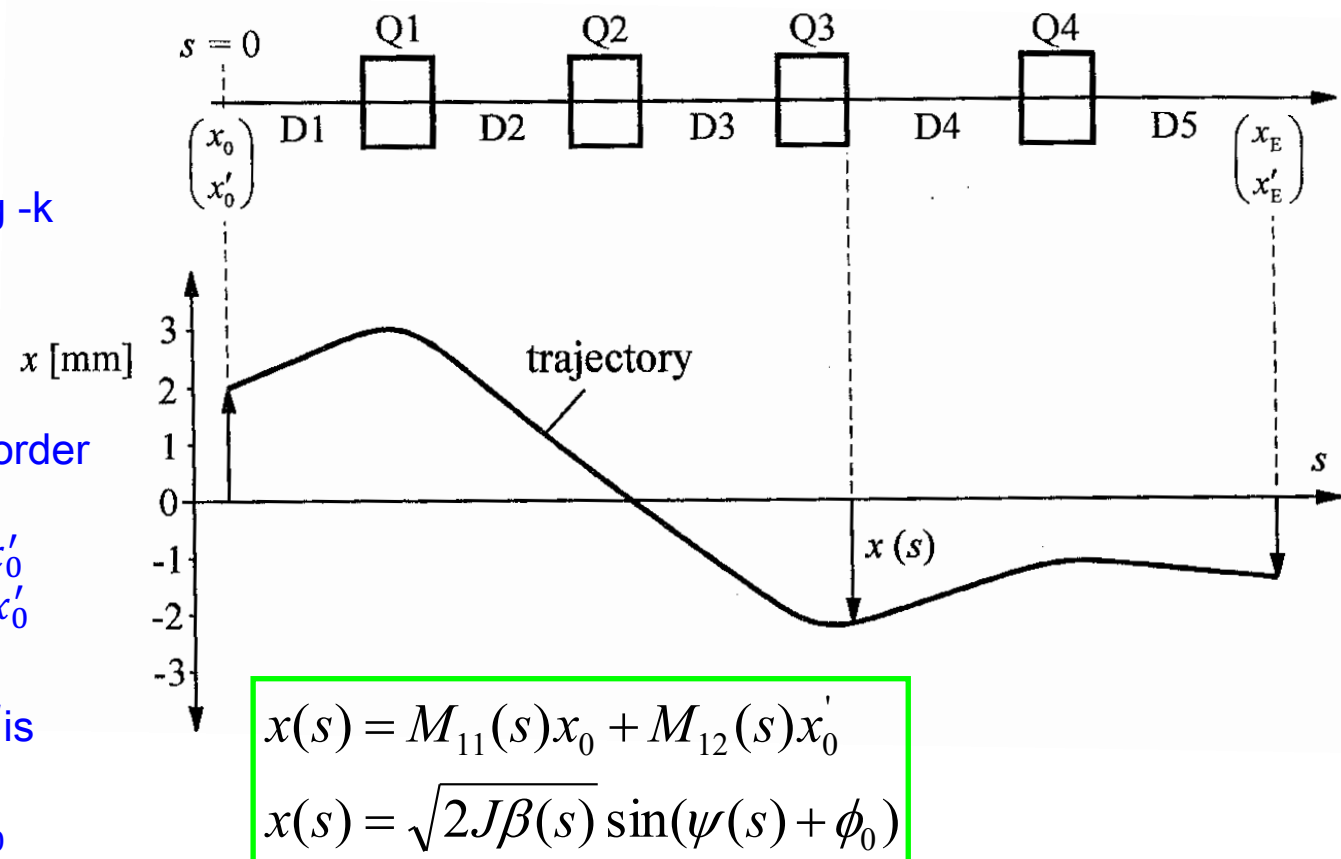
In y: quadrupole defocusing -k

In x:  $K = k + \frac{1}{\rho^2}$

General solution of the 2<sup>nd</sup> order linear equation of motion:

$$\begin{aligned} x(s) &= M_{11}(s)x_0 + M_{12}(s)x'_0 \\ x'(s) &= M_{21}(s)x_0 + M_{22}(s)x'_0 \end{aligned}$$

The vector  $\vec{z} = (x, x', y, y')^T$  is transported by a matrix multiplication  $\vec{z}(s) = \underline{M}(s)\vec{z}_0$



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# Twiss parameters

$$x'' = -k x$$

$$x(s) = \sqrt{2J\beta(s)} \sin(\psi(s) + \phi_0)$$

$$x'(s) = \sqrt{\frac{2J}{\beta}} [\beta\psi' \cos(\psi(s) + \phi_0) - \alpha \sin(\psi(s) + \phi_0)] \quad \text{with} \quad \alpha = -\frac{1}{2} \beta'$$

$$\begin{aligned} x''(s) &= \sqrt{\frac{2J}{\beta}} [(\beta\psi'' - 2\alpha\psi') \cos(\psi(s) + \phi_0) - (\alpha' + \frac{\alpha^2}{\beta} + \beta\psi'^2) \sin(\psi(s) + \phi_0)] \\ &= \sqrt{\frac{2J}{\beta}} [-k\beta \sin(\psi(s) + \phi_0)] \end{aligned}$$

$$\beta\psi'' - 2\alpha\psi' = \beta\psi'' + \beta'\psi' = (\beta\psi')' = 0 \quad \Rightarrow \quad \psi' = \frac{1}{\beta}$$

$$\alpha' + \gamma = k\beta \quad \text{with} \quad \underline{\gamma = \frac{I^2 + \alpha^2}{\beta}} \quad \text{Universal choice: } I=1!$$

$\alpha, \beta, \gamma, \psi$  are called  
Twiss parameters.

$$\beta' = -2\alpha$$

$$\alpha' = k\beta - \gamma$$

$$\psi = \int_0^s \frac{1}{\beta(s')} ds'$$

What are the  
initial conditions?



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# The phase ellipse

Particles with a common  $J$  and different  $\phi$  all lie on an ellipse in phase space:

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \sqrt{2J} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \sin(\psi(s) + \phi_0) \\ \cos(\psi(s) + \phi_0) \end{pmatrix}$$

(Linear transform of a circle)

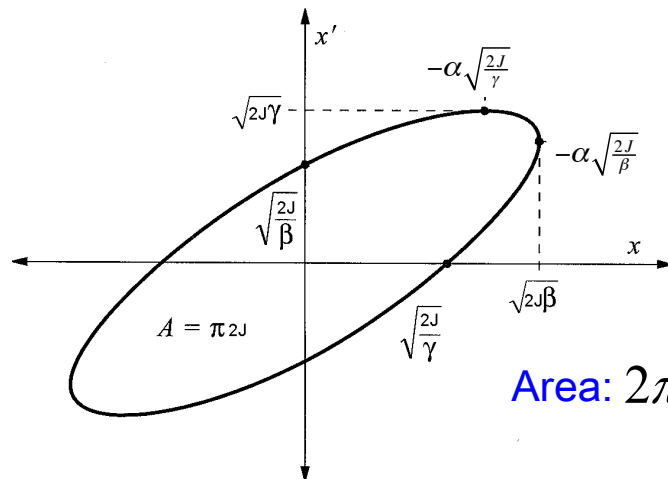
$$x_{\max} = \sqrt{2J\beta} \text{ at } x' = -\alpha\sqrt{\frac{2J}{\beta}}$$

$$(x, x') \begin{pmatrix} \frac{1}{\sqrt{\beta}} & \frac{\alpha}{\sqrt{\beta}} \\ 0 & \sqrt{\beta} \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{\beta}} & 0 \\ \frac{\alpha}{\sqrt{\beta}} & \sqrt{\beta} \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix} = (x, x') \begin{pmatrix} \gamma & \alpha \\ \alpha & \beta \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix} = 2J$$

(Quadratic form)

$$\beta\gamma - \alpha^2 = I^2$$

$$\text{Area: } 2\pi J / I$$



$I=1$  is therefore a useful choice!

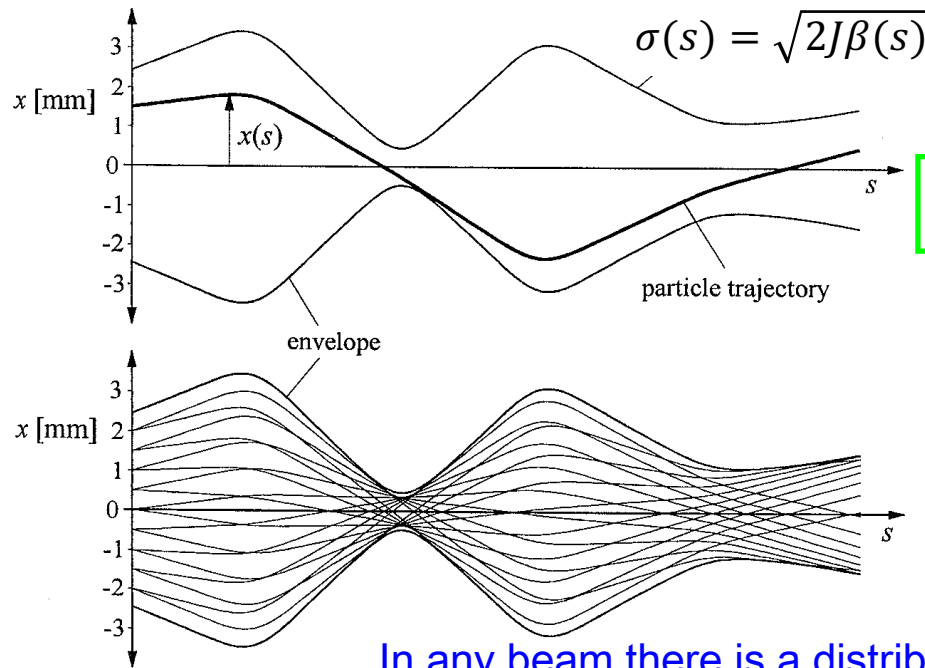
What  $\beta$  is for  $x$ ,  $\gamma$  is for  $x'$

$$x'_{\max} = \sqrt{2J\gamma} \text{ at } x = -\alpha\sqrt{\frac{2J}{\gamma}}$$

$$\text{Area: } 2\pi J \longrightarrow \int_0^{2\pi} \int_0^J dJ d\phi = 2\pi J = \iint dx dx'$$



# The beam envelope



$$x(s) = \sqrt{2J\beta(s)} \sin(\psi(s) + \phi_0)$$

$$\sigma' = -\alpha \sqrt{\frac{2J}{\beta}}$$

$$\sigma'' = -(k\beta - \gamma) \sqrt{\frac{2J}{\beta}} - \alpha^2 \sqrt{\frac{2J}{\beta^3}}$$

$$\sigma'' = -(k\beta^2 - 1) \sqrt{\frac{2J}{\beta^3}}$$

In any beam there is a distribution of initial parameters. If the particles with the largest  $J$  are distributed in  $\phi$  over all angles, then the envelope of the beam is described by  $\sigma = \sqrt{2J_{max}\beta(s)}$ .

The initial conditions of  $\beta$  and  $\alpha$  are chosen so that this is approximately the case.

The envelope equation: 
$$\sigma'' = -k\sigma + \frac{(2J)^2}{\sigma^3}$$



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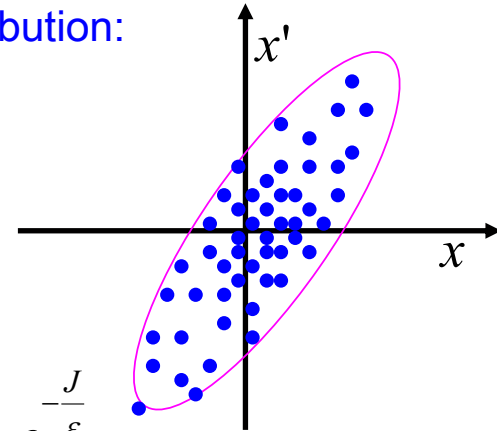
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# The phase space distribution

Often one can fit a Gauss distribution to the particle distribution:

$$\rho(x, x') = \frac{1}{2\pi\varepsilon} e^{-\frac{\gamma x^2 + 2\alpha x x' + \beta x'^2}{2\varepsilon}}$$

The equi-density lines are then ellipses. And one chooses the starting conditions for  $\beta$  and  $\alpha$  according to these ellipses!



$$\begin{pmatrix} x \\ x' \end{pmatrix} = \sqrt{2J} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \sin \phi_0 \\ \cos \phi_0 \end{pmatrix}$$

$$\rho(J, \phi_0) = \frac{1}{2\pi\varepsilon} e^{-\frac{J}{\varepsilon}}$$

$$\langle 1 \rangle = \frac{1}{2\pi\varepsilon} \int_0^{2\pi} \int_0^\infty e^{-J/\varepsilon} dJ d\phi_0 = 1 \quad \text{Initial beam distribution} \longrightarrow \text{initial } \alpha, \beta, \gamma$$

$$\langle x^2 \rangle = \frac{1}{2\pi\varepsilon} \iint 2J\beta \sin^2 \phi_0 e^{-J/\varepsilon} dJ d\phi_0 = \varepsilon\beta \longrightarrow \langle x'^2 \rangle = \varepsilon\gamma$$

$$\langle x x' \rangle = -\frac{1}{2\pi\varepsilon} \iint 2J\alpha \sin \phi_0^2 e^{-J/\varepsilon} dJ d\phi_0 = -\varepsilon\alpha$$

$$\varepsilon = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2} \quad \text{is called the emittance.}$$



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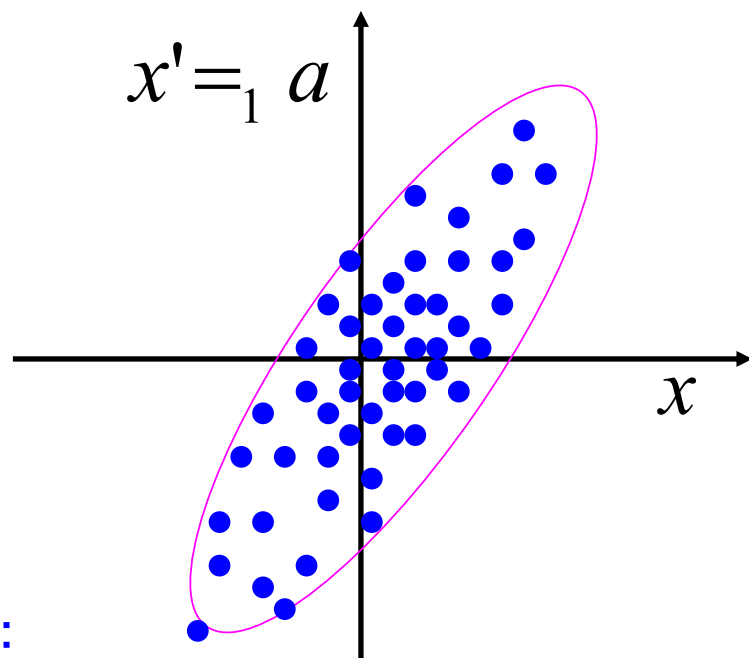
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# The normalized emittance



with  $a = p_x/p_0$   
and the beam's reference  
momentum  $p_0$ .

## Remarks:

- (1) The phase space area that a beam fills in  $(x, a)$  phase space shrinks during acceleration by the factor  $p_0/p$ . This area is the emittance  $\varepsilon$ .
- (2) The phase space area that a beam fills in  $(x, p_x)$  phase space is conserved. This area (divided by  $mc$ ) is the normalized emittance  $\varepsilon_n$ .

$$\varepsilon = \varepsilon_n / \beta_r \gamma_r$$



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# Invariant of motion

$$x(s) = \sqrt{2J\beta(s)} \sin(\psi(s) + \phi_0)$$

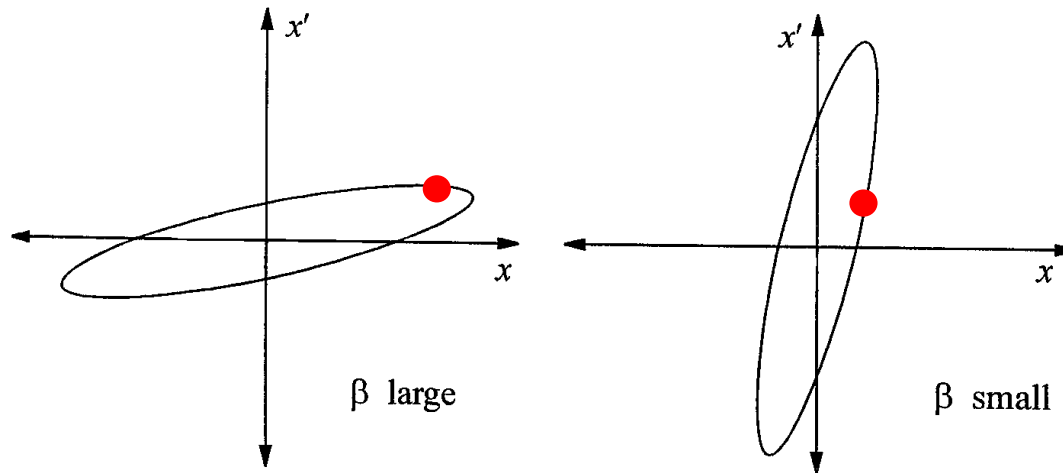
Where  $J$  and  $\phi$  are given by the starting conditions  $x_0$  and

$$x_0'^2 + 2\alpha x_0 x_0' + \beta x_0'^2 = 2J$$

Leads to the invariant of motion:

$$f(x, x', s) = \gamma(s)x^2 + 2\alpha(s)xx' + \beta(s)x'^2 \Rightarrow \frac{d}{ds} f = 0$$

It is called the **Courant-Snyder invariant**.



## Twiss differential equation → usually too hard

$$\begin{aligned}\gamma &= \frac{1 + \alpha^2}{\beta} \\ \beta' &= -2\alpha \\ \alpha' &= k\beta - \gamma\end{aligned}$$

$$\beta'' = 2\gamma = 2 \frac{1 + \frac{1}{4}\beta'^2}{\beta} = \frac{d\beta'}{d\beta} \frac{d\beta}{ds}$$

$$\frac{\beta'}{1 + \frac{1}{4}\beta'^2} d\beta' = 2 \frac{d\beta}{\beta}$$

$$\log(1 + \frac{1}{4}\beta'^2) = \log(\beta / \beta_0)$$

$$\beta' = 2\sqrt{\beta / \beta_0 - 1}$$

$$\frac{d\beta}{2\sqrt{\beta / \beta_0 - 1}} = ds$$

$$\beta_0 \sqrt{\beta / \beta_0 - 1} = s - s_0$$

$$\beta(s) = \beta_0 \left( 1 + \left( \frac{s - s_0}{\beta_0} \right)^2 \right)$$



# Propagation of Twiss parameters

$$(x_0, x'_0) \begin{pmatrix} \gamma_0 & \alpha_0 \\ \alpha_0 & \beta_0 \end{pmatrix} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} = 2J$$

$$(x, x') \begin{pmatrix} \gamma & \alpha \\ \alpha & \beta \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix} = 2J = (x_0, x'_0) \underline{M}^T \begin{pmatrix} \gamma & \alpha \\ \alpha & \beta \end{pmatrix} \underline{M} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix}$$

$$\begin{pmatrix} \gamma & \alpha \\ \alpha & \beta \end{pmatrix} = \underline{M}^{-T} \begin{pmatrix} \gamma_0 & \alpha_0 \\ \alpha_0 & \beta_0 \end{pmatrix} \underline{M}^{-1}$$

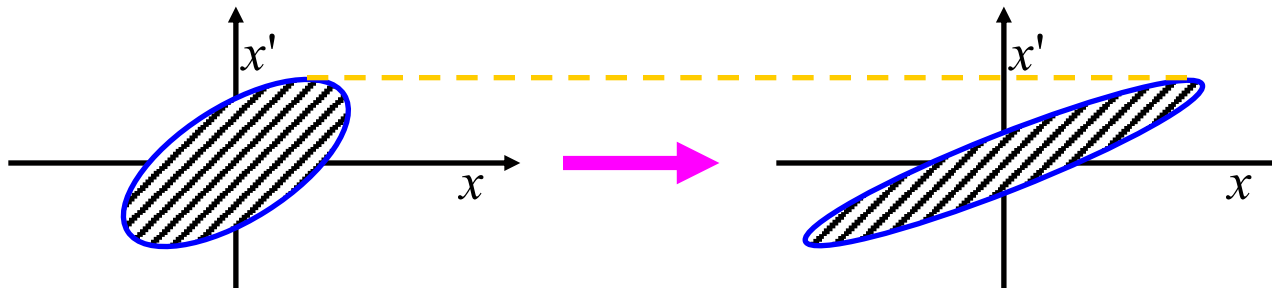
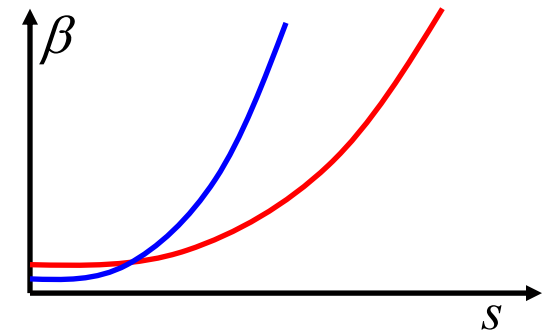
$$\begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix} = \underline{M} \begin{pmatrix} \beta_0 & -\alpha_0 \\ -\alpha_0 & \gamma_0 \end{pmatrix} \underline{M}^T$$



# Twiss parameters in a drift

$$\begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix} = \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \beta_0 & -\alpha_0 \\ -\alpha_0 & \gamma_0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ s & 1 \end{pmatrix} = \begin{pmatrix} \beta_0 - 2\alpha_0 s + \gamma_0 s^2 & \gamma_0 s - \alpha_0 \\ \gamma_0 s - \alpha_0 & \gamma_0 \end{pmatrix}$$

$$\beta = \beta_0^* \left[ 1 + \left( \frac{s}{\beta_0^*} \right)^2 \right] \quad \text{for} \quad \alpha_0^* = 0$$



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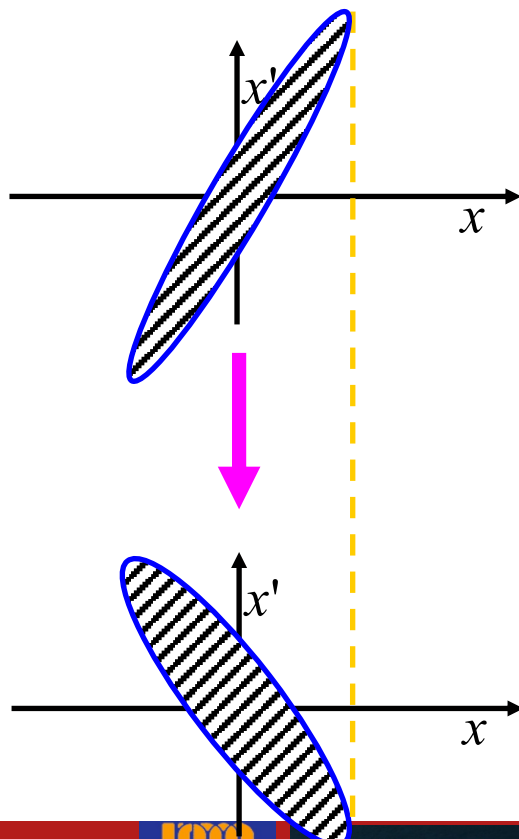
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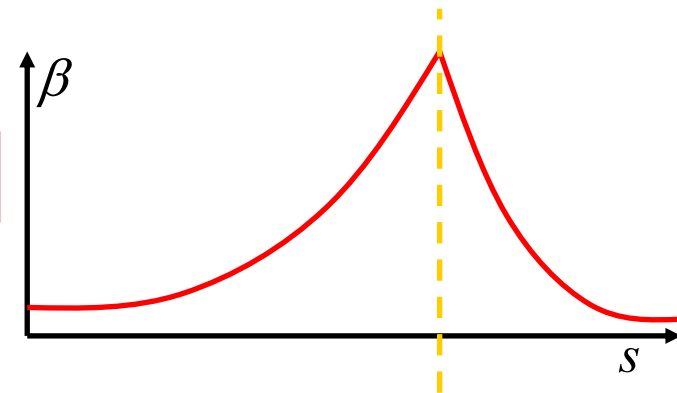
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# Twiss parameters in a thin quadrupole



$$\begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -k & 1 \end{pmatrix} \begin{pmatrix} \beta_0 & -\alpha_0 \\ -\alpha_0 & \gamma_0 \end{pmatrix} \begin{pmatrix} 1 & -k \\ 0 & 1 \end{pmatrix}$$

$$\alpha = \alpha_0 + k\beta_0$$



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# From Twiss parameter to Transfer Marix

$$\begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} = \sqrt{2J} \begin{pmatrix} \sqrt{\beta_0} & 0 \\ -\frac{\alpha_0}{\sqrt{\beta_0}} & \frac{1}{\sqrt{\beta_0}} \end{pmatrix} \begin{pmatrix} \sin(\phi_0) \\ \cos(\phi_0) \end{pmatrix}$$

$$\begin{pmatrix} x \\ x' \end{pmatrix} = \sqrt{2J} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \sin(\psi(s) + \phi_0) \\ \cos(\psi(s) + \phi_0) \end{pmatrix}$$

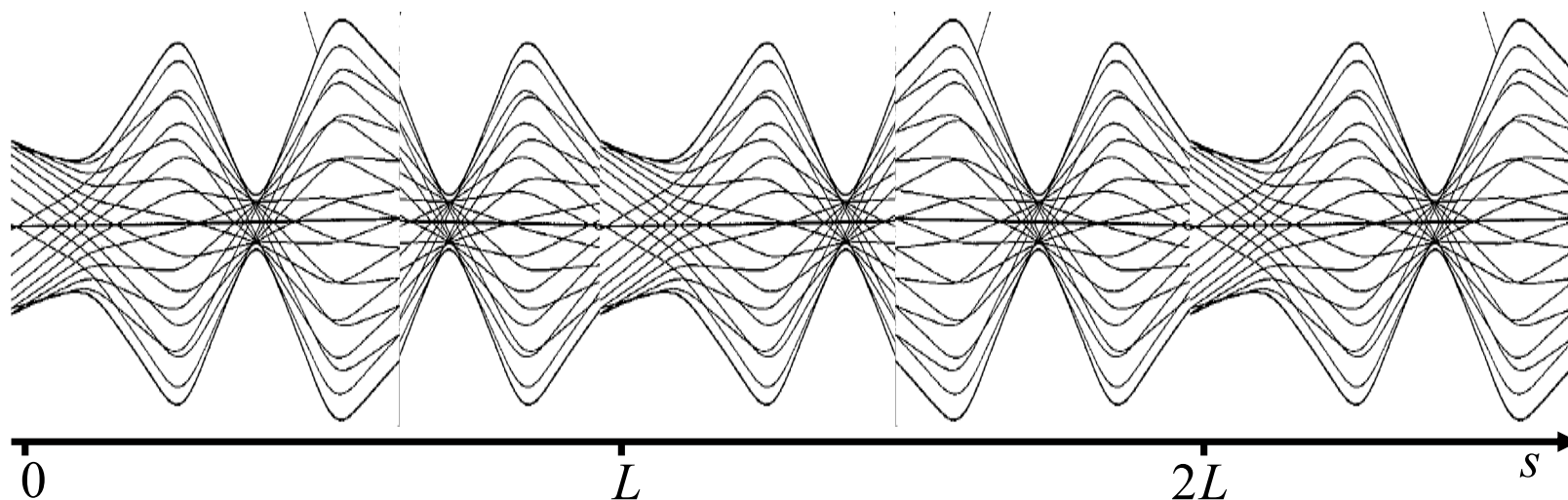
$$= \sqrt{2J} \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \cos \psi(s) & \sin \psi(s) \\ -\sin \psi(s) & \cos \psi(s) \end{pmatrix} \begin{pmatrix} \sin \phi_0 \\ \cos \phi_0 \end{pmatrix}$$

$$\underline{M}(s) = \begin{pmatrix} \sqrt{\beta} & 0 \\ -\frac{\alpha}{\sqrt{\beta}} & \frac{1}{\sqrt{\beta}} \end{pmatrix} \begin{pmatrix} \cos \psi(s) & \sin \psi(s) \\ -\sin \psi(s) & \cos \psi(s) \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{\beta_0}} & 0 \\ \frac{\alpha_0}{\sqrt{\beta_0}} & \sqrt{\beta_0} \end{pmatrix}$$

$$= \begin{pmatrix} \sqrt{\frac{\beta}{\beta_0}} [\cos \psi + \alpha_0 \sin \psi] & \sqrt{\beta_0 \beta} \sin \psi \\ \sqrt{\frac{1}{\beta_0 \beta}} [(\alpha_0 - \alpha) \cos \psi - (1 + \alpha_0 \alpha) \sin \psi] & \sqrt{\frac{\beta_0}{\beta}} [\cos \psi - \alpha \sin \psi] \end{pmatrix}$$



# Periodic solutions in a periodic accelerator



$$\vec{z}(s) = \underline{M}(s,0)\vec{z}(0)$$

$$\vec{z}(L) = \underline{M}(L,0)\vec{z}(0)$$

$$\vec{z}(s+L) = \underline{M}_0(s)\vec{z}(s) \quad , \quad \underline{M}_0 = \underline{M}(s+L,s)$$

$$\vec{z}(s+nL) = \underline{M}_0^n(s)\vec{z}(s)$$



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# Periodic beta functions

If the particle distribution in a ring or any other periodic structure is stable, it is periodic from turn to turn.

$$\rho(x, x', s + L) = \rho(x, x', s)$$

To be matched to such a beam, the Twiss parameters  $\alpha$ ,  $\beta$ ,  $\gamma$  must be the same after every turn.

$$\underline{M}(s, 0) = \begin{pmatrix} \sqrt{\frac{\beta}{\beta_0}} [\cos \psi + \alpha_0 \sin \psi] & \sqrt{\beta_0 \beta} \sin \psi \\ \sqrt{\frac{1}{\beta_0 \beta}} [(\alpha_0 - \alpha) \cos \psi - (1 + \alpha_0 \alpha) \sin \psi] & \sqrt{\frac{\beta_0}{\beta}} [\cos \psi - \alpha \sin \psi] \end{pmatrix}$$

$$\underline{M}_p(s) = \begin{pmatrix} \cos \mu + \alpha \sin \mu & \beta \sin \mu \\ -\gamma \sin \mu & \cos \mu - \alpha \sin \mu \end{pmatrix} = \underline{1} \cos \mu + \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} \sin \mu$$

$$\mu = \psi(s + L) - \psi(s)$$



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# One turn matrix to periodic Twiss parameters

The periodic Twiss parameters are the solution of a nonlinear differential equation with periodic boundary conditions:

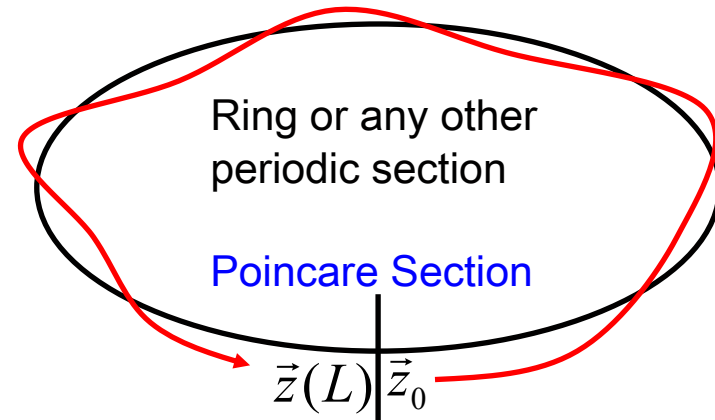
$$\begin{aligned} \beta' &= -2\alpha & \text{with } \beta(L) &= \beta(0) \\ \alpha' &= k\beta - \frac{1+\alpha^2}{\beta} & \text{with } \alpha(L) &= \alpha(0) \end{aligned}$$

$$\mu = \int_0^L \frac{1}{\beta(\hat{s})} d\hat{s}$$

Note:  $\beta(s) > 0$

$$\underline{M}_0(s) = \underline{1} \cos \mu + \underline{\beta} \sin \mu ; \underline{\beta} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$

Stable beam motion and thus a periodic beta function can only exist when  $|\text{Tr}[\underline{M}]| < 2$ .



$$\cos \mu = \frac{1}{2} \text{Tr}[\underline{M}_0(s)]$$

$$\beta = \underline{M}_{0,12} \frac{1}{\sin \mu}$$

$$\alpha = (\underline{M}_{0,11} - \underline{M}_{0,22}) \frac{1}{2 \sin \mu}$$

$$\gamma = \frac{1+\alpha^2}{\beta}$$



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# The tune of a particle accelerator

The betatron phase advance per turn divided by  $2\pi$  is called the **TUNE**.

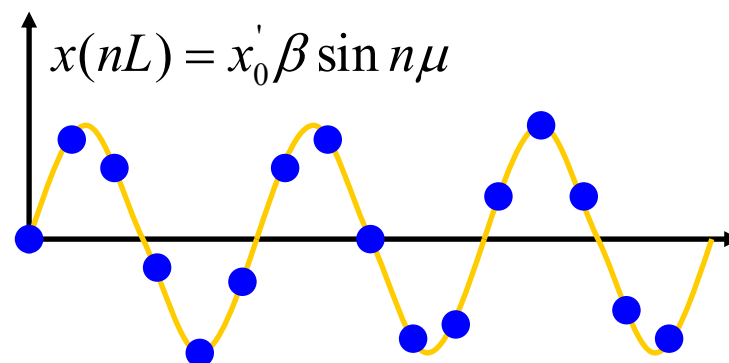
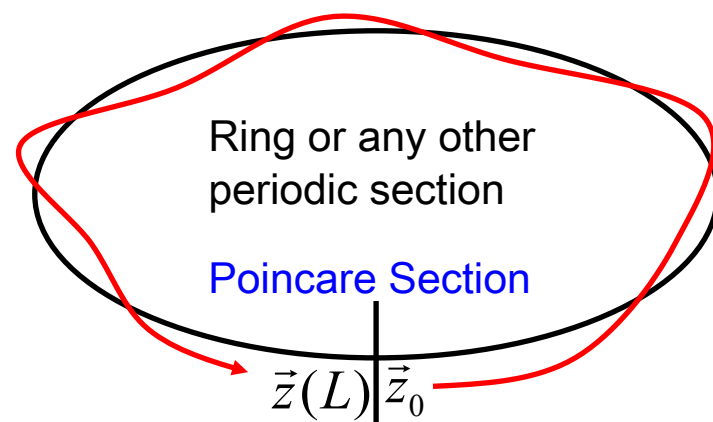
$$\mu = 2\pi\nu = \psi(s+L) - \psi(s)$$

It is a property of the ring and does not depend on the azimuth  $s$ .

$$\underline{M}_0(s) = \underline{1} \cos \mu + \begin{pmatrix} \alpha(s) & \beta(s) \\ -\gamma(s) & -\alpha(s) \end{pmatrix} \sin \mu$$

$$\begin{aligned} 2 \cos \underline{\mu}(s) &= \text{Tr}[\underline{M}_0(s)] = \text{Tr}[\underline{M}(s,0) \underline{M}_0(0) \underline{M}^{-1}(s,0)] \\ &= \text{Tr}[\underline{M}_0(0)] = 2 \cos \underline{\mu}(0) \end{aligned}$$

$$\underline{M}_0^n = \underline{1} \cos n\mu + \underline{\beta} \sin n\mu$$



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