

Second quantization

Goals for the lecture:

- I. introduce quantization of EM fields \Rightarrow photon
- II. "second quantization" - jargon from QFT
 - different approach than "first quantization"
 - * we studied so far:
 - observables \leftrightarrow operators
 - states \leftrightarrow functions
 - * when dealing with (fermions) bosons:
 - impose (anti)symmetry of states "by hand"
 - second quantization formulation of Q.M.
 - * states themselves expressed in terms of "creation" and "annihilation" operators working on the "vacuum state"
 - * other operators (e.g. Hamiltonian) also described by creation/annihilation operators
 - * proper (anti)symmetry of states enforced via the algebra of these operators

Simple harmonic oscillator (revisited)

$$\hat{H}|\psi\rangle = E|\psi\rangle \quad \text{with} \quad \hat{H} = \frac{\hat{p}_x^2}{2m} + \frac{m\omega^2}{2}\hat{x}^2$$

Rewrite:

$$\hat{H} = \frac{m\omega^2}{2} \left(\frac{\hat{p}_x^2}{m^2\omega^2} + \hat{x}^2 \right), \quad \begin{matrix} \text{E.g. any 2 operators: } \hat{\alpha}, \hat{\beta} \\ (\hat{\alpha} + i\hat{\beta})(\hat{\alpha} - i\hat{\beta}) = \hat{\alpha}^2 + i(\hat{\beta}\hat{\alpha} - \hat{\alpha}\hat{\beta}) + \hat{\beta}^2 \\ \Rightarrow \hat{\alpha}^2 + \hat{\beta}^2 = (\hat{\alpha} + i\hat{\beta})(\hat{\alpha} - i\hat{\beta}) + i[\hat{\alpha}, \hat{\beta}] \end{matrix}$$

↑
want factor

Define:

$$\hat{\alpha} = \left(\frac{m\omega}{2\hbar} \right)^{1/2} \left(\hat{x} + \frac{i\hat{p}_x}{m\omega} \right) \quad - \text{"lowering operator"}$$

$$\hat{\alpha}^\dagger = \left(\frac{m\omega}{2\hbar} \right)^{1/2} \left(\hat{x} - \frac{i\hat{p}_x}{m\omega} \right) \quad - \text{"raising operator"}$$

$$(\hat{\alpha})^\dagger = \hat{\alpha}^\dagger \quad \text{adjoint of one another} \quad \text{self-adjoint} \\ (\text{but not Hermitian, i.e. } \hat{\alpha} \neq \hat{\alpha}^\dagger)$$

Can rewrite (Hermitian) operators in terms of $\hat{\alpha}, \hat{\alpha}^\dagger$

$$\hat{x} = \left(\frac{\hbar}{2m\omega} \right)^{1/2} (\hat{\alpha}^\dagger + \hat{\alpha}) \quad \hat{p}_x = i \left(\frac{\hbar m\omega}{2} \right)^{1/2} (\hat{\alpha}^\dagger - \hat{\alpha})$$

Easy to show:

$$[\hat{a}, \hat{a}^\dagger] = \hat{a}\hat{a}^\dagger - \hat{a}^\dagger\hat{a} = 1$$

$$[\hat{a}^\dagger, \hat{a}] = -1$$

$$[\hat{a}, \hat{a}] = 0$$

$$[\hat{a}^\dagger, \hat{a}^\dagger] = 0$$

$$\begin{aligned} \text{E.g. } \hat{H} &= \frac{\hbar\omega}{2} (\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}) \\ &= \frac{\hbar\omega}{2} ([\hat{a}, \hat{a}^\dagger] + 2\hat{a}^\dagger\hat{a}) \\ &= \hbar\omega \left(\hat{a}^\dagger\hat{a} + \frac{1}{2} \right) \end{aligned}$$

Since we know $\hat{H}|n\rangle = E_n|n\rangle$ with $E_n = \hbar\omega(n + \frac{1}{2})$

$$\Rightarrow \underbrace{\hat{a}^\dagger\hat{a}}_{\hat{n}}|n\rangle = n|n\rangle$$

\hat{n} -number operator

Raising & lowering operators' action

$$\hat{a}(\hat{a}^\dagger\hat{a})|n\rangle = n\hat{a}|n\rangle$$

$$\hat{a}\hat{a}^\dagger - \hat{a}^\dagger\hat{a} = [\hat{a}, \hat{a}^\dagger] = 1, \Rightarrow \hat{a}\hat{a}^\dagger = 1 + \hat{a}^\dagger\hat{a}$$

$$(1 + \hat{a}^\dagger\hat{a})\hat{a}|n\rangle = n\hat{a}|n\rangle,$$

$$\underbrace{\hat{a}^\dagger\hat{a}}_{\hat{n}}(\hat{a}|n\rangle) = \underbrace{(n-1)}_{\text{result of } \hat{n} \text{ operator}}(\hat{a}|n\rangle)$$

$$\Rightarrow \hat{a}|n\rangle = A_n|n-1\rangle \quad - \text{lowering operator}$$

Similarly,

$$\hat{a}^\dagger|n\rangle = B_{n+1}|n+1\rangle \quad - \text{raising operator}$$

Can find coefficients A_n, B_n (see Griffiths 2.3)

$$\hat{a}|n\rangle = \sqrt{n}|n-1\rangle$$

$$\hat{a}^\dagger|n\rangle = \sqrt{n+1}|n+1\rangle$$

E.g. ground state $|0\rangle$:

$$\hat{a}|0\rangle = 0 \quad (\text{can use to find } \Psi_0(x) = \langle x|0\rangle)$$

$$\hat{a}^\dagger|0\rangle = |1\rangle$$

Alternative interpretation: state $|n\rangle$ contains n identical quanta, each with energy $\hbar\omega$:

$$E_n = \frac{\hbar\omega}{2} + n\hbar\omega$$

$\underbrace{\qquad}_{\text{zero fluctuations}}$ $\underbrace{\qquad}_{n \text{ bosons}}$

These quanta behave like identical bosons

\hat{a}^\dagger creates $\hbar\omega$ = creation operator

\hat{a} destroys $\hbar\omega$ = annihilation operator

Quantization of EM fields

Hamiltonian equations:

$$\left. \begin{array}{l} \frac{dp}{dt} = -\frac{\partial H}{\partial q} \\ \frac{dq}{dt} = \frac{\partial H}{\partial p} \end{array} \right\} \begin{array}{l} \text{describes time evolution} \\ \text{of generalized momentum } p \\ \text{and position } q \end{array}$$

E.g. classical $H = \frac{p^2}{2m} + V(q)$

$$\left. \begin{array}{l} \frac{dp}{dt} = -\frac{\partial H}{\partial q} \\ \frac{dq}{dt} = \frac{\partial H}{\partial p} \end{array} \right\} \Rightarrow \left. \begin{array}{l} \frac{dp}{dt} = -\frac{\partial V}{\partial q} = F \\ \frac{dq}{dt} = \frac{p}{m} \end{array} \right\} \begin{array}{l} \text{Newton's 2nd law} \\ \end{array}$$

1) Find quantities similar to p and q for EM field, Hamiltonian eqs give classical time evolution of p and q

2) Proceed to quantization of \hat{H} ($\hat{q} \rightarrow q$, $\hat{p} \rightarrow -i\hbar \frac{d}{dq}$)

E.g. standing EM wave

\vec{E} polarized along z -dir



$$E_z = p(t) D \sin kx$$

node

node

$$B_y = q(t) \frac{D}{c} \cos kx$$

antinode

antinode

Check against Maxwell eqn.

$$\left. \begin{array}{l} \vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \\ \vec{\nabla} \times \vec{B} = \epsilon_0 \mu_0 \frac{\partial \vec{E}}{\partial t} \end{array} \right\} \begin{array}{l} y\text{-comp: } \cancel{\frac{\partial F_x}{\partial z}} - \frac{\partial E_z}{\partial x} = -\frac{\partial B_y}{\partial t} \\ z\text{-comp: } \cancel{\frac{\partial B_y}{\partial x}} - \cancel{\frac{\partial B_z}{\partial y}} = \epsilon_0 \mu_0 \frac{\partial E_z}{\partial t} \end{array}$$

$$\cancel{k_p D \cos kx} = \frac{D}{c} \frac{\partial q}{\partial t} \cos kx, \Rightarrow \frac{dq}{dt} = \omega p$$

$$-k_q \frac{D}{c} \sin kx = \epsilon_0 \mu_0 \frac{\partial p}{\partial t} D \sin kx, \Rightarrow \frac{dp}{dt} = -\omega q$$

$(\omega = kc \text{ and } \epsilon_0 \mu_0 = \frac{1}{c^2})$

combining the two:

$$\frac{d^2q}{dt^2} + \omega^2 q = 0 \quad \left\{ \text{same as SHO!} \right.$$

Hamiltonian for the standing EM wave:

$$H = \frac{\omega}{2} (p^2 + q^2) \quad \text{if } D = \sqrt{\frac{2\omega}{L\epsilon_0}}$$

Going quantum:

$$\hat{H} = \frac{\omega}{2} (\hat{p}^2 + \hat{q}^2)$$

rewrite in terms of creation/annihilation operators

$$\begin{aligned} \hat{a} &= \sqrt{\frac{1}{2\hbar}} (\hat{q} + i\hat{p}) \\ \hat{a}^\dagger &= \sqrt{\frac{1}{2\hbar}} (\hat{q} - i\hat{p}) \end{aligned} \quad \Rightarrow \quad \hat{H} = \hbar\omega (\hat{a}^\dagger \hat{a} + \frac{1}{2})$$

Extend to many modes $\hat{H} \rightarrow \hat{H}_\lambda$, $\hbar\omega \rightarrow \hbar\omega_\lambda$

$$\hat{H}_\lambda = \hbar\omega_\lambda (\underbrace{\hat{a}_\lambda^\dagger \hat{a}_\lambda}_{\text{number operator}} + \frac{1}{2}) \quad \text{photon energy}$$

Same properties of $\hat{a}_\lambda^\dagger, \hat{a}_\lambda$: $[\hat{a}_\lambda, \hat{a}_\lambda^\dagger] = 1$

$$\hat{a}_\lambda |n_\lambda\rangle = \sqrt{n_\lambda + 1} |n_\lambda + 1\rangle \quad \text{create } \hbar\omega_\lambda \text{ photon}$$

$$\hat{a}_\lambda^\dagger |n_\lambda\rangle = \sqrt{n_\lambda} |n_\lambda - 1\rangle \quad \text{destroy } \hbar\omega_\lambda \text{ photon}$$

Physics of what's going on

- * quantized EM field
⇒ energy / single quantum, $\hbar\omega_\lambda$ ≡ "photon"
- * can put as many photons into λ -mode as desired ⇒ bosons
- * zero-pt. fluctuations (E, B in $|0_\lambda\rangle$ fluctuates)
⇒ energy of vacuum
- * can quantize other waves: vibrations in solids → phonon
plasma waves → plasmon, spin waves → magnon ...

Casimir effect: attractive force due to zero-point on two parallel conducting plates

only $\lambda < a$

all $\lambda \rightarrow$ | ← all λ



$$\frac{\text{force}}{\text{area}} = -\frac{\pi c \hbar^2}{240 a^4} \quad (\text{Casimir})$$

E.g. 1 μm separation for 1x1m²
plates ⇒ force $1.3 \times 10^{-3} N$

perturbs zero-pt modes
excluding $\lambda > a$ wavelengths
from between the plates

Fermion creation & annihilation operators

recall: fermion wavefn antisymmetric w.r.t.
particle exchange

$$|12;a,b\rangle = \frac{1}{\sqrt{2}} (|1,a\rangle |2,b\rangle - |1,b\rangle |2,a\rangle)$$

rewrite

$$|12;a,b\rangle = \frac{1}{\sqrt{2}} \begin{vmatrix} |1,a\rangle & |2,a\rangle \\ |1,b\rangle & |2,b\rangle \end{vmatrix}$$

Slater's
determinant

Easy to extend to N identical fermions

$$|N;a,b,\dots,n\rangle = \frac{1}{\sqrt{N!}} \begin{vmatrix} |1,a\rangle & |2,a\rangle & \dots & |N,a\rangle \\ |1,b\rangle & |2,b\rangle & \dots & |N,b\rangle \\ \vdots & \ddots & & \vdots \\ |1,n\rangle & |2,n\rangle & \dots & |N,n\rangle \end{vmatrix}$$

- exchange any two particles (columns)
 \Rightarrow eigenfn changes sign = antisymmetric
- if any two single-part. states the same (rows)
 \Rightarrow eigenfn goes to zero = Pauli principle

Can characterize multiparticle state:

$$|\mu_a, \mu_b, \dots, \mu_n\rangle = \begin{matrix} \text{Fock representation} \\ (\text{same Fock as HF method}) \end{matrix}$$

occupation of state a , e.g. 0 or 1 for fermion

Creation operator for fermions : \hat{b}_m^\dagger Annihilation : \hat{b}_m

Their action:

$$\hat{b}_m^\dagger |\mu_a \dots \mu_m=0 \dots \mu_n\rangle = (-1)^{\sum_{m'>m} \mu_{m'}} |\mu_a \dots \mu_m=1 \dots \mu_n\rangle$$

add row with m^{th} state in Slater determinant, interchange rows for standard form $\Rightarrow (-1)$ for each interchange

Ex.

$$\hat{b}_m^\dagger \begin{vmatrix} |1,e\rangle & |2,e\rangle & |3,e\rangle \\ |1,n\rangle & |2,n\rangle & |3,n\rangle \end{vmatrix} = \begin{vmatrix} |1,e\rangle & |2,e\rangle & |3,e\rangle \\ |1,n\rangle & |2,n\rangle & |3,n\rangle \\ |1,m\rangle & |2,m\rangle & |3,m\rangle \end{vmatrix} \xrightarrow{\text{swap}}$$

$$= - \begin{vmatrix} |1,\ell\rangle & |2,\ell\rangle & |3,\ell\rangle \\ |1,m\rangle & |2,m\rangle & |3,m\rangle \\ |1,n\rangle & |2,n\rangle & |3,n\rangle \end{vmatrix}$$

$$\hat{b}_m^\dagger | \mu_1 \dots \mu_m=1 \dots \mu_n \rangle = 0$$

Similarly:

$$\hat{b}_m | \mu_1 \dots \mu_m=1 \dots \mu_n \rangle = (-1)^{\sum_{m'>m} \mu_{m'}} | \mu_1 \dots \mu_m=0 \dots \mu_n \rangle$$

$$\hat{b}_m | \mu_1 \dots \mu_m=0 \dots \mu_n \rangle = 0$$

Can prove that : $\hat{b}_m \hat{b}_m^\dagger + \hat{b}_m^\dagger \hat{b}_m = 1$

$$\equiv \{\hat{b}_m, \hat{b}_{m'}^\dagger\} = 1 \text{ anticommutator for fermions}$$

What's next?

- * can write operators \hat{H} , etc. (including $\hat{\psi}$) in form of creation/annihilation operators
- * can mix fermions with bosons (i.e. photon emission by an electron, electron-positron pair production, $\Rightarrow QFT$)
- * math keeps track of proper state (anti)symmetry